Introduction to Policy Development at ICANN

Goals for this Session
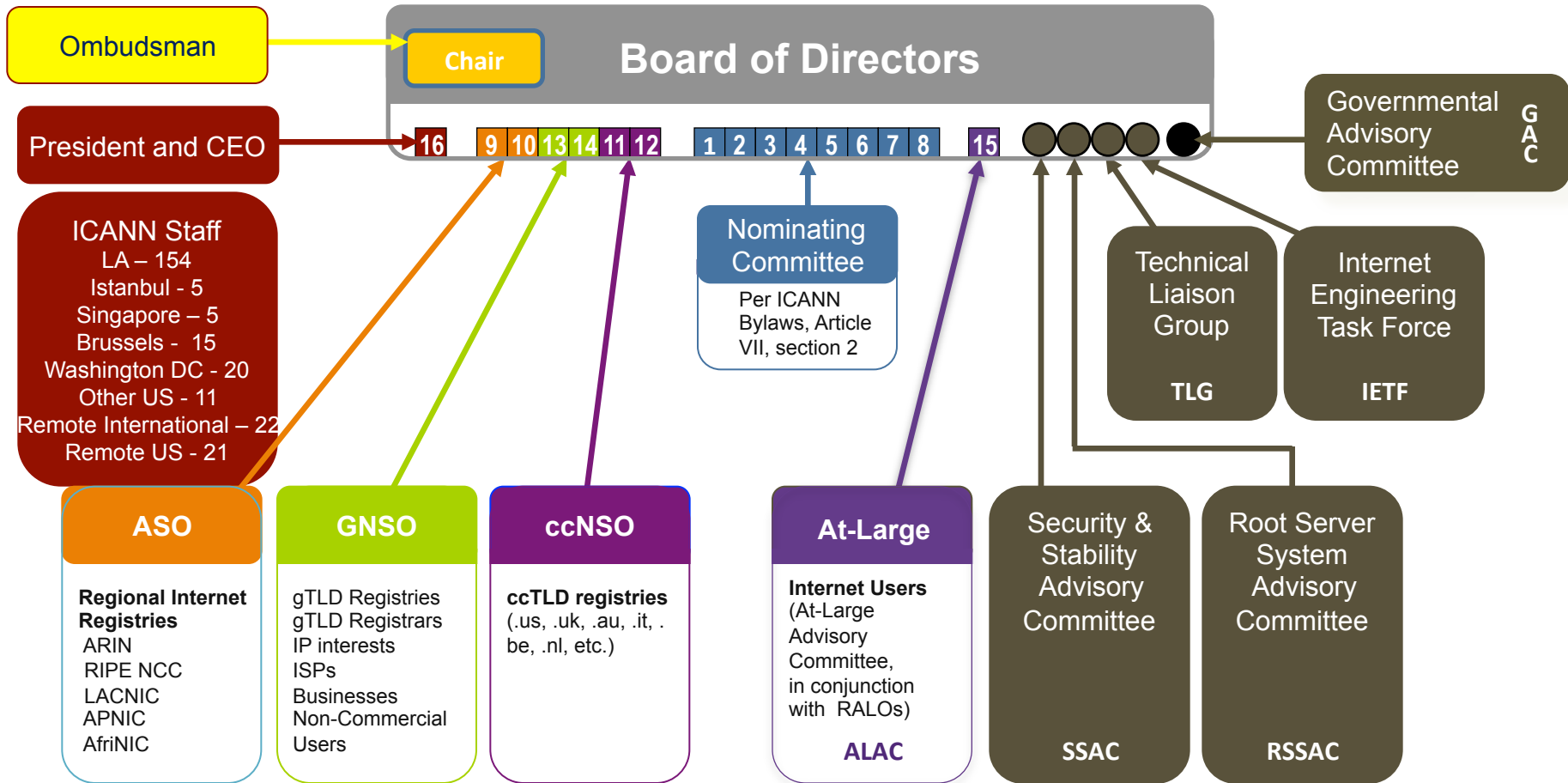
Review ICANN Policy Structures and Participation

Overview of Policy Development and Advisory Functions

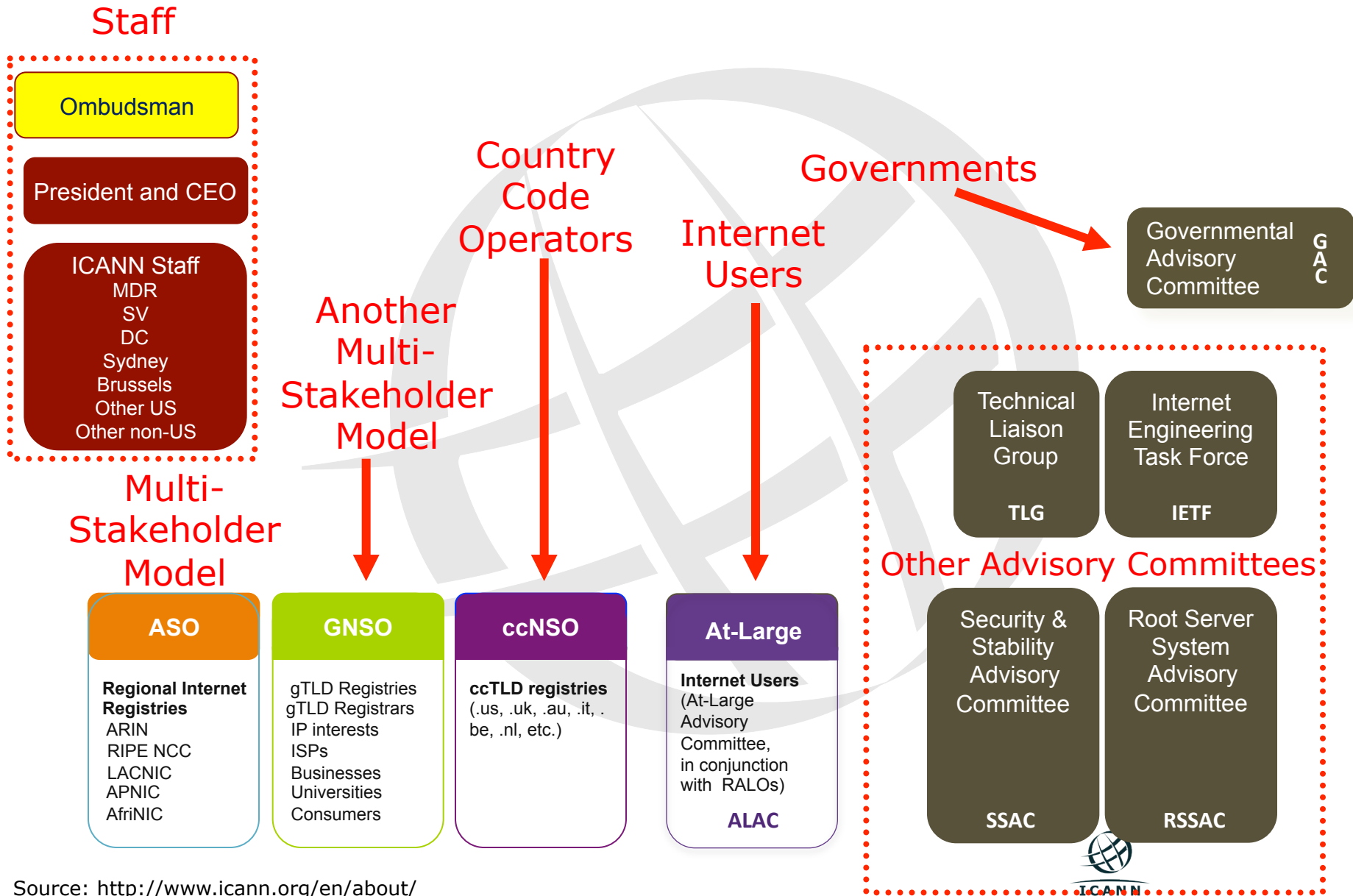How can you participate?

