ATRT2 Recommendation 11 Implementation
AoC Review Process Effectiveness
30 June 2015

Implementation 11 Timeline

November 2015
Complete

Recommendation 11 Implementation Description

Recommendation 11 addresses ways in which the AoC Review process can be improved to make subsequent reviews more effective.

Using lessons learned, recommendations and observations from the Review Teams, the Board, stakeholders and staff, ICANN will propose improvements to make the AoC Review process more predictable, consistent and efficient.

Status of Deliverables

	Responsible	Re-Plan Date	Original Due Date
11.1 AoC reviews fed into strategic activities	Staff	July 2015	April 2015
11.2. Timely review process completion	Board	July 2015	April 2015
11.3 AoC review team appointment and work	Board	July 2015	April 2015
11.4 Implementation Report	Staff	n/a	✓
11.5 Resources and Budget	Staff	n/a	Nov 2015
11.6 AoC Recommendation Communication	Staff	October 2015	April 2015
11.7 Timeframe of Implementation	Staff	n/a	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.1 Implementation
AoC Reviews fed into Strategic Activities
30 June 2015

11.1 Implementation Timeline

July 2015
Complete

11.1 Sub-Project Description

Subproject 11.1 purpose is to ensure that the ongoing work of the AoC reviews is fed into the work of other ICANN strategic activities.

Status of Deliverables

	Responsible	Re-Plan Date	Original Due Date
Promote ethics, transparency and accountability	**Staff**	n/a	✓
Ensure AoC reviews are a staff priority	**Staff**	n/a	✓
Improve AoC pages on icann.org	**Staff**	**July 2015**	**April 2015**

☑ Complete
🟩 Planned/In Process
🟨 Behind schedule, expected to recover within original plan
🟥 Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.1 Implementation
AoC Review Process Effectiveness
30 June 2015

Project Status

Included in the Strategic Plan as goal 5.2 "Promote ethics, transparency and accountability across the ICANN community", with Key Success Factors defined as "Demonstrated accountability and legitimacy of ICANN, through the evolution of the Affirmation of Commitments and implementation of review mechanisms."

The Strategic Plan is posted at: https://www.icann.org/en/system/files/files/strategic-plan-2016-2020-10oct14-en.pdf

Aoc Reviews and resulting recommendations are a priority for staff, Board and CEO.

Improve AoC Review web pages on icann.org for improved transparency and clarity – the completion of this task has been delayed due to limited staff resources. AoC review pages are ready for beta release and will go live in July 2015.

Implementation Notes

None

ICANN

ATRT2 Recommendation 11.2 Implementation

Timely Review Process Completion
30 June 2015

11.2 Implementation Timeline

July 2015
Complete

11.2 Sub-Project Description

Subproject 11.2. is focused on the coordination of the
various review processes to ensure all reviews are
completed before the next ATRT review begins, and
with the proper linkage of issues as framed by the AoC.

Status of Deliverables

	Responsible	Re-Plan Date	Original Due Date
Socialize review schedule at ICANN52 and 53	Staff	n/a	✓
Review schedule approved by Board	Board	July 2015	April 2015

✓ Complete
█ Planned/In Process
█ Behind schedule, expected to recover within original plan
█ Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.2 Implementation
Timely Review Process Completion
30 June 2015

Project Status

Proposed AoC review schedule and activities were socialized at ICANN 52.   The materials presented can be found at:
http://singapore52.icann.org/en/schedule/mon-aoc-org-reviews/presentation-aoc-org-reviews-09feb15-en.pdf

In order to finalize the AoC review schedule and activities, the Board asked staff to post the proposed schedule and process/operational improvements for public comment. Public Comment was opened on 15 May, due to close on 2 July and extended to 8 July to provide community with additional time to comment. The Board will consider feedback received from community at its July meeting (24 July, 2015).

Additionally, the Proposed Review Schedule and Process/Operational Improvements for AoC and Organizational Reviews were socialized at ICANN53. The materials presented can be found at: https://buenosaires53.icann.org/en/schedule/wed-aoc-org-reviews

Implementation Notes

The CCWG Accountability process is considering recommendations to include the AoC Reviews into the ICANN Bylaws, which may adjust the timing of Reviews, if needed. Additionally, further consultations with NTIA may be necessary if the community expresses concerns about the feasibility of the AoC Review schedule, with three AoC Reviews scheduled to start in October 2015.

ICANN

ATRT2 Recommendation 11.3 Implementation
AoC Appointment and Review Team Work
30 June 2015

11.3 Implementation Timeline

July 2015
Complete

11.3 Sub-Project Description

Subproject 11.3. Ensure that AoC Review Teams are appointed in a timely fashion and have sufficient time to complete their work.

Status of Deliverables

	Responsible	Due Date	Original Due Date
Develop a predictable review schedule	**Staff**	n/a	✓
Socialize review schedule at ICANN 52 and 53	**Staff**	n/a	✓
Final review schedule approved by Board	**Board**	**July 2015**	**April 2015**

✓ Complete
🟩 Planned/In Process
🟨 Behind schedule, expected to recover within original plan
🟥 Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.3 Implementation

AoC Appointment and Review Team Work

30 June 2015

Project Status

The AoC Review web pages are scheduled to go live in July 2015.

