ATRT2 Recommendation 1 Implementation
Board Performance and Work Practices
2 April 2015

Implementation 1 Timeline

July 2015
Publish Training
Materials authorized
for posting

Recommendation 1 Implementation Description

ATRT2 Recommendation 1 states: The Board should develop objective measures for determining the quality of ICANN Board members and the success of Board improvement efforts, and analyze those findings over time.

The Board Governance Committee is overseeing staff's development of a comprehensive onboarding training program aiming at ensuring that new Board members will be able to carry out their roles and responsibilities effectively. Staff is also working on putting in place a development/ongoing training program aimed at building new skills and knowledge among the Board to enhance performance of Board members in specific areas of expertise.

Assessment of the training provided will be conducted to measure the effectiveness of the program and improvement efforts, and to determine what further steps can be taken to further improve the quality of the training programs.

Finally, materials and or summaries of materials used for training will be published to the extent ICANN has the rights to do so.

Status of Deliverables

	Responsible	Due Date
Develop Board Member Skills Assessment	Staff	✔
Ensure Board members complete Board Member Skills Assessment	Staff	May 2015
Develop "Onboarding" Training Program	Staff	April 2015
Develop Training Platform to host onboarding but also "development/ongoing" training resources	Staff	April 2015
Develop Post-training assessment	Staff	May 2015
Publication of Training Material	Staff	June 2015
Ongoing measurement of training efficiency (set of KPIs)	Staff	Ongoing
Development of a list of preferred development/ongoing training providers, with geographical representation	Staff	July 2015

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 1 Implementation
Board Performance and Work Practices
2 April 2015

Project Status

This project has both short term and long term components. ICANN staff mapped out specific tasks, milestones, deliverables and dependencies to implement all aspects of the ATRT2 Rec 1. The following short term improvements have been realized:

• A comprehensive onboarding training program focusing on the full Board's role in Board Governance, Finance, Audit and best practices has been developed. The first session of the newly developed program will be held with the Board on 24 April 2015 in order to help ensure a common set of skills and understanding across the Board. Following the assessment of this training session, the material will be further developed and made available in the future to all new Board members upon their appointment to the Board. The material used for this training will be published as soon as possible and whenever feasible (depending on ICANN's right to publish such materials).
• Additionally, a Board Training Platform is being assembled in partnership with ICANN-learn, in order to make accessible to Board members, a wide range of resources available across the Community. This training platform will be available to the Board as of 24 April 2015. Specific training modules are also being developed (such as training on Board tools in order to optimize the use of advanced tools across the Board).

In parallel, we are in the process of assessing Board member skill sets, through individual training questionnaires that will help identify and propose tailor-made training programs for individual Board members, as well as inform any general training for the full Board that might be beneficial.

The work will then turn to evaluate post-training improvements and how to document these improvements.
This work is closely tied to the implement of Recommendation 2.

Implementation Notes

Board Governance Committee members, assisted by the relevant staff members, is ensuring that the Board is comfortable with the process of assessing their skill sets and following relevant trainings and have participated in the organization of the 24 April 2015 training session.

There is a significant challenge in developing objective metrics to measure an individual's skill set, as well as how to objectively measure improvements over time. To mitigate, staff will spend sufficient time with necessary experts (such as third party trainers and Board assessment experts) during the development process to help determine if objective metrics are possible, and if so, what those might included. Staff plans to assess the quality of each training provided to the Board in order to implement improvements in an ongoing manner. Staff also plans to keep populating the training platform with relevant resources and training material and ensure that Board members are kept informed of all training options made available to them (training newsletter to be developed).

ATRT2 Recommendation 2 Implementation
Board Performance and Work Practices
2 April 2015

Implementation 2 Timeline

July 2015
Publish Training
Materials authorized
for posting

Recommendation 2 Implementation Description

Recommendation 2 states: The Board should develop metrics to measure the effectiveness of the Board's functioning and improvement efforts, and publish the materials used for training to gauge levels of improvement

The Board Governance Committee is overseeing staff's development of a comprehensive onboarding training program aiming at ensuring that new Board members will be able to carry out their roles and responsibilities effectively. Staff is also working on putting in place a development/ongoing training program aimed at building new skills and knowledge among the Board to enhance performance of Board members in specific areas of expertise.

Assessment of the training provided will be conducted to measure the effectiveness of the program and improvement efforts, and to determine what additional steps can be taken to further improve the quality of the training programs.

Finally, materials and or summaries of materials used for training will be published to the extent ICANN has the rights to do so.

Status of Deliverables

	Responsible	Due Date
Develop Board Member Skills Assessment	Staff	✔
Ensure Board members complete Board Member Skills Assessment	Staff	May 2015
Develop "Onboarding" Training Program	Staff	April 2015
Develop Training Platform to host onboarding but also "development/ongoing" training resources	Staff	April 2015
Develop Post-training assessment	Staff	May 2015
Publication of Training Material	Staff	June 2015
Ongoing measurement of training efficiency (set of KPIs)	Staff	Ongoing
Development of a list of preferred development/ongoing training providers, with geographical representation	Staff	July 2015

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 2 Implementation
Board Performance and Work Practices
8 April 2015

Project Status

This project has both short term and long term components. ICANN staff mapped out specific tasks, milestones, deliverables and dependencies to implement all aspects of the ATRT2 Rec 2. The following short term improvements have been realized:

• A comprehensive onboarding training program focusing on the full Board's role in Board Governance, Finance, Audit and best practices has been developed. The first session of the newly developed program will be held with the Board on 24 April 2015 in order to help ensure a common set of skills and understanding across the Board. Following the assessment of this training session, the material will be further developed and made available in the future to all new Board members upon their appointment to the Board. The material used for this training will be published as soon as possible and whenever feasible (depending on ICANN's right to publish such materials).
• Additionally, a Board Training Platform is being assembled in partnership with ICANN-learn, in order to make accessible to Board members, a wide range of resources available across the Community. This training platform will be available to the Board as of 24 April 2015. Specific training modules are also being developed (such as training on Board tools in order to optimize the use of advanced tools across the Board).

In parallel, we are in the process of assessing Board members' skill sets, through individual training questionnaires that will help identify and propose tailor-made training programs for individual Board members, as well as inform any general training for the full Board that might be beneficial.

The work will then turn to evaluate post-training improvements and how to document these improvements.
This work is closely tied to the implement of Recommendation 1.

Implementation Notes

BGC members, assisted by the relevant staff members, are ensuring that the Board is comfortable with the process of assessing their skill sets and following relevant trainings and have participated in the organization of the 24 April 2015 training session.

There is a significant challenge in developing objective metrics to measure an individual's skill set, as well as how to objectively measure improvements over time. To mitigate, staff will spend sufficient time with necessary experts (such as external trainers and Board assessment experts) during the development process to help determine if objective metrics are possible, and if so, what those might included. Staff plans to assess the quality of each training provided to the Board in order to implement improvements in an ongoing manner. Staff also plans to keep populating the training platform with relevant resources and training material and ensure that Board members are kept informed of all training options made available to them (training newsletter to be developed).

ICANN

Implementation 3 Timeline

May 2015
Publish Training
Materials
authorized for
posting

Recommendation 3 Implementation Description

Recommendation 3 states: The Board should conduct qualitative/quantitative studies to determine how the qualifications of Board candidate pools change over time and should regularly assess Directors' compensation levels against prevailing standards.

The implementation of this recommendation is partially tied to the implementation of Recommendations 1 & 2.

In order to evaluate the qualifications of Board candidates over time, a Board self-assessment questionnaire has been developed. In the future, it will be completed by new Board members when they join the Board. These questionnaires are currently being completed by Board Members.

Status of Deliverables

	Responsible	Due Date
Develop a Board self assessment questionnaire	Staff	✓
Ensure that the Board complete self-assessment questionnaire	Staff	**May 2015**
Develop Post Training Skills Assessment	Staff	**May 2015**
Operationalize regular assessment of Directors' Compensation	Staff	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ATRT2 Recommendation 3 Implementation
Board Performance and Work Practices
2 April 2015

Project Status

This project has both short term and long term components. ICANN staff mapped out specific tasks, milestones, deliverables and dependencies to implement all aspects of the ATRT2 Rec 3. The following short term improvements have been realized:

- The Board self-assessment questionnaire has been developed
- Board Members are currently in the process of completing the self-assessment questionnaire

In addition, note that the process for benchmarking Directors' compensation has been operationalized for several years. The most recent benchmarking of Board member compensation took place during the first half of 2014, as identified in the July 2014 resolution on Board compensation.

Implementation Notes

The timeline for this process is closely tied to the work on Recommendations Nos. 1 and 2, and sufficient work needs to be completed in implementing those recommendations so that the timeline for this work is not impacted. All three Recommendations (Nos. 1, 2 and 3) are being worked on by the same cross-functional team, which will help with the integration of these implementation efforts. Note that the assessment of the Board candidate pool is limited to the successful candidates, as access to information about the qualifications for all unsuccessful candidates is not available for this purpose.

Outside of the execution of the tasks for Recommendation Nos. 1 and 2, there is nothing else that needs to be completed for this Recommendation No. 3.