How to stay up to date & Introduction to ICANN Policy Staff

ICANN Multi-Stakeholder Model

Ombudsman

President and CEO

ICANN Staff
LA – 154
Istanbul - 5
Singapore – 5
Brussels - 15
Washington DC - 20
Other US - 11
Remote International – 22
Remote US - 21

Chair

Board of Directors

| 16 | | 9 | 10 | 13 | 14 | 11 | 12 | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | | 15 |

Governmental Advisory Committee GAC

Nominating Committee

Per ICANN Bylaws, Article VII, section 2

Technical Liaison Group

TLG

Internet Engineering Task Force

IETF

ASO

Regional Internet Registries
ARIN
RIPE NCC
LACNIC
APNIC
AfriNIC

GNSO

gTLD Registries
gTLD Registrars
IP interests
ISPs
Businesses
Non-Commercial Users

ccNSO

ccTLD registries
(.us, .uk, .au, .it, .be, .nl, etc.)

At-Large

Internet Users
(At-Large Advisory Committee, in conjunction with RALOs)

ALAC

Security & Stability Advisory Committee

SSAC

Root Server System Advisory Committee

RSSAC

Source: http://www.icann.org/en/about/

ICANN Multi-Stakeholder Model

Staff

Ombudsman

President and CEO

ICANN Staff
MDR
SV
DC
Sydney
Brussels
Other US
Other non-US

Multi-Stakeholder Model

Country Code Operators

Another Multi-Stakeholder Model

Internet Users

Governments

Governmental Advisory Committee **GAC**

Technical Liaison Group **TLG**

Internet Engineering Task Force **IETF**

Other Advisory Committees

ASO	**GNSO**	**ccNSO**	**At-Large**
Regional Internet Registries ARIN RIPE NCC LACNIC APNIC AfriNIC	gTLD Registries gTLD Registrars IP interests ISPs Businesses Universities Consumers	**ccTLD registries** (.us, .uk, .au, .it, .be, .nl, etc.)	**Internet Users** (At-Large Advisory Committee, in conjunction with RALOs) **ALAC**

Security & Stability Advisory Committee **SSAC**

Root Server System Advisory Committee **RSSAC**

ICANN

Policies Developed By:

ICANN Supporting Organizations (SO)

- **GNSO** – Generic Names Supporting Organization
- **ccNSO** – Country-Code Names Supporting Organization
- **ASO** – Address Supporting Organization

ICANN Advisory Committees (AC)

- **ALAC** – At-Large Advisory Committee
- **GAC** – Governmental Advisory Committee
- **SSAC** – Security & Stability Advisory Committee
- **RSSAC** – Root Server System Advisory Committee

ICANN Policy Participants

All Internet
Users (?)

Impacted
Community
(5000)

Working
Community
(1600)

