



gTLD Registry Ongoing Operations Workshop

Disclaimer

Nothing in this presentation is a waiver or modification of any obligation in the Registry Agreement. In the event of a conflict between anything in this presentation and the Registry Agreement, the Registry Agreement prevails.

What is “Ongoing Operations” ?

- Start when an Applicant becomes a Registry Operator
- Registry Operator and ICANN collaborate with a common purpose
 - Success of the Registry
 - Security, Stability and Resiliency of the Internet
- Collaboration Governed by Frequency
 - As opposed to Sequence (as per earlier processes)
 - e.g.: Application, Contracting, Onboarding

Workshop Goal

- Familiarize participants with the substance
- Focus dialogue on the most relevant topics of interest to the participants
- Foster collaboration among participants
- Have a good time !

Workshop Game Plan

1. Roles & Obligations Timeline
Challenge
2. Collaborative Selection of
Priority Topics for discussion
3. Planning Discussion of Selected
Topics

Part 1

Roles & Obligations
Challenge | Briefing

Team Challenge Briefing

- Teamwork | 20 minutes

Team Challenge Briefing

- Teamwork | 20 minutes
- Material for each team

Team Challenge Briefing

- Teamwork | 20 minutes
- Material for each team
 - List of Roles & Obligations

Category	Name of Role or Obligation	Source
Registry Policies	Comply with Consensus & Temporary Policies	Specification 1
	Reserve Special Domain Names	Specification 5
	Mitigate Abuse	Specification 6, Section 4
	Implement Name Collision Management Measures	Specification 6, Section 6
	Uphold Rights Protection Mechanisms	Specification 7
	Abide by Registry Operator Code of Conduct	Specification 9
	Submit Code of Conduct Review Results	Specification 9, Section 3
Critical Functions	Abide by Public Interest Commitments	Specification 11, Section 1&2
	Implement Community Registration Policies	Specification 12
	Meet Interoperability/Continuity Standards	Specification 6
	Maintain Registry Performance	Specification 10, Section 2
	Maintain Technical and Operational Registry Performance Records	Section 2.11
	Publish Registration Data (RDDS)	Specification 4, Section 1
	Data Escrow: Deposits, Notification, Verification	Specification 2, Section A.2 & A.7
Data Access Services	Contact Testing of Business Continuity Plan	Specification 6, Section 3
	Grant Zone File Access	Specification 4.2
Finance	Grant Bulk Registration Data Access	Specification 4.3
	Pay Registry-Level Fees	Section 6.1 and 6.3
	Pay Pass-Through Fees – RPM	Section 6.4
Reporting	Maintain Continuing Operations Instrument (COI)	Specification 8
	Per-Registrar Transactions Report	Article 2.4, Specification 3.1
Contract Management	Registry Functions Activity Report	Article 2.4, Specification 3.1
	Prepare for ICANN Compliance Audit	Article 2.11
	Notify ICANN of Escrow Agent Change	Specification 2, Part B.1
	Notify of Assignment or Material Subcontracting Arrangement	Article 7.5
	Adhere to ICANN Covenants	Article 3
	Monitor Compliance	ICANN Role
	Implement Consensus & Temporary Policy	ICANN Role
ICANN	Process Notifications – RIA Amendment Requests	Article 2.9(a)
	Process Notifications – Outage or maintenance	Specification 10.7.3
	Process Notifications – Accredited Registrar affiliation or subcontracting	Article 2.9(b)
	Emergency Response – EBERO	Article 2.13, Specification 10.7.1
	Emergency Response – Name Collision Severe Harm	Specification 6
	Emergency Response – Expedited Registry Security Request	ICANN Role
	Process RSEP Requests	ICANN Role
	Issue Legal Notifications	Article 7.9
	Issue Invoices	Articles 6.1, 6.3 and 6.4
	Engagement and Communication	ICANN Role

