GNSO SOI TF Outreach Survey - updated (23 May 2022)

1. Name

1	Petter Rindforth
2	Griffin Barnett
3	Cyntia King
4	Karen Bernstein
5	Wolf-Ulrich Knoben
6	Susan Payne
7	Eduardo Diaz
8	Nenad Orlic
9	Kate Buckley
10	Pam Little
11	Volker Greimann
12	Michele Neylon
13	Susan Mohr
14	Sarah Wyld
15	Reg Levy
16	Osvaldo Novoa
17	Owen Smigelski
18	Lawrence Olawale-Roberts
19	Niamkl
20	Raymond Mamattah
21	Roberto Gaetano
22	Gopal V Tadepalli
23	K Mohan Raidu
24	Vernatius Okwu Ezeama
25	Judith Hellerstein
26	Christopher WILKINSON
27	Sarah Kiden
28	Paul McGrady

Response: 28

2. Affiliation

1	FICPI
2	IPC
3	IPC
4	IPC
5	GNSO ISPCP
6	IPC, RySG
7	AT-Large
8	BC, ccNSO
9	RrSG
10	RRSG, CentralNic
11	Blacknight / RrSG / GNSO
12	Lumen
13	RrSG
14	GNSO/RrSG/Tucows/user
15	ISPCP
16	RrSG
17	Business Constituency
18	System
19	ALAC/AFRALO
20	At-Large
21	Anna University, Chennai, INDIA
22	ISoc India Hyderabad Chapter
23	Our Rights
24	At Large
25	At Large

| 26 | At-Large Advisory Committee (ALAC) |
| 27 | GNSO |

Response: 27

3. What is your experience with the GNSO SOI?
Choose one or more of the following:

■	1 - I have a GNSO SOI because I participate or have participated in GNSO processes	79.31% (23)	2 - I have an SOI for other purposes than participating in GNSO processes	13.79% (4)
■	3 - I do not have an SOI but I am aware of the practice	3.45% (1)	4 - My group has its own set of SOI requirements	6.9% (2)
■	5 - Other	6.9% (2)		
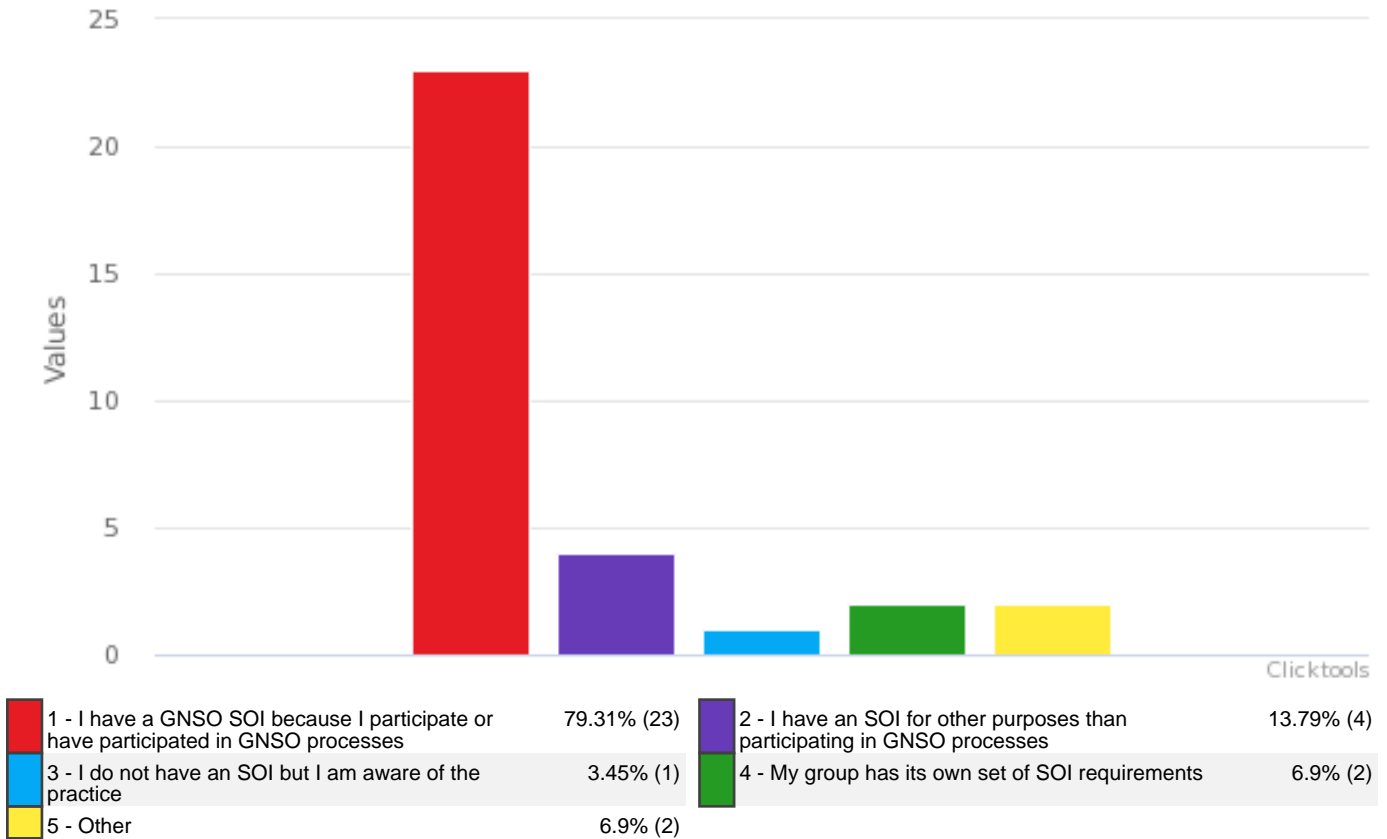
Response: 29

