

# ATRT2 Recommendation 4 Implementation

Policy/Implementation/Executive Function Distinction

Recommendation fully implemented in February 2016

## Implementation 4 Timeline



February 2016

## Status of Deliverables

	Responsible	Due Date
Working Group Initial Report posted for public comment	WG/Staff	✓
Close of public comment period		✓
Review of public comments	WG	✓
Submit Final Report to GNSO Council	WG	✓
GNSO Council consideration of recommendations	GNSO Council	✓
Public comment forum prior to Board consideration	Staff	✓
ICANN Board consideration	Board	✓
Implementation of recommendations	Staff	✓

## Recommendation 4 Implementation Description

Recommendation 4 addresses supporting cross-community engagement aimed at developing an understanding of the distinction between policy development and policy implementation. Work has now been completed by the GNSO where a [working group](#) was formed and developed recommendations in relation to a set of principles that would underpin any GNSO policy and implementation-related discussions. Following the adoption of the recommendations by the GNSO Council, the ICANN Board has now adopted these recommendations and resolved that 'the Board considers ATRT2 Recommendation No.4 hereby completed and invites ATRT3 to review these adopted recommendations in light of the ATRT2 findings and recommendations.



Complete  
 Planned/In Process  
 Behind schedule, expected to recover within original plan  
 Behind schedule, original plan to be adjusted

# ATRT2 Recommendation 4 Implementation

Policy/Implementation/Executive Function Distinction

Recommendation fully implemented February 2016

**Recent Update:** Implementation has been completed.

## Project Status

Following the publication of the WG's [Initial Report](#) for [community input](#) prior to the ICANN 52 meeting, the WG reviewed the input received and finalized its report for submission to the GNSO Council. The [Final Report](#), which was adopted by the GNSO Council during its meeting in Buenos Aires in June 2015, includes the following recommendations in relation to the charter questions:

- A set of principles that would underpin any GNSO Policy & Implementation-related discussions, taking into account existing GNSO Operating Procedures. The WG recommends adhering to the principles outlined in section 4 of the Initial Recommendations Report when policy or implementation related issues arise in the implementation phase (Recommendation No.1).
- The WG proposes three new standardized processes for GNSO deliberations (Recommendation No.2) regarding such issues as also outlined in the high level overview in Annex B, namely: GNSO Input Process (GIP); GNSO Guidance Process (GGP), and; GNSO Expedited Policy Development.
- The WG also recommends adding a provision to the GNSO Operating Procedures that clarifies that parallel efforts on similar / identical topics should be avoided. As the manager of the process, the GNSO Council is expected to resolve which process would be the most appropriate to use (Recommendation No.3).
- The WG recommends that the Policy Development Process Manual be modified to require the creation of an Implementation Review Team following the adoption of PDP recommendations by the ICANN Board, but allow the GNSO Council the flexibility to not create an IRT in exceptional circumstances (e.g. if another IRT is already in place that could deal with the PDP recommendations)(Recommendation No.4) and the adoption of the implementation review team principles as outlined in Annex H are followed as part of the creation as well as operation of IRTs (Recommendation No.5).

# ATRT2 Recommendation 4 Implementation

Policy/Implementation/Executive Function Distinction

Recommendation fully implemented February 2016

## Project Status (continued)

Following the adoption by the GNSO Council, the recommendations that involve changes to the ICANN Bylaws were submitted to the ICANN Board which directed ICANN Staff to post these for public comment. Following the close of the public comment forum (12 Sept 2015), the ICANN Board adopted the recommendations during its meeting on 28 September (see <https://www.icann.org/resources/board-material/resolutions-2015-09-28-en#2.f>).

In addition to the progress made within the GNSO, the following improvements were implemented:

- Increased participation of Board members in activities of cross-community working groups such as the Cross Community Working Group on Auction Proceeds or the CCWG on Accountability
- Use of Board/GNSO informal collaboration groups to provide guidance on policy development issues, such as the one created for the PDP on the Next Generation Registry Directory Services
- Overhaul of the agenda setting processes at Public Forum under the new Meetings Format where the Public Forum is to be scheduled twice during Meeting A and Meeting C:
  - o The first session is to be held near the beginning of the meeting agenda for SO/AC updates and to listen to topics of interest by the community
  - o A second session later in the meeting agenda is held for community comment and Board response.
- Development of the Board Advice Registry System to track advice from SO/ACs and follow-up correspondence and actions.

## Implementation Notes

Following the adoption of the Policy & Implementation recommendations by the ICANN Board, ICANN staff has updated the ICANN Bylaws and GNSO Operating Procedures accordingly, which operationalizes the Policy & Implementation recommendations. This completes the project.