Sequencing of EWG RDS Principles as input to GNSO PDP (Draft 3)

The flow charts that follow suggest grouping and sequencing of principles contained in the EWG's Final Report on a proposed Next-Generation Registration Directory Service (RDS).

These charts identify a phased PDP WG process consisting of:

Phase 1) Policy - Requirements Definition	(WHY)
Phase 2) Policy – Functional Design	(WHAT)
Phase 3) Implementation Guidance	(Guidance on HOW)

along with pre- and post-PDP WG steps.

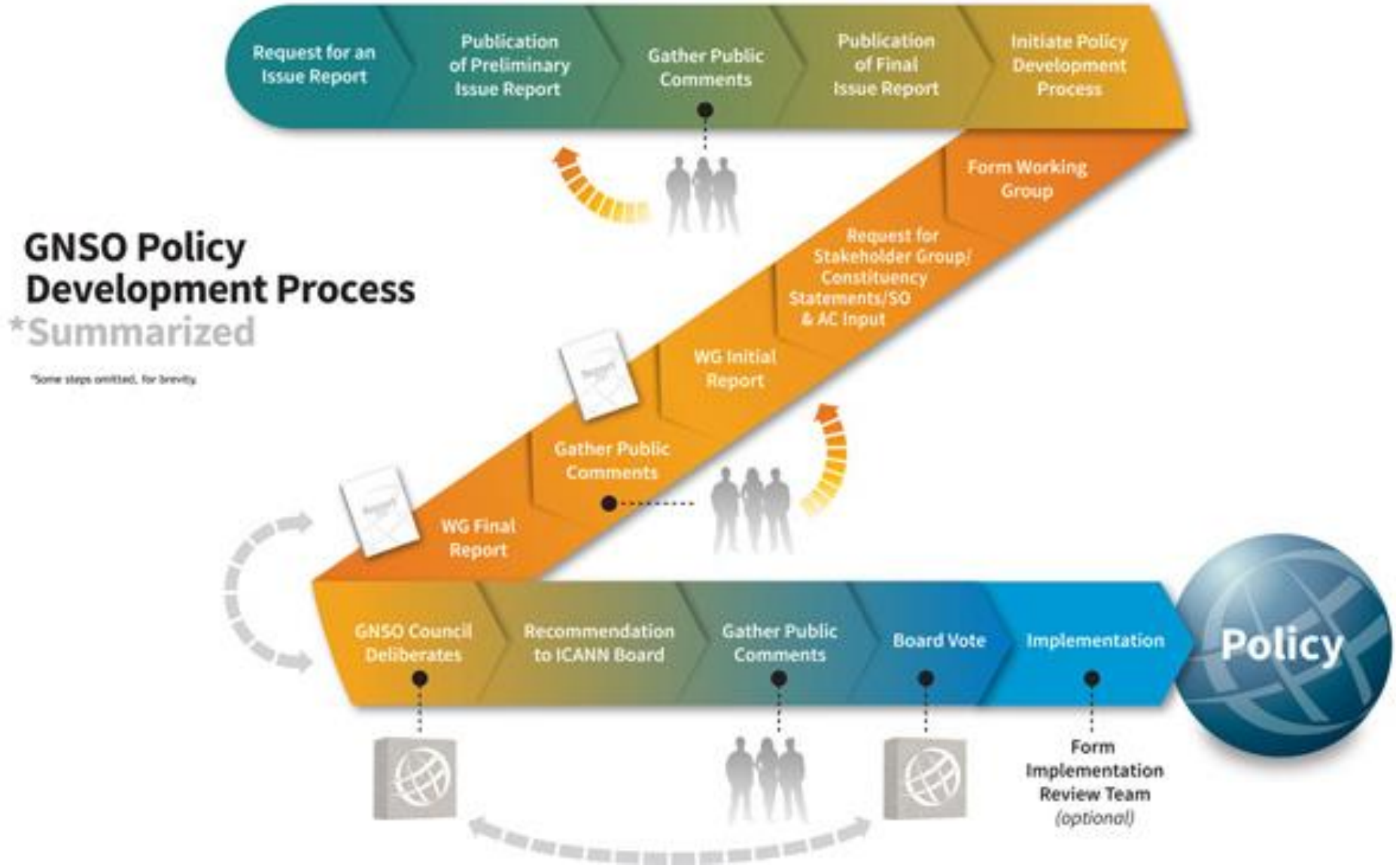
Before Phase 1, preliminary steps must be completed as shown on page 4, including publication of a Final Issue Report and PDP WG charter, adoption by the GNSO Council, and PDP WG formation.