ICANN

ATRT2 Recommendation 4 Implementation
Policy/Implementation/Executive Function Distinction
9 April 2015

Implementation 4 Timeline

November 2015
Operationalized

Recommendation 4 Implementation Description

Recommendation 4 addresses supporting cross-community engagement aimed at developing an understanding of the distinction between policy development and policy implementation. Work is actively under way in the GNSO where a working group has been formed to develop recommendations in relation to a set of principles that would underpin any GNSO policy implementation related discussions. Following the completion of this work, the Board will evaluate whether this work which is specifically focused on GNSO policy and implementation, or parts of it, could also be applicable to non-GNSO environments.

Status of Deliverables

	Responsible	Due Date
Working Group Initial Report posted for public comment	WG/Staff	✔
Close of public comment period		✔
Review of public comments	WG	April 2015
Submit Final Report to GNSO Council	WG	May 2015
GNSO Council consideration of recommendations	GNSO Council	June 2015
Public comment forum prior to Board Consideration	Staff	July – Aug 2015
ICANN Board consideration	Board	Sept/Oct 2015
Commence implementation of recommendations	Staff	November 2015

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 4 Implementation
Recommendation Name
9 April 2015

Project Status

The GNSO WG published its Initial Report for community input prior to the ICANN 52 meeting. The report includes the following preliminary recommendations in relation to the charter questions:

•A set of principles that would underpin any GNSO policy and implementation related discussions, taking into account existing GNSO Operating Procedures, the WG recommends adhering to the principles outlined in section 4 of the Initial Recommendations Report when policy or implementation related issues arise in the implementation phase (Preliminary Recommendation #1).

•The WG proposes three new standardized processes for GNSO deliberations (Preliminary Recommendation #2) regarding such issues as also outlined in the high level overview in Annex B (Recommendation #2), namely: GNSO Input Process (GIP) – to be used for those instances for which the GNSO Council intends to provide non-binding advice, which is expected to typically concern topics that are not gTLD specific and for which no policy recommendations have been developed to date; GNSO Guidance Process (GGP) – to be used in those instances for which the GNSO Council intends to provide binding guidance to the ICANN Board, but which is not expected to result in new contractual obligations for contracted parties, and; GNSO Expedited Policy Development Process – to be used in those instances in which the GNSO Council intends to develop recommendations that would result in new contractual obligations for contracted parties that meet the criteria for "consensus policies"1 as well as the qualifying criteria to initiate an expedited PDP.

•The WG recommends that the Policy Development Process Manual be modified to require the creation of an Implementation Review Team following the adoption of PDP recommendations by the ICANN Board, but allow the GNSO Council the flexibility to not create an IRT in exceptional circumstances (e.g. if another IRT is already in place that could deal with the PDP recommendations). (Preliminary Recommendation #3) and the adoption of the implementation review team principles as outlined in Annex H are followed as part of the creation as well as operation of IRTs (Preliminary Recommendation #4).

The WG is now in the process of reviewing the comments received and intends to finalise its report for submission to the GNSO Council by May/June 2015. For further details please see https://community.icann.org/x/y1V-Ag.

Implementation Notes

The implementation of this recommendation requires substantial involvement from stakeholders, and volunteer fatigue and prioritization efforts must be considered. Implementation plans depend on the outcomes of the GNSO WG work, with the Board awaiting these outcomes before deciding whether additional work is needed.

The current timeline for this effort is determined by the GNSO Policy and Implementation Working Group as part of its work plan (see https://community.icann.org/x/rC_fAg).

ATRT2 Recommendation 5 Implementation
Decision-Making, Transparency and Appeals Processes
2 April 2015

Implementation 5 Timeline

May 2015
Operationalized

Recommendation 5 Implementation Description

This recommendation 5 states: The Board should review redaction standards for Board documents, Document Information Disclosure Policy (DIDP) and any other ICANN documents in order to create a single published redaction policy. Institute a process to regularly evaluate redacted material to determine if redactions are still required and if not, ensure that redactions are removed.

Status of Deliverables

	Responsible	Due Date
Create Pilot Log	Staff	✔
Create Report from log	Staff	**April 2015**
Document process for review of redacted material	staff	**May 2015**
Finalize Draft of DIDP & Guidelines into Combined Doc and submit to Board for review	Staff	**May 2015**
Publish combined DIDP & Guidelines Combined Document	Staff	**June 2015**

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ATRT2 Recommendation 5 Implementation
Decision-Making, Transparency and Appeals Processes
2 April 2015

Project Status

A pilot redaction log is under development, and reporting capabilities are being tested so that a log can be made publicly available to the community. The log will assist in establishing a review cycle for the continued need for redaction for the separate items, and to accompany a process for such a review.

Work is also underway to deliver a singular document that will contain reference to ICANN's redaction process and practices. That document will serve as a single-source reference point for understanding the ICANN's redaction processes. It will be submitted to the Board committee responsible for oversight of the implementation of the recommendations for review prior to publication, with the goal publication by June 2015, if not sooner.

Implementation Notes

Given that ICANN has posted Board Briefing Materials since 2010, we anticipate that a regular redaction re-evaluation path will only be for more recent materials/on a going-forward basis. A successful re-evaluation process requires more in-depth tracking at the time that redactions are applied, an effort that is now underway.

ATRT2 Recommendation 6 Implementation
GAC Operations and Interactions
2 April 2015

Implementation 6 Timeline

July 2016
Complete

Recommendation 6 Implementation Description

ATRT2 Recommendation 6 advises that the Board work jointly with the GAC to consider a number of actions to make GAC work methods, agenda, activities and deliberations more transparent and better understood to the ICANN community. This project is intended to map the progress in implementation of ATRT2 Recommendation 6.

Some areas of the ATRT2 report are the subject of ongoing GAC working groups and some are GAC internal matters. The BGRI Working Group is addressing recommendations 6.4, 6.5 and 6.7. The Working Group on GAC Working Methods (WGWM) is addressing ATRT2 recommendations 6.1, 6.2, 6.3 and 6.6. The GAC Joint Working Group on Government Engagement (JWG/GE) is addressing ATRT2 recommendations 6.8 and 6.9.

Status of Deliverables

	Responsible	Due Date
6.1 Increased transparency of GAC-related activities	GAC/ WGWM	July 2016
6.2 Formally adopt open meetings policy	GAC/ WGWM	✓
6.3 Develop and publish rationales for GAC advice	GAC/ WGWM	June 2016
6.4 Develop and document formal process for GAC advice	BGRI	✓
6.5 Bylaw change, requiring a Board supermajority to reject GAC advice	BGRI	On hold
6.6 Implement initiatives to remove barriers for participation	GAC/ WGWM	June 2016
6.7 Regularize Senior Officials meetings	BGRI & GAC	✓
6.8 Develop government engagement guidelines	JWG/GE	✓
6.9 Develop goals for stakeholder engagement by GSE	JWG/GE	July 2016

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.1 Implementation
6.1 Increased transparency of GAC-related activities
2 April 2015

Implementation 6.1 Timeline

July 2016
Operationalized

6.1 Sub-project Description

Subproject 6.1. is focused on increasing the transparency of GAC-related activities and in so doing improving on community visibility into, and understanding of, GAC work methods and processes.

The Working Group on GAC Working Methods (WGWM) has been implementing this recommendation, which contains eight sub-recommendations that are at varied stages of implementation (five of the eight have been implemented).

Status of Deliverables

	Responsible	Due Date
a Convening GAC 101 information sessions (Sweden lead)	GAC	✓
b Publishing agendas and minutes for GAC Meetings timely (WGWM lead)	GAC WGWM	October 2015
c Improving GAC website (existing website and new website in planning)	ICANN staff and ACIG	July 2016
d Considering whether and how to open GAC conference calls	GAC WGWM	✓
e Considering how to structure GAC meetings to better engage with community	GAC WGWM	✓
f Establishing agenda-setting calls for the next meeting	GAC WGWM	✓
g Providing clarity on GAC leadership roles	GAC WGWM	✓
h Defining process to give opportunity to present to the GAC prior to deliberations	GAC WGWM	July 2016

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.1 Implementation
6.1 Increased transparency of GAC-related activities
2 April 2015

Project Status

6.1a Convening GAC 101 or information sessions for the ICANN community: There have been three GAC Open Forum sessions (at ICANN 50, IGF 2014 and ICANN 51). The GAC discussed the experiences to date. GAC discussed approaches to community engagement under ATRT2 Recommendation 6.1 at ICANN 52 in light of a paper circulated by Sweden. Suggestions were made for improving the GAC Open Forum model used in London and Los Angeles by adding an "ask the GAC" section to monthly SO/AC leaders calls; and using the session slot immediately following the GAC's face-to-face meetings with the Board. The GAC agreed on the need for at least one Open Forum per year; for arrangements to align with the new ICANN meeting structure from 2016; and, if possible, to hold another GAC information session at the next IGF, given the success of the event at IGF 2014.

6.1b Publishing agendas and minutes for GAC Meetings timely: As of January 2015 for the GAC meetings at ICANN 52, the GAC started to publish its detailed agenda on the GAC's public website, well in advance of the 7-day time period recommended by ATRT2. At ICANN 50, ICANN 51, and ICANN 52, GAC agendas showing session topics were made available online on the ICANN meeting website about one month before each meeting, alongside the full schedule of the meetings.