4. If you responded 'other' to question #3, please provide further details.

1	for the IRP-IOT we adopted our own SOI, based on the GNSO one but tailored to the particular work effort
2	N/A
3	Not Applicable
4	Okey
5	N/A
6	NA
7	The statement of interest policy is flawed compared with a Conflict of Interest policy. The SOI only makes the GNSO process more transparent, but does not affect the outcome which has been only too often to follow otherwise conflicted interests. Conflict of Interest should give rise to participants recusing themselves. e.g. when discussion concerns the interests of actual or potential competitors.

Response: 7

5. If you responded to question #3 that you have an SOI for other purposes than participating in GNSO processes, please indicate for what other purposes.

1	1. I am an RrSG delegate to NomCom and I believe ICANN community members look up SOIs of NomCom delegates. 2. The RrSG election process also requires candidates/nominees to have an up to date SOI.
2	Participation in ICANN working groups and other volunteer work.
3	CCNSO and other ICANN related activities
4	I am a member of the SSC I am also in the ISPCP excom
5	Okey
6	N/A
7	Besides for participation in GNSO processes, the SOI is used for RALO Individual Members in order to have a profile of the member and declare possible conflict of interest
8	NA

| 9 | (a) to familiarize myself quickly with new participants, given that on-line work during LockDown, has prevented normal personal conversation.

(b) as a normal requirement for participation in At Large. |

Response: 12

6. From your perspective, is the original objective of the SOI, as stated in the BGC WG Report*, still valid? If not, why not and what should the current objective be?

1	Still valid
2	Yes
3	I agree that the stated purpose is valid. Many people involved in GNSO processes have a vested interest in the outcomes. This is a good thing. However, those influences should be available for the community to see.
4	I agree to the BGC WG Report assessment. However, it happens from time to time that people/companies/associations change their SO/AC membership. The SOI also should reflect this "move in interest".
5	Yes I think this is still valid.
6	YES
7	I believe it is the objective stated in the BGC WG Report is still valid.
8	The objective should be to clearly show whose interests a representative represents during their work at ICANN.
9	Yes
10	Yes
11	The original objective is valid but it should be taken as more expansive by users of the SOI than it typically is. Above, I stated that my "Affiliation" was "GNSO/RrSG/Tucows/user". I have *multiple* interests in the outcome of various groups at ICANN—I have an interest because I am a supporter of the MSM and of ICANN generally, because I participate in the GNSO (which means that I believe in the MSM process and also in the primacy of the GNSO over some of the other SOs), because I am part of the RrSG (which could set my interests at odds with those of the RySG or other SGs in the GNSO), because I work specifically for Tucows, *and* because I am an educated internet user myself.

Each of these is important. Listing merely one "affiliation" is not just disingenuous, it is false and potentially misleading. Many participants have ties to multiple groups, even if they only officially participate in one of them—each of these is important. Some people do not consider themselves "users", this is also important information.

