



78

ANNUAL
GENERAL
MEETING

ICANN



1998-2023

IANA Stewardship
Transition



2016-2023



GNSO-GDS Joint Session

ICANN78

GNSO Council Working Session (2 of 2)

22 October 2023, 08:30-09:30 UTC / 10:30-11:30 CEST



Agenda

1. [Policy Implementation Update](#)
 - a. Ongoing
 - i. Next Round
 - ii. RPM
 - iii. Registration Data Policy EPDP Phase 1
 - b. Planned/pending
 - i. PPSAI
 - ii. IGOs
2. [Guidelines for GDS Liaison to PDPs](#)
3. [Operational Design Phase Community Consultation](#)
4. [AOB](#)

**PRSP Pre-ICANN78 Briefing:
Includes Status Update for
the Next Round of New gTLDs**



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Policy Implementation Update

Agenda Item #1

Next Round

SubPro IRT: Info, Stats & Resources

The SubPro Implementation Review Team (IRT) is assisting ICANN org with the implementation of the the Board-approved outputs from the [Final Report on the new gTLD Subsequent Procedures Policy Development Process](#). The outcome of this process will be an updated Applicant Guidebook.

Wiki workspace:

<https://community.icann.org/x/pQM5Dg>

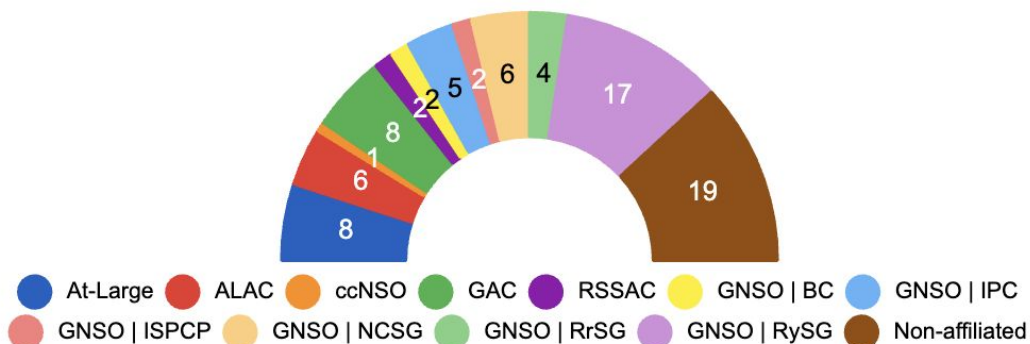
Mailing List Archive:

<https://mm.icann.org/pipermail/subpro-irt/>

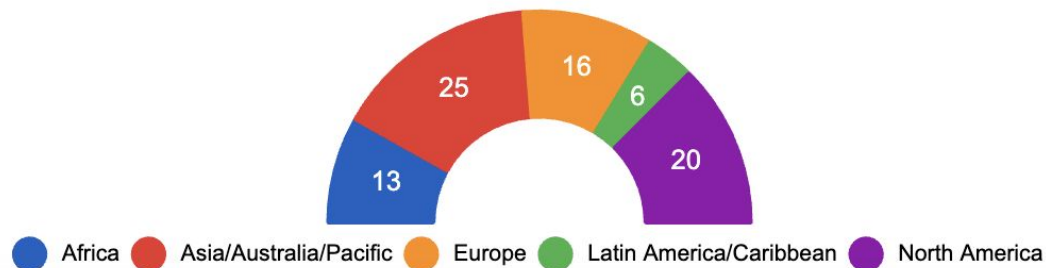
Overview

# Meetings held	19
# Members	80

Affiliation



Region



Policy Implementation Status

Project Description

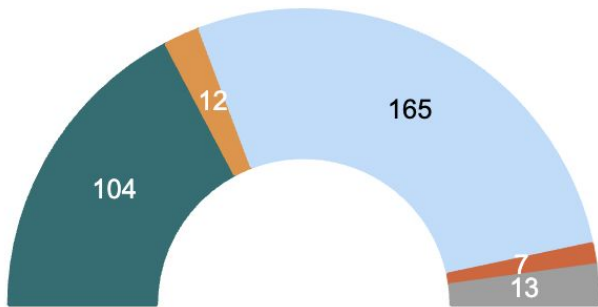
The SubPro IRT assists ICANN org in the implementation of the policy outputs in the Final Report in the new gTLD Subsequent Procedures Policy Development Process.

