

ATRT2 Recommendation 12 Implementation

Financial Accountability and Transparency

2 April 2015

Implementation 12 Timeline



September 2015
Operationalized

Status of Deliverables

	Responsible	Due Date
12.1 & 12.5 - The FY16-20 Strategic Plan, Five-Year (FY16-20) Operating Plan and FY16 Operating Plan & Budget processes were posted for public comment. The commencement dates for the processes were moved up to allow for sufficient time for interaction between the community and staff.	Staff	✓
12.2 - The correlation of revenues and expenses in the long term was enabled through the development of a 5-year financial model included in the ICANN strategic plan.	Staff	✓
12.4 – The FY16 Annual Operating Plan & Budget was derived from the Five-Year Operating Plan following community input.	Staff	✓
12.4 – The FY16 budget will be specified by SO/ AC group.	Staff	May 2015
12.3 - The Dashboard & EFQM programs are the reasonable vehicles for implementing the spirit of the recommendation. Target #1 – at ICANN 53, Board to review: - Goal-level Dashboard - Total organizational EFQM management self-evaluation report	Staff	Target #1: ICANN 53

Recommendation 12 Implementation Description

Recommendation 12 identifies the need to establish a firmer basis for discussing with the community how to continue developing ICANN and prioritize its work to the benefit of participants within the multistakeholder model. Such a discussion will entail three key elements: 1) revenues; 2) expenses; and 3) the prioritization of ICANN's work.

The recommendation states:

12.1 & 12.5 Board to improve participation of SO/AC in planning process, including sufficient time for interaction.

12.2 Board to take into account the correlation of revenues and expenses in the long term when planning.

12.3 Board to benchmark operations every 3 years, and publish resulting analysis.

12.4 Annual budgets to be based on multi-annual strategic plan. SO/AC budgets to be specified.



Complete



Planned/In Process



Behind schedule, expected to recover within original plan



Behind schedule, original plan to be adjusted

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Project Status

12.1 & 12.5 - The Strategic Plan, Five-Year Operating Plan and FY16 Operating Plan & Budget processes allowed for input from the ICANN community during their development stages via working groups and public comment periods. The commencement dates for the processes were moved up to allow for sufficient time for interaction between the community and staff.

Public Comments:

5-year Strategic plan: <https://www.icann.org/resources/pages/strategic-engagement-2013-10-10-en>

5-year Operating plan: <https://www.icann.org/news/announcement-2014-11-11-en>

FY16 Operating Plan & Budget: <https://www.icann.org/news/announcement-2-2015-03-18-en>

Working Groups:

On 25-November-2014 UTC 1500 - 1600A, ICANN hosted a call during which staff and community members discussed the ICANN Draft Five-Year Operating Plan and FY16 Operating plan and Budget Calendar. On 09-February-2015 at ICANN 52 in Singapore, ICANN staff hosted a small working group to review and define budget assumptions relative to revenue, priorities, initiatives, expenses envelopes for FY16 Operating Plan & Budget.

<https://community.icann.org/display/projfinadhocws/FY16+Budget+Planning+Process>

12.2 - The correlation of revenues and expenses in the long term is enabled through the development of a 5-year financial model included in the ICANN Strategic Plan (FY16-20).

12.3 - The Dashboard & EFQM programs are the reasonable vehicles for implementing the spirit of the recommendation.

Target #1 – at ICANN 53, Board to review:

- Goal-level Dashboard
- Total organizational EFQM management self- evaluation report

12.4 The Five-Year (FY16-20) Operating Plan was posted for public comment on 11 November 2014 and includes: a five-year planning calendar; strategic goals with corresponding key performance indicators, dependencies, five-year phasing, and list of portfolios; and a five-year financial model. The FY16 Annual Operating Plan & Budget was derived from the Five-Year Operating Plan following community input and includes data on all projects to be carried out by the organization during FY16, and displays all costs categories for each project. Each project is also mapped to one of the 5 objectives of the Strategic Plan, through the hierarchy of portfolios and goals, making the rationale for each project and activity clear and transparent. The draft FY16 Operating Plan & Budget was posted for public comment on 18-May-2015. <https://www.icann.org/news/announcement-2-2015-03-18-en>. A budget by SO/ AC group will be specified after the budget is adopted.

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Implementation Notes

As issues surrounding the community involvement in the development and approval of budgets have been raised in the Cross Community Working Group on Enhancing ICANN Accountability, close attention will be paid to the recommendations arising out of that group to determine if it impacts further implementation of this Recommendation 12.