When completing SOIs, people should be encouraged to be expansive in their definitions of interest: not just who pays them, which has some import, but with whom their sympathies lie (for me: NCUC primarily because they look out for the users and are *not* paid to do so). |
12	I think the original objective is still valid. Perhaps my lack of English Language doesn't allow me to understand the difference between Statement of Interest and Declaration of Interest. I understood that one must state all of his interests in the SOI so that anybody can see if there is any conflict of interest with the motions discussed in that moment.
13	Yes
14	Yes
15	Tak tahu
16	I think it is still valid
17	The objective is still valid but in my experience the SOI is also used to have an idea of the profile of a participant in ICANN's activities
18	YES.
19	BGC WG Report is still valid.
20	yes but still each meeting the person should ask all participants if their SOI's are current or if they have any conflicts which have not been identified since things change quickly
21	No. Replace the policy with a conflict of interest policy, including recusement as appropriate.
22	Yes, it is still valid.
23	Yes

Response: 24

7. Based on the response to the previous question, is the requested information to be provided as part of the SOI still fit for purpose? If not, why not, and what would need to be changed to make it fit for purpose?**

1	Still fit for purpose
2	Yes, although I don't know if any verification that the fields are being filled out completely and accurately is being done
3	I believe you could fine tune this a bit to reflect current best practices.
4	As implemented, this needs to recognise that people can have more than one interest that they represent, either depending on the topic or even within the same topic, where different parts of a business may be affected in different ways by ICANN policy. Topic/work-effort specific child SOIs to a main parent SOI might assist.

Guidance to the level of detail required would also assist as currently there is a range in the level of detail given across SOIs which all, apparently, are acceptable |
| 5 | YES |
| 6 | 1. The lauguage in #2 "Please identify your declared country of primary residence (which may be the country to which you pay taxes)." differs from that in the ICANN Bylaws. It should be modified to make it consistent.

2. The language in 5 "If professional ethical obligations prevent you from disclosing this information, please so state." is problematic as it creates a "loophole" for some to hide behind such relationship. I would urge the SOI Task Force to see if there is a way to turn this around to make sure non-disclosure is only allowed under exceptional circumstances. |
| 7 | The objective of the SOI is being missed in many cases as just listing the organisation one is affiliated with does not usually provide the full picture. For example if a participant states he or she is representing the "Online fellowship of the greater good", that is not usually sufficient to understand whose interest that participant truly represents.
The SOI should therefore be expanded by a register for the organisations represented as well, outlining their source of funding and memberships in and affiliations with other relevant organisations.
The SOI should also include statements of who is paying for the time of the representative spent doing the work, e.g. who is paying the billable hours. |
| 8 | Up to a point - yes.
The problem is that some people can hide in plain sight by using a blanket "for my clients" type response without ever stating who any of the clients are. |
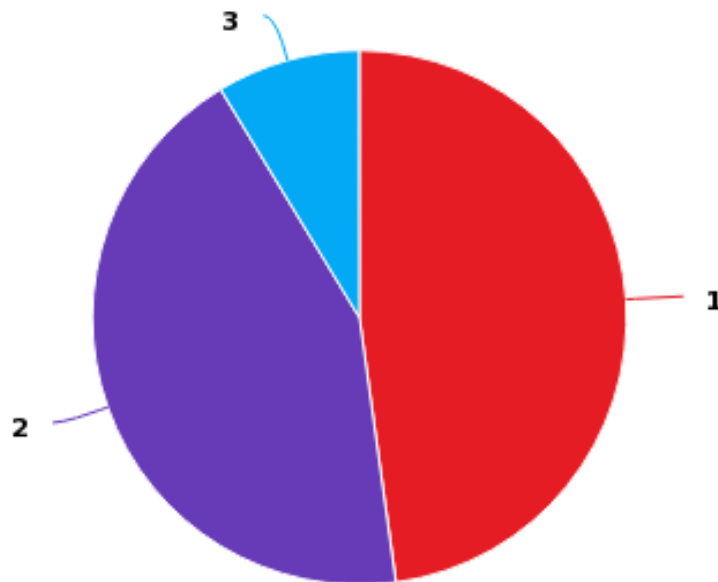| 9 | Yes still fit for purpose |
10	I would include current and former employers as well as any affiliation the completer believes would be important if they were reading someone else's SOI.
11	I think the requested information is still fit for the purpose.
12	Yes.
13	Yes it is.
14	Tidak
15	I think they are still fit.
16	SOI is fit for a generic purpose. However, a stronger Statement of Purpose may be needed to serve on a specific ICANN Group or Committee.
17	The requested information to be provided as part of the SOI, still fits the purpose.
18	yes
19	The requested information appears to be adequate provided it also includes a declaration of all Clients, however remunerated, or not as the case may be.
20	The information is still fit for purpose.
21	Yes