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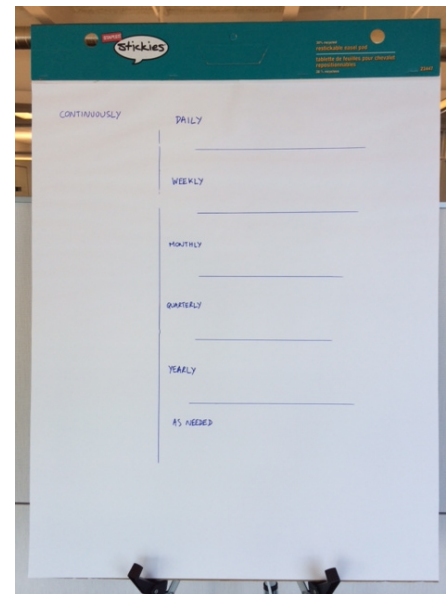


Team Challenge Briefing

- Teamwork | 20 minutes
- Material for each team
 - List of Roles & Obligations
 - Blank Timeline on Flip Chart

Category	Name of Role or Obligation	Source
Registry Policies	Comply with Consensus & Temporary Policies	Specification 1
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Finance	Pay Registry-Level Fees	Section 6.1 and 6.3
	Pay Pass-Through Fees – RPM	Section 6.4
	Maintain Continuing Operations Instrument (COI)	Specification 8
Reporting	Per-Registrar Transactions Report	Article 2.4, Specification 3.1
	Registry Functions Activity Report	Article 2.4, Specification 3.1
Contract Management	Prepare for ICANN Compliance Audit	Article 2.11
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ICANN	Adhere to ICANN Covenants	Article 3
	Monitor Compliance	ICANN Role
	Implement Consensus & Temporary Policy	ICANN Role
	Process Notifications – RA Amendment Requests	Article 2.9(a)
	Process Notifications – Outage or maintenance	Specification 10.7.3
	Process Notifications – Accredited Registrar affiliation or subcontracting	Article 2.9(b)
	Emergency Response – EBERO	Article 2.13, Specification 10.7.1
	Emergency Response – Name Collision Severe Harm	Specification 6
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Engagement and Communication	ICANN Role	

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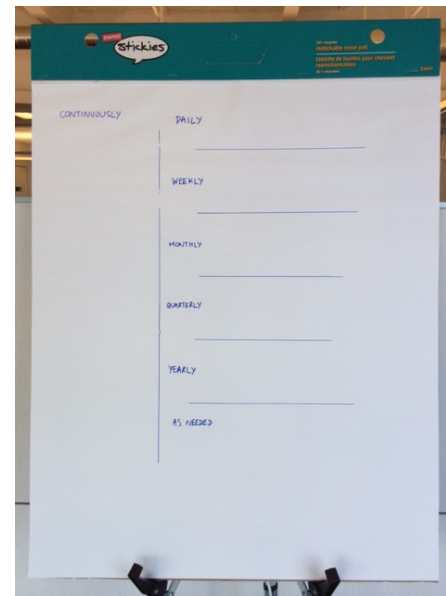
Team Challenge Briefing

- Teamwork | 20 minutes
- Material for each team
 - List of Roles & Obligation
 - Blank Timeline on Flip Chart
 - Post-it + Pen



Category	Name of Role or Obligation	Source
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	Maintain Technical and Operational Registry Performance Records	Section 2.1.1
Data Access Services	Publish Registration Data (RDDS)	Specification 4, Section 1
	Data Escrow: Deposits, Notification, Verification	Specification 2, Section A.2 & A.7
	Conduct Testing of Business Continuity Plan	Specification 6, Section 3
	Grant Zone File Access	Specification 4.2
Finance	Grant Bulk Registration Data Access	Specification 4.3
	Pay Registry-Level Fees	Section 6.1 and 6.3
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Reporting	Maintain Continuing Operations Instrument (COI)	Specification 8
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	Process RSEP Requests	ICANN Role
	Issue Legal Notifications	Article 7.9
	Issue Invoices	Articles 6.1, 6.3 and 6.4
Engagement and Communication	ICANN Role	