Core
Volunteers
(300)
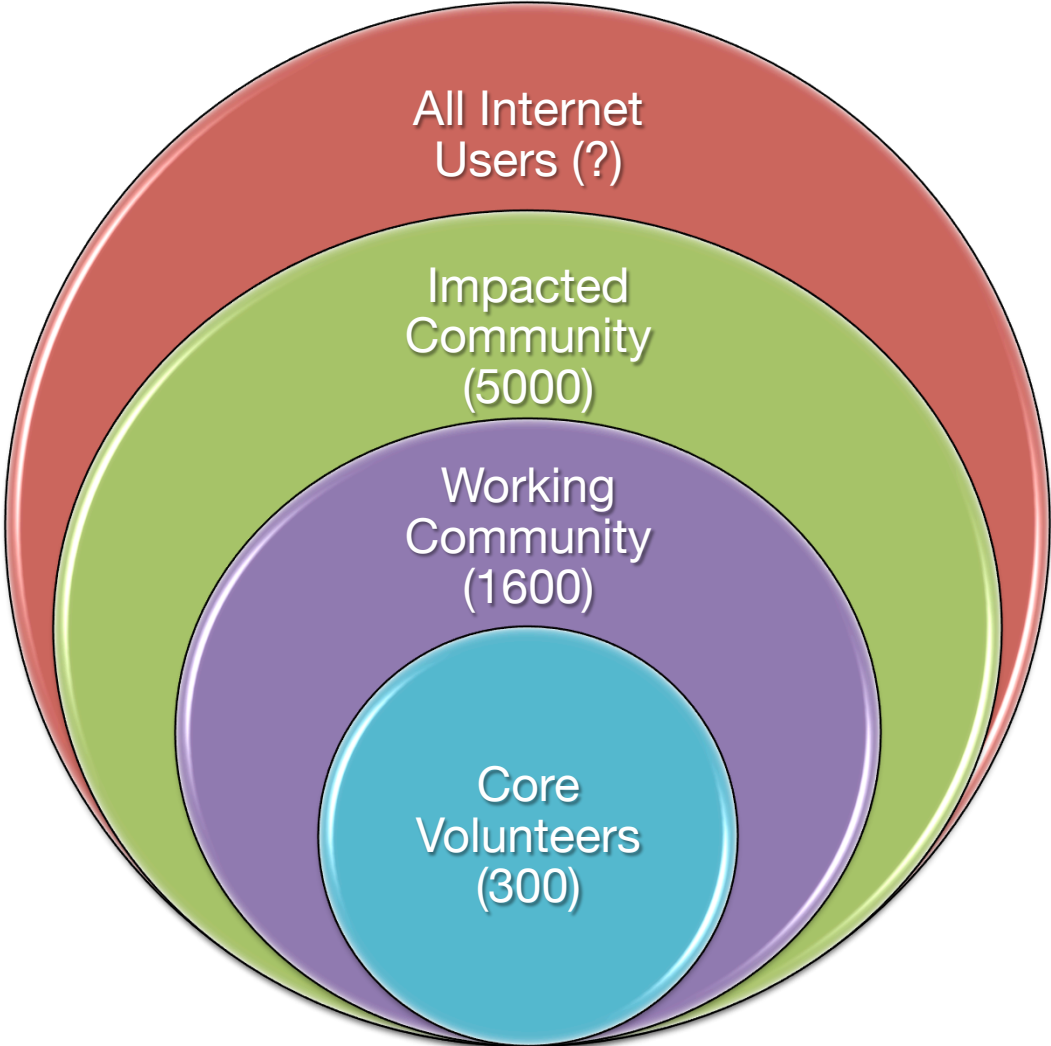
ICANN Supporting Organizations

Generic Names
Supporting Organization (GNSO)

What is the GNSO

- Generic Names Supporting Organization
- Responsible for policy development related to generic Top Level Domain
  (e.g. .com, .net, .info, .museum, .pro,)
- GNSO Council: 21 Councilors from 6 different constituencies / Stakeholder Groups & Nom Com appointees

Structure of GNSO
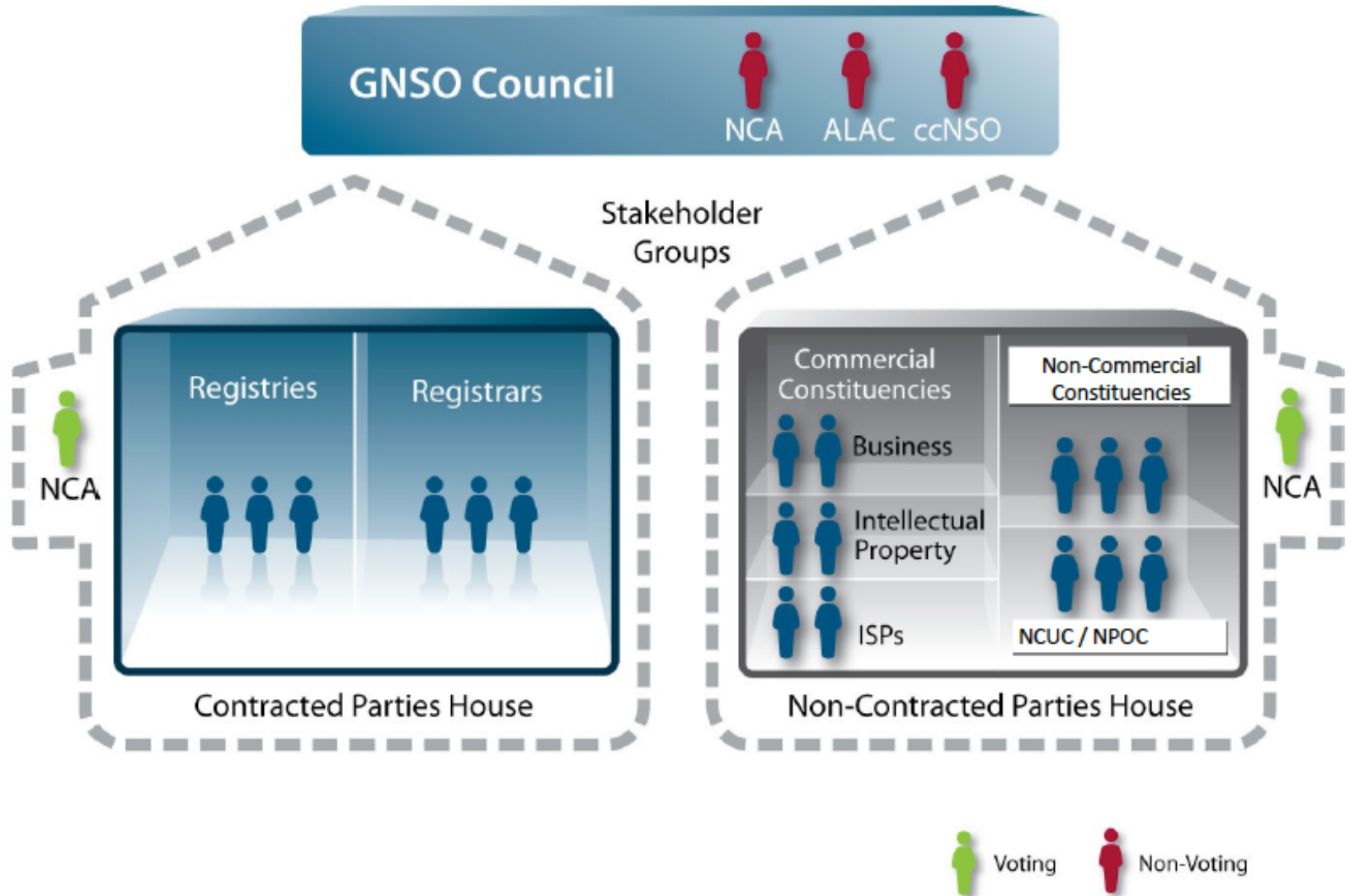
GNSO Community Structures