The outcome of this process will be an updated Applicant Guidebook.

The SubPro IRT has the form of an 'Open + Representative Model' based on the GNSO's PDP 3.0 model.

The SubPro IRT is part of Work Stream 1 of the Next Round of the New gTLDs Program.

Board Decision on Outputs



● Adopted ● Adopted with Clarifying Statement
● Acknowledged ● Not Adopted ● Pending

Project Information

05/16/23

1st IRT Meeting

05/31/25

Expected AGB Completion

158

Days Since 1st IRT Meeting

588

Days to Expected AGB Completion

19.8%

% Completion



Project Health

Project Contacts

Lars Hoffmann

Work Stream Lead

Anne Aikman-Scalese

Susan Payne

Council Liaisons

'Weighted % Completion' represents an estimation of the work that has been completed weighted against the estimated level of effort for each topic. Given the many factors involved, this percentage should only be seen as an approximation and not an accurate depiction.

The section 'Board Decision on Outputs' was updated to reflect the most recent [Scorecard](#), following the [Board Resolutions](#) approved on 10 September 2023.

IRT Topic Overview

No AGB Relevance	Shared with IRT	To be Shared with IRT by End of Q1 2024	To be Shared with IRT in Q2 2024 and Beyond
<ul style="list-style-type: none"> 1. Continuing Subsequent Procedures 12. Applicant Guidebook 14. Systems 41. Contractual Compliance 	<ul style="list-style-type: none"> 2. Predictability 3. Applications Assessed in Rounds 8. Conflicts of Interest 10. Applicant Freedom of Expression 11. Universal Acceptance 21. Reserved Names 21a. Geographic Names 24. String Similarity Evaluations 28. Role of Application Comment 	<ul style="list-style-type: none"> 6. RSP Pre-Evaluation 7. Metrics and Monitoring 17. Applicant Support 19. Application Queuing 20. Application Change Requests 25. IDNs 30. GAC Consensus Advice and GAC Early Warning 31. Objections 35. Auctions: Mechanisms of Last Resort / Private Resolution of Contention Sets 39. RST 	<ul style="list-style-type: none"> 5. Applications Submission Limits* 9. RVCs/PICs 13. Communications 15. Application Fees 16. Application Submission Period 18. Terms & Conditions 22. Registrant Protections 26. Security and Stability 27. Applicant Reviews 29. Name Collisions 32. Limited Challenge/Appeals 33. DRPs After Delegation 34. Community Applications 36. Base Registry Agreement 37. Registrar Non-Discrimination* 38. Registrar Support for New gTLDs 40. TLD Rollout*

*Topics with 2 or fewer recommendations

Implementation Plan Overview

- An updated version of the Implementation Plan was published on the [SubPro IRT workspace](#) in October 2023.
- Updates reflect the 10 September 2023 Board Resolution:
 - **Adopted seven (7)** recommendations directly
 - **Adopted eleven (11)** recommendations **with GNSO Council-approved clarifications**
 - **Did not adopt at this time** seven (7) recommendations
 - **Left pending** thirteen (13) recommendations which are still under discussion.
- Timelines updated with topics leading up to ICANN78 and noted upcoming topics (post-ICANN78)

See [Implementation Work Plan](#) for more details

Dependencies

- **IDN EPDP**
 - Phase 1 public comment, Council adoption, Board consideration and adoption - projected for
 - Phase 2 public comment, Council adoption, Board consideration and adoption. - projected for:
- **NCAP Study 2**
 - public comment
Board consideration and adoption
 - Report projected to be issued in December 2023; Board consideration envisaged for Q1 2024.
- **GNSO Council** issuing supplemental recommendations, if any, with regard to those outputs that the Board did not adopt
 - Public comment for these supplemental recommendations (if applicable)
 - Board consideration and adoption of supplemental recommendations.
 - Ideally no later than ICANN79
- **Board** action on outstanding Advice that pertains to the Next Round (e.g., SAC114) - TBD

IRT Meetings @ ICANN78

Date	Time	Topic	Links
<i>Sat, 21 Oct 23 (past)</i>	<i>08:30-10:00 UTC 10:30-12:00 CEST</i>	<i>28. Role of Application Comment 3. Applications Assessed in Rounds</i>	Wiki: https://community.icann.org/x/SJOZDg Schedule: https://sched.co/1T4Ko
Wed, 25 Oct 23	14:00-15:30 UTC 16:00-17:30 CEST	39. Registry System Testing	Wiki: https://community.icann.org/x/NADCDw Schedule: https://sched.co/1T4LI
Thu, 26 Oct 23	07:00-08:00 UTC 09:00-10:00 CEST	17. Applicant Support	Wiki: https://community.icann.org/x/OwDCDw Schedule: https://sched.co/1T4Kf

