

Continuous Improvement Program Community Coordination Group (CIP-CCG)

APRALO Submission

APRALO CIP Small Team Members

APRALO Monthly Call

18 July 2024



Agenda

- ⊙ **About the CIP-CCG**
 - Background and Challenge
 - Solution

- ⊙ **CIP-CCG Membership, Timeline**

- ⊙ **APRALO CIP-CCG Representatives & APRALO CIP Small Team**

- ⊙ **Draft APRALO Submission to CIP-CCG**

- ⊙ **Next Steps for APRALO**

The CIP-CCG: Background and Challenge

BACKGROUND

- **Third Accountability and Transparency Review (ATRT3) Rec 3.6** calls for Organizational Reviews (ORs) to be evolved into a Continuous Improvement Program (CIP)
- ICANN Bylaw-mandated ORs assess effectiveness of Supporting Organizations (SOs) and Advisory Committees (ACs)
 - (i) continuing purpose in the ICANN structure;
 - (ii) any change in structure/operations to improve effectiveness;
 - (iii) accountability to its constituencies, stakeholder groups, organizations, and other stakeholders.

CHALLENGE

- Community-perceived shortcomings of ORs - understanding and competence of Independent Examiners and quality of produced recommendations
- Deferring of next Organizational Reviews cycle to consider implementation of CIP

The CIP-CCG as the Solution

SOLUTION

- ATRT3 Rec 3.6 to replace Organizational Reviews with a **Continuous Improvement Program** to hold the SO/AC/NomCom accountable to their stakeholders, focused on meaningful changes to improve their structures.
- ICANN org proposed a **Continuous Improvement Program Community Coordination Group (CIP-CCG)** to convene in January 2024, with goal of **developing a framework for implementation of ATRT3 Rec. 3.6.**

CIP FRAMEWORK

- Specifically, the CIP-CCG will:
 1. **Develop a shared understanding** of the meaning of Continuous Improvement in the context of ATRT3 recommendation 3.6,
 2. **Consider** a range of **methodologies** for effective continuous improvement programs,
 3. **Agree on the methodology** that is fit for ICANN purpose, and
 4. **Formulate a CIP Framework**, to be used by each SO, AC, and NomCom.