Registry Stakeholder Group

NTAG

Registrar Stakeholder Group

Non Commercial Stakeholder Group

NCUC

NPOC

Commercial Stakeholder Group

BC

ISPCP

IPC

GNSO Policy Development Process

Request for an Issues Report → Initiate Policy Development Process → Form Working Group → Gather Public Comments → Request for Stakeholder Group/ Constituency Statements → WG Initial Report → Gather Public Comments → WG Final Report → GNSO Council Deliberates → Recommendation to ICANN Board → Gather Public Comments → Board Vote → Implementation → Policy

GNSO Policy Development Process
*Summarized

*Some steps omitted, for brevity.
Process being revised during 2010

Concept of "Consensus Policies"

- ICANN Agreements (Registry and Registrar) require compliance with "Consensus Policies"
  - Follow a specific policy development process (PDP)
- Limited to specific topics ("Picket Fence"), such as:
  - Technical specifications
  - Security & Stability of Internet
  - Domain Contact Data (WHOIS)
- Examples of consensus policies include: Inter-Registrar Transfer Policy (IRTP); Uniform Dispute Resolution Policy (UDRP); Whois Data Reminder Policy

How can I participate in GNSO?

- Make a Public Comment
- Follow Mailing lists
- Join a Stakeholder Group or Constituency
- Volunteer to Join a Working Group
- Listen to/attend GNSO Council Meetings

Some of the topics currently under discussion

- Inter-Registrar Transfer Policy (IRTP)
- Proxy & Privacy Services Accreditation (PPSAI)
- Translation and transliteration of Internationalized Registration Data (T&T)
- Data and Metrics for Policy Making (DMPM)
- Policy & Implementation (PI)

For further information

- http://gnso.icann.org
- GNSO PDP - http://gnso.icann.org/en/basics/pdp-process.htm

Country Code Names
Supporting Organization (ccNSO)

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What is ccNSO?
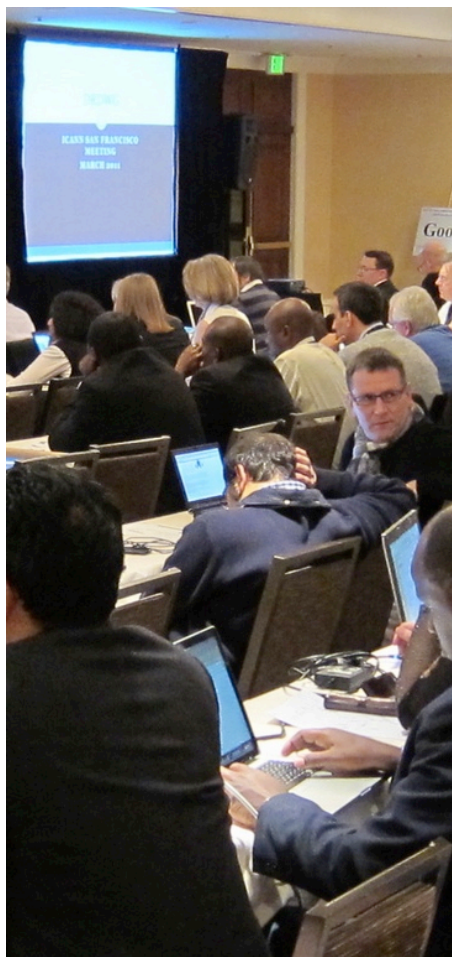
- Created for and by ccTLD Managers

- Forum to meet and discuss topical issues of concern to ccTLDs from a global perspective

- Also responsible for ccNSO PDP – limited set of issues relating to ccTLDs

- Members (149 out of 250 + ccTLD managers) - Council (18 Councilors)

ccNSO Membership (according to ICANN regions)

149 members
(April 2014)

5

39

47

25

33

Observers: AfTLD, APTLD, CENTR, LACTLD

What does the ccNSO do?

- **Exchange of information**
  - ccNSO meetings, Tech Day and workshops
- **Networking**
  - ccNSO dinner, lunches, working groups, ICANN meetings
- **Represent ccTLD community interests in ICANN**
  - ccNSO Statements and Positions
  - Input into ICANN Strat and Ops Plan (SOP WG)
  - New model financial contribution ccTLD's (Finance WG)
  - Meetings with Board, GAC, GNSO SSAC and others
- **Policy**

What does the ccNSO do?
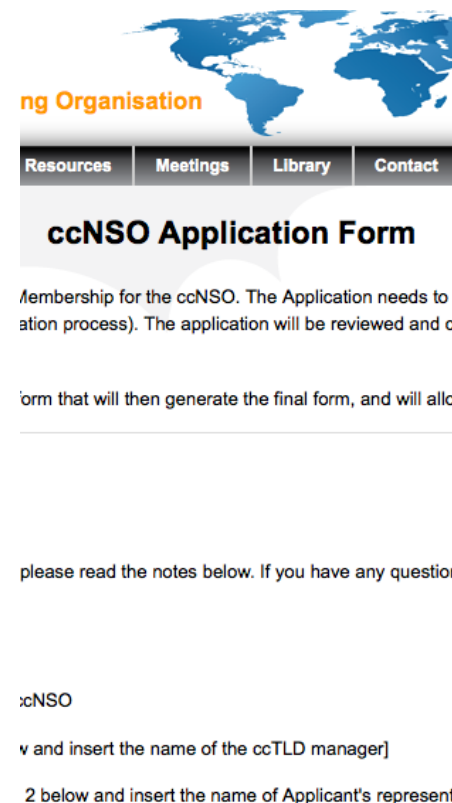
- **Policy Development**
  - Limited scope (Annex C bylaws)
  - Current ccPolicy Development Process (PDP)
    - Overall policy selection of IDN ccTLD strings
    - Inclusion of Internationalized Domain Names (IDN) ccTLDs in ccNSO
  - Future PDP: retirement of (IDN)ccTLD's

- **Policy Related Work**
  - Interpretation RFC 1591, GAC Principles (policies delegation and re-delegation of ccTLD's
  - Study use of country and territory names as TLD's

How can I participate in the ccNSO?

