

APRALO POLICY FORUM JANUARY 2023

OPERATIONS FINANCE AND BUDGET WORKING GROUP UPDATE

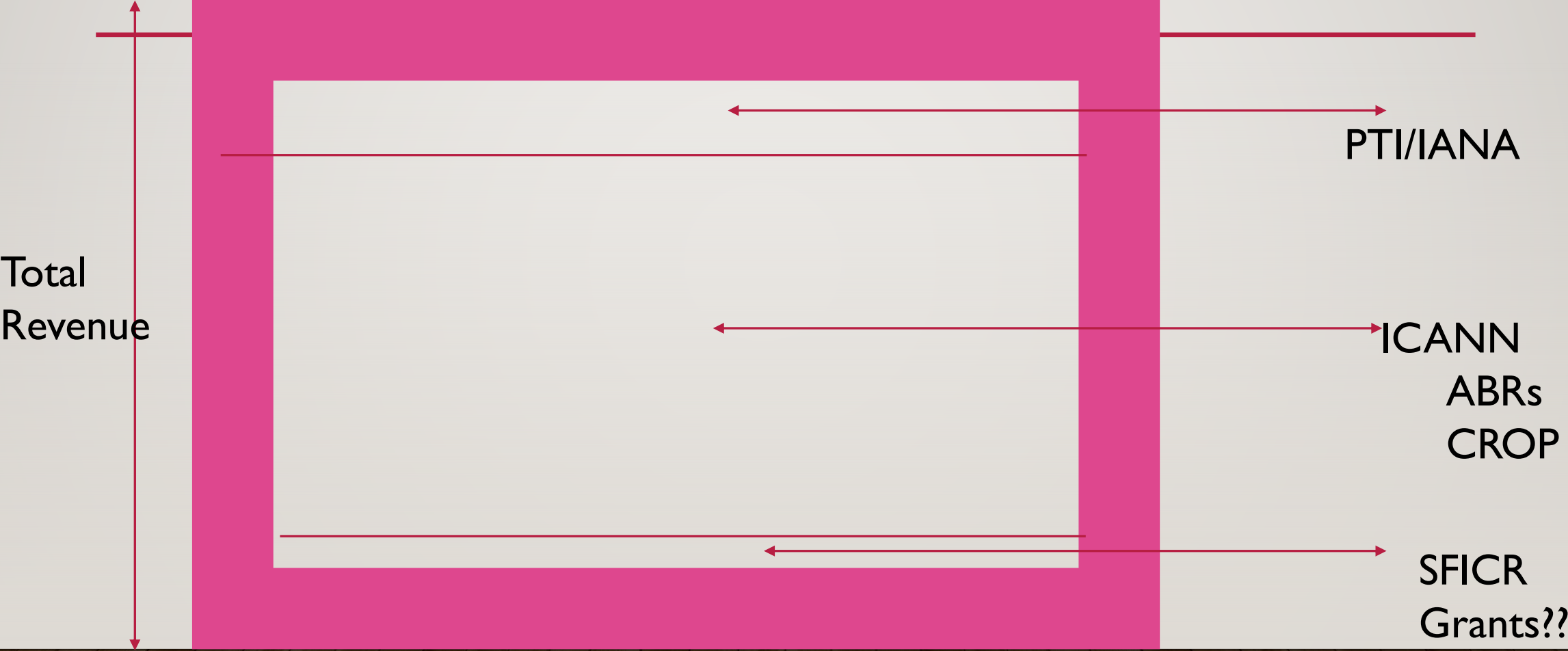


ISSUES FOR APRALO DISCUSSION

- Funds for ICANN Related Activities
 - ICANN Budget
 - ABRs
 - CROP
 - Grants?
- Comment on FY 24 Budgets – due 13 February
 - Operating and Financial Plans for FY 24-28 and FY24
 - FY 24 Operating Plan
 - FY 24 Operating Initiatives
 - FY 24 Functional Activities

<https://community.icann.org/pages/viewpage.action?pageId=22226982>

THE BUDGETS



ADDITIONAL BUDGET REQUESTS

Timeline:

- Beginning Submission Period/Notice sent: October/Nov
- RALOs to review Requests: December/January
- Discussion of Proposals: FSBC/Policy Staff and final Review: January
- Final Submission by ALAC - January
- Submissions sent by ALAC staff to ICANN Planning

ADDITIONAL BUDGET REQUESTS: CRITERIA

Assessment:

- Requests which, on their face, are **directly and demonstrably** related to current ICANN policy development, advisory and technical work
- Addressing capacity building objectives through collaboration with ICANN's Public Responsibility Support and Global Stakeholder Engagement teams including development of **materials that can be used for online** (rather than face to face training)
- Considering the **availability of resources** (financial and staff) to support the individual and collective requests submitted in an equitable and transparent manner
- For **travel** requests – primarily – events taking place at an **ICANN public meeting** or other **ICANN organized meeting**

<https://community.icann.org/display/atlarge/At-Large+FY22+Budget+Development+Workspace>

COMMUNITY REGIONAL OUTREACH PROGRAM

Program Restarted November 2022 – available for trips starting 16 January 2023

Criteria:

- Within a geographic region
- 1 out of 3 sponsored trips to an ICANN or ICANN sponsored meeting in applicable region
- Generally conference fees not covered – with limited exceptions
- Proposal submissions due 7 weeks from the commencement date of the trip

<https://community.icann.org/display/soacabout/Community+Regional+Outreach+Program+%28CROP%29-FY23>

GRANTS PROGRAM

- Funds from the new gTLDs auctions in 2012
- Use of funds, allocation, processes, review etc recommended by a Cross Community Working Group, with their report accepted by the Board in June 2022
- Total funds available for allocation – USD 10 million
- Expected that individual grants will range from USD 50,000 to USD 500,000
- Allocated in rounds
- First cycle of allocation scheduled for 2024 Q1

GRANTS PROGRAM

Objectives Statement: The types of efforts that ICANN will encourage and fund through the Grant Program include those that support the ICANN mission by:

- Benefitting the development, distribution, evolution of the structures that support the Internet's unique identifier systems
- Providing underserved populations with capacity development and infrastructure support
- Advancing work related to open access, future oriented developments, innovation and open standards for the benefit of the Internet community
- Addressing diversity, participation and inclusion across developing regions, under communities and all stakeholders.

<https://community.icann.org/display/GP>

FY 24 OPERATING PLAN AND BUDGET

- Strategic Goals (5)



- Operating Initiatives (11)



- Functional Activities (33) in 5 service Groups
 - Technical and DNS Security
 - **Policy Development and Implementation Support**
 - **Community Engagement and services**
 - ICANN Org Governance
 - ICANN shared services

OPERATING INITIATIVES

1. Support evolution of Root Server System
2. Facilitate DNS Ecosystem Improvements
3. **Evolve and Strengthen MSM – Diverse and Inclusive Participation in policy making (1)**
4. **Evolve and Strengthen ICANN’s Decision making process (2)**
5. Develop Internal/External Ethics Policies
6. Promote/strengthen Competitive Environment in DNS
7. Geopolitical Monitoring, Engagement and Mitigation (Monitoring legislation that may impact – (5))
8. Improve understanding of Domain Name Market Drivers that impact ICANN’s Funding
9. Implement new gTLD Auction Proceeds recommendations approved by the Board
10. Planning at ICANN (4)
11. ICANN Reserves

NB: The Operating Initiatives for FY23 included the initiative “Universal Acceptance – which is now being implemented and no longer listed as one of the initiatives for FY24. The numbers against the current initiatives indicate the top 5 initiatives indicated by ALAC. “Universal Acceptance” was number 3 on that list

ALAC COMMENTS ON THE BUDGET - FINANCIAL

Initial Comments

- Determination of ICANN activities to support initiatives
- Mechanisms for determination of outcomes
- For initiatives identified by ALAC – processes for evaluation?

Marita's comments – sample:

- There is still no fulsome **way to follow progress on the full set of multistakeholder model (MSM) issues** identified in community discussions. In addition, there is no overall strategy to recognize efforts—especially community-led efforts towards those initiatives. We reiterate our suggestion made last year, that there be a **regular, inclusive (all issues) progress report to stakeholders**, including a call-out to communities for contributions.