As to publishing meeting minutes within 7 days of its meetings, it should be noted than unlike other ICANN bodies, the GAC systematically publishes a detailed, negotiated, official record of the meetings right at the close of its meetings, in the form of the the GAC Communiqué. The GAC has not yet discussed the value and feasibility of making available minutes within 1 week of its meetings – if it does, this would be an unofficial document and thus may be less useful than the combination of the GAC communiqué and GAC transcripts that are available immediately.

6.1c Improving GAC website: the existing GAC website at https://gacweb.icann.org is being revised to improve access to relevant information, including correspondence, to increase the amount of information available on the public website and to streamline the site's navigation. Audio recordings and transcripts of all GAC meetings (except for communiqué-drafting sessions) have been made available online for ICANN 51, ICANN 50, ICANN 49, ICANN 48 and ICANN 47 in the six UN languages as well as Portuguese. In parallel, the project to revamp the website began in March 2015. The GAC receives support in its work from a team made up of staff from the Australian Continuous Improvement Group (ACIG) and ICANN. Working together, these staff provide a range of services to all GAC members and observers, including organising meetings, briefing, website and email administration, liaison with ICANN and travel support.

6.1d Considering whether and how to open GAC conference calls: GAC conference calls have generally been concerned with agenda setting for physical meetings, rather than with decision-making on substance matters of GAC advice. The GAC determined at ICANN 52 that liaisons to GAC from other SO/ACs can be invited to observe and participate as appropriate. As such, there has not yet been an opportunity to determine whether to open a GAC conference call to the existing GAC liaison from the GNSO.

6.1e Considering how to structure GAC meetings to better engage with community: This recommendation is implemented by the GAC through a significant increase in the GAC's inter-sessional work, participation of GAC members in both GAC WGs and cross-community WGs, initiatives such as the GAC Open Forum (6.1a) and as of 2016, the new ICANN meetings strategy. All face-to-face meeting sessions are open, except for the communiqué drafting sessions.

ATRT2 Recommendation 6.1 Implementation
6.1 Increased transparency of GAC-related activities
2 April 2015

Project Status (Continued)

6.1f Establishing agenda-setting calls for the next meeting: This recommendation has been implemented by the GAC. The GAC has a session at the end of each ICANN meeting to prepare for the next meeting, and holds a conference call in the middle of the period between ICANN meetings to set priorities for the agenda. If need be, there may be a second agenda setting call closer to the next meeting to refine the schedule.

6.1g Providing clarity on GAC leadership roles: this recommendation is being implemented with the publishing of a fact sheet about the GAC's Chair and Vice Chairs following the election of the new leadership team at ICANN 51, the enlargement of the GAC management team is being followed up by a revision of the GAC operating principles regarding the number and role of GAC Vice Chairs.

6.1h Defining process to give opportunity to present to the GAC prior to deliberations: the GAC has started to consider this recommendation. The topics of geographic names and human rights are examples of topics on which the GAC is actively reaching out to the rest of the community to gather information and input ahead of decision-making and could possibly serve as case studies to help define a process for the GAC to give entities the opportunity to present.

Implementation Notes

None

ATRT2 Recommendation 6.2 Implementation
Formally adopt open GAC meetings policy
2 April 2015

6.2 Formally adopt open GAC meetings policy

March 2015
Complete

6.2 Sub-Project Description

Subproject 6.2. is focused on increasing the transparency of GAC meetings by ensuring that they are held openly and that non-GAC members can participate.

The Working Group on GAC Working Methods (WGWM) has been in charge of this recommendation, which is implemented in practice and communicated explicitly. As an administrative formality, the GAC plans to update the text in its GAC Operating Principles (OPs) to reflect the policy of open meetings in due course, when it revises the entire OPs.

Status of Deliverables

	Responsible	Due Date
Adopt open meetings policy at ICANN 52, ICANN 51, ICANN 50, ICANN 49, ICANN 48 and ICANN 47	**GAC**	✓

✓ Complete
🟩 Planned/In Process
🟨 Behind schedule, expected to recover within original plan
🟥 Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.2 Implementation
Formally adopt open GAC meetings policy
2 April 2015

Project Status

All GAC meetings since mid-2013 have been **open**, except for the Communiqué drafting sessions. This was the case at ICANN 52, ICANN 51, ICANN 50, ICANN 49, ICANN 48 and ICANN 47. At ICANN 46 in April 2013 and prior to that, most GAC sessions were partly open and partly closed.

The **exception** to open meetings to date has been the Communiqué drafting session that is only accessible to GAC members and their advisors, as well as GAC support staff and Secretariat. It takes place towards the end of each meeting, usually on the Wednesday afternoon: it is about a half day of inter-governmental discussions and negotiations on the 'GAC Communiqué'. The Communiqué represents the formal negotiated outcome of the meeting, including the GAC's advice to the ICANN Board and therefore the discussions are open to members only to facilitate frank exchanges. A second exception to open meetings is the GAC agenda-setting conference call that is for logistics and scheduling, not substantive decision-making. Finally, the GAC may in the future need to hold a private meeting if the topic of discussion is a sensitive and purely internal matter (although the need has not arisen since mid 2013).

In terms of formalization, the GAC practice of Open Meetings is explained on the GAC website on the GAC Meetings page and in Fact Sheets for new GAC members ("Attending your first GAC meeting").

It should be noted that Principle 50 of the GAC Operating Principles (last amended in October 2011) still states: *"The meetings of the GAC shall ordinarily be held in private. The Chair may decide that a particular meeting, or part of a particular meeting, should be held in public."* A proposed amendment to the Operating Principles is part of the ongoing review of the operating principles and would reverse the text to specify that GAC meetings are ordinarily held in public, and that the Chair may decide that a particular meeting should be held in private. This text will be updated in due course, as an administrative formality, when the GAC revises the entire Operating Principles.

Implementation Notes

None

ATRT2 Recommendation 6.3 Implementation
Develop and publish rationales for GAC Advice
2 April 2015

6.3 Develop and publish rationales for GAC Advice

June 2016
Complete

6.3 Sub-Project Description

Subproject 6.3. is focused on the GAC developing and publishing rationales for GAC Advice at the time advice is provided; recording such rationales in the GAC register; and, including in the register a record of how the ICANN Board responded to each item of advice.

The Working Group on GAC Working Methods (WGWM) is in charge of implementing this recommendation.

Status of Deliverables

	Responsible	Due Date
Consider, decide on and implement initial steps to provide rationales for GAC Advice	GAC WGWM	✓
Consider, decide on and implement additional steps to provide rationales for GAC Advice	GAC WGWM	**Not yet decided**
Improving the GAC register of advice	**ICANN staff and ACIG**	**December 2015**
Include in the advice register a record of how the ICANN Board responded to each item of advice	**ICANN staff and ACIG**	**June 2016**

☑ Complete
🟩 Planned/In Process
🟨 Behind schedule, expected to recover within original plan
🟥 Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.3 Implementation
Develop and publish rationales for GAC Advice
2 April 2015

Project Status

The implementation of recommendation 6.3 is a gradual development and likely to be further discussed at ICANN 53 in Buenos Aires in June 2015 by the GAC, the Working Group on GAC Working Methods (WGWM) and the Board-GAC Recommendation Implementation (BGRI) Working Group.

Improving the GAC register of advice: Improving the GAC Register of Advice to make it more user-friendly and comprehensive of GAC input and Board feedback is part of the review of the existing GAC website that began in February 2015. It is also a major component of the new revamped GAC website project. It should be noted, as well, that the ICANN CTO and Board support division are developing a register of advice to the Board and that there are likely to be significant synergies possible.

Developing and publishing rationales for GAC Advice at the time advice is provided and recording rationales in the GAC register:
The GAC considers that the rationale for GAC Advice has been made clearer through continuous improvements of the wording of the Communiqué itself. The GAC also notes that other initiatives, such as opening GAC sessions to non-members and holding GAC Open Forum sessions, help to explain GAC advice. In fact, the GAC considering holding the regular open forums after the Communiqué is released to explain to the rest of the ICANN community how and why the GAC agreed on its recommendations.

Note: If the GAC decides to implement this recommendation as written, it will then consider what further steps the GAC will take to provide more detailed rationale for its advice.

Implementation Notes

The GAC has not decided whether, and to what extent, it will develop and publish rationales for GAC Advice at the time Advice is provided under separate headings, similar to the structure of Board resolutions.

ICANN

ATRT2 Recommendation 6.4 Implementation
Develop and document formal process for GAC Advice
2 April 2015

6.4 Develop and document formal process for GAC Advice

June 2015
Complete

6.4 Sub-Project Description

Subproject 6.4. is focused on the Board, working through the BGRI Working Group developing and documenting a formal process for notifying and requesting GAC Advice.

The BGRI Working Group is in charge of implementing this recommendation.

The draft process is considered adopted from the GAC perspective.