- ccNSO application at ccnso.icann.org

- Membership to the ccNSO is limited to ccTLD Managers (see ICANN Bylaws Article IX (4)(1)).

- No membership fees charged by the ccNSO.

For further information

- http://ccnso.icann.org/
- ccNSO PDP - http://ccnso.icann.org/policy/policy-development.htm

Address
Supporting Organization (ASO)

Background: RIRs, NRO and the ASO

- What is an RIR?
  - Regional Internet Registry (RIR). There are five RIRs; AfriNIC, APNIC, ARIN, LACNIC and RIPE and they cooperate thru the NRO, the Number Resource Organization.
- What is the ASO?
  - The Address Supporting Organization, set up through an MoU between ICANN and the NRO.
  - One major task of the ASO is to handle Global Policy Proposals.

Background: Global Policies

- What is a "Global Policy"?
  - The RIRs develop many regional addressing policies.
  - Only very few policies affect IANA and only those are called "Global Policies".

- Recent Global Policy Proposal:
  - Recovered IPv4 Address Space, "Post Exhaustion"

Global Policy Proposal:
Recovered IPv4 "Post Exhaustion"
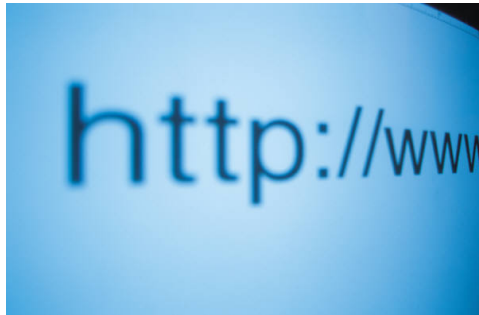
- The proposal enables IANA to handle recovered IPv4 address space and allocate smaller blocks than before.

- *The ICANN Board at its 6 May 2012 meeting ratified a policy proposal developed by consensus among the five Regional Internet Registries for handling IPv4 address space returned from the RIRs to IANA.*

- IANA will establish and administer a pool of returned address space to be allocated to all RIRs.

For further information

- http://aso.icann.org/

ICANN Advisory Committees

Advisory Committees (AC)

In addition to SOs, there are ACs that are responsible for providing the ICANN Board with direct advice:
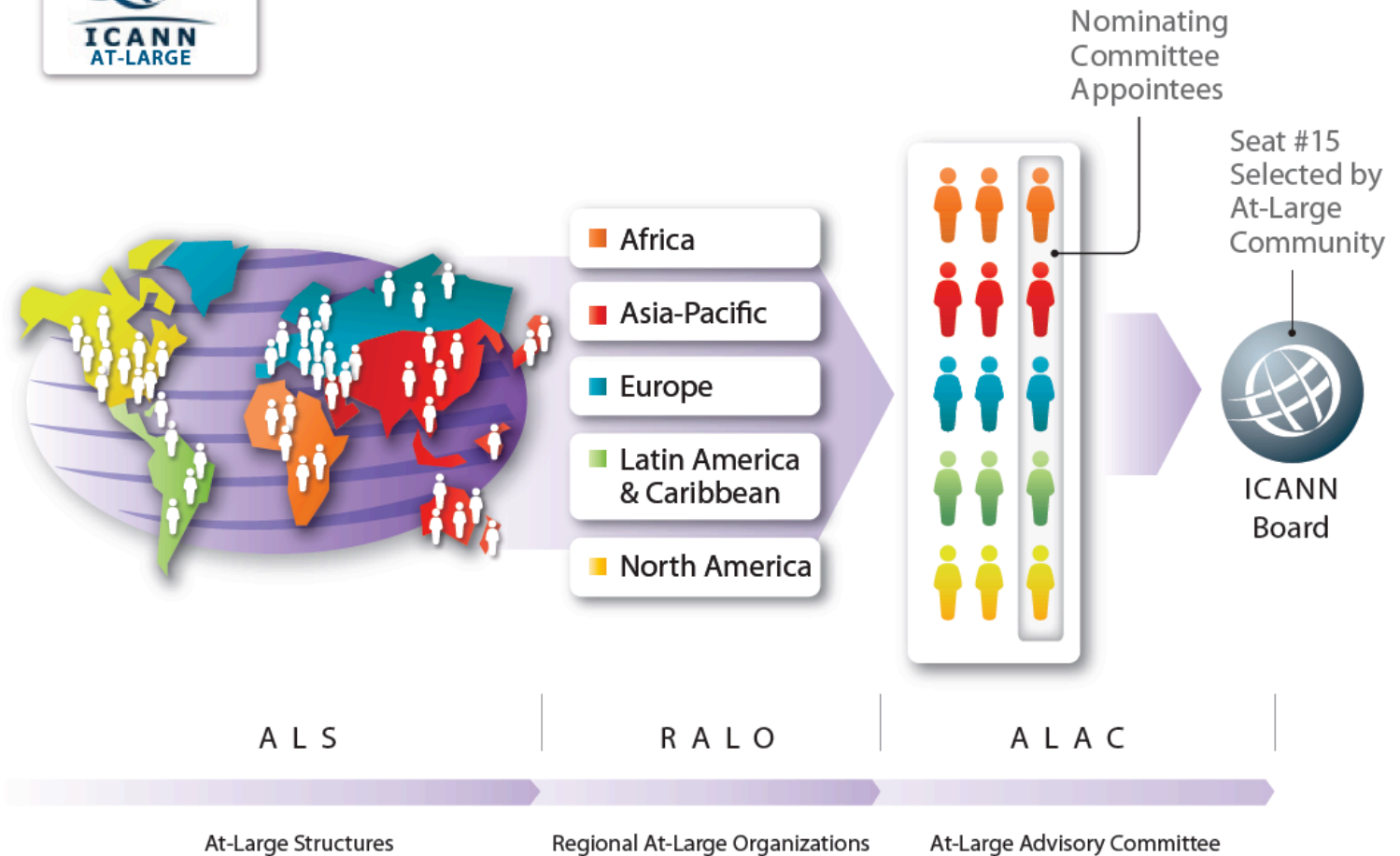
- At-Large AC (ALAC)
- Governmental AC (GAC)
- Security and Stability AC (SSAC)
- Root Server System AC (RSSAC)

What is At-Large?