Proposed AoC review schedule and activities were socialized at ICANN 52.   The materials presented can be found at:
http://singapore52.icann.org/en/schedule/mon-aoc-org-reviews/presentation-aoc-org-reviews-09feb15-en.pdf

In order to finalize the AoC review schedule and activities, the Board asked staff to post the proposed schedule and process/operational improvements for public comment. Public Comment was opened on 15 May, due to close on 2 July and extended to 8 July to provide community with additional time to comment. The Board will consider feedback received from community at its July meeting (24 July, 2015).

Additionally, the Proposed Review Schedule and Process/Operational Improvements for AoC and Organizational Reviews were socialized at ICANN53. The materials presented can be found at: https://buenosaires53.icann.org/en/schedule/wed-aoc-org-reviews

Implementation Notes

The CCWG Accountability process is considering recommendations to include the AoC Reviews into the ICANN Bylaws,
which may adjust the timing of Reviews, if needed. Additionally, further consultations with NTIA may be necessary if the community expresses concerns about the feasibility of the AoC Review schedule, with three AoC Reviews scheduled to start in October 2015.

ICANN

ATRT2 Recommendation 11.4 Implementation
AoC Appointment and Review Team Work
2 April 2015

11.4 Implementation Timeline

March 2015
Complete

11.4 Sub-Project Description

Subproject 11.4. Ensures an implementation report is prepared, including benchmarks and metrics, to be ready by review kick-off.

Status of Deliverables

	Responsible	Due Date
Document project mgmt. approach	**Staff**	✓
Develop progress reporting template	**Staff**	✓
Modify progress templates for Board Oversight	**Board**	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.4 Implementation
AoC Appointment and Review Team Work
30 June 2015

Project Status

The project management approach to implementation is documented on icann.org
https://www.icann.org/news/announcement-2-2015-01-30-en

Progress reporting templates have been developed and progress has been published regularly
https://www.icann.org/news/announcement-2-2015-01-30-en

Progress reporting templates have been modified to reflect Board oversight and were published in May 2015. Next quarterly reporting update is in process, with status as of 30 June 2015 being assembled and prepared for publication in August 2015.

Implementation Notes

None

ATRT2 Recommendation 11.5 Implementation
Resources and Budget
30 June 2015

11.5 Implementation Timeline

November 2015
Complete

11.5 Sub-Project Description

Subproject11.5. Ensures the allocation of sufficient resources for Review Teams to fulfill their mandates and make the budget and rationale available at the start of the review. This should include, but is not limited to, accommodation of Review Team requests to appoint independent experts/consultants, if deemed necessary by the teams.

Status of Deliverables

	Responsible	Due Date
AoC budget process	Staff	✔
Develop budgets for the next round of AoC reviews	Staff	✔
Publish budgets	Staff	**November 2015**

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.5 Implementation
Resources and Budget
30 June 2015

Project Status

A standard process for budgeting for AoC reviews has been established via a budget worksheet.

Developing budgets for the next round of AoC Reviews has been completed as par of the FY16 Operating Plan and Budget, which was approved by the Board in June 2015..

Publish budgets and rationale at the start of each AoC Review is on track to complete in November 2015.

Implementation Notes

None

ICANN

ATRT2 Recommendation 11.6 Implementation
AoC Recommendation Communication
30 June 2015

11.6 Implementation Timeline

October 2015
Complete

11.6 Sub-Project Description

Subproject 11.6. Ensures that all AoC Review Team recommendations are addressed in a clear and unambiguous manner, indicating to what extent they are accepting each recommendation.

Status of Deliverables

	Responsible	Re-Plan Date	Original Due Date
Document accepted recommendations	Board		✓
Document process by which Board will address the review team recommendations	Staff	October 2015	April 2015

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ATRT2 Recommendation 11.6 Implementation
AoC Recommendation Communication
30 June 2015

Project Status

Acceptance of ATRT2 recommendations is documented at
https://www.icann.org/resources/board-material/resolutions-2014-06-26-en#2.d

Documenting the process by which the Board will address AoC Review Team recommendations in a clear and unambiguous manner will be impacted by some of the proposed process improvements included in the Proposed Schedule and Process/Operational Improvements for AoC and Organizational Reviews, currently out for Public Comment.
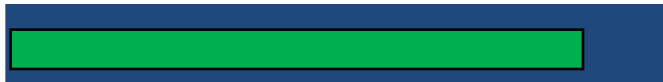
Implementation Notes

None

ATRT2 Recommendation 11.7 Implementation
Timeframe of Implementation
30 June 2015

11.7 Implementation Timeline

April 2015
Complete

Status of Deliverables

	Responsible	Due Date
Implementation timeframe	**Staff**	✓

11.7 Sub-Project Description

Subproject 11.7. Ensures an expected time frame for implementation is provided

☑ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.7 Implementation
Timeframe of Implementation
30 June 2015

Project Status

An implementation timeline has been provided and status against this timeline has been reported on beginning with ICANN 52

https://community.icann.org/display/prgrmatrt2impl/Implementation+Program

Implementation Notes

None