





## The Future of Whois: Next Generation RDS

EP-WG | ICANN-52 | 9 February 2015
-- DRAFT FOR EP-WG REVIEW --

# About the EWG Process WG (EP-WG)

- ICANN Board is considering how to use the Expert Working Group (EWG) Final Report on Registration Directory Services (RDS) as input to a GNSO Policy Development Process (PDP) WG
- The EP-WG is a collaboration between the GNSO and the Board, formed to recommend how to best structure PDP(s) for success

#### **Board Members**

- Cherine Chalaby
- Steve Crocker
- Chris Disspain
- Ram Mohan
- Ray Plzak
- Bruce Tonkin

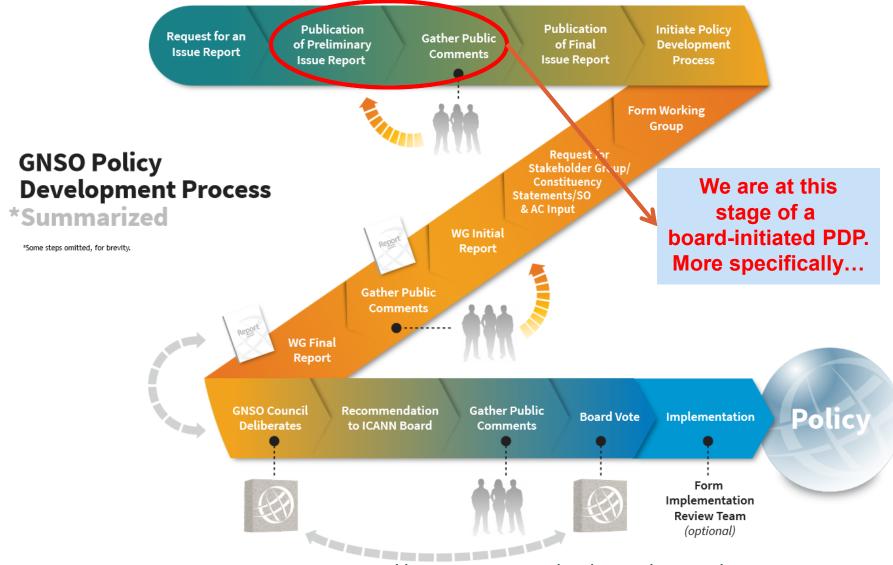


### **GNSO Members**

- James Bladel, RrSG
- Don Blumenthal, RySG
- Ching Chiao, RySG
- Avri Doria, NCSG
- Susan Kawaguchi, BC
- Dan Reed, Nom Com Appointee
- Jonathan Robinson, GNSO Chair



# Where are we in the PDP process?



GNSO PDP Materials: http://gnso.icann.org/en/node/31379/



# Where are we in the PDP process?

Nov 2012	Board	☑ Direct preparation of a (PDP) Issue Report		
Nov 2012	Board	■ Launch the EWG		
Mar 2013	Staff	▼ PDP - Preliminary Issue Report		
Mar-Apr 2013	Community	■ Public Comment Forum (on above)		
Jun 2013	EWG	<b>▼</b> EWG Initial Report		
Jun-Aug 2013	Community	■ Public Comment Forum, Consultations (on above)		
Nov 2013	EWG	■ EWG Update Report		
Dec-Feb 2014	Community	■ Public Comment Forum, Consultations (on above)		
Jun 2014	EWG	<b>▼</b> EWG Final Report		
Oct 2014-	EP-WG	☑ Develop Recommendations on		
Feb 2015		PDP WG Process and Charter Guidance		
Mar 2015	Staff	☐ New Preliminary Issue Report reflecting EP-WG output		
May-Jun 2015	Community	☐ Public Comment Period on New Issue Report		
July 2015	Staff	☐ Final Issue Report reflecting Public Comments		
Aug-Sep 2015	GNSO Council	☐ Refine Charter for PDP Working Group		
	GNSO Council	☐ Adopt Charter (start of PDP WG process)		