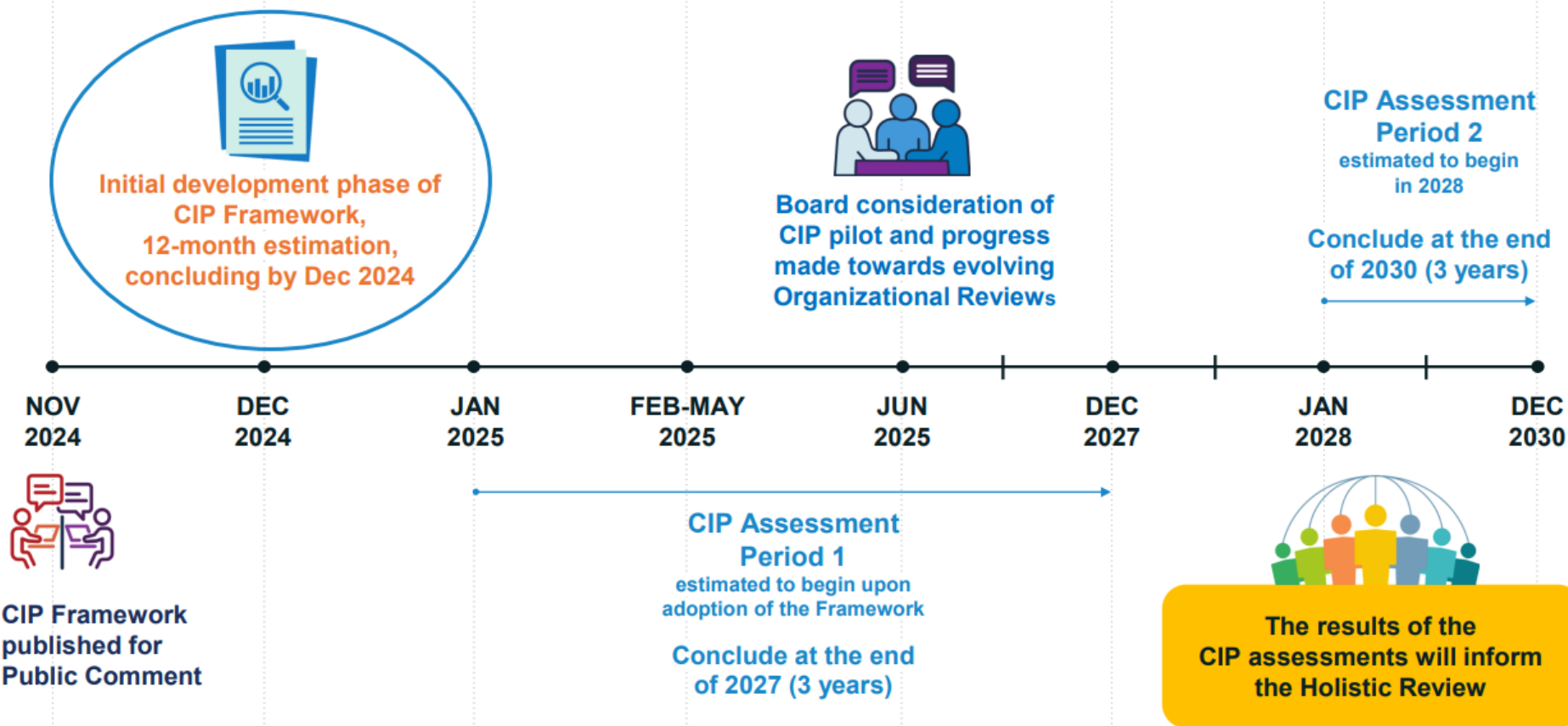
PRINCIPLES

CRITERIA

SMART
INDICATORS

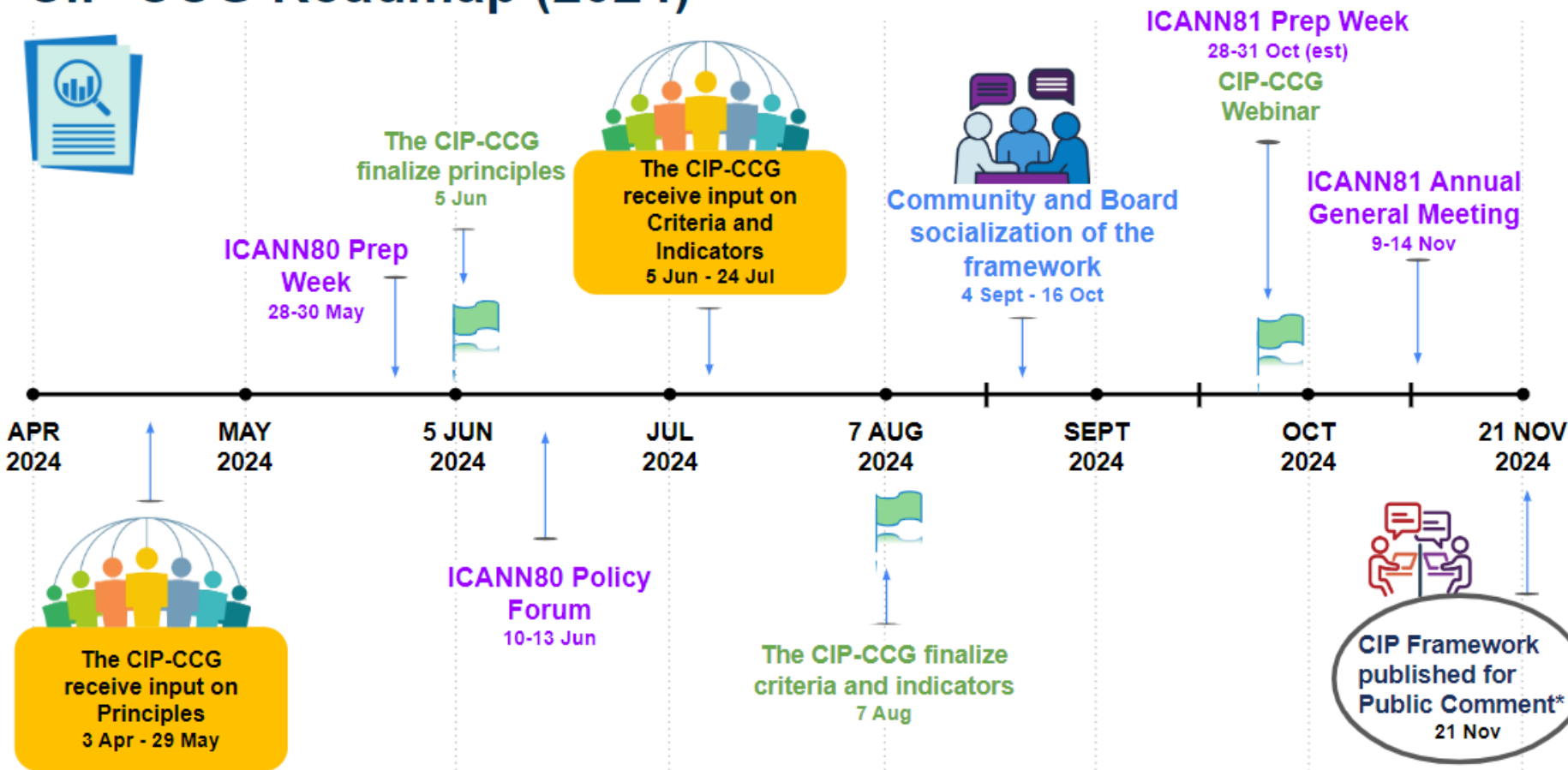
Overall CIP Roadmap, Timeline

Continuous Improvement Program Roadmap



High Level Timeline for Year 2024

CIP-CCG Roadmap (2024)



*The CIP-CCG will address input received and revise the CIP Framework, where relevant.