- Community of Individual Internet Users : 150+ At-Large Structures (ALS)
- An ALS is a group (computer clubs, associations, learning centers etc.) representing the views of individual Internet users
- They are active throughout the world

- What does it the At-Large Advisory Committee (ALAC) do?
  - Issue comments in response to ICANN public comment requests.
  - Issue comments on any subject, process, structure, policy or topic which it deems important to comment on in ICANN.
  - Issue comments about any external process linked to ICANN in one way or other and which affects Internet users.
  - Takes part in cross-community working groups (CWGs) within ICANN.
  - Relays the ICANN message to Internet Users around the world
  - Coordinates the Filing of new gTLD objections from the community

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At-Large Organizational Diagram

Nominating Committee Appointees

Seat #15 Selected by At-Large Community

- Africa
- Asia-Pacific
- Europe
- Latin America & Caribbean
- North America

ICANN Board

A L S

R A L O

A L A C

At-Large Structures

Regional At-Large Organizations

At-Large Advisory Committee

Map is for representational purposes only.
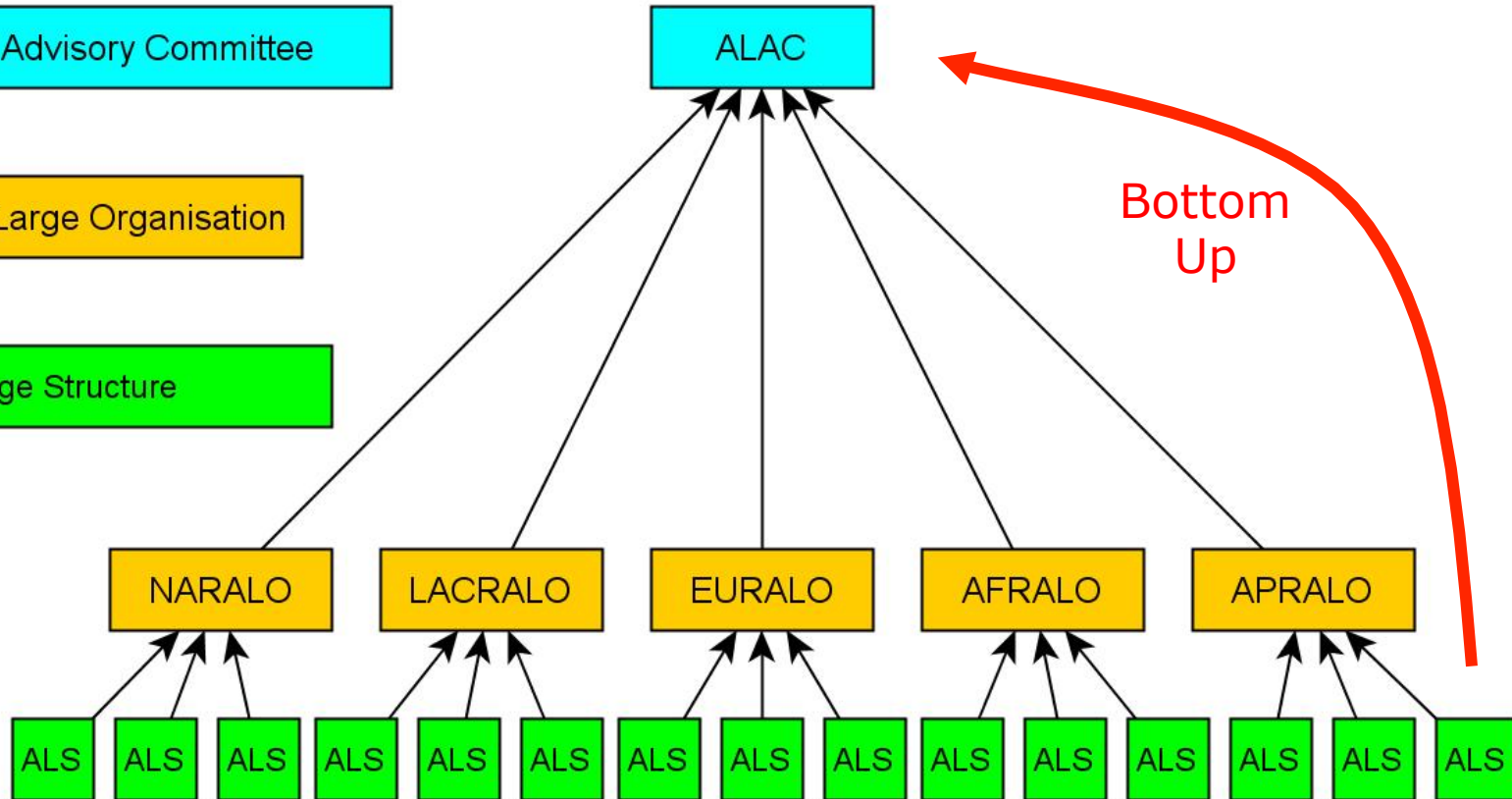For more detailed information see the Google Map of the RALOs and ALSes at: http://www.atlarge.icann.org/maps/
Full country to region list: http://www.icann.org/en/meetings/montreal/geo-regions-topic.htm