During Phase 1, the PDP WG would examine all RDS policy requirements at a high level. The GNSO Council would review/approve Phase 1 outputs before the PDP WG proceeds to Phase 2.

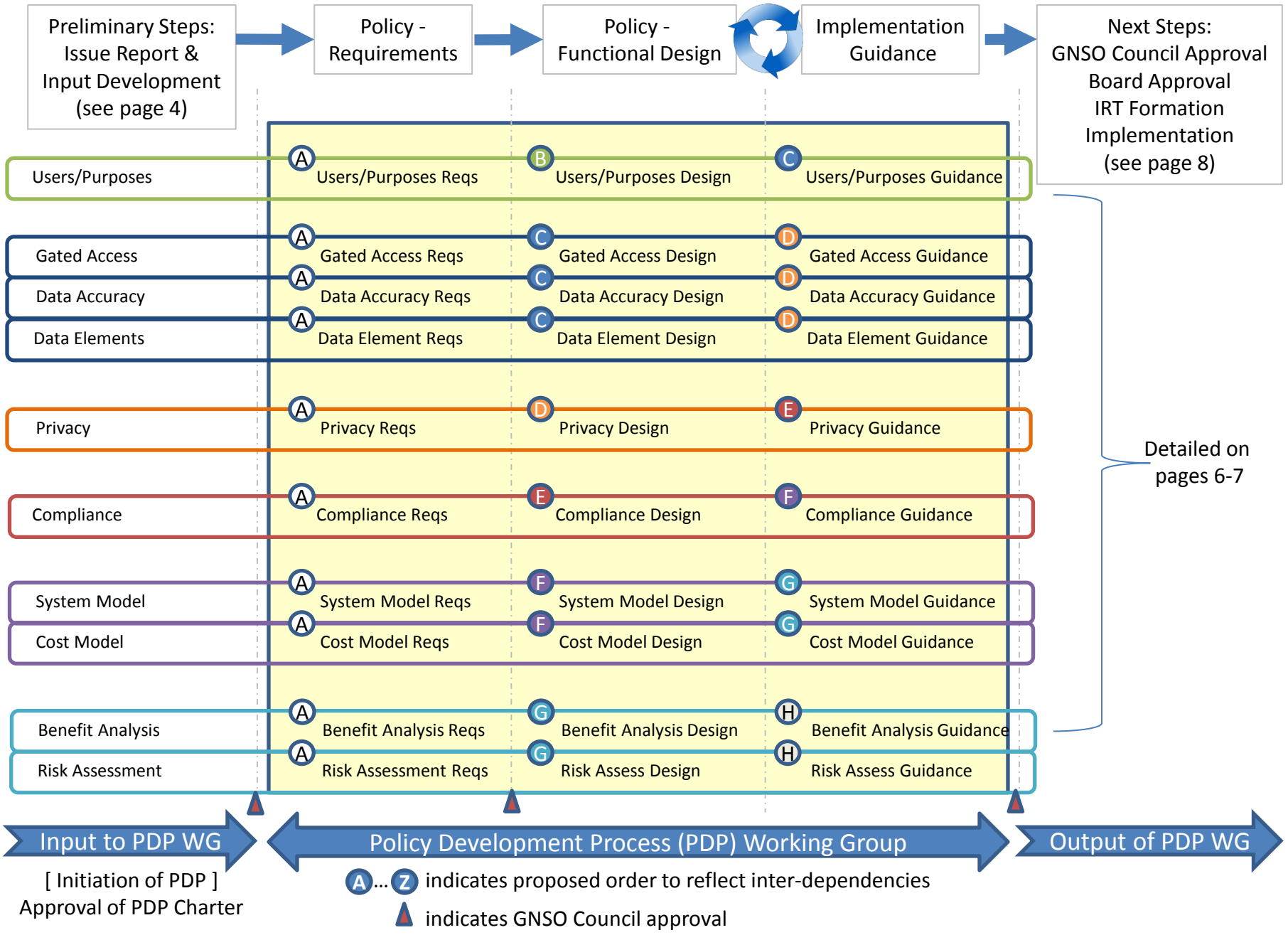
During Phase 2, opportunities for parallel design are shown, sequenced to reflect inter-dependencies. For example, group B policies must be drafted before group C can start, but group C policies could potentially be drafted in parallel by PDP WG subteams, given sufficient resources and coordination. The GNSO Council would periodically review PDP WG work-in-progress to ensure sustained progress and alignment with Phase 1 requirements.