CIP-CCG Composition

⊙ Supporting Organizations (SO)

- GNSO Council
- NPOC
- BC
- ISPCP
- RySG
- RrSG
- IPC
- NCUC
- ccNSO

⊙ Advisory Committees (AC)

- ALAC
- AFRALO
- **APRALO**
- EURALO
- LACRALO
- NARALO
- GAC
- RSSAC
- SSAC

⊙ Nominating Committee (NomCom)

APRALO CIP-CCG Reps & APRALO CIP Small Team

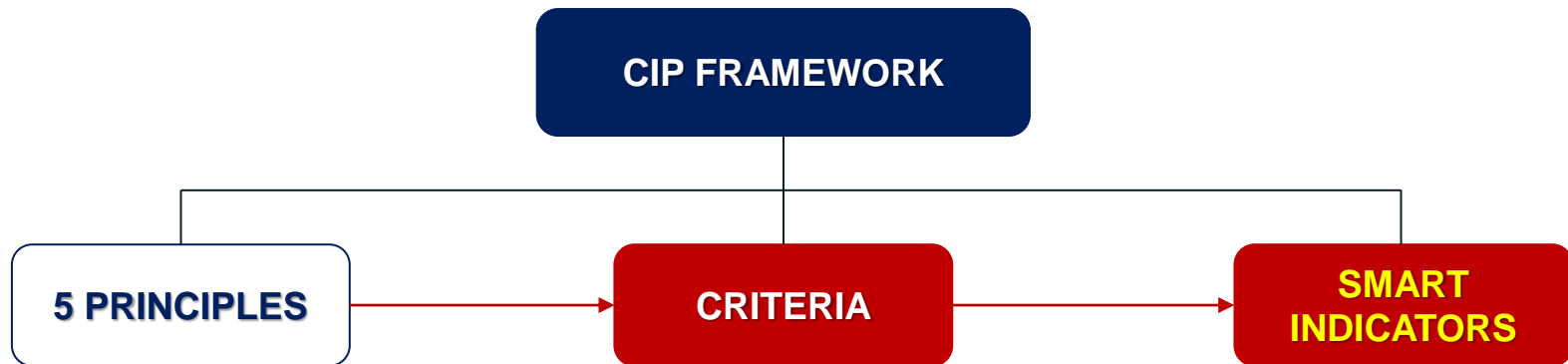
- ◎ **APRALO Representatives to the CIP-CCG**

- Amrita Choudhury (Representative)
- Justine Chew (Alternate)

- **APRALO CIP Small Team**

- | | |
|--------------------------|----------------------|
| 1. Amrita Choudhury | 8. Ashirwad Tripathy |
| 2. Justine Chew | 9. Phyo Thiri Lwin |
| 3. Cheryl Langdon-Orr | 10. Faheem Soomro |
| 4. Barkha Manral | 11. Priyatosh Jana |
| 5. Pranav Bhaskar Tiwari | 12. Adarsh Bu |
| 6. Jasmine Ko | 13. (Ali Al-Meshal) |
| 7. Shita Laksmi | |

CIP-CCG Framework – Draft APRALO CI Submission



Principle 1: The APRALO is fulfilling its purpose.

Principle 2: The structure of APRALO is effective.

Principle 3: The operations of APRALO are efficient.

Principle 4: The APRALO is accountable internally to its ~~stakeholders and/or substructures (where applicable)~~, and externally to the wider ICANN community.

Principle 5: The SO, AC or ~~NomCom~~ APRALO collaborates ~~within the ICANN community~~ to further the mission of ICANN and the effectiveness of the ICANN ~~bottom-up~~ multistakeholder model.

