## **ICANN Principles of Continuous Improvement**

Historically, ICANN <u>Organizational Reviews</u> have asked whether the Supporting Organizations (SOs), Advisory Committees (ACs), and the Nominating Committee (NomCom) have a continuing purpose within the ICANN community. Rather than articulating this as a single principle, ICANN org proposes it as an overarching consideration that is addressed through five separate principles that would guide the successful execution of the Continuous Improvement Program (CIP).

**Principle 1:** The APRALO is fulfilling its purpose.

**Principle 2:** The structure of APRALO is effective.

**Principle 3:** The operations of APRALO are efficient.

**Principle 4:** The APRALO is accountable<sup>1</sup> internally to its stakeholders substructures, and externally to the wider ICANN community.

**Principle 5**: The <del>SO, AC or NomCom-APRALO</del> collaborates to further the mission of ICANN and the effectiveness of the ICANN multistakeholder model.

*Please note* the information provided in red in this template is extracted from the <u>Existing Continuous</u> <u>Improvement Activities google sheet</u>.

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<sup>&</sup>lt;sup>1</sup> See related Work Stream 2 – Recommendations to Increase SO/AC Accountability.

# **Overview of APRALO's Continuous Improvement Program Submission**

5 PRINCIPLES	> 20 CRITERIA>					61 SMART INDICATORS	
PRINCIPLE 1 Fulfilling purpose	Criterion 1 Fulfilling purpose, contributing to ICANN mission	Criterion 2 Contributing to global public interest	Criterion 3 Contributes to ICANN Strategic Plan (FY21-25) especially MSM governance				
PRINCIPLE 2 Effective structure	Criterion 1 Has WG, processes to address annual goals, priorities	Criterion 2 Process(es) in place is (are) accessible and clearly communicated.	Criterion 5 Processes for assessing, measuring output				
PRINCIPLE 3 Efficient operations	Criterion 1 Processes promote planning, setting priorities, identify emerging issues	Criterion 2 Assesses input to scope of responsibility	Criterion 3 Develops and recommends internal and external outputs for its purpose	Criterion 5 Workload managed	Criterion 6 Nurtures consensus within membership	Criterion 9 Appropriate level of funding support	
PRINCIPLE 4 Accountable internally & externally	Criterion 3 Accountable to membership in recruiting, development, maintenance	Criterion 4 Support and resources for recruiting and onboarding newcomers	Criterion 5 Maintaining skill sets, technical expertise needed through capacity building	Criterion 6 Maintaining levels of active participation	Criterion 7 Maintain sufficient levels of utility and transparency	Criterion 9 Implemented prior review recommendations	Criterion 10 Processes to assess, prioritize and implement CIP outputs
PRINCIPLE 5 Collaborates to further ICANN mission & MSM	Criterion 1 Processes for communicating & coordinating within ICANN			Note: The criteria identified above may not be numbered consecutively because the APRALO CIP Small Team recommends either consolidation or dropping of some criteria from an original list of 33 criteria to avoid repetition and which can be addressed using common indicators.			

## **Principle 1: Criteria**

Principle 1: The APRALO is fulfilling its purpose.

## **Principle 1 Criterion 1:**

There is an agreement that the APRALO is fulfilling its purpose. This may include assessing how the APRALO contributes to <a href="ICANN's mission">ICANN's mission</a>, "to help ensure a stable, secure, and unified global Internet." This includes initiatives to advance ICANN's mission; to combat <a href="domain name">domain name</a> <a href="mailto:security threats">security threats</a>, produce and offer <a href="capacity-building">capacity-building</a> resources, and to expand and <a href="build a multilingual Internet">build a</a> <a href="mailto:multilingual Internet">multilingual Internet</a>.

Purpose of the RALOs: "(vii) There shall be one RALO for each Geographic Region established according to Section 7.5. Each RALO shall serve as the main forum and coordination point for public input to ICANN in its Geographic Region and shall be a non-profit organization certified by ICANN according to criteria and standards established by the Board based on recommendations of the At-Large Advisory Committee. An organization shall become the recognized RALO for its Geographic Region upon entering a Memorandum of Understanding with ICANN addressing the respective roles and responsibilities of ICANN and the RALO regarding the process for selecting ALAC members and requirements of openness, participatory opportunities, transparency, accountability, and diversity in the RALO's structure and procedures, as well as criteria and standards for the RALO's constituent At-Large Structures ("At-Large Structures")."

