GNSO Review Briefing

Westlake Governance| GNSO Review Working Party|
11 Feb 2015

Agenda

- ⊙ Welcome *5 minutes*

- ⊙ Review Methodology & Approach *5 minutes*

- ⊙ Briefing: initial findings, observations, recommendations *40 minutes*

  - ➢ Adopt a Working Group Model
  - ➢ Revise PDP
  - ➢ Restructure GNSO Council
  - ➢ Enhance Constituencies
  - ➢ Improve Communication & Coordination with ICANN Structures

- ⊙ Discussion, Q & A *30 minutes*

- ⊙ Next steps *5 minutes*

Roles and Responsibilities

SIC	Staff	Independent Examiner	Review Working Party**
• Oversight • Accept Report* • Approve Plans*	• Prepare RFP • Run Examiner Selection • Monitor Process • Support Examination • Support outreach and engagement • Manage Report Process • Prepare Review Implementation Plan	• Review of documents, records • Observe proceedings • Develop and conduct 360 Assessment • Conduct interviews • Prepare report • Engage with stakeholders for clarification and correction	• Assist with outreach and engagement • Coordinate 360 Assessment • Coordinate Interviews • Provide clarification and corrections • Prepare Review Implementation Plan

*Prepare Recommendations for Board Action
** Formed by Organization under Review

GNSO Review Update

360 Assessment
- 178 completed online questionnaire
- 300 started
- 60% completion rate

Interviews
- Nearly 35 one on one interviews

Review & Observation
- Documents, transcripts
- Proceedings – ICANN50-52
- ICC report commissioned by ATRT2

WP Meetings
- 13 GNSO Working Party Meetings
- 20 GNSO members

Outreach
- 3 webinars
- 14 presentations
- 2 blogs + 2 videos
- 3,000 brochures & postcards

Engagement
- 1,709 views of Announcements
- 2,957 blog views
- 446 visits to community wiki

Timeline and Next Steps

3 March 2015 — GNSO Review WP Working Session

20 March — Comments to Westlake

23 April — Draft Report posted for Public Comment

June — Gather public comments; ICANN53

14 July — Final Report issued by Westlake

Next Steps

To Summarize

- GNSO Review Working Party provides input, corrections and clarifications In February and March
- GNSO Community and ICANN stakeholders provide input and views through Public Comment April - June

Results to date

Adopt a Working Group Model

BWG Recommendations	Implemented?
1.WGs to do policy development	Yes
2.WG operating principles	Yes
3.WG staff support	Yes

Basis for Assessment

WGs do work. They produce policy. In the 360 >75% said they are effective, and over 75% they WGs listen to community feedback