## EP-WG recommendations for RDS PDP WG

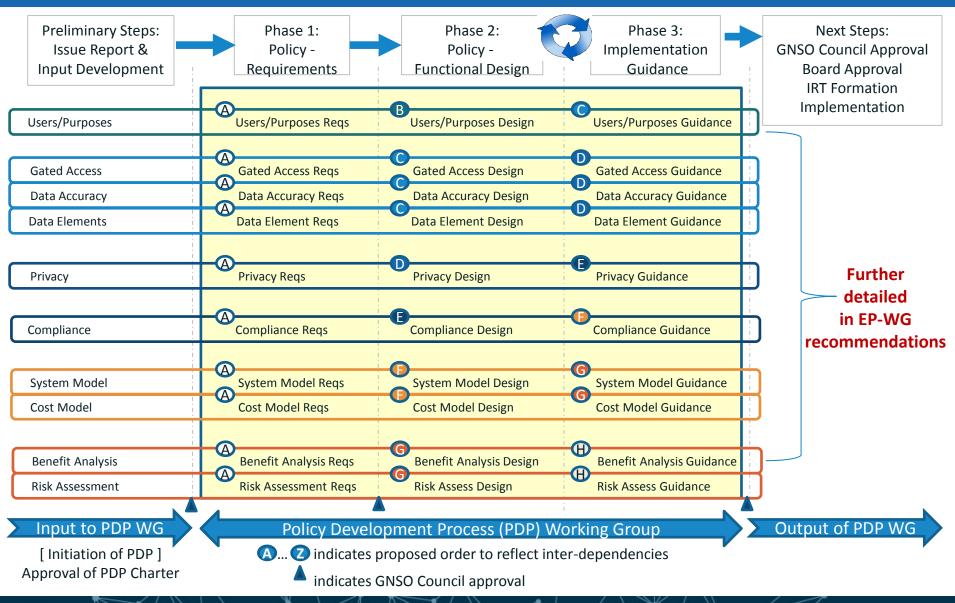
- The EP-WG recommends a 3-Phase PDP WG approach: https://community.icann.org/download/attachments/49359624/ RDS-PDP-Process-v8.pdf
- Phases group and sequence principles in EWG's Final Report
  - Phase 1: Policy Requirements Definition (WHY)
  - Phase 2: Policy Functional Design
  - Phase 3: Implementation Guidance

(WHAT) (HOW) Flow Charts

- Preliminary Steps: New Issue Report and draft PDP WG Charter;
   Public Comment; Final Issue Report including proposed PDP WG Charter; GNSO Council consideration; PDP WG formation.
- Post-WG Steps: GNSO Council and Board Approval; IRT Formation; Implementation informed by PDP WG guidance



# Recommended 3-phase process flow





# Recommended methodology and timeline

### Oversight

- GNSO Council should approve Phase 1 outputs before the PDP WG proceeds to Phase 2

### Timeline

- To foster sustained progress and timely completion,
   the WG should work towards a defined timeline and targets
- Phases 2-3 contain opportunities for parallel progress,
   sequenced for inter-dependencies, subject to resourcing

### Methodology

 In addition to regular calls, PDP WG may hold periodic faceto-face meetings, including subteam and plenary meetings



## Next Step: Issue Report, Handoff to GNSO

- Informal community feedback on EP-WG's process recommendations welcomed at ICANN-52 "All Things Whois"
- At the ICANN Board's request, Staff will use EP-WG's output to draft a new Preliminary Issue Report in March 2015, including EP-WG's recommended process and a draft charter that will factor in this recommended process
- Formal community feedback invited on this new Preliminary Issue Report during public comment period (April-May 2015)
- Final Issue Report reflecting comments expected in July
- GNSO Council will consider Final Issue Report and proposed Charter for PDP WG, followed by formation of PDP WG



## To learn more about the EP-WG and RDS

### Visit the EP-WG's Public Wiki:

https://community.icann.org/pages/
viewpage.action?pageId=49359349

## **Download EWG's Final Report:**

https://community.icann.org/pages/
viewpage.action?pageId=48343061

## **Read EWG's RDS FAQs:**

https://community.icann.org/
display/WG/EWG+FAQs

### Watch EWG's RDS Video FAQs:

<u>https://community.icann.org/display/WG/</u> <u>EWG+Multimedia+Frequently+Asked+Questions</u>





