

# APRALO Policy Forum Agenda 2022

## Update & Discussion with APF Members

Justine Chew & Satish Babu

10 May 2022



**APRALO**  
**POLICY FORUM**  
Celebrating Diversity, Fostering Inclusion

# Agenda

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## APRALO Policy Forum – setting an agenda for 2022

- ⦿ Reminder on APF Focus for 2022 from APF Survey #2.2021 Guide
- ⦿ Turning APF Survey #2.2021 Guide into Proposed Action
  - Universal Acceptance (UA)
  - Internationalized Domain Names (IDNs)
  - Subsequent Procedures (SubPro)
  - Existing initiatives: Mentoring Program, Hot Topics Survey (HTS) 2022, Register of Skills & Interests (Project RoSI)
- ⦿ Group Discussion
- ⦿ Next Steps

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# PROPOSED NEW INITIATIVES

## **Reminder on APF Focus for 2022**

### **1. Maintain Selective Focus**

- ⊙ **Concentrate on UA and IDNs**, establish specific opportunities for participation and identify action items
- ⊙ Monitor developments on New gTLD Program – SubPro ODP
- ⊙ Monitor developments for end-user action against DNS abuse
- ⊙ Limit to issues within ICANN remit impacting end-users

### **2. Vary *Modus Operandi***

- ⊙ Find new ways to simplify engagement, allow for ease of understanding, increase discussion opportunities
- ⊙ Support and avoid duplicating CPWG efforts
- ⊙ Conduct periodic review on effectiveness of *modus operandi*

### **3. Continue with existing initiatives**

- ⊙ APRALO Mentorship Program
- ⊙ APRALO Register of Skills & Interests (Project RoSI)
- ⊙ APRALO Hot Topics Survey (HTS) 2022

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### 3. Continue with existing initiatives

- ⊙ APRALO Mentorship Program
- ⊙ APRALO Register of Skills & Interests (Project RoSI)
- ⊙ APRALO Hot Topics Survey (HTS) 2022

What  
can  
we do  
about  
these  
?

# New Initiative Proposals for Discussion (1)

## Universal Acceptance – Partnership Events

- UA isn't a policy area in the normal sense
- Goal for UA better served through workshops, content readily available from UASG
- Offers outreach & partnership opportunities with ALSes, GSE etc
- Offers support for ALS to organize workshops, UA-related events in their geographic locations – content materials, possible funding through ABRs etc

As showcases, propose to run events in Armenia and India

	What	When	Where	Partnerships / Resources Needed
1.	UA Workshop	Jul 2022	Yerevan, Armenia	<ul style="list-style-type: none"><li>• ISOC Armenia</li><li>• GSE</li></ul>
2.	Public Session	Sep 2022	ICANN75 in Kuala Lumpur, Malaysia	<ul style="list-style-type: none"><li>• ALAC</li><li>• UASG</li></ul>
3.	UA Training	Sep 2022	Hyderabad, India	<ul style="list-style-type: none"><li>• Indian ISOC Chapters</li><li>• inSIG 2022</li><li>• GSE</li></ul>

# New Initiative Proposals for Discussion (2)

## APF Fireside Chats

- Informal, 1-to-1 conversation, simplifying a small number of issues on each topic to facilitate ease of understanding
- Questions can be developed or collated before hand to simplify engagement
- Encourage interaction and questions from the floor to increase discussion opportunities

	When	What	Why / Goals	Moderator	Guest
1.	May 2022	<b>Let's Talk about "GNSO PDP 3.0"</b>	<ul style="list-style-type: none"> <li>• APF focus is policy</li> <li>• GNSO-driven gTLD policy-dev is largest chunk of policy-dev that At-Large participates in</li> <li>• Understanding how GNSO PDP works highlights where /when At-Large participation/voice is most effective</li> <li>• Awareness of the steps preceding a PDP is needed</li> <li>• Aligning PDP with CPWG in terms of At-Large discussion of and input to policy issues within PDP charter questions</li> </ul>	Justine Chew	Cheryl Langdon-Orr
2.	Early Jun 2022	<b>Let's Talk about "Closed Generics"</b>	<ul style="list-style-type: none"> <li>• Closed Generics is one of the topics which At-Large is continuing to explore at ICANN74</li> <li>• (Board is pushing GNSO and GAC to undertake a dialogue on how applications for Closed Generics should be handled in the next round for New gTLD applications</li> </ul>	TBD	Holly Raiche
3.	Jun 2022 (post ICANN 74)	<b>Let's Talk about "Transfer Policy"</b>	<ul style="list-style-type: none"> <li>• Transfer Policy PDP WG Initial Report is expected to be out for public comment from around 15 June</li> <li>• Help to gather input to feedback to CPWG deliberation on recommendations in said Initial Report</li> </ul>	Satish Babu	TBD
4.	Aug 2022	<b>Let's Talk about "IDNs"</b>	<ul style="list-style-type: none"> <li>• IDNs is one of the topics of crucial importance to APRALO</li> </ul>	APRALO Mentoring Program Mentee(s)	Edmon Chung