## **OUR SUBMISSION**

Yes, the APRALO is fulfilling its purpose as the main forum and coordination point for public input to ICANN in the Asian, Australasian and Pacific Islands (APAC) Region. In FY2024 alone, APRALO has undertaken and/or participated in a number of initiatives to advance ICANN's mission, including one to combat domain name security threats, producing capacity-building resources, and to build a multilingual Internet.

APRALO can continue to serve and to strengthen its role in advancing the ICANN Mission as follows:

#### A. Strengthening Reach & Engagement in APAC Region

- Review its presence as at 31 Dec 2024 (subject to adoption and implementation of the APRALO ROP 2024) of At-Large Structures (ALSes) and Unaffiliated Individual Members (UIMs) in the 73 countries and territories in the APAC region, and grow that presence by 2027 in two ways:
  - a. Quantitative: Targeting to have (or renew) a presence in at least 37 of 73 (50%) countries in the APAC region\*
  - b. Quantitative: Targeting to have (or renew) at least 50 ALSes and 50 Individual Members (IMs) in the APAC region\*
  - c. Qualitative: In renewing or growing its membership, strive to build adequate

representation of the diversity of the global multistakeholder community as guided by ICANN Core Value 4: Seeking and supporting broad, informed participation reflecting the functional, geographic and cultural diversity of the Internet at all levels of policy development and decision-making

\*The APRALO electorate will be voting in July 2024 to adopt a new Rules of Procedure (i.e. APRALO ROP 2024) which is expected to be followed by a review of the APRALO membership. The APRALO ROP 2024 allows expansion of membership to individuals who may be associated with an ALS, in addition to UIMs.

2. Strengthening engagement with such members by encouraging participation of at least 50% of our membership (of ALSes and/or IMs) in our initiatives annually by 2026 and growing that to 55% by 2027; with participation metrics to be developed through a bottom-up process.

## **B. Combating Domain Name Security Threats**

3. Facilitate collaboration with ICANN org through ICANN GSE to propose and conduct at least 1 initiative annually related to combating DN Security Threats in APAC region (could be a workshop or session around DNS Abuse mitigation) to be tracked via APRALO's Annual Outreach & Engagement Plan and reported on via the APRALO Monthly Call, Newsletter and/or Annual Report.

## C. Offering Capacity Building Resources to ICANN

4. APRALO participation in the At-Large Safer Cyber Campaign (when implemented).

## D. Expanding & Building a Multilingual Internet

- Facilitate collaboration with ICANN org through ICANN GSE to propose and/or conduct at least 1 initiative annually in promoting the feasibility and/or benefits of a multilingual Internet.
- 6. If the Universal Acceptance Day celebrations continue to be organised substantially in the same form as in 2024, to encourage submission of at least 10 APRALO Community participation proposals for annual UA Day celebrations.
- 7. Identify and encourage APRALO Community participation in any future IDN-related policy development work subject to such policy development process being initiated by GNSO or ccNSO (could be direct participation in PDP WGs or consideration of need for an APRALO Statement or APRALO input to ALAC via CPWG)

#### **Principle 1 Criterion 2:**

How the APRALO contributes to the global public interest, as described in the <u>ICANN Articles of Incorporation</u> (2016): "in recognition of the fact that the Internet is an international network of networks, owned by no single nation, individual or organization, (ICANN) shall, except as limited by Article IV hereof, pursue the charitable and public purposes of lessening the burdens of

government and promoting the global public interest in the operational stability of the Internet by carrying out the mission set forth in the (ICANN Bylaws). Such global public interest may be determined from time to time. Any determination of such global public interest shall be made by the multistakeholder community through an inclusive bottom-up multistakeholder community process."

#### **OUR SUBMISSION**

The APRALO's contribution to the global public interest will be focussed on safeguarding as well as exemplifying an inclusive bottom-up multistakeholder community process by facilitating the gathering and transmission of APAC regional input in appropriate discourse on the global public interest in the ICANN context. Such discourse could be:

- 8. ICANN Board's next iteration of its <u>Global Public Interest Framework</u> (if discussed within 2025-2027).
- 9. Methodology and criteria by which to evaluate whether or not a proposed closed domain is in the public interest (Closed Generics) (if such discussion were to be re-initiated within 2025-2027).
- 10. Use of Registry Voluntary Commitments (RVCs) in the Next Round of New gTLDs.