At-Large Bottom-up to the ALAC

ALAC: At-Large Advisory Committee

ALAC

Bottom Up

RALO: Regional At-Large Organisation

ALS: At-Large Structure

NARALO   LACRALO   EURALO   AFRALO   APRALO

ALS ALS ALS   ALS ALS ALS   ALS ALS ALS   ALS ALS ALS   ALS ALS ALS

Example of At-Large Structure Input

Bottom-up process

COMMENTS

ALAC CARRIES STATEMENT → ALAC ASKS FOR COMMENTS

IS THERE CONSENSUS AT ALAC LEVEL? — YES

AMEND STATEMENT → ALAC ISSUES STATEMENT → IS STATEMENT RATIFIED? — YES → STATEMENT RELEASED

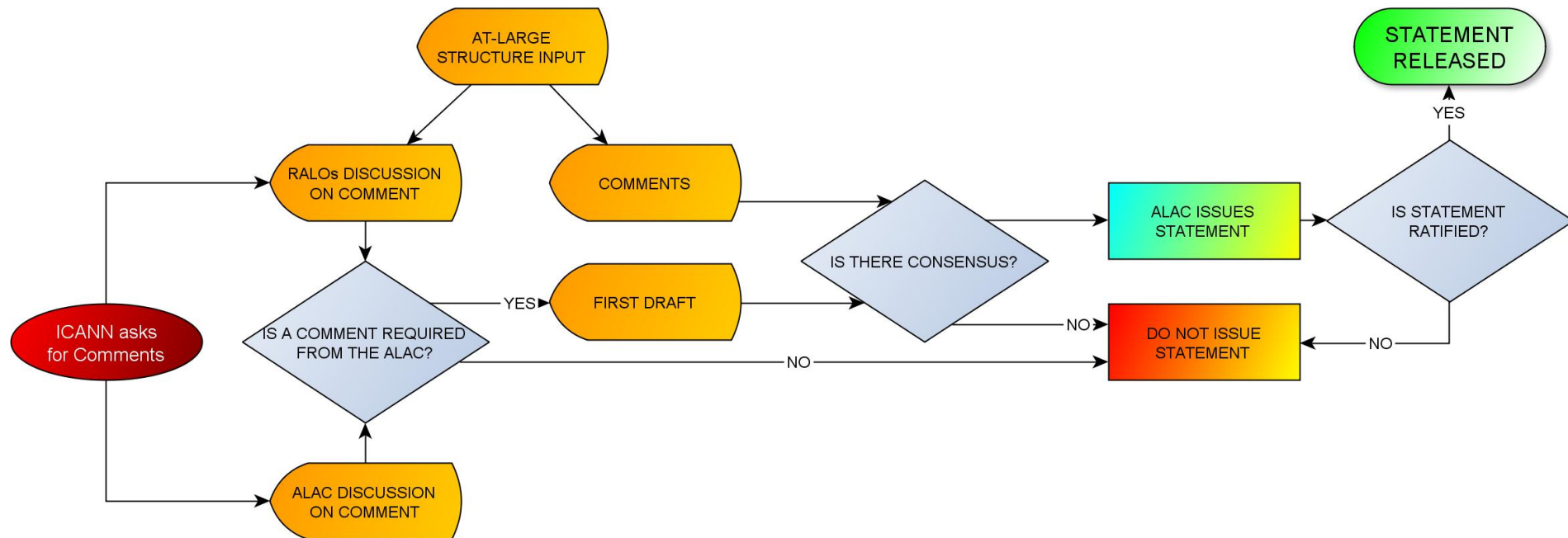NO → DO NOT ISSUE STATEMENT ← NO

NO
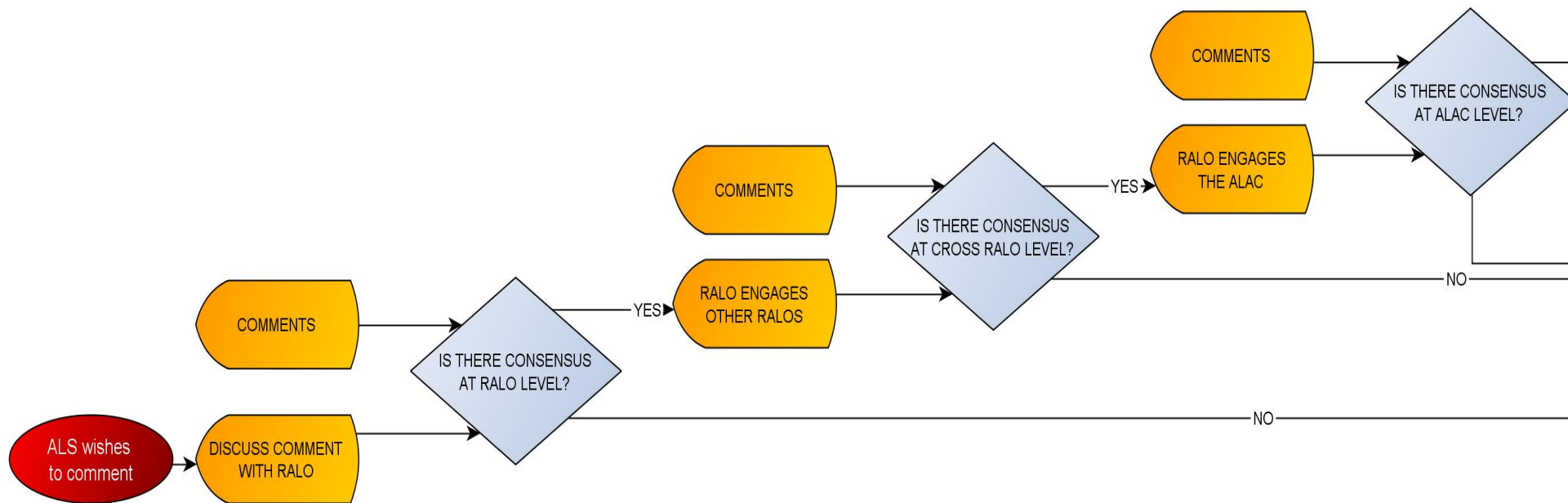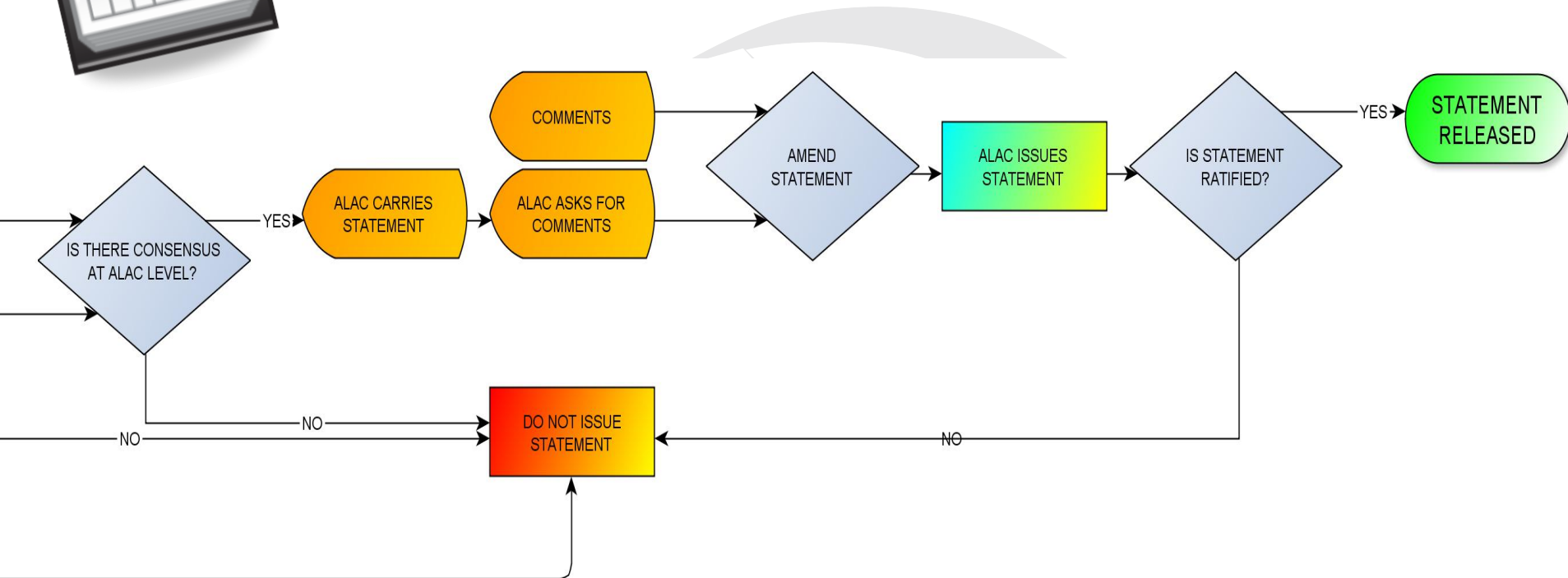
ALAC DISCUSSION   ALAC VOTE   STATEMENT RELEASED

Example of At-Large Structure Input

Response to Public Comment Request

Bottom-up process

Some Important Links

Some important links:

At-Large Correspondence:
http://www.atlarge.icann.org/correspondence

At-Large Policy Development
https://community.icann.org/x/bwFO

At-Large Working Groups
https://community.icann.org/x/loIi

GAC

- The Governmental Advisory Committee's (GAC) key role is to provide advice to ICANN on issues of public policy, and especially where there may be an interaction between ICANN's activities or policies and national laws or international agreements.

- The GAC usually meets three times a year in conjunction with ICANN meetings

- Currently over 130 members plus 30 observers

- More information: http://gacweb.icann.org

SSAC

- Security Stability Advisory Committee (SSAC) advises the ICANN community and Board on matters relating to the security and integrity of the Internet's naming and address allocation systems.

- This includes operational matters (e.g., matters pertaining to the correct and reliable operation of the root name system), administrative matters (e.g., matters pertaining to address allocation and Internet number assignment), and registration matters (e.g., matters pertaining to registry and registrar services such as WHOIS)

- In addition, SSAC engages in ongoing threat assessment and risk analysis of the Internet naming and address allocation services

- Currently 38 members

- More information: http://www.icann.org/en/groups/ssac

RSSAC

- Root Server System Advisory Committee (RSSAC) considers and provides advice on the operational requirements of root name servers, including host hardware capacities, operating systems and name server software versions, network connectivity and physical environment