Status of Deliverables

	Responsible	Due Date
Develop draft "Timeline for Board requesting GAC Advice."	BGRI	✓
Adopt or amend 'Draft Timeline for Board requesting GAC Advice' (evaluating current shortcomings / enhancing process).	BGRI	✓
If necessary, formal adoption of process by Board, posting online	BGRI	**June 2015**

Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.4 Implementation
Develop and document formal process for GAC Advice
2 April 2015

Project Status

In its London Communiqué of June 2014, the GAC "agreed to implement this recommendation with the BGRI processing the recommendation by documenting the current process and seeking comment on options for improvements."

It was noted that Board requests for GAC advice are very rare, with only one such request issued during the last five years.

At ICANN 50 in London, ICANN 51 in Los Angeles and ICANN 52 in Singapore, the BGRI discussed the draft of a formal process for notifying and requesting GAC advice. The draft process has been circulated twice to the GAC. No comments having been received, the document is considered approved from a GAC perspective.

Implementation Notes

Whether any further steps are needed in formalizing this process is a matter for the BGRI and Board to decide.

ICANN

ATRT2 Recommendation 6.5 Implementation
Bylaws change, requiring a supermajority for the Board to reject GAC Advice
2 April 2015

6.5 Develop and document formal process for GAC Advice

On hold

6.5 Sub-Project Description

Subproject 6.5. recommends that the BGRI implement a Bylaws change, whereby the Board would need a supermajority vote to reject GAC advice.

The BGRI Working Group is in charge of this recommendation.

Status of Deliverables

	Responsible	Due Date
Public comment period	Staff	✓
Amend ICANN bylaws, to require a Board supermajority to reject GAC advice.	BGRI	On hold

☑ Complete
▦ Planned/In Process
▦ Behind schedule, expected to recover within original plan
▦ Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.5 Implementation
Bylaws change, requiring a supermajority for the Board to reject GAC Advice
2 April 2015

Project Status

ATRT1, ATRT2 and BGRI advice led to a proposal to set a bylaws threshold at 2/3 for the Board to reject GAC Advice.

At their meeting together at ICANN 51 in Los Angeles in October 2014, both the Board and the GAC noted that public comments on the proposed bylaw change had been almost unanimously negative, although many comments had seemed to be based on a misunderstanding of the purpose, status and timing of the proposal, conflated with accountability issues. According to a presentation during the BRGI session at ICANN 51, public comments were made around several themes: i) the poor timing of the proposed changes, which should have been considered after the IANA Stewardship Transition process, or as part of the enhancing ICANN accountability work; ii) concern that the threshold for rejecting GAC Advice would be different than for other SOs/ACs; iii) Concern that changes could remove any incentive for GAC involvement in policy development; iv) Lack of transparency in the selection of GAC members; and, v) Changes would create even more problems should GAC Advice move from consensus to voting.

In October 2014 the Board said that for these reasons the issue would be put **on hold, to be revisited in the future in the broader context of other changes across the ICANN community**.

Implementation Notes

The implementation of this recommendation is on hold.

ATRT2 Recommendation 6.6 Implementation
Increase support and resource commitments of governments to the GAC
2 April 2015

6.6 Increase support and resource commitments of government to the GAC   **Status of Deliverables**

June 2016
Complete

6.6 Sub-Project Description

Subproject 6.6. is focused on increasing support and resource commitments of governments to the GAC and more specifically to identify and address barriers for participation, to improve procedures and to develop best practices.

The Working Group on GAC Working Methods (WGWM) is in charge of implementing this recommendation. Several of the points made in ATRT2 Rec 6.6 overlap with, and are addressed by, activities under other sub-projects, as noted in the table.

	Responsible	Due Date
Identify initiatives that can remove barriers for participation	**WGWM**	✓
Implement initiatives that can remove barriers for participation	**WGWM**	**June 2016**
Improve GAC procedures to ensure more efficient, transparent and inclusive decision-making	**WGWM**	**Addressed under 6.1 – 6.3**
Develop GAC engagement best practices .	**GAC & BGRI**	✓ GAC resolved to focus on other support means

✓ Complete
▮ Planned/In Process
▮ Behind schedule, expected to recover within original plan
▮ Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.6 Implementation
Increase support and resource commitments of governments to the GAC
2 April 2015

Project Status

Identify and address barriers to participation in the GAC: There has already been significant progress in overcoming language barriers to participate in the GAC, with Communiqué, fact sheets, minutes and transcripts being made available in 7 languages and interpretation available for meetings and conference calls. A more extensive use of background briefing documents has also worked to reduce participation barriers, as has the increase of travel support slots for participants from developing economies. In a survey of the GAC following ICANN 52, the GAC rated initiatives to encourage participation in the GAC. Providing access to more relevant information was viewed as an effective way to encourage more active participation in the GAC. Providing more outreach was viewed as important and providing better information on the ICANN model was viewed as being helpful. However, providing more translation and/or interpretation was not widely viewed as helpful. This aspect of 6.6 is linked to recommendation 6.9 and the implementation thereof by JWG/GE and GSE staff.

Improve GAC procedures to ensure more efficient, transparent and inclusive decision-making: This generally worded recommendation serves as a principle for continuous improvement efforts, as addressed in 6.1 – 6.3 where a number of proposals and initiatives have been launched to encourage inclusiveness and active participation by GAC members and observers in its discussions and to enhance efficiency, effectiveness and the timely provision of decisions and advice.

The BGRI working group should develop GAC engagement best practices for members: The GAC considers this recommendation to be potentially sensitive and the GAC will not support the provision of undue advice regarding the internal affairs of individual governments. It should be noted that some aspects may be less sensitive than others: for instance, while 'GAC Engagement Best Practice' could likely advise GAC members to consult with local DNS stakeholders, it may be more controversial to advise that governments make "adequate resource commitments" for supporting ICANN-related work, since countries have different priorities and resources - and gauging 'adequateness' is also subjective. The suggestion about a Code of Conduct led to much discussion within the GAC during the Buenos Aires meeting and was mentioned in the Buenos Aires communiqué, which noted that each member already operates within his/her own government's code of conduct framework.

Implementation Notes

The Working Group on GAC Working Methods (WGWM) is in charge of implementing this recommendation, noting that the second section of the recommendation, "Consider how the GAC can improve its procedures to ensure more efficient, transparent and inclusive decision-making," is already covered by previous recommendations (Recommendations 6.1 – 6.3).

6.7 Regularize Senior Officials meetings

March 2015
Complete

6.7 Sub-Project Description

Subproject 6.7 is focused on regularizing senior officials' meetings by: asking the GAC to convene a High Level meeting on a regular basis, preferably at least once every two years; inviting countries and territories that do not currently have GAC representatives to these meetings; and taking stock after each High Level meeting.

The GAC has implemented this recommendation.

Status of Deliverables

	Responsible	Due Date
GAC agreement to convene regular, High Level meetings	**GAC**	✓
Establishing practice with High Level meetings in Toronto 2012, London 2014 and (in planning) Morocco 2016	**GAC**	✓
Approving and publishing guidelines for GAC High Level Governmental Meetings	**GAC**	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.7 Implementation
Regularize Senior Officials meetings
2 April 2015

Project Status

GAC agreement to convene regular, High Level meeting: In its London -- ICANN 50 – Communiqué, the GAC agreed that "regular high-level meetings are beneficial, and (that it) will examine ways to maximize their benefits and continually improve the way they are arranged and scheduled."

Establishing practice of HLMGs: The GAC established the practice of holding High Level meetings by doing so in Toronto in 2012, in London in 2014 and is now planning another for Morocco in 2016.

Approving and publishing guidelines for GAC High Level Governmental Meetings:
At ICANN 52 in Singapore, the GAC approved a set of guidelines for GAC High Level Governmental Meetings. The guidelines specify that ideally a host GAC member would self-nominate and inform the GAC one year in advance. They articulate expectations on host members before each High Level meeting, during the meeting, and after the meeting. The guidelines are published in the 7 UN languages on the GAC website at https://gacweb.icann.org/pages/viewpage.action?pageId=38502480. The GAC agreed to consider it as a living document to be updated as needed and reviewed regularly as part of a stocktaking process after each HLGM.

Implementation Notes

None

ATRT2 Recommendation 6.8 Implementation
Develop government engagement guidelines
2 April 2015

6.8 Develop government engagement guidelines

April 2015
Complete

6.8 Sub-Project Description

Subproject 6.8. is focused on developing guidelines for engaging governments, both current and non-GAC members, to ensure coordination and synergy of efforts between the GAC and the ICANN GSE team.

Status of Deliverables

	Responsible	Due Date
Enhance monthly GAC/ICANN leadership conference call format	**Staff**	✓
Enhance monthly GSE/GE related activities report	**Staff**	✓
Develop and publish government engagement guidelines	**Staff**	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.8 Implementation
Develop government engagement guidelines
2 April 2015

Project Status

The GAC Joint Working Group on Government Engagement (JWG/GE) was formed at ICANN 50. Staff and JWG/WG members engaged in discussions and proposed several initiatives at ICANN 51 in Los Angeles in October 2014. Actions that were agreed on and have now been implemented are:

- An enhanced format for the GAC/ICANN leadership conference call: these monthly calls now involve more relevant GAC members (notably the GAC Vice Chairs and GAC support staff including the ACIG Secretariat).