# New Initiative Proposals for Discussion (3)

## APRALO/APF Outreach Event during ICANN75 in KL

- Suggestions ??

	What	Why / Goals	Resources Needed
1.	Public Session on UA		<ul style="list-style-type: none"><li>• ALAC</li><li>• UASG</li></ul>
2.	Public Session to discuss outcome of the At-Large End User Survey on IDNs		<ul style="list-style-type: none"><li>• ALAC or ALAC small team members on project</li></ul>
3.			
4.			
5.			



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# EXISTING INITIATIVES

## 1. Project RoSI

# Project RoSI Description & Goals (+Pathway)

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## Description

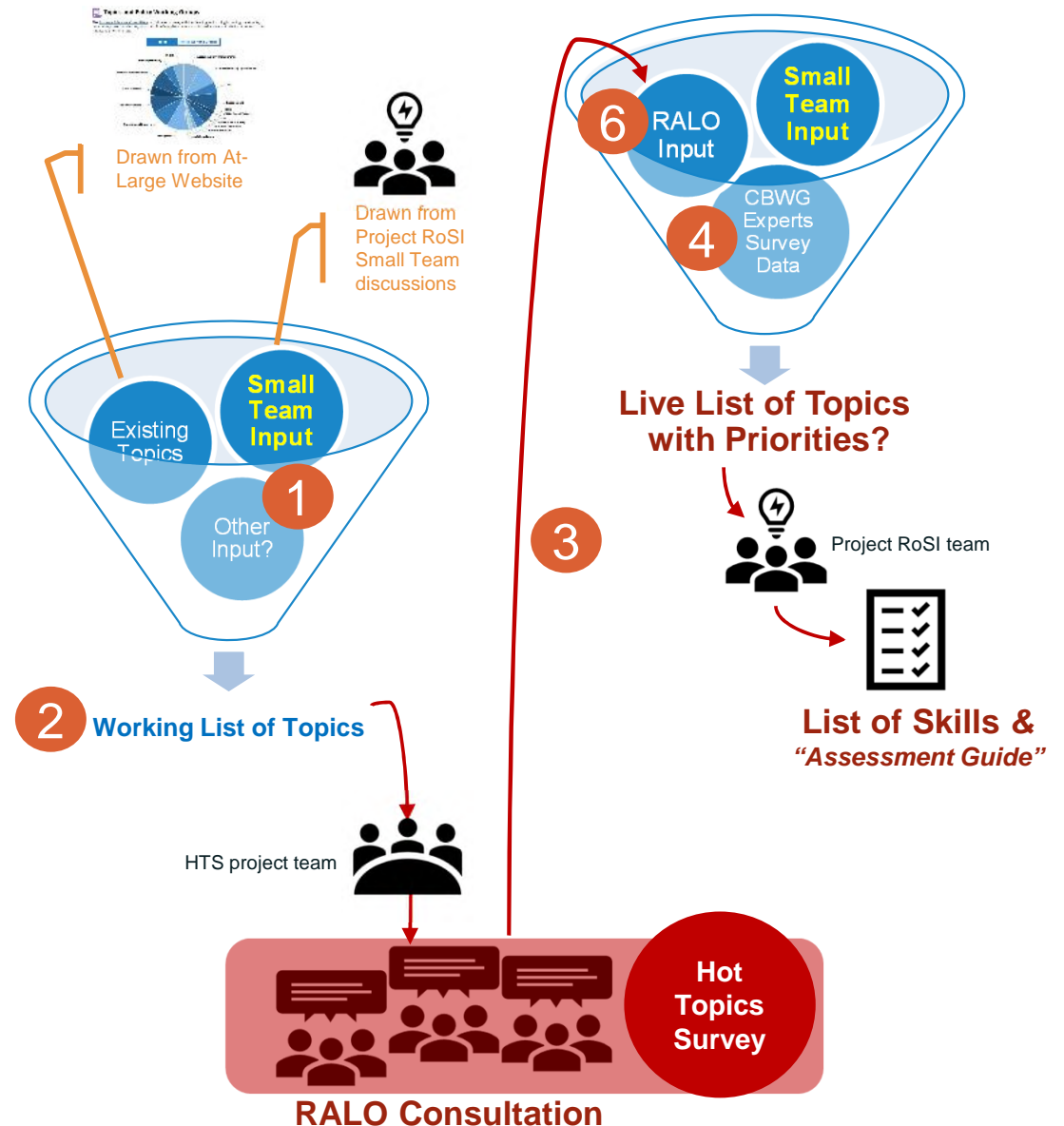
- ⦿ A project to deliver a dynamic Register of Skills & Interests for APRALO