Staff support rated very highly - 85%, widely praised in comments
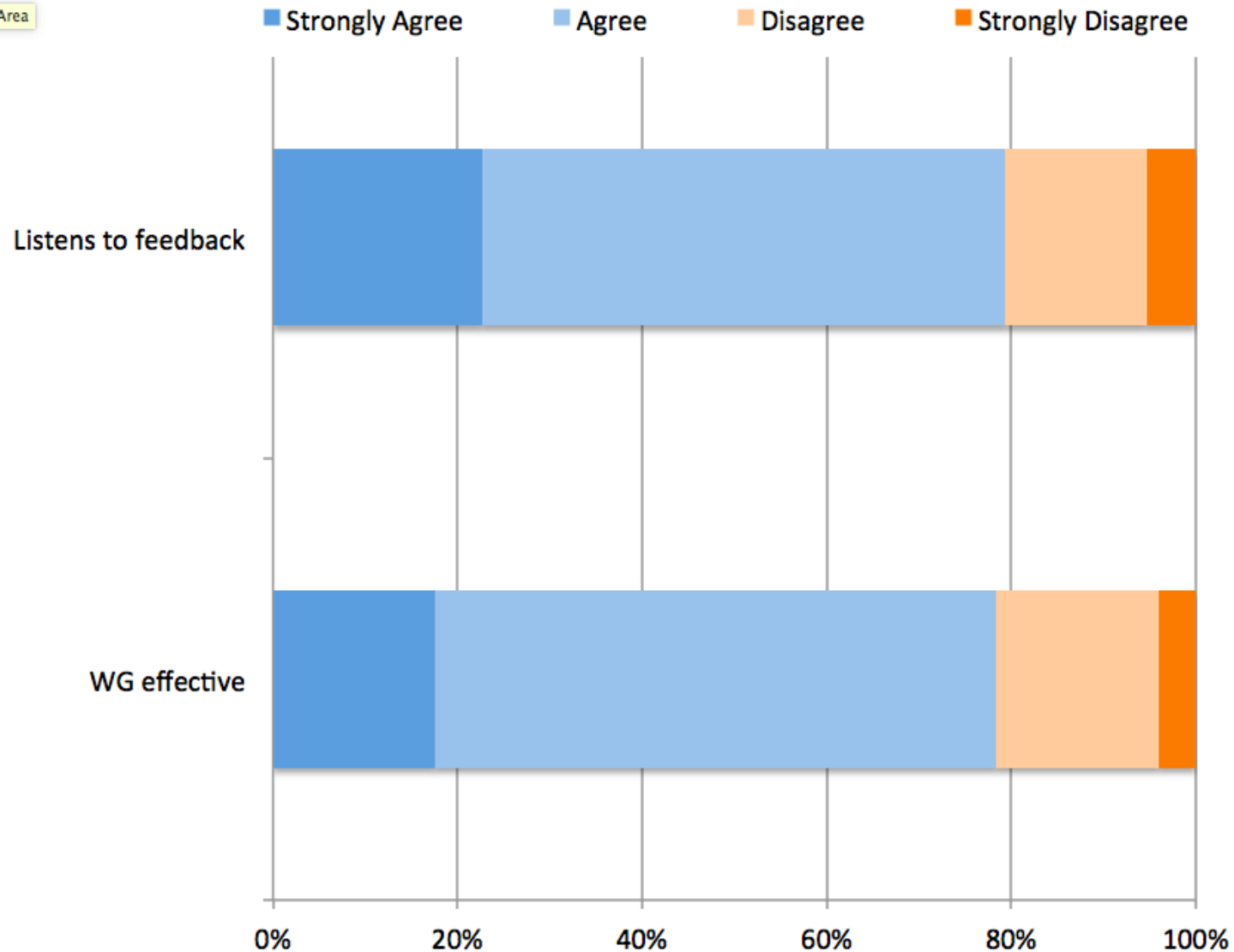
Caveats