- An enhanced monthly GSE/GE related activities report – the new format has been implemented and is distributed to the full membership each month. Monthly reports are published on the GAC website at https://gacweb.icann.org/pages/viewpage.action?pageId=38502831#r.

- Finally, the GAC and GSE/GE team have now finalized approved Guidelines for coordination between the GAC and ICANN's Global Stakeholder Engagement/Government Engagement Teams at https://gacweb.icann.org/pages/viewpage.action?pageId=38502831 and in so doing completed the implementation of recommendation 6.8.

Implementation Notes

The guidelines have been completed, accepted and are now being implemented through staff work nationally, regionally and with IGO activities. The Guidelines are a working document that can be amended as communities request additional communication or methods of working together.

ICANN

ATRT2 Recommendation 6.9 Implementation
Develop goals for stakeholder engagement
2 April 2015

6.9 Develop goals for stakeholder engagement

XXX 2016
Complete

6.9 Sub-Project Description

Subproject 6.9. is focused on developing, with community input, a baseline and set of measurable goals for stakeholder engagement. Once the baseline is established and these goals are set implementation will be ongoing

Other work under 6.9 fits into the overall Rec 6 ongoing system enhancements and improvements

Status of Deliverables

	Responsible	Due Date
a. Develop goals for engagement with GAC and non-GAC member countries including database of relevant Ministers	**Staff**	**ongoing**
b. Utilize tools to better track ICANN response to GAC advice	**Staff**	**Ongoing**
c. Make ICANN's work relevant for stakeholders in parts of the world with limited participation	**Staff**	**Ongoing**
d. Develop and execute for each world region a plan to allow local enterprises and entrepreneurs to fully and on equal terms use of ICANN's services including new gTLDs (all regional plans by July 2016 but implementation work on going)	**Staff**	**Ongoing**

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 6.9 Implementation
Develop baseline and set of measurable goals for stakeholder engagement
2 April 2015

Project Status

Per Recommendation 6.9, the Board should instruct the GSE group to develop, with community input, a baseline and set of measurable goals for stakeholder engagement that addresses the following:

a.   Relationships with GAC and non-GAC member countries, including the development of a database of contact information for relevant government Ministers: The relationships with GAC and non-GAC member countries have been strengthened through staff interactions in regions. The engagement work occurs within regions in capitals, with regional intergovernmental groups and in Geneva and New York through engagement with the relevant IGOs and IOs. The database of contact information for relevant government ministers is in development but budget constraints may delay implementation.

b. Tools to summarize and communicate in a more structured manner government involvement in ICANN, via the GAC, as a way to increase the transparency on how ICANN reacts to GAC Advice (e.g. by using information in the GAC Advice Register): in development **:** currently regular webinars and briefings are held; as well as distribution of educational materials; hosting of DNS regional forums, one to one meetings, regional commissions and ministerial meetings, etc.

c. Making ICANN's work relevant for stakeholders in those parts of the world with limited participation: ongoing development work. In practice, GSE implementation in the regions is according to community priorities as expressed in the community driven regional engagement planning and prioritization (regional strategies) and at GE team in Geneva in accordance with stakeholders preferences and global work.

d. Develop and execute for each region of the world a plan to ensure that local enterprises and entrepreneurs fully and on equal terms can make use of ICANN's services including new gTLDs: ongoing –four regions have strategies that have been developed by the multistakeholder regional communities, including participation from Governments in those regions, whether ICANN GAC members or not. One DNS entrepreneurship center has been started and is working in Egypt serving the Middle East and Africa region. Other regions are looking at it and the prospects of replication look good in developing regions.

Implementation Notes

This work is ongoing and expressed in the regional engagement strategies and the work of the regional communities. Regional DNS forums have been launched; all regions have regular webinars that include government participation or are specific to Governments; in Geneva and NY regular briefings and technical presentations educate the diplomatic staff from the missions about the relevance of the DNS to their countries economy and to gain a better understanding of how the Internet works and therefore why what ICANN does is relevant and worth their participation. Communication has been on an invitation and then follow up push strategy to get additional materials to those entities that request briefings. The GAC communique and information on membership (including how to contact the GACSEC) are distributed and GAC membership and participation in ICANN are encouraged. https://community.icann.org/pages/viewpage.action?pageId=35521555 has the regional engagement plans.
GSE and GE will begin the FY16 work planning shortly to identify and prioritize those activities for the coming year. Part of that planning process is the further development of the community wiki space to encompass the metrics, forums and the regional projects.

ICANN

ATRT2 Recommendation 7 Implementation
Public Comment Improvements
2 April 2015

Implementation 7 Timeline

November 2015
Operationalized

Recommendation 7 Implementation Description

ATRT2 Recommendation 7 identifies various mechanisms to improve public comment periods through process modifications and the use of new tools. The Board has specifically instructed staff to create and implement a process to enable those who comment during public comment periods to request changes to staff synthesis reports in cases where commenters believe the staff incorrectly summarized their comments.

The project improvements are now fully operationalized. Staff assessments will take place in 6 months to measure the effectiveness of the improvements and to determine what further steps can be taken to improve public comment and other community input mechanisms for the organization.

Status of Deliverables

	Responsible	Due Date
Centralized public comment page live on icann.org	Staff	✔
Enhanced visual timeline for public comment live on icann.org	Staff	✔
Ability to "follow" public comments on icann.org	Staff	✔
Eliminate Reply Cycle/Expand Comment period	Staff	✔
Create Staff Summary Report Inquiry Process	Staff	✔
Snapshot of public comment data 6 months after implementation	Staff	September 2015
Publish Report for Community	Staff	November 2015

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 7 Implementation
Public Comment Improvements
2 April 2015

Project Status

This project has both short term and long term components. ICANN staff mapped out specific tasks, milestones, deliverables and dependencies to implement all aspects of the ATRT2 Rec 7 recommendations. The Rec 7 recommendations have been fully implemented as the following short term improvements have been realized:

- Centralized public comment page live on icann.org (see - https://www.icann.org/public-comments - open-public)
- Elimination of Reply comments mechanism and expansion of public comment period to 40-day default time frame (see announcement - https://www.icann.org/resources/newsletter/policy-update-2014-11-21-en
- Enhanced visual timeline for public comment live on icann.org (see e.g., https://www.icann.org/public-comments/rpm-review-2015-02-02-en)
- Ability to "follow" public comments on icann.org
- Stricter staff expectations and processes to produce summary reports in a timely manner (default two weeks).
- Creation of process and mechanism for community to confer, challenge, correct, complain about staff summary reports. (see – https://www.icann.org/resources/pages/report-inquiry-2014-10-21-en
- Improvements Information shared with Community and Staff (see - https://community.icann.org/pages/viewpage.action?pageId=51417227) and (see - https://community.icann.org/pages/viewpage.action?pageId=51418375

Implementation Notes

Staff plans to assess the effectiveness of the implemented short term improvements after 6 months (approx November 2015). Based on that assessment and consideration of other factors, further long term improvements to (and evolution of) ICANN"s public comment/community input mechanisms will be considered.

ATRT2 Recommendation 8 Implementation
Multilingualism
02 April 2015

Implementation 8 Timeline

6 June 2015
Operationalized

Recommendation 8 Implementation Description

The recommendation 8 states: To support public participation, the Board should review the capacity of the language services department versus the community need for the service using Key Performance Indicators (KPIs) and make relevant adjustments such as improving translation quality and timeliness and interpretation quality. ICANN should implement continuous improvement of translation and interpretation services including benchmarking of procedures used by international organizations such as the United Nations.

Status of Deliverables

	Responsible	Due Date
Create a Languages Services Department	Staff	✔
Conduct focus groups with community for feedback on improving the Glossary and Terminology Database	Staff/ Community	✔
Create a summary report detailing what content types will be translated on icann.org	Staff	**June 2015**
Set a process for translation/localization of icann.org	Staff	**June 2015**
Build an internal language portal	Staff	✔
Update ICANN Languages Services Policies and Procedures	Staff	**June 2015**
Develop standard tracking and reporting mechanisms	Staff	✔

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 8 Implementation
Multilingualism
02 April 2015

Project Status

We have been actively working to allow an inclusive public participation; erasing language barriers to expand the outreach for the community.

1. The creation of the Language Services Department, which until then had been a function. This included the building of the actual team – hiring one language expert for each of the languages supported by ICANN (Arabic, Russian, Chinese, French, Spanish).

2. A dialogue with members of the community began during ICANN47 meeting, which took place in Durban. The outcome of this first interaction resulted in a Focus Group initiative to review and build a more robust and complete ICANN Glossary and Terminology data base, where the community was invited and consulted in order to find consensus. The closing of this focus group took place during ICANN48 Buenos Aires. Moreover, during ICANN49 Singapore, ICANN Language Services launched a new, very robust and comprehensive Glossary and Terminology datable – over 25,000 terms, all translated into the 6 UN Languages + Portuguese - along with an interactive application for the community, Quizlet; this project was called "ICANN In Your Language". Other languages are being added to support the regional offices and to broaden the outreach towards non-English speakers (Korean, Japanese, Turkish).