Draft APRALO CI Submission to CIP-CCG

5 PRINCIPLES

19 CRITERIA

61 SMART INDICATORS

<p>PRINCIPLE 1 Fulfilling purpose</p>	<p><u>Criterion 1</u> Fulfilling purpose, contributing to ICANN mission</p>	<p><u>Criterion 2</u> Contributing to global public interest</p>	<p><u>Criterion 3</u> Contributes to ICANN Strategic Plan (FY21-25) esp. MSM gov</p>				
<p>PRINCIPLE 2 Effective structure</p>	<p><u>Criterion 1</u> Has WG, processes to address annual goals, priorities</p>	<p><u>Criterion 5</u> Processes for assessing, measuring output</p>					
<p>PRINCIPLE 3 Efficient operations</p>	<p><u>Criterion 1</u> Processes promote planning, setting priorities, identify emerging issues</p>	<p><u>Criterion 2</u> Assesses input to scope of responsibility</p>	<p><u>Criteria 3</u> Develops and recommends internal and external outputs for its purpose</p>	<p><u>Criterion 5</u> Workload managed</p>	<p><u>Criterion 6</u> Nurtures consensus within membership</p>	<p><u>Criterion 9</u> Appropriate level of funding support</p>	
<p>PRINCIPLE 4 Accountable internally & externally</p>	<p><u>Criterion 3</u> Accountable to membership in recruiting, development, maintenance</p>	<p><u>Criterion 4</u> Support and resources for recruiting and onboarding newcomers</p>	<p><u>Criterion 5</u> Maintaining skill sets, technical expertise needed through capacity building</p>	<p><u>Criterion 6</u> Maintaining levels of active participation</p>	<p><u>Criterion 7</u> maintains sufficient levels of utility and transparency</p>	<p><u>Criterion 9</u> Implemented prior review recommendations</p>	<p><u>Criterion 10</u> Processes to assess, prioritize and implement CIP outputs</p>
<p>PRINCIPLE 5 Collaborates to further ICANN mission & MSM</p>	<p><u>Criterion 1</u> Processes for communicating & coordinating within ICANN</p>	<p>Note: The criteria identified above may not be numbered consecutively because the Small Team recommends either consolidation or dropping of some criteria from an original list of 33 criteria to avoid repetition and which can be addressed using common indicators</p> <p>*SMART means Specific, Measurable, Achievable, Relevant, and Time-Bound</p>					



Draft APRALO CI Submission – Examples of Indicators

- PRINCIPLE 2: The structure of APRALO is effective
- EDITED Principle 2 Criterion 1
 - The APRALO has **working groups or processes** to plan and address its goals and priorities each year, to help APRALO meet its purpose.

SMART INDICATORS

- **APRALO - Rules of Procedure (ROP) (Process)**
SMART Indicator 13: APRALO should continue to comply with its existing ROP of 2013, and if adopted shortly, the new ROP of 2024, in administering the various processes which it relies on (including membership categories, leadership selection process and leadership criteria, meetings)
- **Annual Outreach & Engagement Plan (Process)**
SMART Indicator 14: APRALO should continue to use the annual outreach and engagement planning process and encourage the inclusion of at least 1 engagement activity per ALS each year.
- **APRALO Policy Forum (Standing Working Group)**
SMART Indicator 16: APRALO should continue to utilise the APRALO Policy Forum (APF) as a standing work team to design and implement initiatives or activities which address APRALO's policy-related goals and priorities as identified through a Hot Topics Survey.
- **APRALO Small Teams (Working Groups)**
SMART Indicator 19: Continue to convene and utilise small teams (i.e. non-standing work teams) to engage APRALO Members in developing policy positions on issues of interest to APRALO that are being deliberated on in the ICANN community (such as GNSO policy development processes or ICANN public comment proceedings or ICANN Board consultations). Examples of more recent small teams are: (i) APRALO ROP WG, (ii) APRALO CIP-CCG Small Team, and (iii) APRALO Small Team on Draft Applicant Support Handbook.

Draft APRALO CI Submission – Examples of Indicators

- ⦿ PRINCIPLE 4: The APRALO is accountable internally to its substructures and externally to the wider ICANN community.
- ⦿ EDITED Principle 4 Criterion 3
 - The **APRALO is accountable to its membership** in recruiting, developing and maintaining membership needed to achieve its purpose.

SMART INDICATORS

- **SMART Indicator 44:** Continue to share and invite input from At-Large Structures (ALSes) and Unaffiliated Individual Members (UIMs) in participating in each annual Outreach & Engagement plan, discussing potential membership targets in general.
- **SMART Indicator 45:** Continue the regular practice of promptly announcing new ALSes accredited by ALAC to the APRALO community through the appropriate mailing lists and at APRALO Monthly calls.
- **SMART Indicator 46:** From 2025 onwards, regularise the practice of promptly announcing IMs accredited by APRALO Leadership Team through the appropriate mailing lists and at APRALO Monthly calls..

Next Steps for APRALO

Date	Action
15 July	Draft APRALO continuous improvement (CI) submission shared with APRALO community, Call for comments https://docs.google.com/document/d/183-E15qWUsfPsNXwySUWM9AOvvyvRpM-HqqqcYurhbw/edit?usp=sharing
18 July	Presentation of submission at APRALO Monthly Call, Q&A
22 July	Close of call for comments, clarification
23 July	Consensus call for APRALO Leadership Team
24 July	APRALO CI submission submitted to CIP-CCG