There are still only a few volunteers doing most of the work
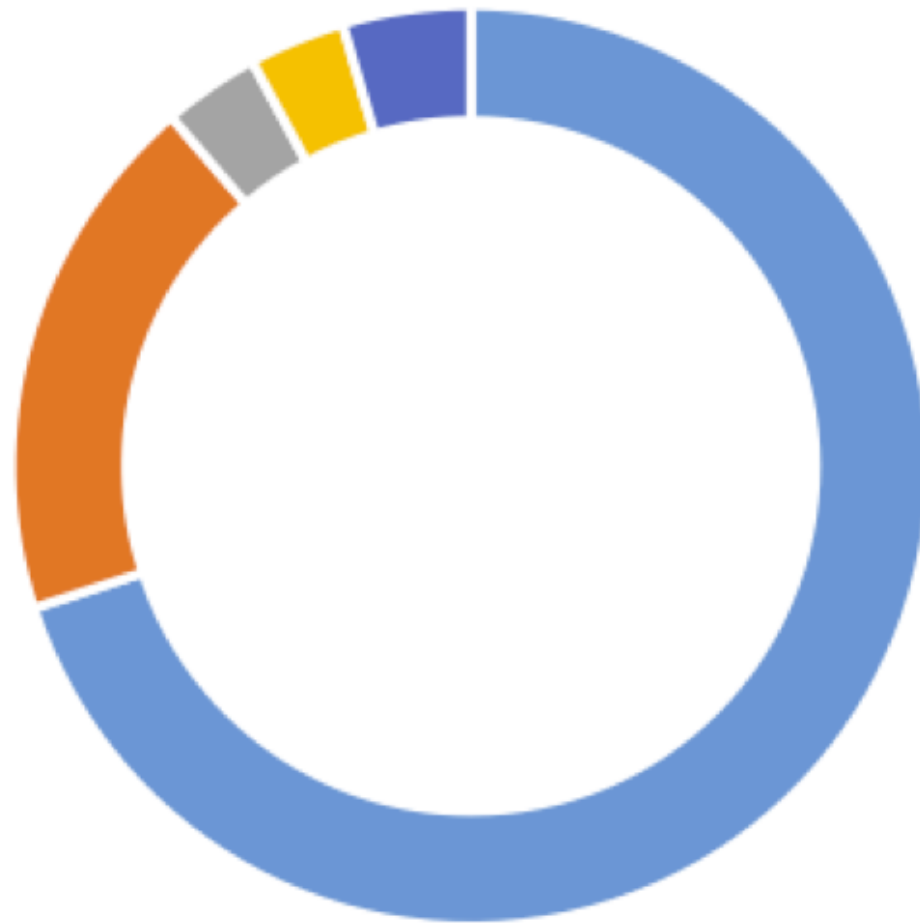
There is a strong bias to NA/EU
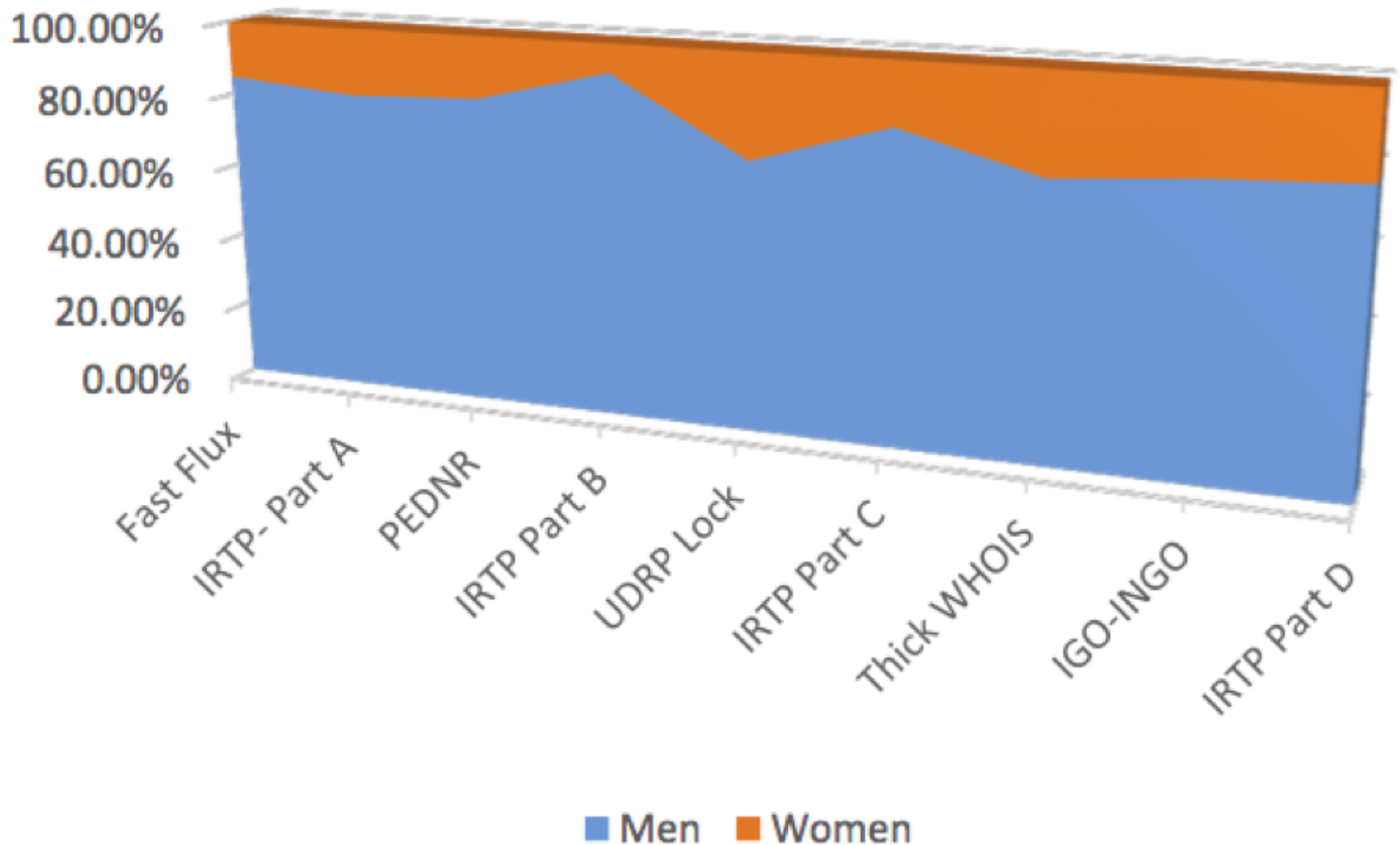
Participants are 80% male