# RDS PDP WG: Phased Flow Chart – Part 1 of 2

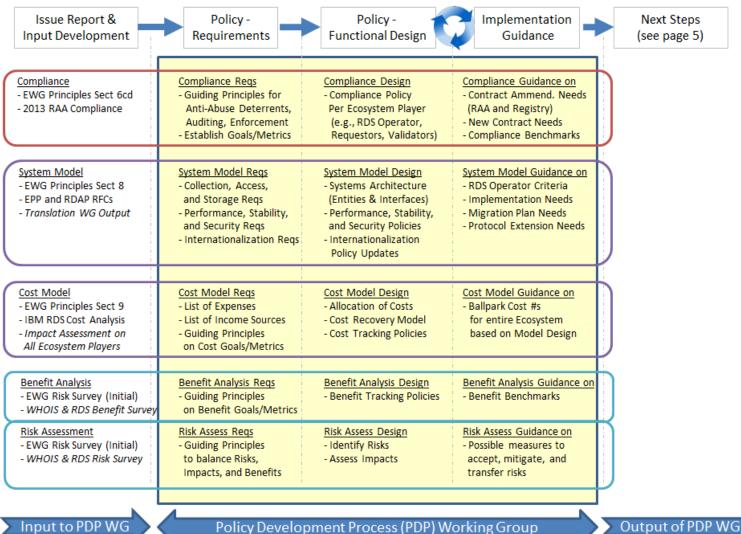
#### **PDP WG Process**

Issue Report & Input Development	Policy - Requirements	Policy - Functional Design	Implementation Guidance	Next Steps (see page 5)
Users/Purposes - EWG Principles Sect 3 - Use Cases (Annex C) - GAC WHOIS Principles - WHOIS RT Report	Users/Purposes Reqs - Permissible Users - Permissible Purposes - Guiding Principles	Users/Purposes Design - Data per Purpose - Update Process - Accreditation Policy Per User Community	Users/Purposes Guidance on - Accreditor Criteria - Terms of Service Needs	
Gated Access - EWG Principles Sect 4bc - Access Examples (Annex E) - RDS User Accreditation RFI - WHOIS Misuse Study	Gated Access Reqs - Public/Gated Criteria - LE Access Principles	Gated Access Design - Authorizations per User/Purpose - Credentialing Policy	Gated Access Guidance on - Access Protocol Needs - Authentication Needs - Credential Admin Needs	
Data Accuracy - EWG Principles Sect 5 - Validation Service RFI - ccTLD Validation Survey - WHOIS Accuracy Studies	<u>Data Accuracy Reqs</u> - Accuracy Principles - Contact Data Validation Needs	<u>Data Accuracy Design</u> - Validation Levels - Contact Management - Remediation Policy	Data Accuracy Guidance on - Validator Criteria - Contact Auth Needs - Interface Needs (RDS/Validator/RR/Ry)	
Data Elements - EWG Principles Sect 4a - Data Needs (Annex D) - 2013 RAA WHOIS record - WHOIS RegID Study	<u>Data Element Reqs</u> - Data Collection Needs - Data Access Needs - Guiding Principles	Data Element Design - RR/Ry Data Elements - Registrant Data Elements - PBC Data Elements - Update Process	Data Element Guidance on - EPP/RDAP Mapping Needs - WHOIS Migration Needs	
Privacy - EWG Principles Sect 6&7 - P/P Provider Survey - WHOIS P/P Abuse Study - GNSO PPSAI WG Output - Initial Legal Analysis	Privacy Regs - Privacy/Proxy Needs - At-Risk Reg Needs - Data Protection Laws	Privacy Design - Overarching DP Policy - DP Law Compliance - Privacy/Proxy Policies - Secure Protected Creds	Privacy Guidance on - RDS Privacy Policy Needs - Detailed Legal Analysis - P/P Accreditation Needs - SPC Provider Criteria	
Input to PDP WG	Policy Develo	pment Process (PDP) W	orking Group	Output of PDP WG



## RDS PDP WG: Phased Flow Chart – Part 2 of 2

#### **PDP WG Process**





# RDS PDP WG: Post-PDP WG Steps

### Next Steps (using PDP WG's output)

