














ATRT1 RECOMMENDATION IMPLEMENTATION ASSESSMENT

For all ATRT 1 recommendation projects, identified tasks were completed and incorporated into ICANN’s standard operating procedures (SOPs) to improve accountability and transparency in the identified areas, as reported in the [2012 Annual Implementation Report](#). An important, although often overlooked, consequence of the 27 ATRT recommendations is that they also stimulated long-term improvement efforts related to the Board, GAC, public input, and policy development, and review of Board decisions. Because these areas have been highlighted by the ATRT as important to ICANN’s accountability and transparency, iterative improvements are underway or under discussion as part of ICANN’s continuous improvements. ICANN expects to continue to make advances in these areas over the long-term. Continuous improvement, however, can make identifying a specific completion point for ATRT recommendation projects more challenging, and refinement of the implementation process (including success criteria) is recommended for the next ATRT round.

Thirty-two (32) components were identified to support the 27 recommendations proposed by the ATRT1. The chart below summarizes the status as determined by the ATRT2 in 2013. For detailed information on the ATRT2 assessment and incorporation in a new set of recommendations, please see [ATRT2 Final Report and Recommendations](#).



Rec #	Summary of Board-Approved Recommendations	ATRT2 Implementation Assessment
Board Operations, Composition & Review of Decisions		
1	1.a-d Mechanisms for identifying collective Board skill-set; benchmarking Board/BGC skill-sets; tailoring & consulting on skills; reviewing for each NomCom; publishing outcomes & requirements with NomCom’s notice.	<ul style="list-style-type: none"> ● 1a Document the methodology used to identify and choose “similar corporate and other governance structures” - Done; ● 1b Document benchmarks used - Incomplete; ● 1c Improve NomCom outreach/PR - Done; ● 1d Expand the skills survey and benchmarking to include NomCom selections in GNSO, Country Code Names Supporting Organizations (ccNSO), and At-Large Advisory Committees (ALAC) - Done
2	Regularly reinforce/review training & skills building.	<ul style="list-style-type: none"> ● 2(a) Metrics should be defined by which effectiveness of board training programs can be measured - Incomplete; ● 2(b) Board training materials should be made public – Incomplete
3	Increase transparency of NomCom’s deliberations & decision-making process. ASAP but starting no later than next Nom Com.	<ul style="list-style-type: none"> ● Done - Implementation of ATRT1 Recommendation 3 appears largely successful.

4	Continue to enhance Board performance & work practices.	 The Board has clearly taken a number of steps to implement Recommendation 4. While some related tasks have been completed, the nature of that implementation is “ongoing.” While there is clear evidence of work undertaken on this front, effectiveness of the work is still difficult to measure.
5	Implement compensation scheme for voting Board Directors.	 Complete.
6	Clarify distinction between PDP & executive function issues	 Implementation is incomplete and work on the issue is ongoing. A continuing lack of clarity about “policy v. implementation” causes uncertainty at best and distrust at worst.
7	7.1 Promptly publish all appropriate Board materials related to decision-making processes. 7.2 Publish rationale for Board decisions and for accepting or rejecting public & community input.	 7.1: implementation of ATRT1 Recommendation 7.1 appears largely successful. A question has been raised about the scope of redactions and whether that practice respects the “minimal” approach of Recommendation 7.1. This question is difficult to explore given the nature of redactions.  7.2: largely successful.
8	Publish redaction conditions.	 Incomplete - Beginning with the 12 December 2010 Board meeting materials, the basis for each redaction was set forth on every page where a redaction occurred. A review of how to best cite to the circumstances requiring a redaction will continue.
19	Publish translated Board material within 21 days.	 Done.
20	Ensure/certify that inputs in policymaking processes are considered by the Board.	 Incomplete.
23	Get input from committee of independent experts on restructuring review mechanisms.	 Incomplete.
24	Assess Ombudsman relationship and confirm framework is consistent with international standards.	 Complete.
25	Clarify standard for Reconsideration requests.	 Incomplete.
26	Adopt standard timeline & format for Reconsideration Requests.	 Complete.
Governmental Advisory Committee Operations, Engagement, Interaction with Board		
9	Clarify what constitutes GAC public policy “advice” under the Bylaws.	 Complete, issue satisfactorily addressed.

10	Create formal, documented process for requesting, tracking GAC advice. ¹	● Incomplete ; significant steps have been taken with the GAC Register and the Board responding to GAC input, but further work is needed on the Board seeking GAC input at the outset.
11	Ensure timely provision & consideration of GAC advice and mechanisms for Board follow-up. ¹	● Substance complete , but took longer than ATRT1's suggested deadline. Issue of proposing and adopting related bylaws changes remains open.
12 & 13	Board, acting through the JWG, should develop & implement a process to engage the GAC earlier in the policy development process; ensure GAC is fully informed of policy agenda & policy staff is aware of GAC concerns. ¹	● 12: Discussion and implementation of recommendations remain ongoing. Completion involves considerable further work and engagement with other SOs and ACs. ● 13: Complete; issue satisfactorily addressed.
14	Increase support & commitment of governments to the GAC process. ¹	● Actions taken , but further work is needed given broader geo-politics and the concerns of some governments.
Public Input & Multilingual Access		
15	Implement stratified, prioritized public Notice & Comment processes.	● Appears complete but with qualified success . Given the community's use of the Reply Comment cycle, it does not appear that those mechanisms are offering the intended benefit.
16 & 17	Provide "Comment" cycle & a "Reply Comment" comment cycles; review and adjust fixed timelines for Notice & Comment	● 16: Completed , but not effective. ● 17: Completed , but not effective.
18	Ensure multilingual access in PDP to maximum extent feasible.	● Unsuccessful , because: a. The often poor quality of translations undermines public willingness to participate. b. The ability to encourage broader public participation is constrained by the limited availability of a full translation function. c. Community members cannot fully participate in the Public Comments process in their preferred language – including languages for which ICANN claims to have established translation services – because they must comment back in English due to the lack of full translations of all comments received. d. Many ICANN language communities are negatively impacted by the timeliness, i.e. common delays, of the current translations

¹ The Governmental Advisory Committee is discussing the GAC-driven ATRT Recommendation Projects, its commitment to ongoing improvements, and evolving goals in this area. Although identified tasks have been implemented, work will continue over the long-term.

		policy's unequal response times.
21	Create annual public comment forecast.	● Although the forecast was implemented late, a new forecast is now made every trimester so Recommendation 21 is considered complete .
22	Ensure senior staffing arrangements are appropriately multilingual.	● Successfully implemented.
Measuring Success		
27	Evaluate and report on progress on recommendations and accountability & transparency commitments in the AoC.	● Ongoing.