3. In June 2014, ICANN Language Services initiated a second focus group exercise. Specifically, the group was tasked with: identifying the types of documents and content that should be translated for the new icann.org, and recommending the types of translation methods that should be utilized for these types of content. The focus group consisted of a diverse set of 27 participants from both ICANN staff and community, representing over 15 languages, and nearly 20 countries. Five virtual meetings were held to discuss current website translation approaches at ICANN, and to produce a report and set of recommendations. Participants were encouraged to share their previous experiences with various translation methods, and participated in a survey to determine best translation practices moving forward – More details regarding this initiative will be shared within a new LS space we are opening in the ICANN Community Wiki.

4. Hiring a Localization Program Manager has been accomplished through a recent hire that brings a wealth of experience from industry as a localization expert. This will improve the work of our department to serve the needs of the global community.

5.   In adhering to the organization's transparency approach, we felt it would be a key improvement to provide clear access to the list of documents and content submitted for translation. We have made these available via an internal tracking tool.   This master list will be updated EOD every Monday where users can check on the progress of translation requests, estimated date of delivery and when it is completed. We are exploring the possibility of making this list accessible on icann.org and the community wiki. The ICANN Language Services wiki space will be expanded in the coming weeks.

ICANN

ATRT2 Recommendation 8 Implementation
Multilingualism
02 April 2015

Project Status (cont)

6.Enhancements of tools available internally to help assist staff in regional outreach efforts and expedite translation requests.

7.The Language Services (LS) team will be holding staff training sessions as well as on-boarding training sessions to address information such as overview of general translation and transcription process, best-practices for preparing content creation, and the inclusion of graphics & digital media format types for content, LS request submission criteria, the use of the new LS Request (ticketing system), overview of terminology guidelines for source documents, and online tools/references like Quizlet, etc.

8.LS is also working in collaboration with the Communications team in producing material to assist in introducing some Global English concepts for improving the readability of documents for non-native English speakers, as well as the translatability.

9.Language Services is now part of the Global Stakeholder Engagement team, which allows for better coordination. For example, Language Services is now more integrally involved with the APAC Globalization Initiative, including the pilot for language localization such as the one with the Korea Internet and Security Agency (KISA). ICANN Language Services is now part of the GE. With this change, the Language Services Department is now part of the APAC Globalization initiative. While the APAC region started a pilot for the language localization toolkit, the LS department will be driving this globally. The initial collaboration begun with the Korea Internet and Security Agency (KISA) - https://community.icann.org/display/gseasiawkspc/KISA-ICANN+Language+Localisation+Pilot+Project. The initiative has allowed us to partner the community on localization work resulting in the translation of basic ICANN materials in Hindi, Bahasa Indonesia and Korean, amongst others. This has the potential for us to significantly reach audiences beyond the 6 official UN languages and offers a new venue for community partnership. This complements our existing collaboration with local partners on other work such as on the glossaries.

Implementation Notes

None

ICANN

ATRT2 Recommendation 9 Implementation
Decision-Making, Transparency and Appeals Processes
2 April 2015

Implementation Timeline

July 2015
Recommendation
Operationalized

Recommendation 9 Implementation Description

Recommendation 9 addresses ways in which the AoC Review process can be improved to make subsequent reviews more effective.

Using lessons learned, recommendations and observations from the Review Teams, the Board, stakeholders and staff, ICANN will propose improvements to make the AoC Review process more predictable, consistent and efficient.

Status of Deliverables

	Responsible	Due Date
9.1 Bylaws Amendment Regarding Advisory Committee Advice	Staff	October 2015
9.2 Review Accountability Mechanisms	Community (CCWG)	March 2016
9.3 Review Ombudsman Role	Staff	March 2016
9.4 Develop Transparency Metrics and Reporting	Staff	December 2015
9.5 Review Anonymous Hotline	Staff	November 2015

Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 9.1 Implementation
Bylaws Amendment Regarding Advisory Committee Advice
2 April 2015

9.1 Implementation Timeline

November 2015
Operationalized

9.1 Subproject Description

The 9.1 subproject implementation focus is on the proposed Bylaws change recommended by the ATRT2 to impose a requirement on the ICANN Board to acknowledge advice arising from any of ICANN's Advisory Committees.

Status of Deliverables

	Responsible	Due Date
Community consultation to develop Bylaws amendments, including issues of workflow and definitions	Staff	June 2015
Bylaws amendments provided to Board to approve public comment posting	Staff	July 2015
Open public comment on Bylaws amendments	Staff	August 2015
Board Approval of Bylaws Amendments	Board	October 2015

Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ATRT2 Recommendation 9.1 Implementation
Bylaws Amendment Regarding Advisory Committee Advice
2 April 2015

Project Status

Cross-departmental work is underway to make sure that the Bylaws amendments can be implemented in a way that provides some uniformity in workflow across advisory committees, including consideration of how the advice tracking tools may be used. These ideas will then be the subject of consultation with the advisory committees at ICANN53, so that proposed Bylaws changes can be provided to the Board for posting for public comment at the Board's next meeting after ICANN53. Assuming that the proposed amendments can be posted for public comment by August 2015, the Bylaws amendments could be ready for Board consideration at ICANN54 in October 2015.

Implementation Notes

The focus of the consultations will be on the identification of uniform processes across the advisory committees (outside of the GAC, which has defined processes within the ICANN Bylaws for how the Board considers GAC advice) can be implemented.
In advance of Bylaws being formally adopted, there is consideration that the ICANN Board may be asked to pass a resolution noting that until the Bylaws amendment is passed, the Board commits to acknowledging formal advice arising out of the Advisory Committees.
This will allow for partial implementation of the recommendation while further details are being worked out across the community.

ICANN

ATRT2 Recommendation 9.2 Implementation
Review Accountability Mechanisms
2 April 2015

9.2 Implementation Timeline

March 2016
Operationalized

9.2 Subproject Description

The 9.2 subproject implementation focus is to review ICANN's existing accountability mechanisms through a community-comprised group.

Status of Deliverables

	Responsible	Due Date
Confirm that CCWG-Accountability is reviewing the Independent Review and Reconsideration Processes as part of their work.	Community (CCWG)	✓
Continue regular monitoring of CCWG-Accountability work to confirm that 9.2 is still addressed within their work	Staff	June 2015
Assess outcomes of CCWG-Accountability work to confirm if further work needed to meet 9.2	Staff/ Community	September 2015

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 9.2 Implementation
Review Accountability Mechanisms
2 April 2015

Project Status

The Cross Community Working Group (CCWG) Accountability work is addressing reviews of the Independent Review and Reconsideration Processes. Prior to moving forward with the composition of a separate community group, it is important to allow the CCWG work to move forward. If it is determined – through consultation with the CCWG – that the work called for in Rec. 9.2 is not being fulfilled by the CCWG, then ICANN will need to move forward with a separate implementation plan. ICANN will maintain regular dialogue with the CCWG to confirm that work is progressing toward this recommendation.

March 2016 - If work is not operationalized by this date, will revise to a new date

Implementation Notes

This work will be closely coordinated with the CCWG work.

ATRT2 Recommendation 9.3 Implementation
Review Ombudsman Role
2 April 2015

9.3 Implementation Timeline

June 2016
Operationalized

9.3 Subproject Description

The 9.3 subproject is for the implementation of a review of the Office of the Ombudsman, the role within ICANN, and whether the duties/scope of the Ombudsman should be expanded or changed in line with suggestions from the ATRT2.

Status of Deliverables

	Responsible	Due Date
Identify expert to review current Office of Ombudsman structure and consider modification of role.	Staff	May 2015
Expert review completed, and results socialized with the Board and Community	Staff	October 2015
Bylaw changes (if needed) posted for public comment	Staff (dependent on Board approval)	October 2015
If extensive modifications identified, allow for further community discussions at an ICANN public meeting after sufficient time to consider recommendations.	Community	March 2016
Board Approval of Bylaws changes	Staff	June 2016

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 9.3 Implementation
Review Ombudsman
2 April 2015

Project Status

An expert is expected to be retained and to perform a review of the Office of the Ombudsman by June 2015, with work expected to completed by October 2015. Because of the scope of the recommended review, it is important for sufficient time for community discussion of the resulting recommendations, not only within a public comment period, but also at an ICANN public meeting prior to the adoption of any Bylaws amendments changing the role of the Ombudsman.

Close attention will be paid to the work ongoing within the CCWG-Accountability and any recommendations arising out of that work on the scope of the Ombudsman's responsibilities. The expert review should be required to consider those recommendations as outputs and dialogue with the CCWG if any modifications to its recommendations are identified to meet with generally accepted roles and responsibilities for an Ombudsman.

Implementation Notes

As the CCWG work evolves to consider the role of the Ombudsman, implementation of this recommendation and the running of the review will closely align with the CCWG as necessary.