During Phase 3, the PDP WG would dive more deeply into each policy group to create implementation guidance. Details considered in Phase 3 may require refinement of certain Phase 2 policies; refinements must be carefully coordinated to manage interdependencies.

After Phase 3, PDP WG outputs would feed into the next steps detailed on page 8 (e.g., IRT & Staff implementation efforts, informed by PDP WG implementation guidance).



GNSO PDP Materials: http://gnso.icann.org/en/node/31379/


Preliminary Steps: Issue Report & Input Development (see page 4)
Policy - Requirements
Policy - Functional Design
Implementation Guidance
Next Steps: GNSO Council Approval Board Approval IRT Formation Implementation (see page 8)
Users/Purposes
A Users/Purposes Reqs
B Users/Purposes Design
C Users/Purposes Guidance
Gated Access
A Gated Access Reqs
C Gated Access Design
D Gated Access Guidance
Data Accuracy
A Data Accuracy Reqs
C Data Accuracy Design
D Data Accuracy Guidance
Data Elements
A Data Element Reqs
C Data Element Design
D Data Element Guidance
Privacy
A Privacy Reqs
D Privacy Design
E Privacy Guidance
Compliance
A Compliance Reqs
E Compliance Design
F Compliance Guidance
System Model
A System Model Reqs
F System Model Design
G System Model Guidance
Cost Model
A Cost Model Reqs
F Cost Model Design
G Cost Model Guidance
Benefit Analysis
A Benefit Analysis Reqs
G Benefit Analysis Design
H Benefit Analysis Guidance
Risk Assessment
A Risk Assessment Reqs
G Risk Assess Design
H Risk Assess Guidance
Detailed on pages 6-7
Input to PDP WG
[Initiation of PDP]
Approval of PDP Charter
Policy Development Process (PDP) Working Group
A … Z indicates proposed order to reflect inter-dependencies
indicates GNSO Council approval
Output of PDP WG

Preliminary Steps

Nov 2012	Board	☒ Direct preparation of a (PDP) Issue Report
Nov 2012	Board	☒ Launch the EWG
Mar 2013	Staff	☒ PDP - Preliminary Issue Report
Mar-Apr 2013	Community	☒ Public Comment Forum (on above)
Jun 2013	EWG	☒ EWG Initial Report
Jun-Aug 2013	Community	☒ Public Comment Forum, Consultations (on above)
Nov 2013	EWG	☒ EWG Update Report
Dec-Feb 2014	Community	☒ Public Comment Forum, Consultations (on above)
Jun 2014	EWG	☒ EWG Final Report
Oct 2014	EP-WG	☐ Informal collaboration group to recommend PDP WG Process, Charter, and Inputs
	Staff	☐ PDP – New Preliminary Issue Report
	Community	☐ Public Comment Forum, Consultations (on above)
	Staff	☐ PDP - Final Issue Report
	GNSO Council	☐ PDP - Refine Charter for PDP Working Group
	GNSO Council	☐ PDP - Adopt Charter (start of PDP WG process)

See proposed EP-WG Workplan for further details

Available and Recommended Inputs for PDP WG (to be identified by EP-WG)