Working Group Participation by Region

■ NA ■ EUR ■ AP ■ LAC ■ AF

Working Group Participation by Gender

Working Group Model

Initial Recommendations

- Continue current outreach

- Targeted recruitment programme

- Review funding for volunteers

- Tailored incentive system

- Reduce barriers to newcomers

- Publish participation figures, including diversity

- Seek non-native English speakers

- WGs should have a role in issues arising from implementation

- Current Policy and Implementation WG to have role in implementation issues

The PDP

BWG Recommendations	Implemented?
4.New PDP rules	Yes
5.PDP self-assessment	Incomplete
6.Align with ICANN strategic plan	No

Basis for Assessment

In the 360, 50% say it's timely, 50% that it takes too long. Some say a long process is unavoidable to achieve consensus.

There is a Data and Metrics WG, but no evaluation of the success of policy post-implementation

There is no GNSO strategic plan so there can be no linkage to ICANN's

Caveats

Westlake work to come on face to face meetings, GNSO strategic

The PDP

Initial Recommendations

- Leadership assessment programme

- Professional facilitation for some WGs

- Assess the face to face WG pilot being held at ICANN52

- Fast track

- Chunking (like IRTP)

- Ongoing focus on timeliness

- WG self-evaluation to be standard

- Self-evaluations are used to monitor and improve effectiveness

- Council to evaluate policy effectiveness on ongoing basis

- Council to uses post-implementation policy effectiveness to feedback into WG charters

Council

BWG Recommendations	Implemented?
7. Council to do strategy and oversight	Yes
8. Council to assess and analyse trends	*tba*
9. Council to align with strategic plan	Partial
10. Council structure	Yes
11. Council term limits	Yes
12. Council and GNSO-wide SOIs	Yes
13. Councillor training	Needs improvement

Basis for Assessment

There's a list of projects but no evidence of project management e.g. resource planning

Caveats

Role of Council versus Board in policy needs to be clarified

Council

Initial Recommendations

- Clarify that only PDP WGs make policy, Council's role to be ensuring process is followed
- Council to follow simple and transparent prioritisation process to start PDPs
- Review and implement a revised training and development programme encompassing the following:
  - Skills and competencies for each position
  - Training and development needs identified
  - Training and development linked to positions
  - Formal assessment system with objective measures
  - Continual assessment and review

