

Consolidated View of Draft Phase 1 Recommendations

CQ Summary	Current #	New #	Rec / IG Summary	Underlying Principle
Section 1: RZ-LGR as Sole Source				
A1. RZ-LGR be the sole source	1.1		RZ-LGR be the sole source for calculating variant labels of existing gTLDs from 2012 round	RZ-LGR as the Sole Source
Section 2: Same Entity Principle				
B1. Same entity principle	2.1		Allocatable variant label(s) of existing gTLDs must be allocated to existing gTLD registry operators from 2012 round	Same Entity
Section 3: Application / Admin Check / Initial Evaluation				
B4. Sequence to apply for variant labels and delegate variant labels	2.11		Variant label application cannot precede primary IDN gTLD string application	Sanctity of the Set
	2.12		Application for variant label(s) of a delegated IDN gTLD must be submitted during an application round	
	2.13		Approved primary IDN gTLD string and variant label(s) must be subject to the same delegation timeframe as that of 2012 round	Sanctity of the Set
	2.14		Sequence for delegating the approved primary IDN gTLD string and variant label(s) can be determined by the registry operator	Sanctity of the Set
D1b. Application for gTLD variant labels	2.5		One application can cover applied-for primary IDN gTLD string and allocatable variant label(s)	Sanctity of the Set
	2.9		Existing ROs from 2012 round to apply for variant labels during application rounds	
	2.6		Applicant must explain why it seeks variant label(s)	Conservatism Sanctity of the Set
	2.19		Applicant must demonstrate ability to manage primary IDN gTLD and applied-for allocatable variant label(s)	Conservatism Sanctity of the Set
	2.20 (IG)		Evaluation of ability to manage variant label set should be tied to overall technical capability evaluation and based on measurable criteria	Conservatism Sanctity of the Set
	2.21 (IG)		ICANN org may conduct research that helps identify additional standards or testing for technical evaluation	Conservatism Sanctity of the Set
	2.7		Application fee structure must be consistent with cost recovery principle	Sanctity of the Set
B5. Application of variant labels of non-standard gTLDs	2.15		Variant label application for Community-based TLD string, GeoTLD string, and .Brand TLD string will be subject to the same application requirements and evaluation criteria for the primary IDN gTLD string	Sanctity of the Set
	2.10		Application for allocatable variant label(s) of existing IDN gTLDs from 2012 round must receive priority in processing order ahead of other applications for new gTLDs	Same Entity Sanctity of the Set
A7. Single character gTLD string applications	1.14		Application for single character gTLD will not be accepted until relevant guidelines from CJK GPs are implemented	Conservatism
E5. Reserved Names and Strings Ineligible for Delegation	3.2		Reserved Names list will not include variant labels	
	3.3		No application for a variant label of a Reserved Name is allowed	Same Entity
	3.1		Strings Ineligible for Delegation list will not include variant labels	
	3.11		Only protected organizations are allowed to apply for variant labels of their protected strings	Same Entity
A3. Limited challenge process of applied-for string deemed invalid by algorithmic checking	1.2		An applicant can challenge an evaluation determined by the DNS Stability Review Panel that the applied-for gTLD string is "invalid"	RZ-LGR as the Sole Source
	1.3 (IG)		A disqualification warning must be issued when the algorithmic check finds that the applied-for gTLD string is "invalid"	RZ-LGR as the Sole Source
	1.17		A string subject to RZ-LGR review is ineligible to proceed	RZ-LGR as the Sole Source
Section 4: String Similarity Review				
E3. Adjustment to String Similarity Review	3.12		String Similarity Review must be modified to follow the Hybrid Model	Conservatism Sanctity of the Set
	3.13		String Similarity Review Panel may decide to omit blocked variant labels when conducting comparisons, based on guidelines / criteria on basis of a manifestly low level of confusability between the scripts of labels being compared	Conservatism Sanctity of the Set
	3.14		Guidelines / criteria must be developed for String Similarity Review Panel to decide on the omission of blocked variant labels when conducting comparisons	Conservatism Sanctity of the Set
E3a. Outcomes of String Similarity Review	3.15		All labels in a variant label set will share the same outcome out of the String Similarity Review	Conservatism Sanctity of the Set
Section 5: Objection Processes				