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Team Challenge Briefing

- Objective

Fill-in the Timeline with Roles & Obligations as a Team

Team Challenge Briefing

- Objective

Fill-in the Timeline with Roles & Obligations as a Team

- Step-by-Step Instructions

Team Challenge Briefing

- Objective

Fill-in the Timeline with Roles & Obligations as a Team

- Step-by-Step Instructions

1) Write Role or Obligation on Post-it note

Yellow: Registry Operator – Other Color: ICANN

Team Challenge Briefing

- Objective

Fill-in the Timeline with Roles & Obligations as a Team

- Step-by-Step Instructions

- 1) Write Role or Obligation on Post-it note

Yellow: Registry Operator – Other Color: ICANN

- 2) Position note on the timeline

Team Challenge Briefing

- Objective

Fill-in the Timeline with Roles & Obligations as a Team

- Step-by-Step Instructions

1) Write Role or Obligation on Post-it note

Yellow: Registry Operator – Other Color: ICANN

2) Position note on the timeline

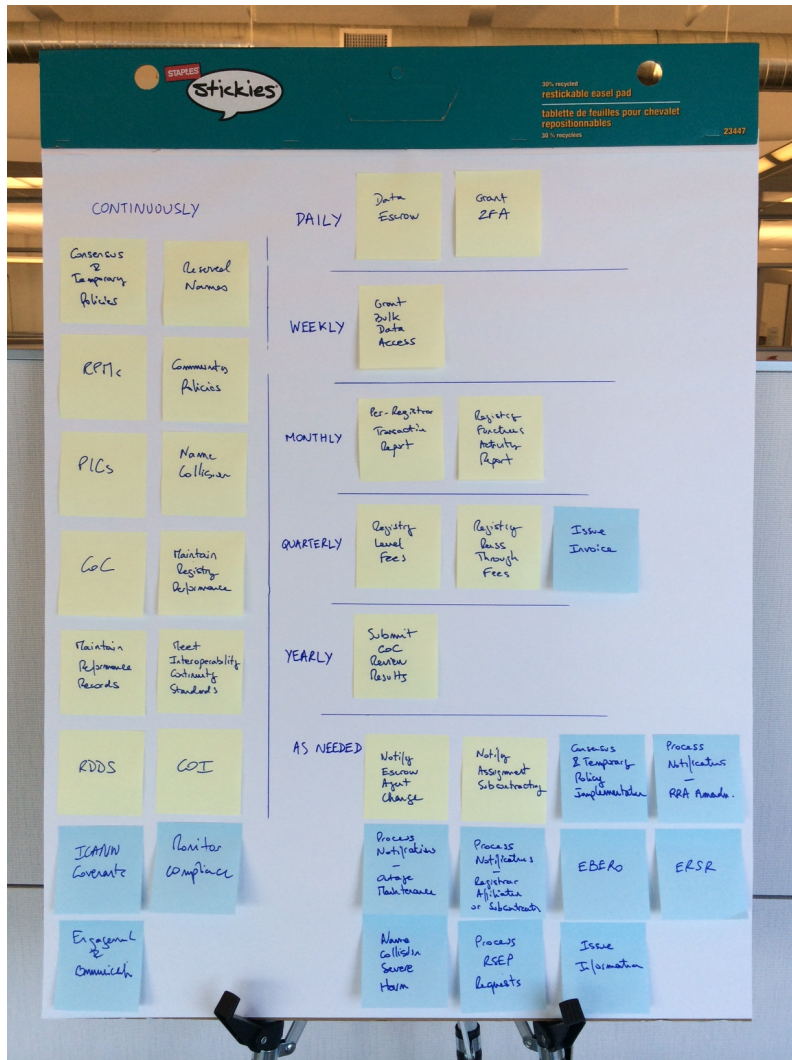
Repeat until complete

Part 1

Roles & Obligations

Challenge | *Answers*

Team Challenge Answers



ICANN Registry Roadshow - Ongoing Operations Workshop Roles & Responsibilities

TIMING

Timing	Name of Role or Obligation	Source	
Continuously	Comply with Consensus & Temporary Policies	Specification 1	
	Reserve Special Domain Names	Specification 5	
	Mitigate Abuse	Specification 6, Section 4	
	Implement Name Collision Management Measures	Specification 6, Section 6	
	Uphold Rights Protection Mechanisms	Specification 7	
	Abide by Registry Operator Code of Conduct	Specification 9	
	Abide by Public Interest Commitments	Specification 11, Section 18.2	
	Implement Community Registration Policies	Specification 12	
	Meet Interoperability/Continuity Standards	Specification 6	
	Maintain Registry Performance	Specification 10, Section 2	
	Maintain Technical and Operational Registry Performance Records	Section 2.11	
	Publish Registration Data (RDDS)	Specification 4, Section 1	
Daily	Maintain Continuing Operations Instrument (COI)	Specification 8	
	Adhere to ICANN Covenants	Article 3	
	Monitor Compliance	ICANN Role	
	Engagement and Communication	ICANN Role	
	Data Escrow: Deposits, Notification, Verification	Specification 2, Section A.2 & A.7	
	Grant Zone File Access	Specification 4.2	
	Weekly	Grant Bulk Registration Data Access	Specification 4.3
		Per-Registrar Transactions Report	Article 2.4, Specification 3.1
	Monthly	Registry Functions Activity Report	Article 2.4, Specification 3.1
		Pay Registry-Level Fees	Section 6.1 and 6.3
	Quarterly	Pay Pass-Through Fees - RPM	Section 6.4
