

Subsequent Procedures Implementation Review Team ASP Sub-Track

Meeting #21

Applicant Support Program

15 July 2024, 17:00-18:00 UTC



Agenda

Objectives: continue discussions with the aim of resolving proposed updates to v3 of ASP Handbook

Agenda:

1. Proposal to add open and close dates to the ASP Handbook
(dependent on confirming updates to v3)
2. Continue discussion from [11 July 2024](#):
 - a. Potential updates to Financial Need indicators on ownership or control by an entity that would not meet Financial Need criterion
3. Updating ASP Handbook placeholders for unresolved dependencies

Adding Open and Close Dates to the ASP Handbook

Agenda Item #1

ASP Open and Close Dates

ASP Application Submission Period:

- Open 19 November 2024
- Close 19 November 2025

Continue Discussion from 11 July 2024

Agenda Item #2

Financial Need

[Excerpted from Handbook]

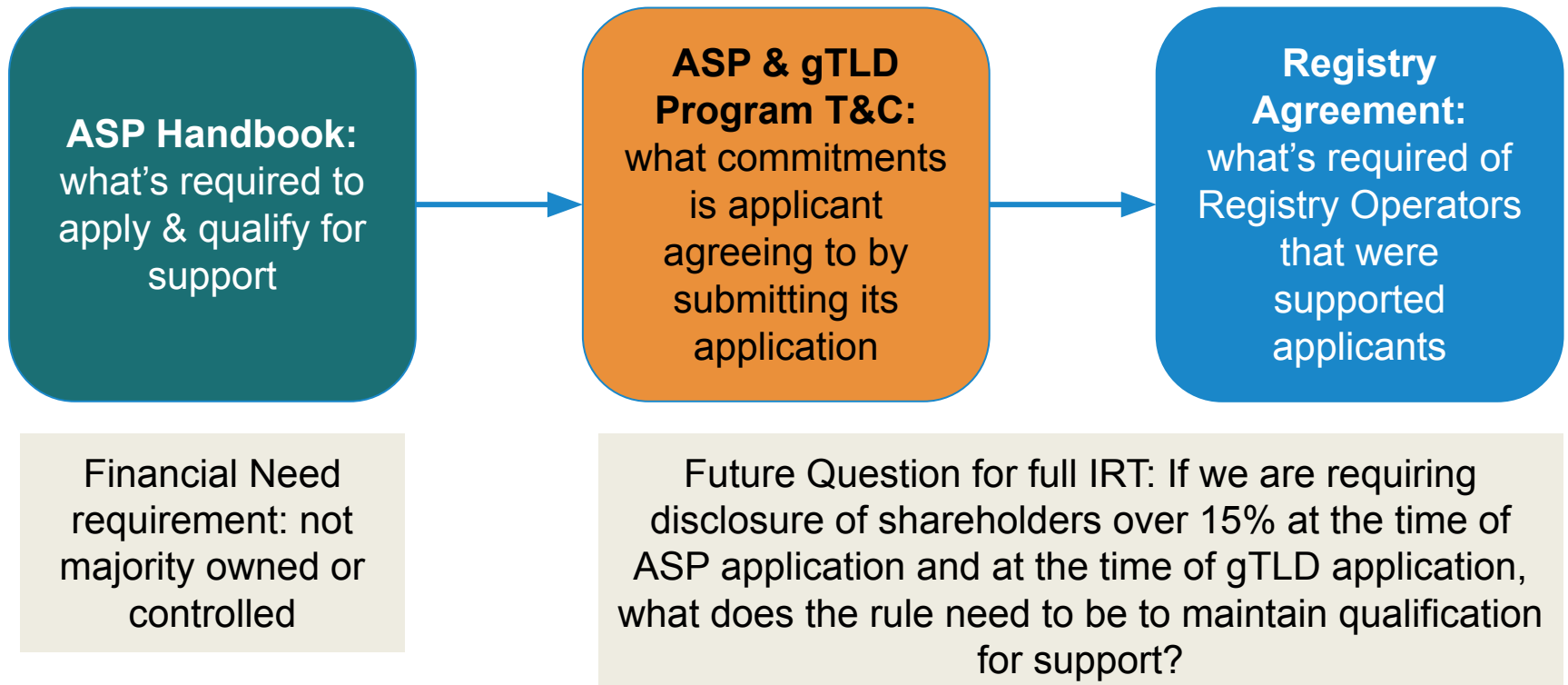
To be eligible for consideration, the applying entity cannot, in any of the past two years, have reported:

- In excess of USD \$5M revenue per annum from all sources as reported in their annual profit and loss statement
- In excess of USD \$5M Cash and Cash Equivalents (CCE) as reported in their financial year end balance sheets
- The applying entity may not be **majority 51% or more** owned or controlled by an entity that does not meet the above criteria.