(more recommendations to come)

Enhance Constituencies

BWG Recommendations	Implemented?
14. New constituencies	Yes but ineffective
15. Constituency operating principles	No
16. Constituency staff support	Partial

Basis for Assessment

Only one constituency has been added, several have tried and failed

There is no central registry of constituency members
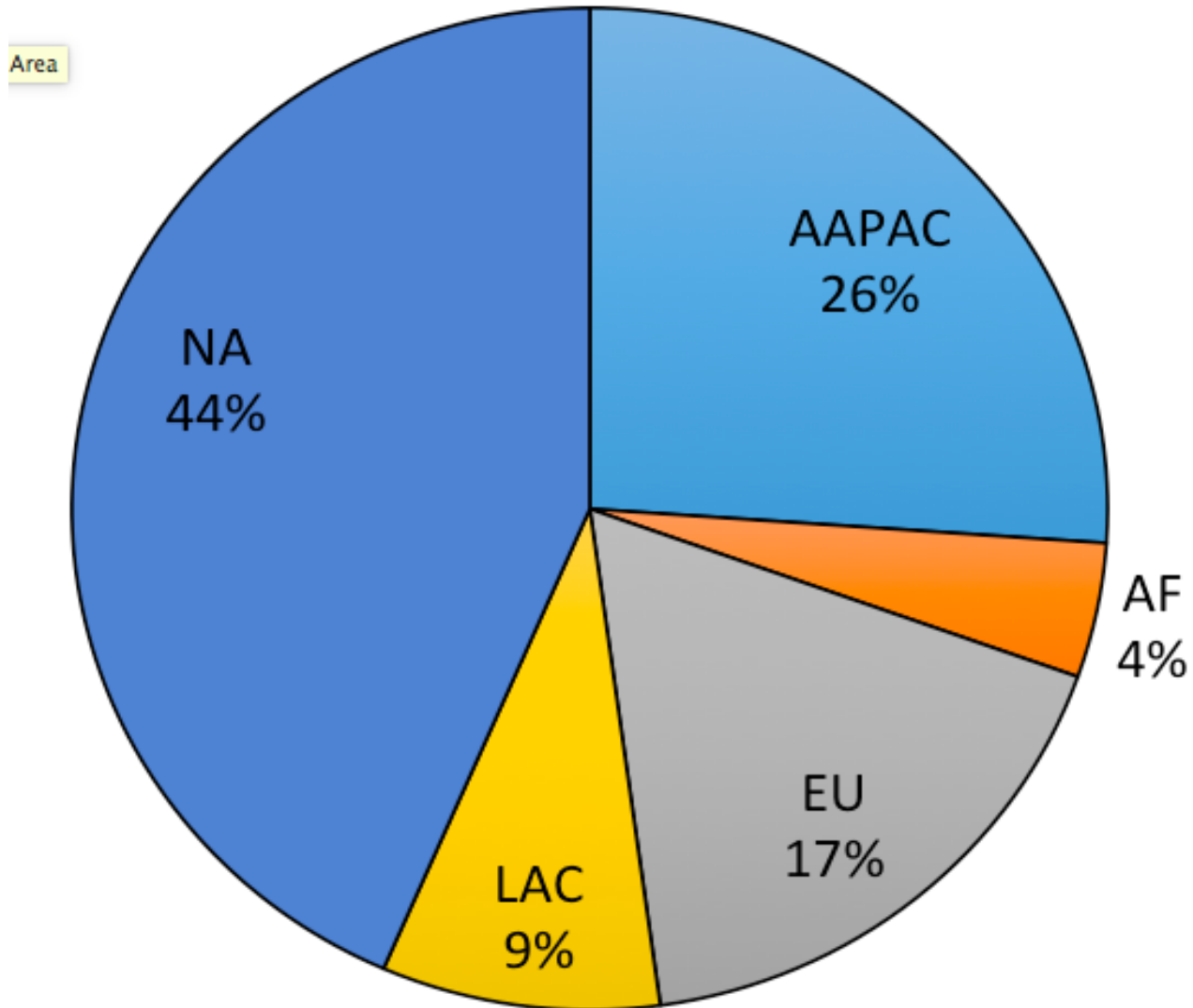
There is no information to measure diversity