		Issue Invoices	Articles 6.1, 6.3 and 6.4
Yearly	Submit Code of Conduct Review Results	Specification 9, Section 3	
	Conduct Testing of Business Continuity Plan	Specification 6, Section 3	
	Prepare for ICANN Compliance Audit	Article 2.11	
As Needed	Notify ICANN of Escrow Agent Change	Specification 2, Part 8.1	
	Notify of Assignment or Material Subcontracting Arrangement	Article 7.5	
	Implement Consensus & Temporary Policy	ICANN Role	
	Process Notifications - RRA Amendment Requests	Article 2.9(a)	
	Process Notifications - Outage or maintenance	Specification 10.7.3	
	Process Notifications - Accredited Registrar affiliation or subcontracting	Article 2.9(b)	
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	Process RSEP Requests	ICANN Role	
	Issue Legal Notifications	Article 7.9	

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Part 2

Collaborative Selection of Topics | Briefing

Selection of Priority Topics

- Teamwork | 10 minutes

Selection of Priority Topics

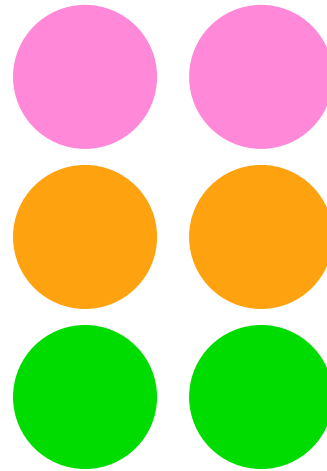
- Teamwork | 10 minutes
- Material for each team

Selection of Priority Topics

- Teamwork | 10 minutes
- Material for each team
 - Timeline of Roles & Obligations

Selection of Priority Topics

- Teamwork | 10 minutes
- Material for each team
 - Timeline of Roles & Obligations
 - 2 Stickers of each 3 colors per team member



Selection of Topics of Interest

- Objective

Score your interest on priority topics as a team

Selection of Topics of Interest

- Objective

Score your interest on priority topics as a team

- Instructions



Value of stickers :

Pink 3, Orange: 2, Green: 1

Selection of Topics of Interest

- Objective

Score your interest on priority topics as a team

- Instructions



Value of stickers : Pink 3, Orange: 2, Green: 1

1) Each member applies 1 sticker per Role/Obligation

Selection of Topics of Interest

- Objective

Score your interest on priority topics as a team

- Instructions



Value of stickers : Pink 3, Orange: 2, Green: 1

1) Each member applies 1 sticker per Role/Obligation

Repeat until all 6 stickers of each team members are applied

Selection of Topics of Interest

- Objective

Score your interest on priority topics as a team

- Instructions



Value of stickers : Pink 3, Orange: 2, Green: 1

1) Each member applies 1 sticker per Role/Obligation

Repeat until all 6 stickers of each team members are applied

Write down your 3 top priority Topics

Part 2

Collaborative Selection of Topics | Results

Selected Topics

1. Topic #1
2. Topic #2
3. Topic #3
4. Topic #4
5. Topic #5
6. Topic #6
7. Topic #7
8. Topic #8
9. Topic #9
10. Topic #10

Part 3

Planning Discussion of Selected Topics