

## Planning IANA Policy Gap Roadmap

Version 2 - 4 December 2023

See end of doc for draft workshop thinking (from Jordan) [here](#).

Change log:

- Goal statement updated
- Update Planning Phase 2
  - Subsection A - Distinguish between overview of applicable policies and “cleaning- up” RFC 1591 in combination with FoI.
  - Subsection B – Clarify methods and add Exchange of Letters and no action
  - Add Use Implementation Outline as start of discussion/create chartered group to review implementation (like 2009 DRDWG: <https://ccnso.icann.org/en/workinggroups/drdwg.htm>)

**Goal: Develop a reasonable approach to consolidated overview of ccTLD related policies, identify applicable areas of RFC1591 & FoI, IANA policy gaps and how to address these gaps.**

## Planning

### Phase 1: Introducing IANA policy Gaps

- Council workshop ICANN78
- IANA update in Hamburg (Tuesday block 1)
- Council decision to mandate group to take develop Roadmap

### Phase 2: Develop Roadmap to address IANA Policy Gaps (November 2023- February 2024)

Roadmap to be completed before ICANN79. Roadmap to include:

- a. Consolidated overview of applicable policies
- b. Issues overview/GAP analysis
- c. Overview of methods to address issues
- d. Selection issue/method combination
- e. Priorities - Schedule of activities

#### A. Identified issues to date (November 2023) which may need to be addressed

- Identify and consolidate the current policy framework. Effectively these are two different items:
  - 1. WHAT-IS: What are currently the applicable policies, where can they be found and how are they implemented.
  - 2. Principles and mechanism RFC1591 in combination with the FoI (framework of Interpretation).

Source KD analysis of RFC1591/ICP-1 circulated 22 August 2023. Note, status of implementation of some parts is unknown.

From notes 12 September - First explore how do we want the future to look? Set of RFC's, policies that guide icann, FOI processes? Do we want a simple, complete policy framework?

There is an opportunity for the ccNSO to step into its ownership of this space. Preferring to extracting the key elements. Would not change the underlying principles.  
Basic document Kim D, circulated August)

- Understanding & Analysis of the gaps in the current policy framework. IANA (KD) identified various areas of issues in his 22 August informal note to the ad-hoc group:
  - Where there is a specific gap (Lebanon case was a trigger), the meta-issues that are not interpretational challenges, but nonetheless warrant review (policy derived from 1591, not being clear to customers).
  - Interpretation of how to deal with things (.eu, .su etc for example board resolutions from 2000). 2000 Board resolution used to interpret eligibility of ccTLDs. Board resolution on FoI: The FOI suggested ICP1 should be terminated back in the day. Not applied, but the resolution to adopt is still in the books. Not sure if this is a gap, but definitely worth a review.

Main question and need for analysis: is an identified gap

- Gap in Policy?
- Gap in Guidance on Interpretation of Policy (Board/IANA, ccTLD, others)?
- Gap in implementation?
- Unforeseen Incident?

Example: need for a caretaker. In case of transfer of ccTLD assumption that end -of delegation is with consent ( what if no current ccTLD manager is not able to give consent/incommunicado), directly followed by new delegation. What if a timelapse between the end-of-the delegation and the new delegation? Is this a gap in policy? Gap in guidance? Implementation issue?

- Other Gaps: see Informal Note KD August 2023

Observations re Identified Issues

- There might be issues that emerge that would fit in a comprehensive policy framework. What needs to go under a global policy?
- Be careful about starting activity without a clear destination. Scoping and understanding the landscape before we start is vital.

## **B. Identified methods to address issues**

- a. Identify methods to address issues
  - i. Policy Development Process (As defined in Annex B and within scope of Annex C)
  - ii. Cross Community group making recommendations to the Board and IANA on how to interpret the current policy (see FoI - <https://www.icann.org/en/board-activities-and-meetings/materials/approved-resolutions-regular-meeting-of-the-icann-board-25-06-2015-en#1.d> ) – note the related discussion with the GAC and related GAC -communique: <https://gac.icann.org/advice/communiqués/gac-52-singapore-communique.pdf> )  
Second example: At request of the Board Joint ccNSO--SSAC Group on introducing The Risk Mitigating panel, which is part of the Fast Track process.

- iii. Working Group to develop voluntary Advice/Best Practice for ccTLDs (from Article 10. 1 However, the ccNSO may also engage in other activities authorized by its members. **Adherence to the results of these activities will be voluntary** and such activities may include: **seeking to develop voluntary best practices for ccTLD managers**, assisting in skills building within the global community of ccTLD managers, and enhancing operational and technical cooperation among ccTLD managers.)

Study Group with light weight advice PTI and ccTLDs (examples: wildcards study group, Emoji Study Group, pending: advice to ccTLDs on IDN tables)

- iv. Review Implementation, fact finding. see Annex A of the Outline ccNSO Policy Implementation Assistance Process (<https://ccnso.icann.org/sites/default/files/field-attached/outline-ccnso-policy-implementation-assistance-process-21sep23-en.pdf>) Example: Fact Finding by DRDWG: <https://ccnso.icann.org/en/workinggroups/drdwg.htm>. This resulted in FoI Process and Retirement and Review Mechanism policy.
- v. Exchange of letters between ccNSO and ICANN (example start of the .lb case: ICANN informing ccNSO Council of decision and event, Council acknowledging event. Up to Council /ccNSO to take further action and start to ascertain if policy is needed and inform ICANN accordingly).
- vi. No action

**C. Analysis and selection of issue/method combination**

Use Pro – Con – Fixes Analysis per topic/method  
Select Issue/method combination

**D. Prioritize Select order to address issues**

Use impact/effort analysis  
Results in schedule of work items: what to do first etc.

**Phase 3 Community and Council Consultation – Adoption Roadmap**

- Present Roadmap to Council ( Workshop ICANN79): seek feed-back
- ccTLD Community Discussion Roadmap (ICANN79) (2\* 60 minutes block)

Question: Inform other stakeholders? GAC, Board, Regional Organizations, others?

- Update roadmap if needed
- Council decision adoption Roadmap

**Phase 4 Execute Roadmap**

Includes drafting charters/ call for volunteers/ alerting others etc.

Possible approach to ICANN79 workshops