All these could be through direct participation in such discussion or consideration of need for APRALO Statement or APRALO input to ALAC via the At-Large Consolidated Policy Working Group (CPWG) (or any other relevant WG constituted by the ALAC).

## **Principle 1 Criterion 3:**

How the APRALO contributes to the <u>ICANN Strategic Plan (FY21-25)</u> objectives, especially the strategic objective to "Improve the effectiveness of ICANN's multistakeholder model of governance."

## **OUR SUBMISSION**

The APRALO's contribution to improving the effectiveness of ICANN's multistakeholder model of governance will take 2 forms:

- 11. In endeavours to grow its presence in the APAC Region, APRALO is to consider acting on opportunities to improve the balance in its membership diversity (civil society / academia / semi-technical) to enrich its regional end user voice.
- 12. To encourage inclusion of at least 5 APRALO Member activity which address regional end user perspectives in the FY2025 Annual Outreach & Engagement Plan, and increasing that by 1 activity each year for the FY2026 and FY2027 Annual Outreach & Engagement Plans.

## **Principle 2: Criteria**

Principle 2: The structure of APRALO is effective.

**Criteria 1:** The APRALO has a working group or process to address their goals and priorities each year, in line with their purpose.

## **EDITED Principle 2 Criterion 1 incorporating Principle 2 Criteria 3 & 4:**

The APRALO has working groups or processes to plan and address its goals and priorities each year, to help APRALO meet its purpose.

## **OUR SUBMISSION**

The APRALO has a number of working groups and processes for addressing its goals and priorities annually. These will continue to be used and refined to improve the effectiveness of APRALO's structure.

## <u>APRALO Rules of Procedure (ROP)</u> (Process)

13. APRALO should continue to comply with its existing ROP of 2013, and if adopted shortly, the new ROP of 2024, in administering the various processes which it relies on (including membership categories, leadership selection process and leadership criteria, meetings).

## **Annual Outreach & Engagement Plan (Process)**

14. APRALO should continue to use the annual outreach and engagement planning process and encourage the inclusion of at least 1 engagement activity per ALS each year.

## APRALO Hot Topics Survey (Process)

15. APRALO should continue to use a Hot Topics Survey every 2-3 years to facilitate bottom-up development of periodic regional priorities and encourage submission of at least 20 responses for the next survey (targeted for 2024), and at least 30 responses for the following survey in 2026 or 2027.

## **APRALO Policy Forum** (Standing Working Group)

- 16. APRALO should continue to utilise the APRALO Policy Forum (APF) as a standing work team to design and implement initiatives or activities which address APRALO's **policy-related** goals and priorities as identified through a Hot Topics Survey.
- 17. The APF to continue using appropriate processes (eg. a 6-month planning calendar approach, and as needed, a periodic APRALO Town Hall to allow bottom-up refinement of periodic regional priorities and the APF planning calendar).

18. The APF to continue using standard processes to guide the organising of selected activities, such as the template form for the <u>APRALO Policy Forum Fireside Chats</u> program.

## **APRALO Small Teams** (Working Groups)

19. Continue to convene and utilise small teams (i.e. non-standing work teams) to engage APRALO Members in developing policy positions on issues of interest to APRALO that are being deliberated on in the ICANN community (such as GNSO policy development processes or ICANN public comment proceedings or ICANN Board consultations). Examples of more recent small teams are: (i) APRALO ROP WG, (ii) APRALO CIP-CCG Small Team, and (iii) APRALO Small Team on Draft Applicant Support Handbook.

In addition, the APRALO will endeavour to establish additional processes:

- 20. By mid 2025, to have an <u>operational process</u> for obtaining bottom-up input on **ICANN governance and operational issues** (i.e. non-policy-related) (such as ICANN strategic planning, prioritisation activities, budget development).
- 21. By early 2026, to complete development of a **guidance process** to support the initiation of small teams to undertake specific tasks, including templates for Terms of Reference (TORs) and work plans and how to facilitate the mentoring of newer members by more senior members of the RALO.

## **Principle 2 Criterion 2:**

Process(es) in place is (are) accessible and clearly communicated.

## **OUR SUBMISSION**

Most, if not all, of the APRALO's working groups and processes are publicised via a number of sources.