- RSSAC examines and advises on the security aspects of the root name server system and reviews the number, location, and distribution of root name servers considering the total system performance, robustness, and reliability

- It includes representatives of organizations responsible for operating the world's thirteen root nameservers and other organizations concerned with stable technical operation of the authoritative root server system

- More information: http://www.icann.org/en/groups/rssac

How to Stay Updated

Policy Update Monthly

- Published mid-month

- Read online at:
  http://www.icann.org/en/topics/policy/

- Subscribe at:
  http://www.icann.org/en/topics/policy/

- Available in Arabic, Chinese, English, French, Russian, and Spanish

Participate in the Policy Update Webinar

- Prior to every ICANN meeting

- Latest updates on policy related developments as well as information on events taking place at ICANN meeting

- See http://www.icann.org/en/news/announcements for further information

ICANN Policy Staff

ICANN Policy Staff

Global Mission

- 24 Staff
- 9 Countries
- 5 Time Zones
- 12 Languages (4 UN)
- Subject Experts / Secretariat Support

"Support ...
bottom-up, consensus policies and guidelines ..."

ICANN Policy Team Goals

Help Our Community

- Create policies and guidelines that are:
  - Implementable and effective
  - Developed through a highly participative, fair, and balanced process in a timely and efficient way

Support Our Community

- Engage and support the participation of all necessary stakeholders
- Inform and educate stakeholders

Manage Processes

- Manage the policy process efficiently and effectively to benefit the global Internet community
- …frequently as important as outcomes

Policy Development Support Team

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Vice President, Policy Development (Turkey)

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Senior Policy Director, ccNSO (Netherlands)

Steve Chan
Senior Policy Manager (USA)

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Policy Specialist (Belgium)

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Manager, At-Large Regional Affairs (USA)

Mary Wong
Senior Policy Director (USA)

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Thank You & Questions?

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Contact us at policy-staff@icann.org