

# ICANN Registry Roadshow - Ongoing Operations Workshop

## Roles & Responsibilities

## CATEGORIZED LIST

Category	Name of Role or Obligation	Source
<b>Registry Policies</b>	Comply with Consensus & Temporary Policies	Specification 1
	Reserve Special Domain Names	Specification 5
	Mitigate Abuse	Specification 6, Section 4
	Implement Name Collision Management Measures	Specification 6, Section 6
	Uphold Rights Protection Mechanisms	Specification 7
	Abide by Registry Operator Code of Conduct	Specification 9
	Submit Code of Conduct Review Results	Specification 9, Section 3
	Abide by Public Interest Commitments	Specification 11, Section 1&2
	Implement Community Registration Policies	Specification 12
<b>Critical Functions</b>	Meet Interoperability/Continuity Standards	Specification 6
	Maintain Registry Performance	Specification 10, Section 2
	Maintain Technical and Operational Registry Performance Records	Section 2.11
	Publish Registration Data (RDDS)	Specification 4, Section 1
	Data Escrow: Deposits, Notification, Verification	Specification 2, Section A.2 & A.7
	Conduct Testing of Business Continuity Plan	Specification 6, Section 3
<b>Data Access Services</b>	Grant Zone File Access	Specification 4.2
	Grant Bulk Registration Data Access	Specification 4.3
<b>Finance</b>	Pay Registry-Level Fees	Section 6.1 and 6.3
	Pay Pass-Through Fees – RPM	Section 6.4
	Maintain Continuing Operations Instrument (COI)	Specification 8
<b>Reporting</b>	Per-Registrar Transactions Report	Section 2.4, Specification 3.1
	Registry Functions Activity Report	Section 2.4, Specification 3.1
<b>Contract Management</b>	Prepare for ICANN Compliance Audit	Section 2.11
	Notify ICANN of Escrow Agent Change	Specification 2, Part B.1
	Notify of Assignment or Material Subcontracting Arrangement	Section 7.5
<b>ICANN</b>	Adhere to ICANN Covenants	Section 3
	Monitor Compliance	ICANN Role
	Implement Consensus & Temporary Policy	ICANN Role
	Process Notifications - RRA Amendment Requests	Section 2.9(a)
	Process Notifications - Outage or maintenance	Specification 10, Section 7.3
	Process Notifications - Accredited Registrar affiliation or subcontracting	Section 2.9(b)
	Emergency Response - EBERO	Section 2.13, Specification 10.7.1
	Emergency Response - Name Collision Severe Harm	Specification 6
	Emergency Response - Expedited Registry Security Request	ICANN Role
	Process RSEP Requests	ICANN Role
	Issue Legal Notifications	Section 7.9
	Issue Invoices	Sections 6.1, 6.3 and 6.4
	Engagement and Communication	ICANN Role

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## Roles & Responsibilities

TIMING

Timing	Name of Role or Obligation	Source
<b>Continuously</b>	Comply with Consensus & Temporary Policies	Specification 1
	Reserve Special Domain Names	Specification 5
	Mitigate Abuse	Specification 6, Section 4
	Implement Name Collision Management Measures	Specification 6, Section 6
	Uphold Rights Protection Mechanisms	Specification 7
	Abide by Registry Operator Code of Conduct	Specification 9
	Abide by Public Interest Commitments	Specification 11, Section 1&2
	Implement Community Registration Policies	Specification 12
	Meet Interoperability/Continuity Standards	Specification 6
	Maintain Registry Performance	Specification 10, Section 2
	Maintain Technical and Operational Registry Performance Records	Section 2.11
	Publish Registration Data (RDDS)	Specification 4, Section 1
	Maintain Continuing Operations Instrument (COI)	Specification 8
	Adhere to ICANN Covenants	Section 3
	Monitor Compliance	ICANN Role
Engagement and Communication	ICANN Role	
<b>Daily</b>	Data Escrow: Deposits, Notification, Verification	Specification 2, Section A.2 & A.7
	Grant Zone File Access	Specification 4.2
<b>Weekly</b>	Grant Bulk Registration Data Access	Specification 4.3
<b>Monthly</b>	Per-Registrar Transactions Report	Section 2.4, Specification 3.1
	Registry Functions Activity Report	Section 2.4, Specification 3.1
<b>Quarterly</b>	Pay Registry-Level Fees	Section 6.1 and 6.3
	Pay Pass-Through Fees – RPM	Section 6.4
	Issue Invoices	Sections 6.1, 6.3 and 6.4
<b>Yearly</b>	Submit Code of Conduct Review Results	Specification 9, Section 3
	Conduct Testing of Business Continuity Plan	Specification 6, Section 3
	Prepare for ICANN Compliance Audit	Section 2.11
<b>As Needed</b>	Notify ICANN of Escrow Agent Change	Specification 2, Part B.1
	Notify of Assignment or Material Subcontracting Arrangement	Section 7.5
	Implement Consensus & Temporary Policy	ICANN Role
	Process Notifications - RRA Amendment Requests	Section 2.9(a)
	Process Notifications - Outage or maintenance	Specification 10.7.3
	Process Notifications - Accredited Registrar affiliation or subcontracting	Section 2.9(b)
	Emergency Response - EBERO	Section 2.13, Specification 10.7.1
	Emergency Response - Name Collision Severe Harm	Specification 6
	Emergency Response - Expedited Registry Security Request	ICANN Role
	Process RSEP Requests	ICANN Role
Issue Legal Notifications	Section 7.9	