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E2. Adjustment to Objection Processes	3.18		String Confusion Objection may be filed based on confusing similarity between combinations of gTLD strings and variant labels established by the Hybrid Model	Conservatism Sanctity of the Set
	3.19		Outcomes of the String Confusion Objection are consistent with the 2012 AGB	Conservatism Sanctity of the Set
	3.20		Limited Public Interest Objection, Legal Rights Objection, and Community Objection may be filed against only the applied-for gTLD strings and/or applied-for variant labels	
	3.21		If the Limited Public Interest Objection, Legal Rights Objection, or Community Objection prevails against the applied-for primary gTLD string, the application in its entirety is ineligible to proceed. If prevails only against applied-for variant label(s), the application may partially to proceed without the variant label(s) that are rendered ineligible by the objection	
Section 6: String Contention				
E4. Adjustment to String Contention Resolution	3.16		Applied-for strings that are variant labels must be placed in a contention set	Same Entity Sanctity of the Set
	3.17		The entire variant label set must be processed in the contention set	Same Entity Sanctity of the Set
Section 7: Contractual Requirements				
D1a. Registry agreement adjustment	2.4		Future IDN gTLD and its variant label(s) will be subject to one RA	Same Entity Sanctity of the Set
	2.15 (IG)		A new spec or amendment to RA may be developed	Same Entity Sanctity of the Set
	2.22		IDN gTLD registry operator from 2012 round will be required to enter into a separate RA for its newly approved variant label(s) while maintaining its existing RA	Same Entity Sanctity of the Set
	2.23 (IG)		The separate RA for newly approved variant label(s) will be linked to the RA for existing IDN gTLD from 2012 round	Same Entity Sanctity of the Set
B2. Same entity principle: same back-end registry service provider	2.2		Same registry service provider must be used for existing IDN gTLDs from 2012 round and their delegated variant labels	Same Entity Sanctity of the Set
	2.3		Transition to the same new registry service provider must be applied to the IDN gTLD and its delegated variant label(s)	Same Entity Sanctity of the Set
D2. Registry transition process	3.4		Registry Transition Process or Change of Control process must encompass the IDN gTLD and its allocated / delegated variant label(s) at the same time	Same Entity Sanctity of the Set
	3.5		Only the successor registry operator can apply for allocatable variant labels after the Registry Transition Process or Change of Control process completes	Same Entity Sanctity of the Set
	3.6		An IDN gTLD and its allocated / delegated variant label(s) must be transitioned to the same EBERO provider at the same time	Same Entity Sanctity of the Set
	3.7		Reassignment of an IDN gTLD must include its allocated / delegated variant label(s) at the same time	Same Entity Sanctity of the Set
D3. Data escrow requirements	3.8		Same data escrow provider must be contracted for the IDN gTLD and its allocated / delegated variant label(s)	Same Entity Sanctity of the Set
	3.9 (IG)		Escrow data for each gTLD variant label should be stored in separate files	Same Entity Sanctity of the Set
Section 8: Delegation & Removal				
A5. Ceiling value of delegated variant labels	1.4		No ceiling value for delegated top-level variant labels is necessary	RZ-LGR as the Sole Source
	1.5		A framework for best practice guidelines in the management of variant gTLDs by registries and registrars must be formulated	Same Entity Sanctity of the Set Conservatism
	1.6 (IG)		The framework should outline the scope and steps in developing future best practice guidelines	Same Entity Sanctity of the Set Conservatism
A6. RZ-LGR update impact on delegated gTLDs	1.7		All delegated gTLDs and their delegated and allocated variant label(s) not validated by a proposed RZ-LGR update must be grandfathered	
	1.8		GPs and the Integration Panel (IP) must make best efforts to retain full backward compatibility for all future versions of the RZ-LGR	RZ-LGR as the Sole Source
	1.9		In the unexpected event where a proposed RZ-LGR update is unable to retain full backward compatibility, the relevant GP must call out the exception during a public comment period and explain the reasons for such exception	Conservatism
	1.10 (IG)		GP analysis should identify security and stability risks and mitigation actions for grandfathering. ICANN org should conduct an analysis on the potential impact of grandfathering and facilitate a dialogue between relevant parties.	Conservatism
D8. Catch all: gTLD lifecycle	2.16		Primary IDN gTLD's removal will require removal of its delegated variant label(s)	Sanctity of the Set
	2.17		A delegated variant label may be voluntarily removed without requiring removal of primary IDN gTLD and its other delegated variant label(s)	Sanctity of the Set

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	2.18		Removal of a delegated variant label due to breach of contract will require removal of primary IDN gTLD and its other delegated variant label(s)	Sanctity of the Set
Section 9: Variant Label State				
A9. Variant label states	1.12		Variant label states	Same Entity Sanctity of the Set
	1.15 (IG)		Variant label states should be recorded and tracked by ICANN org so long as the primary gTLD remains delegated	Same Entity Sanctity of the Set
A10. Variant label state transition	1.13		Variant label state transition	Same Entity Sanctity of the Set
	1.16 (IG)		Possible scenarios that trigger variant label state transition	Same Entity Sanctity of the Set
Section 10: No Recommendations				
A4. Scripts of existing gTLDs not supported by RZ-LGR	n/a			
A2. Self-identified variants from 2012 round	n/a			
A8. Catch all: RZ-LGR	n/a			
B3. Catch all: same entity principle	n/a			
E6. Two-character gTLD string applications	n/a			
B4a. Non-applied-for allocatable variant labels' role in application process	n/a			
E1. Non-applied-for allocatable variant labels' role in application process	n/a			
E7. Catch all: New gTLD application evaluation	n/a			

Definition of Underlying Principles

Conservatism: Introduction of gTLD variant labels should strike a balance between permitting the delegation of gTLD variant labels that meet user needs and limiting potential security and stability risks associated with such delegation

RZ-LGR as the Sole Source: RZ-LGR will be the sole source to determine valid strings as gTLDs and calculate variant labels and their disposition values for existing and future gTLDs

Same Entity: At the top-level, have the same registry operator managing all allocatable variant labels of an existing or future gTLD

Sanctity of the Set: Atomicity or integrity of a variant label set centered around the primary gTLD