## Goals (Updated) +Pathway

- ⦿ In reviewing/evaluating available inputs, aim to:-
  - Firstly, formulate the list of *Identified Topics (& Sub-Topics)* of concern;
  - Secondly, ascertain the priority of *Identified Topics*;
  - Thirdly, ascertain *Identified Skills* needed for *Identified Topics*;
  - Then, identify RALO ALSes, ALS Members and/or Individual Members with *Identified Skills and/or Interests in Identified Topics (& Sub-Topics)*;
  - Thus, **building a talent/interest pool to help provide RALO/At-Large** input to:-
    - ICANN activities – **PDP, resource planning** (eg. strategic planning, operational priorities, budget development etc)
    - RALO/ALAC activities – **internal governance, data collection, policy positioning, capacity building** etc; and
  - As a corollary goal, identify experts to become mentors for *Identified Topics*.

# Project RoSI - Where Are We?

- ✓ 1. Update Base Data lists (where needed)
- ✓ 2. Formulate Working List of Topics as data input for Hot Topic Survey project team
3. Await results of RALO consultation i.e. Hot Topics Survey results
4. Consider CBWG Experts Survey results for APAC
5. Tackle “how to assess interest/talent/expertise?”
6. Regroup and consider said RALO input from Hot Topics Survey



# Areas within ICANN's Remit (ie within scope) (as at 13 Sep)

ICANN's role in Internet Governance is limited to management of DNS

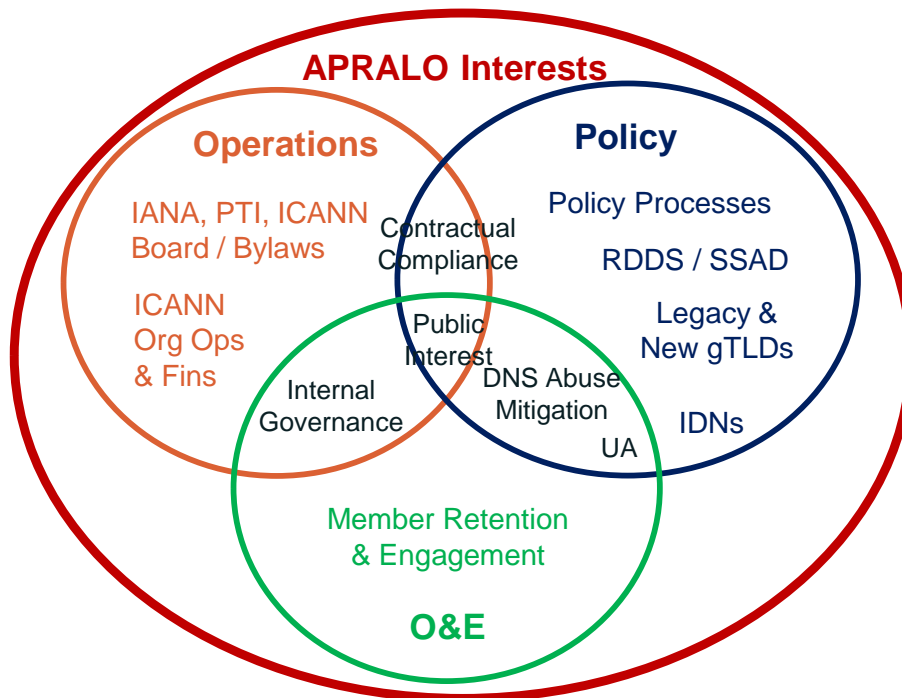
## Internet Governance

### ICANN – DNS Only

Non-Technical  
Public Interest  
Reviews / Improvements

Semi-Technical  
Legal / Regulatory  
Intellectual Property  
Dispute Resolution

Highly Technical (Security & Stability)  
Internet Protocols  
Name Collision – String Similarity