Rights Protection Mechanisms Phase 1 Implementation

RPM IRT: Info & Resources

- The Rights Protection Mechanisms (RPM) IRT is assisting ICANN org in the implementation of the policy recommendations from the Phase 1 work of the Policy Development Process (PDP) Working Group on the Review of All RPMs in All gTLDs.
- This project incorporates a phased approach that allows for straightforward to-implement recommendations to be implemented first, with recommendations with more significant complexity and timing considerations to be implemented sequentially according to level of effort.

Wiki workspace: <https://community.icann.org/x/OYAFDQ>

Mailing List Archive: <https://mm.icann.org/pipermail/rpm-irt/>

Implementation Plan:

<https://community.icann.org/download/attachments/222269760/RPM%20PDP%20P1%20Implementation%20Plan.pdf?version=2&modificationDate=1671180090000&api=v2>

Since ICANN77:

- The IRT reviewed the proposed changes to the RPM-related procedural documents.
- The IRT discussed how to address open items concerning the outputs in the first implementation category.
- [Public comment](#) was launched on the proposed changes to the RPM-related procedural documents which were revised in accordance with the relevant PDP Phase 1 outputs. The public comment will close on 30 October 2023.

RPM IRT: Estimated Timeline

- The 15 recommendations in the first group, which have been identified as the most straightforward-to-implement, will require a minimum of 6 months to implement.
- Implementing the remaining recommendations should take a minimum of 1 year due to their complexity and the need to involve multiple stakeholders.

→ Implementation is projected to be completed in the Q4 of 2024.

Registration Data Policy EPDP Phase 1

EPDP Phase 1: Info & Resources

- The [Temporary Specification for gTLD Registration Data](#), which modified existing requirements in the Registrar Accreditation Agreement and Registry Agreement to comply with the GDPR, was adopted by the ICANN Board on 17 May 2018.
- Following Board adoption, an EPDP was initiated on the Temp Spec to determine if it could become an ICANN consensus policy as is, or with modifications. The Interim Policy, effective as of 20 May 2019, requires contracted parties to continue to implement measures consistent with the Temporary Specification for gTLD Registration Data, which expired on 25 May 2019.

Consensus Policy Implementation Framework page:

<https://www.icann.org/policy/implementation>

Wiki workspace: <https://community.icann.org/x/hpaGBg>

EPDP Phase 1: Update & IRT Meeting @ ICANN78

- The EPDP Phase 1 Implementation Project Team has completed its work in drafting language for the Registration Data Policy.
- The publication of the policy has been placed on hold due to the letters to the ICANN Board from the [GAC](#) and [Registrars Stakeholder Group](#) concerning the Urgent Request timelines outlined in the policy.
- ICANN org is currently assessing next steps to publish the policy.

Date	Time	Links
Thu, 26 Oct 23	11:15-12:30 UTC 13:15-14:30 CEST	Wiki: https://community.icann.org/x/8ACWDw Schedule: https://sched.co/1T4Mj

Privacy and Proxy Services Accreditation Issues

- Work on Privacy/Proxy Services Accreditation Issues (PPSAI) policy implementation is still paused.
- The assumption is that there are approximately 500 affiliated P/P service providers affiliated with ICANN-accredited registrars currently in existence (The 2017 estimation during the first IRT was 250 providers).
- ICANN org has done planning for some directions that could be taken in implementation, in anticipation of being able to move resources onto PPSAI once EPDP Phase 1 is completed.
- On Wednesday, we will be having an informal discussion with members of the previous IRT to share current thinking and to incorporate feedback into our planning.

IGOs

Current Status

- The IGO Curative Rights policy recommendations were [adopted](#) by the Board in April 2023.
- In October 2022, the Board directed ICANN org staff to proceed with developing a permanent system of notifications to inform both the potential registrant and the relevant IGO as to a possible conflict if a potential registrant seeks to register a domain name matching the acronym of that IGO.
- The GAC has said that the implementation of the recommendations needs to be completed before a permanent post-registration notification system for IGOs is launched.
- The Board has confirmed that the IGO acronyms will continue to be reserved until the permanent notification system for IGOs has been deployed.
- ICANN org is now planning for the implementation of the GNSO's Consensus Policy recommendations on IGO Curative Rights.