Response: 22

8. Are there any further measures that should be considered from an enforcement / escalation perspective, in addition or instead of those already included in the requirements? (See sections 6.4 – Completeness and Accuracy and 6.5 Failure to Comply of the GNSO Operating Procedures, Chapter 6: Statements Of Interest.)

1	No
2	Periodic reminders to check SOIs for any updates would be helpful - I don't recall ever receiving a reminder to confirm that my SOI is still up to date
3	You should really make it easier for individuals/groups to review & update their info annually.
4	with greater clarity over what the expectations are, it will be easier to hold people to this and enforce
5	It should be mandatory to update SOI, as automatic proces. Reminder or something else.
6	I believe refusal to provide accurate and up to date information or wilfully providing mis-information should be a ground for suspending the person's participation or role.
7	The SOIs operate on the honor principle. Failure to comply with expected standards of disclosure of interests should therefore not lead to severe sanctions, however the inclusion of a reference or note in the SOI could be contemplated, such as "This SOI does not meet the expected community standards as the subject has failed to disclose ...."
8	An annual reminder to participants to update the SOI? An easy way for somebody to flag an SOI that they believe isn't accurate
9	Having forgotten to update my SOI, I understand how it is not necessarily the first thought on people's minds; it's, I believe, merely a matter of trust, and I think that seems to work well.
10	I don't think so.
11	I was not aware that there were requirements to be complete/accurate in SOI, nor that are escalation/enforcement procedures. Perhaps providing more info on this to the ICANN community would be good? Also, perhaps an oversight mechanism to periodically review SOIs?
12	Yes
13	None
14	ICANN is a good community and many concerns can be resolved by clarifications and consultation based on the existing submissions.
15	The measures covered in GNSO Operating Procedures, on Relevant Party's Statements Of Interest dealt vide 6.4.1 Completeness, 6.4.2 6.4.3 Appeal Process, Accuracy, 6.5 Failure to Comply, 6.5.1 Requirement to Participate and 6.5.2 Suspension are comprehensive.
16	I am unable to reply because I am unaware of the outcomes of enforcement under the existing policy.
17	No

Response: 21

9. Should the TF decide to recommend changes to the existing requirements and/or SOI Template, would that affect your group if it uses the same template for its own purposes?

1 - No	47.83% (11)	2 - I am not sure	43.48% (10)
3 - Yes - please explain:	8.7% (2)		

Mean: 1.61
Response: 24

10. If you responded 'yes' to question #9, please explain.

1   Since many of us belong to several constituencies, I suggest the SOI should be updated so we could choose multiple constituencies but not only one as it is now.

2   NA

3   Insofar as very few At Large participants have business relationshis with ICANN or with GNSO members, Extending a Conflict of Interest policy to At Large may be considered to be unnecessary, but not excluded a priori.

Response: 3

11. Is there any other information or observations you would like to provide that may help inform the Task Force's deliberations?

1   There are certain community members who wear "multiple hats", i.e, they have different roles within ICANN, some of these roles require neutrality. The SOI does not seem to cater for this group of community members and the ambiguities of their interests vs roles.

2   Knowing why somebody is involved / what motivates them / their views is important. The SOI is the only way that this can be handled at the moment so it's important that they're taken more seriously.

3   The SOI is to help people understand the interests of those who work with the GNSO—an expansive definition is therefore helpful.

4   Not at this moment

5   Tidak

6   No, please.

7   Unless I am mistaken, there is no obligation to periodically review the SOI and keep it up to date - I believe that such a procedure should be added

8   SOI should permit "EDIT" mode and provide space for "Any Other Pertinent Declarations or Disclosures"

9   The Relevant Party's Statement of Interest should be meticulously scrutinized not only for its completeness but also for its accuracy.

10   NA

11   no since other groups require their own SOIs which are often similar

12   I would be prepared to join the Task Force's consideration of all responses received.

13   The SOI is working.

Response: 15