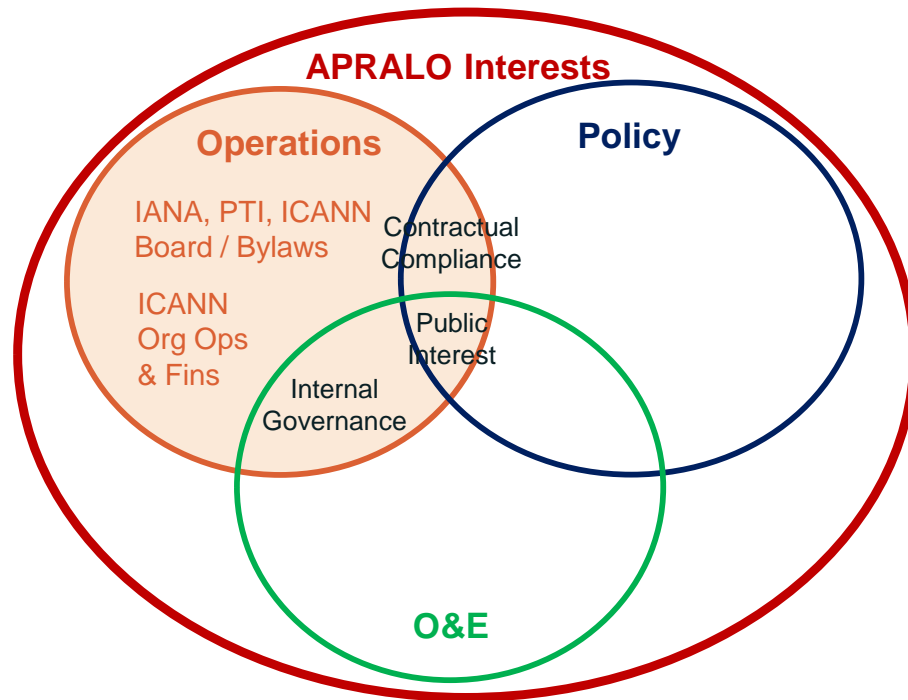


Content  
Internet Access  
Internet of Things  
Blockchain  
Decentralized / Alt. roots

UN High-Level Panel on Digital Cooperation  
Extraterritoriality of Legislation  
Geotechnical Issues / "New IP"

Personal Data Protection – GDPR / local laws

## A. Operations



[1] Public Interest

[2] IANA & ICANN Governance

- IANA & PTI Obligations
- ICANN Board & Bylaws – Non-profit governance
- ICANN Board & ICANN Org - Accountability
- Empowered Community (EC)

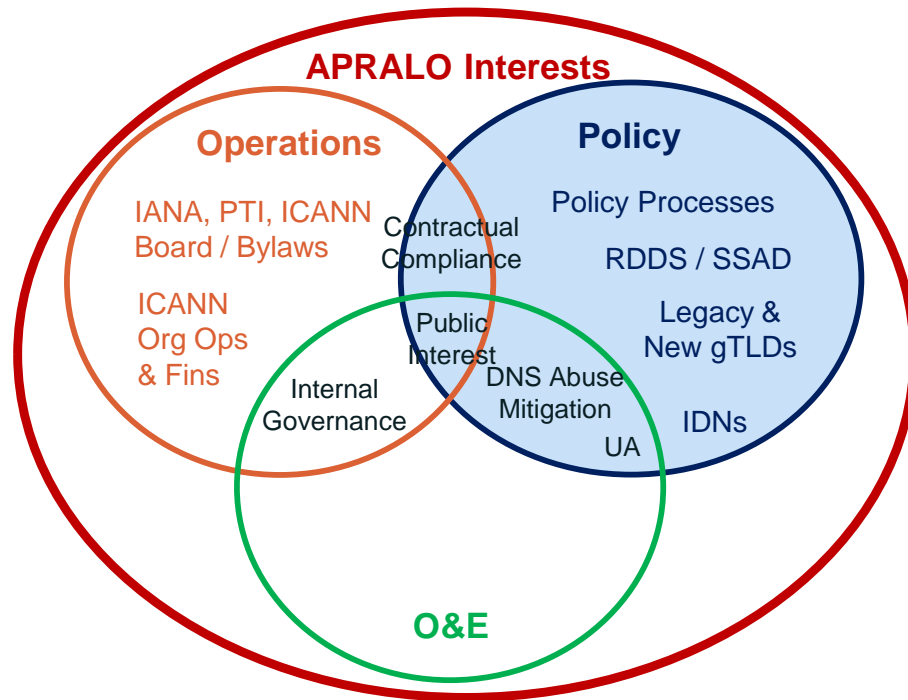
[3] Operations & Finances

- ICANN Org Operations – strategic planning
- ICANN Org Finances – budgeting & reporting