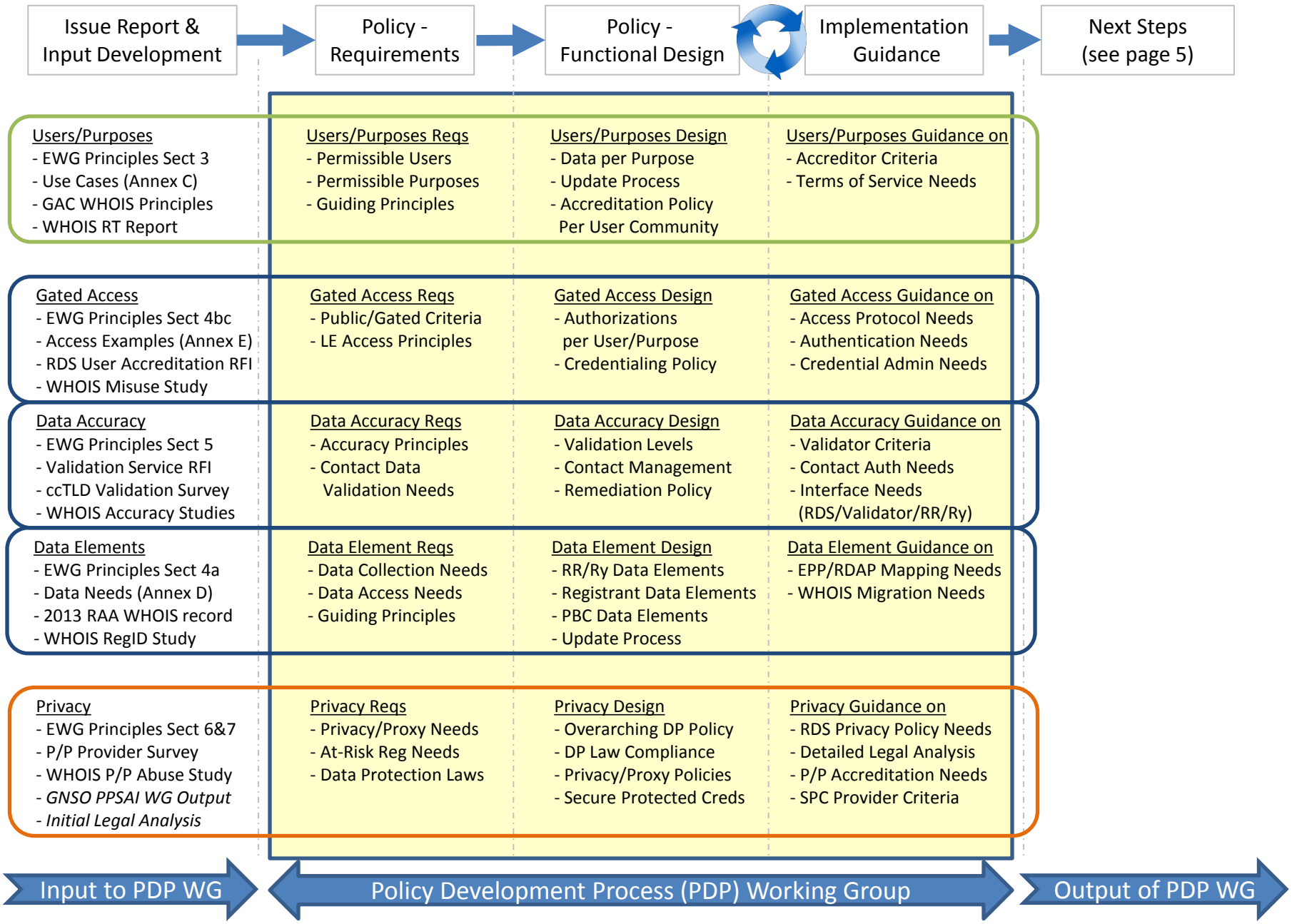
Available Inputs include

- EWG Final Report
- EWG Research on Accreditation, Validation, Privacy/Proxy, Cost, Risks/Benefits
- WHOIS Review Team Final Report
- GAC WHOIS Principles
- 2013 RAA WHOIS Requirements
- WHOIS Studies on Accuracy, Registrant Identification, Misuse, P/P Abuse
- IETF RFCs on RDAP and EPP