## **APRALO Website/Wiki**

https://community.icann.org/display/APRALO

## **APRALO Mailing Lists**

APAC-Discuss

APAC-Policy

APAC-Announce (subject to approval of APRALO ROP 2024)

## APRALO Monthly Call

## **APRALO Bi-Monthly Newsletters**

https://community.icann.org/display/APRALO/APRALO+Newsletters

## **APRALO Policy Forum Wiki Space**

https://community.icann.org/display/APRALO/APRALO+Policy+Forum

## **APRALO Policy Forum Annual Report**

https://community.icann.org/display/APRALO/APRALO+Policy+Forum#APRALOPolicyForum-AnnualReports

#### APRALO Social Media - Facebook, X,

In addition, the APRALO will endeavour to establish additional processes:

- 22. By early 2026, to have a plan for additional regular notices to the relevant APRALO Mailing Lists on APRALO plans and activities.
- 23. By mid 2026, to have a plan to be able to undertake an annual strategic reporting for APRALO, highlighting achievements and challenges, and developing recommendations for improvement.
- 24. By early 2027, to complete a bottom-up review of all APRALO communication resources to develop recommendations on how to improve accessibility and effectiveness/reach of such resources, including any (discretionary) budgetary requirements to implement recommendations.

## DROP Principle 2 Criterion 3 and incorporate into Principle 2 Criterion 1:

Process(es) help the APRALO operate to meet its purpose.

#### DROP Principle 2 Criterion 4 and incorporate into Principle 2 Criterion 1:

Process(es) is (are) in place for planning and prioritizing work.

## Principle 2 Criterion 5 incorporating Principle 2 Criteria 6 and 7:

Process(es) is (are) in place for assessing and measuring output.

## **OUR SUBMISSION**

As mentioned earlier, the APRALO has a number of working groups and processes for addressing its goals and priorities annually. What is needed is a process for assessing and measuring outputs.

- 25. By mid 2025, to have devised a plan to facilitate an **annual strategic reporting process** for APRALO which can demonstrate how the work of APRALO's working groups and/or its processes ("**outputs**") address its goals and priorities and help APRALO meet its purpose each year. Such a reporting process should address:
  - a. A set of bottom-up developed metrics for assessing and measuring YoY output such as participation rates, how an activity has met its one or more APRALO

aims and priorities;

- b. Whether the outputs have produced a desired outcome;
- c. Whether those outputs were implemented in a timely fashion,
- d. The use of activity takeaway report or action plan; and
- e. Any areas for improvement.
- 26. To continue the practice of identifying action items for each APRALO / APF call and improve the tracking of such action items to their conclusion.

## **DROP Criteria 6 and incorporate into Principle 2 Criterion 5:**

Output has produced the desired outcome.

## **DROP Criteria 7 and incorporate into Principle 2 Criterion 5:**

Output is implemented in a timely fashion.

## **Principle 3: Criteria**

Principle 3: The operations of APRALO are efficient.

**Criterion 1:** The APRALO has a process for planning and setting priorities, and identifying relevant emerging issues to focus on within established timeframes.

## **EDITED Principle 3 Criterion 1**

The APRALO's processes promote planning and setting of priorities, and identification of relevant emerging issues to focus on, within established timeframes.

## **OUR SUBMISSION**

As mentioned earlier, the APRALO has a number of working groups and processes for the planning and setting of goals and priorities annually. Some of these processes already allow for the possibility of resetting of goals and priorities on a half-yearly basis.

27. Continue use of established processes such as the APRALO Hot Topics Survey, APRALO Town Hall Call, APF Planning Calendar for planning and priority setting and resetting to allow for identification of emerging issues of relevance.

In addition, to support this Principle 3 Criterion 1, APRALO should consider the following:

- 28. Introduce improved feedback seeking mechanism (e.g. post-Fireside Chat polls) for better identification of emerging issues.
- 29. Continue or expand the use of planning documents with time frames to support better overall project management for APRALO activities, especially if an activity has the participation of members

## **Principle 3 Criterion 2:**

The APRALO assesses inputs related to their scope of responsibility.