Next Steps

- ICANN org to begin development work on the IGO permanent notification system.
- ICANN org to allocate internal resources and convene IRT team.
- ICANN org to begin Curative Rights policy implementation work in consultation with IRT.

Guidelines for GDS Liaison to PDPs

Agenda Item #2

Guidelines for GDS Liaisons to PDPs

- In September 2022, ICANN org published a set of [guidelines for GDS liaisons to a GNSO policy development process](#) with the objectives to:
 - Provide GDS liaisons with the foundational knowledge and tools required to carry out the liaison function effectively
 - Provide transparency and clarity to the ICANN community on the expectations for the role.
 - Based on feedback received from the GNSO Council at ICANN76, ICANN org has updated these guidelines to account for:
 - the interaction between the Board and GDS liaisons
 - the role of the GDS liaison to identify dependencies with other PDPs or ongoing community work that may have an impact on the implementation of recommendations.
- *The updated guidelines have been published on the [CPIF page](#).*
- Current Liaisons:
 - Transfer PDP: Isabelle Colas-Adeshina
 - IDN EPDP: Michael Karakash
 - Applicant Support GGP: Leon Grundmann

Operational Design Phase Community Consultation

Agenda Item #3

ODP Community Consultation

- As established in the [Operational Design Phase \(ODP\) Process Paper](#), ICANN org will conduct a community consultation to determine:
 - whether the ODP operates effectively and fulfills the needs of the ICANN Board, community, and Org.
 - whether and how lessons learned can be incorporated into the Consensus Policy Implementation Framework.
- Community consultation will be performed in two phases.
 - Initial research phase:
 - ICANN org data
 - Existing ICANN community data
 - Second research phase:
 - Additional data collection (surveys and interviews)

Initial Research Phase

Initial research phase is underway.

Status	Research Milestones
Complete	<ul style="list-style-type: none">● ICANN org data:<ul style="list-style-type: none">○ Analysis of ODP project documentation○ Analysis of ODP team feedback notes○ Analysis of ODP team survey data○ Collection and analysis of 11 semi-structured interviews with ICANN org staff who participated on the ODP teams● ICANN session data:<ul style="list-style-type: none">○ Analysis of transcripts from 85 relevant ICANN meeting sessions (ICANN meetings from March 2021 - March 2023)● GNSO Council surveys:<ul style="list-style-type: none">○ Preliminary analysis of GNSO Council Liaison and Small Team surveys
In progress	<ul style="list-style-type: none">● GNSO Council surveys:<ul style="list-style-type: none">○ In-depth analysis of data

Preliminary Findings from Initial Research Phase

There appears to be **a diversity of perspectives** on whether the ODP was effective and whether and how the ODP fulfilled the needs of the Board, the community, and ICANN org.

- Interviewed ICANN org staff identified various barriers to ODP effectiveness, including ODP procedures (scope, timeline, etc.), the ODP output, and ODP team processes.
- Interviewed ICANN org staff identified the relationship between ICANN org and the ICANN Board as well as staff expertise as contributors to the effectiveness of the ODP.
- Interviewed ICANN org staff identified various ways the ODP fulfilled ICANN org needs for due diligence and preparation for policy implementation, but staff also identified areas where the ODP could be improved to more effectively meet the needs of ICANN org.
- ICANN session data revealed that some community members praised ODP efforts to increase transparency.
- ICANN session data shows that community members identified areas for improvement in outreach, community involvement in the ODP process, and had concerns about the impact of the ODP on the policy implementation timeline.

Next Steps

Timeline:

- Q4 2023: Complete the Initial Research Phase
- Q1 2024: Initiate the Second Research Phase

Based on preliminary findings, the following data collection efforts are anticipated for the second research phase:

- **ICANN Community Survey:** Community surveys will be used to gather additional information on the needs of the ICANN community and determine whether and how the community believes the ODP fulfilled those needs.
- **ICANN Board Questionnaire:** ICANN org will coordinate with the Board on the best methods to further explore the ICANN Board's needs and expectations for the ODP and determine whether and how the ODP fulfilled those needs along with other areas for ODP improvement.

AOB

Agenda Item #4