ICANN

ATRT2 Recommendation 9.4 Implementation
Develop Transparency Metrics and Reporting
2 April 2015

9.4 Implementation Timeline

December 2015
Operationalized

9.4 Subproject Description

The 9.4 subproject implementation focuses on developing a full set of statistical data that will be published annually with each Fiscal Year Annual Report

Status of Deliverables

	Responsible	Due Date
Publish initial transparency statistics in FY14 Annual Report	**Staff**	**April 2015**
Develop more fulsome set of statistics for FY15 and operationalize full set of statistics for annual reporting	**Staff**	**December 2015**

☑ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 9.4 Implementation
Develop Transparency Metrics and Reporting
2 April 2015

Project Status

Because the ATRT2 Final Report was approved at the end of FY14, the full component of statistics recommended for tracking within the recommendation was not operationalized for that year. As a result, on a look-back basis, ICANN is identifying an initial set of statistics for publication in the FY14 Annual Report. Work is already underway to track statistics on a broader basis for an FY15 report.

There are also other reports already in existence that help meet the recommendation, such as the annual report on the usage of ICANN's accountability mechanisms that is published every year on the Board Governance Committee page following ICANN's Annual General Meeting. https://www.icann.org/resources/pages/annual-reports-2012-02-25-en?routing_type=path#reconsideration-requests

Once this full set of data is identified and cross functionally reviewed it will be published beginning with the FY15 Annual Report and all future FY reports thereafter.

Implementation Notes

The first set of reports will focus on the DIDP statistics and the use of ICANN's Anonymous Hotline, as the tracking of those items is already underway. There are also other reports already in existence that help meet the recommendation, such as the annual report on the usage of ICANN's accountability mechanisms that is published every year on the Board Governance Committee page following ICANN's Annual General Meeting.
https://www.icann.org/resources/pages/annual-reports-2012-02-25-en?routing_type=path#reconsideration-requests

ATRT2 Recommendation 9.5 Implementation
Review Anonymous Hotline
2 April 2015

9.5 Implementation Timeline

November 2015
Operationalized

Status of Deliverables

	Responsible	Due Date
Identify expert to review existing policy and processes	Staff	May 2015
Expert report completed	Staff	October 2015
Complete implementation of modifications to policy	Staff	December 2015
Publish report to community	Staff	December 2015

9.5 Subproject Description

The 9.5 subproject implementation will conduct a review of the Anonymous Hotline policy and processes, implement any proposed modifications to policy and publish a report on results to the community.

Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 9.5 Implementation
Review Anonymous Hotline
2 April 2015

Project Status

An expert will be identified and hired to review the Anonymous Hotline policy and process by May 2015 and a review is expected to be completed by October 2015.

Once the proposed modifications have been reviewed for feasibility and implemented, a report will be published to the community. All these activities are expected to be completed by December 2015.

Implementation Notes

Several potential experts have already been identified. ICANN is in the process of finalizing its vendor selection with a view to retaining an expert that can perform the entire range of the review that was called for in the Recommendation.

ATRT2 Recommendation 10 Implementation
Cross Community Deliberations
2 April 2015

Implementation 10 Timeline

June 2016
Operationalized

Recommendation 10 Implementation Description

This project captures a number of initiatives that are addressing the components of recommendation 10 in relation to improving the effectiveness of cross community deliberations such as the GNSO PDP improvements, GAC-GNSO Consultation Group on Early Engagement of the GAC in Policy Development Processes and the Community Regional Outreach Pilot Program (CROPP).

Status of Deliverables

	Responsible	Due Date
Appointment of GNSO Liaison to the GAC	Council	✓
Development of additional recommendations for GAC Early Engagement in GNSO PDP and ongoing discussions	GAC/GNSO CG	Ongoing*
Implementation of CROPP	Staff	Complete**
Implementation of GNSO PDP Improvements	GNSO/Staff	Ongoing***
Implementation of facilitated PDP F2F WG meetings pilot project	GNSO/Staff	Complete **

* GAC & GNSO Leadership to discuss timeline shortly – due to workload issues, the CG has suspended meetings for now
** Project planning is complete. It is now an ongoing pilot program which is expected to be reviewed in the near future.
*** Status updates are provided to the GNSO Council at each ICANN meeting. See the latest update.

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ATRT2 Recommendation 10 Implementation
Recommendation Name
2 April 2015

Project Status

Work is actively under way in relation to most recommendation components. For example, the GAC-GNSO Consultation Group on Early Engagement of the GAC in Policy Development Processes has been meeting on a regular basis and as a result of their deliberations a GNSO liaison to the GAC has been appointed as a pilot project (note, a special community FY16 budget request has been submitted to continue this pilot in FY16). Furthermore, a first set of recommendations in relation to GAC early engagement in the GNSO Policy Development Process Issue Scoping phase have been approved by the GAC and GNSO to be implemented on a trial basis (see http://gnso.icann.org/en/council/resolutions#201503). The Consultation Group will continue its deliberations to consider the other stages of the PDP as well as possible other recommendations in relation to day-to-day co-operation. A next update is expected to be presented to the GAC and GNSO at ICANN53.

Furthermore, a number of improvements are being implemented as part of the GNSO PDP improvements such as the pilot project on facilitated PDP F2F WG meetings to take place in conjunction with ICANN meetings (two meetings have been successfully held during ICANN 51 and ICANN 52, a third meeting is being planned for ICANN 53). A special community FY16 budget request has been submitted to continue this pilot into FY16 to gather further data on the effect and usefulness of facilitated F2F meetings. For further information on some of the other improvements, please see the update that was provided to the GNSO Council at ICANN 52.

In relation to the CROPP program, in recognition of the potential that such a regional outreach program could contribute to the ICANN community's continued growth and development, the FY15 Budget allocates resources and staff has been directed to continue implementation and rigorous evaluation in order to assist in determining whether such resourced outreach merits support in future fiscal cycles. For further information, please see https://community.icann.org/x/aYvhAg.

Implementation Notes

The implementation of this recommendation requires substantial involvement from stakeholders, and volunteer fatigue and prioritization efforts must be considered. Furthermore, several of these initiatives are implemented as pilots. The pilot results will be evaluated relative to project goals and adjustments or alternatives will be considered, as needed.

ICANN

ATRT2 Recommendation 11 Implementation
AoC Review Process Effectiveness
2 April 2015

Implementation 11 Timeline

November 2015
Complete

Recommendation 11 Implementation Description

Recommendation 11 addresses ways in which the AoC Review process can be improved to make subsequent reviews more effective.

Using lessons learned, recommendations and observations from the Review Teams, the Board, stakeholders and staff, ICANN will propose improvements to make the AoC Review process more predictable, consistent and efficient.

Status of Deliverables

	Responsible	Due Date
11.1 AoC reviews fed into strategic activities	Staff	April 2015
11.2. Timely review process completion	Staff	April 2015
11.3 AoC review team appointment and work	Staff	April 2015
11.4 Implementation Report	Staff	✓
11.5 Resources and Budget	Staff	November 2015
11.6 AoC Recommendation Communication	Staff	April 2015
11.7 Timeframe of Implementation	Staff	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.1 Implementation
AoC Reviews fed into Strategic Activities
2 April 2015

11.1 Implementation Timeline

April 2015
Complete

11.1 Sub-Project Description

Subproject 11.1 purpose is to ensure that the ongoing work of the AoC reviews is fed into the work of other ICANN strategic activities.

Status of Deliverables

	Responsible	Due Date
Promote ethics, transparency and accountability	Staff	✓
Ensure AoC reviews are a staff priority	Staff	✓
Improve AoC pages on icann.org	Staff	**April 2015**

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.1 Implementation
AoC Review Process Effectiveness
2 April 2015

Project Status

Included in the Strategic Plan as goal 5.2 "Promote ethics, transparency and accountability across the ICANN community", with Key Success Factors defined as "Demonstrated accountability and legitimacy of ICANN, through the evolution of the Affirmation of Commitments and implementation of review mechanisms."

The Strategic Plan is posted at: https://*www.icann.org/en/system/files/files/strategic-plan-2016-2020-10oct14-en.pdf*

Aoc Reviews and resulting recommendations are a priority for staff, Board and CEO.

Improve AoC Review web pages on icann.org for improved transparency and clarity – this is in process and on schedule.

Implementation Notes

None

ATRT2 Recommendation 11.2 Implementation
Timely Review Process Completion
2 April 2015

11.2 Implementation Timeline

April 2015
Complete

11.2 Sub-Project Description

Subproject 11.2. is focused on the coordination of the
various review processes to ensure all reviews are
completed before the next ATRT review begins, and
with the proper linkage of issues as framed by the AoC.

Status of Deliverables

	Responsible	Due Date
Socialize review schedule at ICANN 52	Staff	✓
Review schedule approved by Board	Board	April 2015

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.2 Implementation
Timely Review Process Completion
2 April 2015

Project Status

Proposed AoC review schedule and activities were socialized at ICANN 52.   The materials presented can be found at:
http://singapore52.icann.org/en/schedule/mon-aoc-org-reviews/presentation-aoc-org-reviews-09feb15-en.pdf

Finalizing the AoC review schedule and activities to be approved by the Board is on track for April 2015.

Implementation Notes

None

ICANN

ATRT2 Recommendation 11.3 Implementation
AoC Appointment and Review Team Work
2 April 2015

11.3 Implementation Timeline

April 2015
Complete

11.3 Sub-Project Description

Subproject 11.3. Ensure that AoC Review Teams are appointed in a timely fashion and have sufficient time to complete their work.