## **OUR SUBMISSION**

In general, APRALO's scope of responsibility covers five major areas:

- a. Deliberation of and input to policy issues within ICANN's remit, particularly where they impact on individual end users:
- b. Deliberation of and input to ICANN governance and operational issues, including organisational reviews, strategic planning, budgeting and finance, prioritisation of operating initiatives:
- c. Outreach and engagement on issues within ICANN's remit, including the promotion of the multistakeholder model;

- d. Selection of RALO leadership, 2 ALAC members and 1 recommended Nominating Committee delegate;
- e. Continuous improvement.

In many cases, processes and opportunity for assessing inputs related to these 5 areas already exist but can be improved as follows:

- 30. Encourage more, year round APRALO member participation and contributions in the APF, At-Large Consolidation Policy Working Group (CPWG), At-Large Operational, Finance & Budget Working Group (OFB-WG) to enrich assessment of inputs on relevant issues.
- 31. Invite APRALO members' assessment of draft annual O&E Plans and participation in the same, with periodic review.
- 32. Subject to the approval of the APRALO ROP 2024, assessment of candidate qualifications within the established annual selection (aka election) process can be improved through reference of desirable candidate criteria set out in the APRALO ROP 2024 Adjunct document.
- 33. Also, as part of the selection process, increasing awareness on the candidate qualifications for the APRALO Nominating Committee Delegate role.
- 34. Assessing continuous improvement measures in alignment with the ICANN Continuous Improvement Program framework.

**Criteria 3:** The APRALO develops and recommends outputs relevant to their purpose (policy development, support policies, leadership placement).

## **EDITED Principle 3 Criterion 3**

The APRALO develops and recommends both internal and external outputs relevant to its purpose (policy development, support policies, leadership placement). Implementation of internal outputs are undertaken as efficiently as possible, recorded and reported.

## **OUR SUBMISSION**

APRALO's scope of responsibility as mentioned under Principle 2 Criterion 2 already facilitates the development of recommended internal and external outputs relevant to its purpose. APRALO will continue to pursue the following outputs:

<u>Internal output</u>: APF develops and recommends outputs - APRALO periodic ICANN policy-related goals and priorities to guide development of APF calendar of activities.

<u>Internal output</u>. APRALO also develops and recommends outputs from time to time on various policy, governance and operational aspects, for eg.

- a. APRALO Annual O&E Plans
- b. APRALO ROP WG has developed and recommended the APRALO ROP 2024 for approval.
- c. Periodic APRALO Hot Topic Surveys
- d. APRALO ICANN Readout Takeaway Report recommendations
- e. Continuous improvement of APRALO

<u>External output</u>: In respect of ICANN policy, governance or operational issues, especially those with specific impact or interest to the APAC Region, APRALO will develop an APRALO position and/or statement where such need arises, for:

- f. GNSO policy development process
- g. ICANN Calls for Public Comments

<u>External output</u>: APRALO will also respond to correspondence or requests for feedback or comments received from ICANN Board, ICANN org or any other part of the ICANN community. For eg.

- h. ICANN Board Chair's request for feedback on the NomCom Rebalancing issue
- ICANN Continuous Improvement Program framework.- Principles, Criteria and SMART Indicators

In terms of internal outputs, efforts planned to be made in 2025-2027 are as follows:

- 35. Periodic review of APF calendar of activities.
- 36. Periodic review of an Annual O&E Plan during each ICANN financial year.
- 37. Review of effectiveness of and identify gaps in the APRALO ROP 2024 over 12 months, to commence within 18 months of its approval.
- 38. Improve format of APRALO ICANN Readout Takeaway Report, by formulating recommendations which offer strategic value.

## DROP Principle 3 Criterion 4 and consolidate with Principle 3 Criterion 3:

The APRALO's outputs are implemented and implementation of outputs is monitored.

#### **Principle 3 Criterion 5:**

Workloads are managed.

## **OUR SUBMISSION**

APRALO's workload should continue to be managed in two ways:

39. APRALO Leadership Team to continue referring to APRALO ROP for guidance in terms of division of leadership responsibilities.

40. APRALO to continue using working groups or small teams to manage workload while facilitating bottom-up participation and with clear work plans that offer realistic time frames and which facilitate consensus building among members.

Criteria 6: The APRALO nurtures consensus within their constituency.

## **EDITED Principle 3 Criterion 6**

The APRALO nurtures consensus within its membership.

#### **OUR SUBMISSION**

The APRALO ROP requires that decisions of the RALO be arrived at on a consensus basis. Operational decisions taken by the APRALO Leadership Team are also by way of consensus. Use of a voting process is limited to leadership selections (if needed) and formally required decisions of the APRALO (such as an amendment to the ROP).