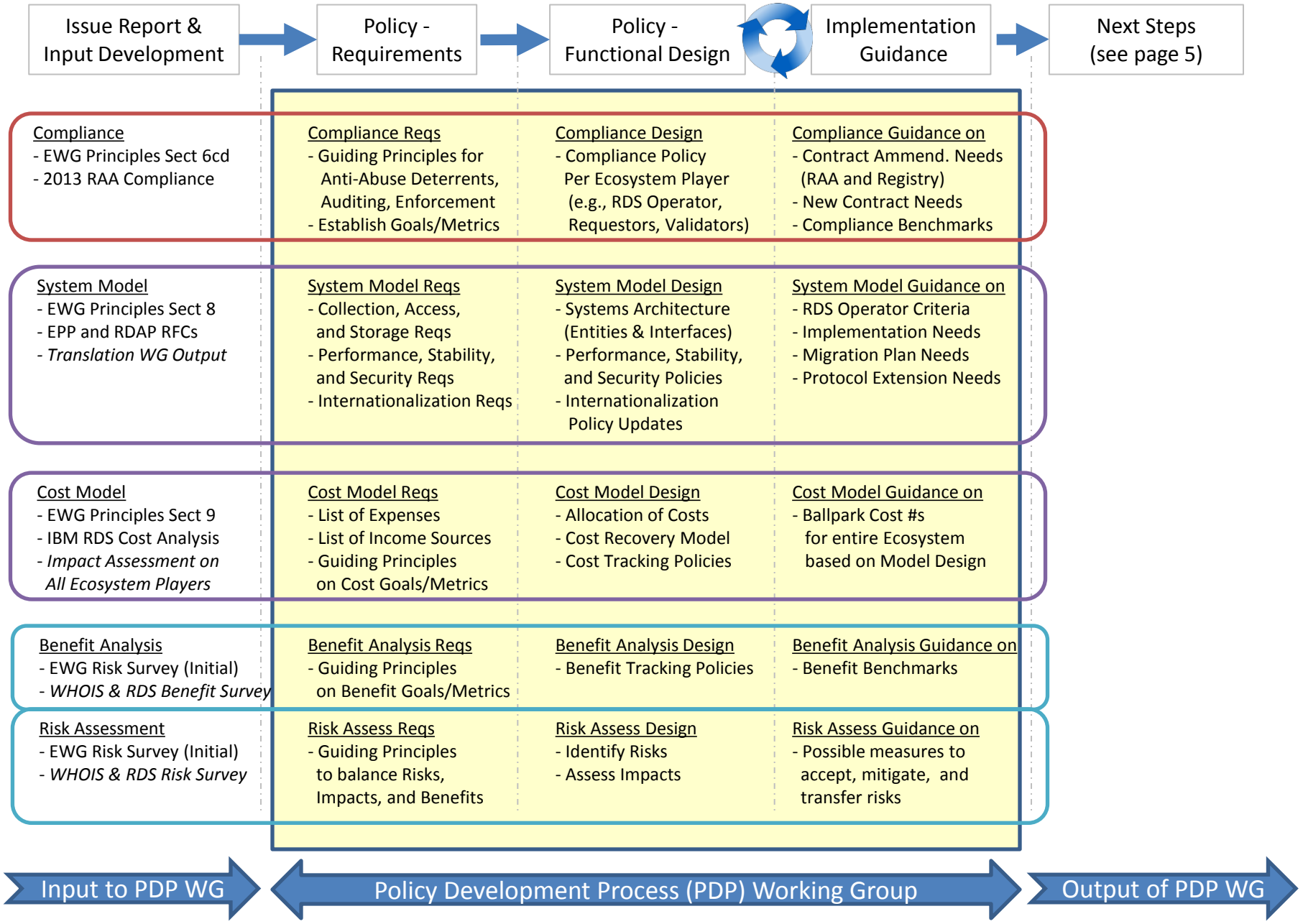
Recommended Additional Inputs	Essential?	When needed?
Stakeholder input on costs and benefits*		
Stakeholder input on risks and impacts		
Initial legal analysis		
PPSAI WG output		
Translation/Transliteration WG output		
Community input on Preliminary Issue Report and Proposed PDP WG Charter		

* For example, solicit input on benefits and costs from all stakeholders. This would be done initially and on an on-going basis to measure efficacy.

PDP WG Process



PDP WG Process



Next Steps
(using PDP WG's output)

ICANN Board Adopts GNSO Policy Recommendations and 'the Board shall, as appropriate, give authorization or direction to ICANN staff to work with the GNSO Council to create an implementation plan based upon the implementation recommendations identified in the Final Report, and to implement the policy.'

→

ICANN Staff forms internal implementation team to co-ordinate hand-over from policy to services team and starts development of proposed implementation project plan (timing / steps)

→

ICANN Staff shares proposed implementation project plan with Implementation Review Team

↔

IRT interfaces with the Council, as necessary

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* See GDD Consensus Policies Implementation Framework for further details on each phase

Implementation Project Plan*

- Planning Phase
- Analyze Phase
- Design Phase
- Implement Phase
- Support Phase

GNSO Council ↔ GNSO Implementation Review Team

Examples of <u>Implementation Guidance</u>
- Validator Criteria
- New Contract Needs

Examples of <u>Implementation Efforts</u>
- Vendor Solicitation/Selection
- Vendor Contracting