Status of Deliverables

	Responsible	Due Date
Develop a predictable review schedule	**Staff**	✓
Socialize review schedule at ICANN 52	**Staff**	✓
Final review schedule approved by Board	**Board**	**April 2015**

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.3 Implementation
AoC Appointment and Review Team Work
2 April 2015

Project Status

The AoC Review web pages are scheduled to go live in April 2015.

Proposed AoC review schedule and activities were socialized at ICANN 52. The materials presented can be found at:
http://singapore52.icann.org/en/schedule/mon-aoc-org-reviews/presentation-aoc-org-reviews-09feb15-en.pdf

Finalizing the AoC review schedule and activities to be approved by the Board is on track for April 2015. Additional consultation with the NTIA and IICANN community may be necessary before the review schedule will be deemed final. More information will be available after April 2015 Board Workshop.

Implementation Notes

None

ICANN

ATRT2 Recommendation 11.4 Implementation
AoC Appointment and Review Team Work
2 April 2015

11.4 Implementation Timeline

March 2015
Complete

11.4 Sub-Project Description

Subproject 11.4. Ensures an implementation report is prepared, including benchmarks and metrics, to be ready by review kick-off.

Status of Deliverables

	Responsible	Due Date
Document project mgmt. approach	**Staff**	✓
Develop progress reporting template	**Staff**	✓
Modify progress templates for Board Oversight	**Board**	✓

☑ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.4 Implementation
AoC Appointment and Review Team Work
2 April 2015

Project Status

The project management approach to implementation is documented on icann.org
https://www.icann.org/news/announcement-2-2015-01-30-en

Progress reporting templates have been developed and progress has been published regularly
https://www.icann.org/news/announcement-2-2015-01-30-en

Progress reporting templates have been modified to reflect Board oversight and will be published in May 2015.

Implementation Notes

None

ATRT2 Recommendation 11.5 Implementation

Resources and Budget
2 April 2015

11.5 Implementation Timeline

November 2015
Complete

11.5 Sub-Project Description

Subproject11.5. Ensures the allocation of sufficient resources for Review Teams to fulfill their mandates and make the budget and rationale available at the start of the review. This should include, but is not limited to, accommodation of Review Team requests to appoint independent experts/consultants, if deemed necessary by the teams.

Status of Deliverables

	Responsible	Due Date
AoC budget process	Staff	✓
Develop budgets for the next round of AoC reviews	Staff	**April 2015**
Publish budgets	Staff	**November 2015**

☑ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.5 Implementation
Resources and Budget
2 April 2015

Project Status

A standard process for budgeting for AoC reviews has been established via a budget worksheet.

Developing budgets for the next round of AoC Reviews is behind schedule but we expect to recover per the original plan.

Publish budgets and rationale at the start of each AoC Review is on track to complete in November 2015.

Implementation Notes

None

ICANN

ATRT2 Recommendation 11.6 Implementation
AoC Recommendation Communication
2 April 2015

11.6 Implementation Timeline

April 2015
Complete

11.6 Sub-Project Description

Subproject 11.6. Ensures that all AoC Review Team recommendations are addressed in a clear and unambiguous manner, indicating to what extent they are accepting each recommendation.

Status of Deliverables

	Responsible	Due Date
Document accepted recommendations	Board	✓
Document process by which Board will address the review team	Staff	**April 2015**

☑ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 11.6 Implementation
AoC Recommendation Communication
2 April 2015

Project Status

Acceptance of ATRT2 recommendations is documented at
https://www.icann.org/resources/board-material/resolutions-2014-06-26-en#2.d

Documenting the process by which the Board will address AoC Review Team recommendations in a clear and unambiguous manner, indicating to what extent they are accepting each recommendation will be live on icann.org in April 2015.

Implementation Notes

None

ICANN

ATRT2 Recommendation 11.7 Implementation
Timeframe of Implementation
2 April 2015

11.7 Implementation Timeline

April 2015
Complete

11.7 Sub-Project Description

Subproject 11.7. Ensures an expected time frame for implementation is provided

Status of Deliverables

	Responsible	Due Date
Implementation timeframe	**Staff**	✓

✓ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

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ICANN

ATRT2 Recommendation 11.7 Implementation
Timeframe of Implementation
2 April 2015

Project Status

An implementation timeline has been provided and status against this timeline has been reported on beginning with ICANN 52

https://community.icann.org/display/prgrmatrt2impl/Implementation+Program

Implementation Notes

None

ATRT2 Recommendation 12 Implementation
Financial Accountability and Transparency
2 April 2015

Implementation 12 Timeline

September 2015
Operationalized

Recommendation 12 Implementation Description

Recommendation 12 identifies the need to establish a firmer basis for discussing with the community how to continue developing ICANN and prioritize its work to the benefit of participants within the multistakeholder model. Such a discussion will entail three key elements: 1) revenues; 2) expenses; and 3) the prioritization of ICANN's work.

The recommendation states:
12.1 & 12.5 Board to improve participation of SO/AC in planning process, including sufficient time for interaction.
12.2 Board to take into account the correlation of revenues and expenses in the long term when planning.
12.3 Board to benchmark operations every 3 years, and publish resulting analysis.
12.4 Annual budgets to be based on multi-annual strategic plan. SO/AC budgets to be specified.

Status of Deliverables

	Responsible	Due Date
12.1 & 12.5 - The FY16-20 Strategic Plan, Five-Year (FY16-20) Operating Plan and FY16 Operating Plan & Budget processes were posted for public comment. The commencement dates for the processes were moved up to allow for sufficient time for interaction between the community and staff.	**Staff**	✔
12.2 - The correlation of revenues and expenses in the long term was enabled through the development of a 5-year financial model included in the ICANN strategic plan.	**Staff**	✔
12.4 – The FY16 Annual Operating Plan & Budget was derived from the Five-Year Operating Plan following community input.	**Staff**	✔
12.4 – The FY16 budget will be specified by SO/ AC group.	**Staff**	**May 2015**
12.3 - The Dashboard & EFQM programs are the reasonable vehicles for implementing the spirit of the recommendation. Target #1 – at ICANN 53, Board to review: - Goal-level Dashboard - Total organizational EFQM management self-evaluation report	**Staff**	**Target #1: ICANN 53**

✔ Complete
Planned/In Process
Behind schedule, expected to recover within original plan
Behind schedule, original plan to be adjusted

ICANN

ATRT2 Recommendation 12 Implementation
Financial Accountability and Transparency
2 April 2015

Project Status

12.1 & 12.5 - The Strategic Plan, Five-Year Operating Plan and FY16 Operating Plan & Budget processes allowed for input from the ICANN community during their development stages via working groups and public comment periods. The commencement dates for the processes were moved up to allow for sufficient time for interaction between the community and staff.

Public Comments:
5-year Strategic plan: https://www.icann.org/resources/pages/strategic-engagement-2013-10-10-en
5-year Operating plan: https://www.icann.org/news/announcement-2014-11-11-en
FY16 Operating Plan & Budget: https://www.icann.org/news/announcement-2-2015-03-18-en

Working Groups:
On 25-November-2014 UTC 1500 - 1600A, ICANN hosted a call during which staff and community members discussed the ICANN Draft Five-Year Operating Plan and FY16 Operating plan and Budget Calendar. On 09-February-2015 at ICANN 52 in Singapore, ICANN staff hosted a small working group to review and define budget assumptions relative to revenue, priorities, initiatives, expenses envelopes for FY16 Operating Plan & Budget.
https://community.icann.org/display/projfinadhocws/FY16+Budget+Planning+Process

12.2 - The correlation of revenues and expenses in the long term is enabled through the development of a 5-year financial model included in the ICANN Strategic Plan (FY16-20).

12.3 - The Dashboard & EFQM programs are the reasonable vehicles for implementing the spirit of the recommendation.
Target #1 – at ICANN 53, Board to review:
- Goal-level Dashboard
- Total organizational EFQM management self- evaluation report

12.4 The Five-Year (FY16-20) Operating Plan was posted for public comment on 11 November 2014 and includes: a five-year planning calendar; strategic goals with corresponding key performance indicators, dependencies, five-year phasing, and list of portfolios; and a five-year financial model. The FY16 Annual Operating Plan & Budget was derived from the Five-Year Operating Plan following community input and includes data on all projects to be carried out by the organization during FY16, and displays all costs categories for each project. Each project is also mapped to one of the 5 objectives of the Strategic Plan, through the hierarchy of portfolios and goals, making the rationale for each project and activity clear and transparent. The draft FY16 Operating Plan & Budget was posted for public comment on 18-May-2015. https://www.icann.org/news/announcement-2-2015-03-18-en. A budget by SO/ AC group will be specified after the budget is adopted.

ICANN

ATRT2 Recommendation 12 Implementation
Financial Accountability and Transparency
2 April 2015

Implementation Notes

As issues surrounding the community involvement in the development and approval of budgets have been raised in the Cross Community Working Group on Enhancing ICANN Accountability, close attention will be paid to the recommendations arising out of that group to determine if it impacts further implementation of this Recommendation 12.

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