As such, APRALO will:

- 41. Continue to conduct all forms of work and decision-taking on a consensus basis.
- 42. Strive for zero incidents (apart from selections/elections, and decisions to do with the ROP) where voting is called for and takes place.

## **DROP Principle 3 Criterion 7 since not relevant to APRALO:**

The APRALO coordinates with ICANN SOs, ACs, Committees, and constituencies within the ICANN global multistakeholder community.

## **DROP Principle 3 Criterion 8 since not relevant to APRALO:**

There is an agreement that the APRALO operates efficiently overall.

## **Principle 3 Criterion 9:**

Appropriate level of funding support.

## **OUR SUBMISSION**

- 43. In the course of 2025-2027, APRALO will consider adequacy of and develop timely recommendations to access:
  - a. Discretionary Funding for ad hoc activities and needs, for eg. F&B for events, printing of brochures, paraphernalia etc
  - b. CROP Funding
  - c. Better survey tools

d. Appropriate communication resources - for eg, to support website review/revamp, brochure design etc

## **Principle 4: Criteria**

Principle 4: The APRALO is accountable<sup>2</sup> internally to its stakeholders substructures, and externally to the wider ICANN community.

## **DROP Principle 4 Criterion 1 and incorporate into Principle 1 Criterion 1:**

Adequate representation of the diversity of the global multistakeholder community within group membership and the APRALO roles (Guided by ICANN Core Value 4: Seeking and supporting broad, informed participation reflecting the functional, geographic and cultural diversity of the Internet at all levels of policy development and decision-making).

## DROP Principle 4 Criterion 2 and incorporate into Principle 1 Criterion 1:

The APRALO objectives align with planned objectives of ICANN as a whole.

**Criteria 3:** The APRALO is accountable to the wider ICANN community, its organizations, committees, constituencies, and stakeholder groups in recruiting, developing, and maintaining membership needed to achieve its purpose.

## **EDITED Principle 4 Criterion 3**

The APRALO is accountable to its membership in recruiting, developing and maintaining membership needed to achieve its purpose.

## **OUR SUBMISSION**

- 44. Continue to share and invite input from ALSes and UIMs in participating in each annual O&E plan, discussing potential membership targets in general.
- 45. Continue the regular practice of promptly announcing new ALSes accredited by ALAC to the APRALO community through the appropriate mailing lists and at APRALO Monthly calls.
- 46. From 2025 onwards, regularise the practice of promptly announcing IMs accredited by APRALO Leadership Team through the appropriate mailing lists and at APRALO Monthly calls.

## **Principle 4 Criterion 4:**

Support and resources for recruiting and onboarding newcomers to the APRALO.

#### **OUR SUBMISSION**

<sup>&</sup>lt;sup>2</sup> See related Work Stream 2 – Recommendations to Increase SO/AC Accountability.

- 47. In 2025, APRALO will reconsider adequacy of support and resources for recruiting and onboarding newcomers to the APRALO and develop any necessary recommendations for improvement, including but not limited to:
  - a. Frequency of APRALO Onboarding Calls
  - b. Adoption/adaptation of the ALAC Welcome Package
  - c. Nature and frequency of any communications targeted to newcomers to expedite understanding of APRALO, its objective, membership, work in areas within ICANN's remit
  - d. Examples of newcomer onboarding successes and testimonials from such successes as potential suggested paths for newcomers
  - e. Introducing opportunities to engage in the work of the APRALO and At-Large Community
  - f. Staff support resources needed to assist with any/all of the above

## **Principle 4 Criterion 5:**

Maintaining skill sets and technical expertise needed through capacity building.

#### **OUR SUBMISSION**

- 48. In 2025, APRALO will introduce wide adoption of the APRALO Register of Skills & Interests (RoSI) by APRALO members as the mechanism by to periodically assess the skill set and technical expertise landscape of APRALO, with the twin goal of retaining and harnessing existing skills and technical expertise and identifying gaps to be addressed through appropriate capacity building initiatives.
- 49. By 2026, APRALO will complete an initial assessment of the utility of RoSI and take any appropriate action to improve the same.
- 50. Throughout 2026-2027, APRALO will complete periodic assessment of the utility of existing capacity building initiatives which may include:
  - a. APF/APRALO webinars
  - b. APF Fireside Chats
  - c. APRALO Statement development process
  - d. APRALO Mentoring Program
  - e. APRALO Small Teams

## **Principle 4 Criterion 6:**

Maintaining levels of active participation.