Caveats

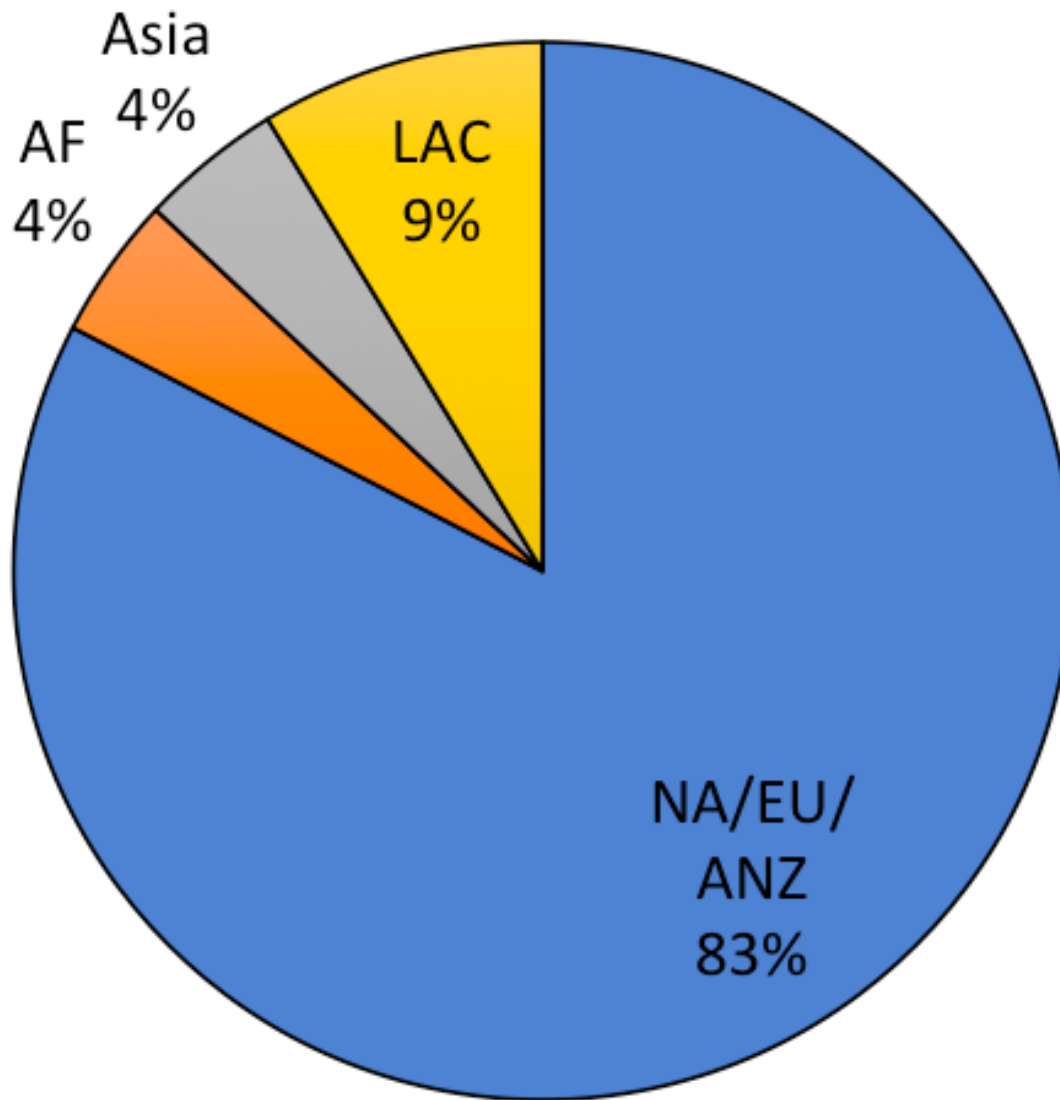Same comments apply to RrSG and RySG as SGs without constituencies