For IRT consideration:

- Should the 3rd bullet be a qualifying indicator for Financial Need?
- Background screening for ASP & for gTLD Program will require—
 - Applicants “Enter the full name and contact information of all shareholders holding at least 15% of shares, and percentage held by each. For a shareholder entity, enter the principal place of business. For a shareholder individual, enter the date and country of birth and contact information (permanent residence).” (quoted from 2012 AGB)

Vehicles for ASP Across Applicant-RO Lifecycle



Updating ASP Handbook Placeholders for Unresolved Dependencies

Agenda Item #3

Updating ASP Placeholder Text - ASP Funding Plan

Considerations:

- Work is ongoing with the Board and community on the ASP Funding Plan.
- To remove the ASP funding plan as a dependency for finalizing the handbook, we propose point to the [ASP website resources page](#) in the ASP Handbook where the ASP Funding Plan will be posted once available.

Handbook Updates:

- Removal of ASP Funding Plan Appendix
- Updated text from bottom of section 2.1:

“Please see the [resources page of the ASP website](#) for information regarding the ASP Funding Plan, including the number of supported applicants that ICANN org anticipates being able to support within the available budget.”

Updating ASP Placeholder Text - IDN Variants

Considerations:

- The ICANN Board acted on the [IDNs EPDP Phase 1 Final Report](#) at ICANN80, but placed [recommendation 3.12](#) in a pending status as it's related to ongoing work on the new gTLD program application fee.
- To remove this as a dependency, we propose to refer applicants to the AGB and provided a link to the wiki where work is ongoing around the relevant recommendations.

Handbook Updates:

- *[From the restrictions section]* “Supported applicant entities that apply to the New gTLD Program will be restricted to one gTLD application submission.
 - Applicants interested in applying for TLD variants (determined by using the RZ-LGR tool) are advised to consult the [New gTLD Program: Next Round Applicant Guidebook](#). To follow the decision-making process on this topic, please see the [community page](#).
 - For instance, .example in simplified Chinese: [.样例] and in traditional Chinese [.樣例]”

Updating ASP Placeholder Text - Terms and Conditions

Considerations:

- To remove T&Cs as a dependency for the handbook, we've linked to the [T&Cs page on the ASP website](#) where the terms and conditions will be housed.
- We will also put a link to the ASP T&Cs public comment page on the ASP website T&Cs page in the interim while T&Cs are going through the public comment process.

Handbook Updates:

- Removed T&Cs appendix
- Updated language in section 1.4 Terms and Conditions:

“All ASP applicants should read and must agree to the terms and conditions for the ASP application process. Please refer to the [terms and conditions on the ASP website](#).”

Updating ASP Placeholder Text - ASP Bid Credit

Considerations:

- Since the ASP bid credit is intrinsically linked to the auctions topic, and timing for resolution of that topic does not align with finalizing the ASP Handbook/launching the program, we're proposing to keep information high level, refer to information in the final report and link to the [new gTLD website](#) where this information will eventually be found.
- It is also relevant to note that this is information pertaining to supported applicants in the new gTLD program, and not to their qualification for ASP.

Handbook Updates:

- Excerpt from section 2.1 on types of support (will be updated throughout):

“A bid credit applied to supported applicants participating in contention resolution procedures established in the [New gTLD Program: Next Round Applicant Guidebook](#), which could occur if one or more gTLD applicants apply for the same string/gTLD. The type of support will be determined as part of the implementation of [SubPro Final Report Topic 35](#) (see pp. 173-182). Further detail around this will be included in the [New gTLD Program: Next Round Applicant Guidebook](#).”