## **OUR SUBMISSION**

51. By 2026, APRALO will develop, by way of bottom-up consensus, and with reference to

guidance in the APRALO ROP, a metrics framework which allows for appropriate and adequate measuring of engagement by members, in order to recommend a definition of "active participation".

52. By 2027, APRALO will have commenced implementation of a metrics framework for measuring engagement by members.

Criteria 7: The APRALO maintains sufficient levels of transparency of information.

#### **EDITED Principle 4 Criterion 7**

The APRALO maintains sufficient levels of utility and transparency of information in the way it communicates and engages with its members and the broader multi-stakeholder community.

## **OUR SUBMISSION**

- 53. APRALO to continue the existing practice of having all virtual meetings or events recorded and recordings be made publicly available, including all Monthly Calls, and other meetings prescribed by the APRALO ROP.
- 54. APRALO to continue publishing at least 5 periodic newsletter per year.
- 55. By 2026, APRALO will complete periodic assessment of the utility and transparency of outreach and engagement initiatives (such as the APRALO ICANN Readouts) and develop recommendations for improvement.
- 56. By 2026, APRALO will have formulated an annual strategic report format to showcase how APRALO activities have achieved APRALO's objectives and plans. Annual reporting by the APF may be incorporated, and if not, should reflect the same strategic reporting goal.
- 57. By the end of 2027, APRALO will have commenced a review of the utility of its existing communications resources newsletters, social media, reports, mailing lists and develop recommendations on how these can be improved.

## DROP Principle 4 Criteria 8 and incorporate into Principle 4 Criterion 7:

The APRALO communicates and engages with its constituents and the broader multi-stakeholder community.

#### **Principle 4 Criterion 9:**

The APRALO has implemented prior review recommendations.

## **OUR SUBMISSION**

In July 2024, the APRALO considers amendments to its ROP which takes into account recommendations out of the 2nd At-Large Review, in particular, The At-Large Structure (ALS) Mobilization Working Party Report and The Unaffiliated Individual Member (UIM) Mobilization Working Party Report. And as mentioned under Principle 3 Criterion 3, APRALO plans to review the effectiveness of and identify gaps to APRALO ROP 2024 over 12 months, to commence within 18 months of its approval.

## **Principle 4 Criterion 10:**

Processes are in place to assess, prioritize, and implement suggestions for improvement received as outputs from the Continuous Improvement Program.

## **OUR SUBMISSION**

58. From 2025, APRALO LT to designate a leader, working group or small team and request them to implement suggestions for improvement received as outputs from the Continuous Improvement Program. Such leader, working group or small team to be also requested to report on progress in implementation or challenges impeding implementation for resolution (where feasible).

#### **DROP Principle 4 Criterion 11:**

There is an agreement that the APRALO is accountable to the wider ICANN community, its organizations, committees, constituencies, and stakeholder groups.

## **Principle 5: Criteria**

Principle 5: The <del>SO, AC or NomCom APRALO</del> collaborates to further the mission of ICANN and the effectiveness of the ICANN multistakeholder model.

## **Principle 5 Criterion 1:**

The APRALO has a process in place for communicating and coordinating within the ICANN global multistakeholder community.

## **OUR SUBMISSION**

- 59. Continue and evolve the utility of APRALO ICANN Readouts to identify and formulate recommendations of strategic value which can be implemented by APRALO alone or in conjunction with other ICANN community groups.
- 60. Continue to communicate informally with various groups and participate in various fora or programs within the ICANN global multistakeholder community, for eg, APAC Space, ICANN Readouts organised by other community groups, UA Day celebrations.
- 61. Utilise the APRALO O&E Plan to encourage year-round collaborative activities with and between ALSes, UIMs and other ICANN community groups to demonstrate the multistakeholder model in action.

## **DROP Principle 5 Criteria 2 since not relevant to APRALO:**

The APRALO meets regularly with the ICANN Board.

## **DROP Principle 5 Criteria 3 since not relevant to APRALO:**

The SOAC Leadership Roundtables and meetings are organized at each ICANN Meeting, and help to improve overall communication, setting priorities, and planning.