360 Results – Constituencies and SGs

	Exec cttee balanced	Encourages new people	Geographic diversity	Manages workload	Applies metrics	Accepts feedback
RySG	57%	74%	52%	70%	39%	65%
RRSG	75%	67%	75%	67%	50%	67%
NCSG	48%	40%	62%	31%	26%	57%
NCUC	52%	41%	59%	37%	33%	44%
NPOC	47%	35%	59%	35%	35%	53%
CSG	n/a	35%	56%		n/a	
CBUC	50%	50%	54%	46%	46%	50%
IPC	66%	38%	63%	50%	31%	66%
ISCPC	53%	47%	53%	53%	53%	53%
Average	56%	47%	59%	50%	43%	56%

Council Diversity

Area

AAPAC 26%
NA 44%
AF 4%
EU 17%
LAC 9%

Pie chart showing:
- Asia 4%
- AF 4%
- LAC 9%
- NA/EU/ANZ 83%

Enhance Constituencies

Initial Recommendations

- Define cultural diversity (ICANN Core Value 4)

- Monitor and publish relevant metrics (geographic, gender and cultural)

- GNSO and NomCom (in respect of GNSO Council) should take steps to increase diversity

- GNSO to maintain a public membership list for each Constituency, RrSG and RySG including diversity data

- Membership list to include SoIs

- If members represent clients, SoIs must include clients' interests

- Staff support for all constituencies, RrSG, RySG

(more recommendations to come)

Improve Coordination

BWG Recommendations	Implemented?
17. Council communications	Yes
18. More contact of chairs with SOs/ACs	*tba*

Basis for Assessment

We have received no comments or complaints about Council's communications
From the 360, communications with SOs/ACs are 57% positive – 43% negative
There is a longstanding problem with the GAC

Initial Recommendations

- The GAC-GNSO Consultation Group on Early Engagement continue,
- Consider how the GAC could appoint a non-voting liaisonto each GNSO PDP WG to provide timely (non-binding) input

Beyond the BWG Recommendations

⊙ Operating environment is changing

- ➢ GTLD Expansion

- ➢ IDNs

- ➢ WHOIS replacement

- ➢ Shift in centre of gravity of the Internet

Further work to come in this area – Recommendations in process

360 Responses – Aggregate (sample)

8. The Working Group model is effective in dealing with specific policy issues.

Answer Options	Response Percent	Response Count
Strongly Agree	15.5%	26
Agree	53.6%	90
Disagree	15.5%	26
Strongly Disagree	3.6%	6
No opinion	10.7%	18
Not applicable	1.2%	2
	answered question	168
	skipped question	82

9. GNSO's outputs:

Answer Options	Strongly agree	Agree	Disagree	Strongly disagree	No opinion	Not applicable	Response Count
GNSO's outputs have produced desired outcomes	12	88	38	5	20	5	168
GNSO's outputs are complete and thorough	19	84	33	7	22	3	168
GNSO's policy recommendations are timely	8	61	51	17	27	4	168
					answered question		168
					skipped question		82

10. GNSO communications and community responsiveness

Answer Options	Strongly agree	Agree	Disagree	Strongly disagree	No opinion	Not applicable	Response Count
GNSO provides me with sufficient and appropriate	30	95	22	5	13	3	168
GNSO communicates in plain language	11	77	53	14	12	1	168
GNSO seeks and incorporates community feedback on proposals	34	85	23	8	16	2	168
GNSO is effective in coordinating its work with other SOs and	16	59	47	7	35	4	168
					answered question		168
					skipped question		82

Discussion and Q&A

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Thank You