[4] Contractual Compliance

[5] APRALO Internal Governance

## B. Policy



**[1] Public Interest**

**[4] Contractual Compliance**

**[6] Policy Processes – CPWG Charter**

- ALAC/At-Large policy position development
- GSNO and ccNSO PDPs

**[7] Personal Data Privacy**

- RDDS (WHOIS)
- SSAD

**[8] Legacy & New gTLDs**

- SubPro & AGB
- PICDRP
- Applicant Support

**[9] DNS Abuse Mitigation**

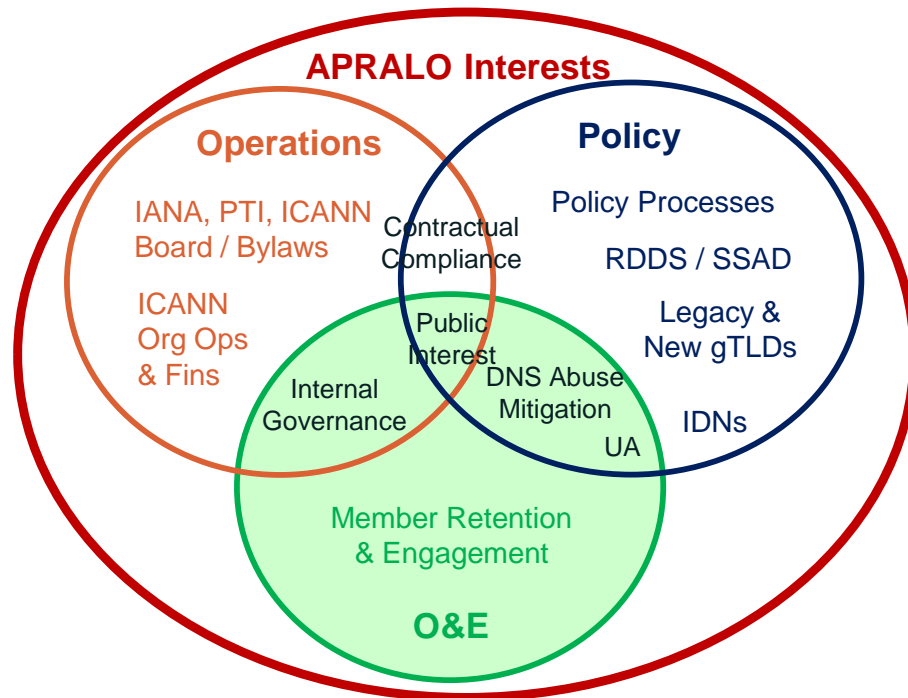
**[10] Internationalized Domain Names**

- RZ-LGRs framework
- IDN Variant Management at TL, SL

**[11] Universal Acceptance**

- UA Initiative

## C. Outreach & Engagement



### [1] Public Interest

### [5] APRALO Internal Governance

- ALS & UIM Matters
- Inter-RALO collaboration

### [9] DNS Abuse Mitigation

### [11] Universal Acceptance

- UA Initiative

### [12] Membership Retention & Engagement

- Social Media Best Practices
- Intercultural Communication
- Participation & Metrics
- Leadership Development - Mentorship

# Prioritization against FY22-26 Operating Initiatives

## ICANN's 15 Priorities\*

1. Support evolution of the Root Server System
2. Facilitate DNS Ecosystem Improvements
3. Evolve & strengthen the MSM to facilitate diverse, inclusive participation in policymaking
4. Evolve & strengthen ICANN Community's decision-making processes to ensure efficient & effective policymaking
5. Develop internal and external Ethics Policies
6. Promote & sustain a competitive environment in the DNS
7. Promote the UA of DNs and email addresses
8. Root Zone Management evolution
9. Evaluate, align & facilitate improved engagement in the Internet Ecosystem
10. Through targeted engagement, improve Govt and IGO engagement & participation in ICANN
11. Monitor legislation, regulations, norms, principles & initiatives in collaboration with others that may impact ICANN's Mission
12. Formalize ICANN Org funding model & improve understanding of the long term DN market drivers
13. Implementing New gTLD Auction Proceeds recommendations as approved by the Board
14. Planning at ICANN
15. ICANN Reserves

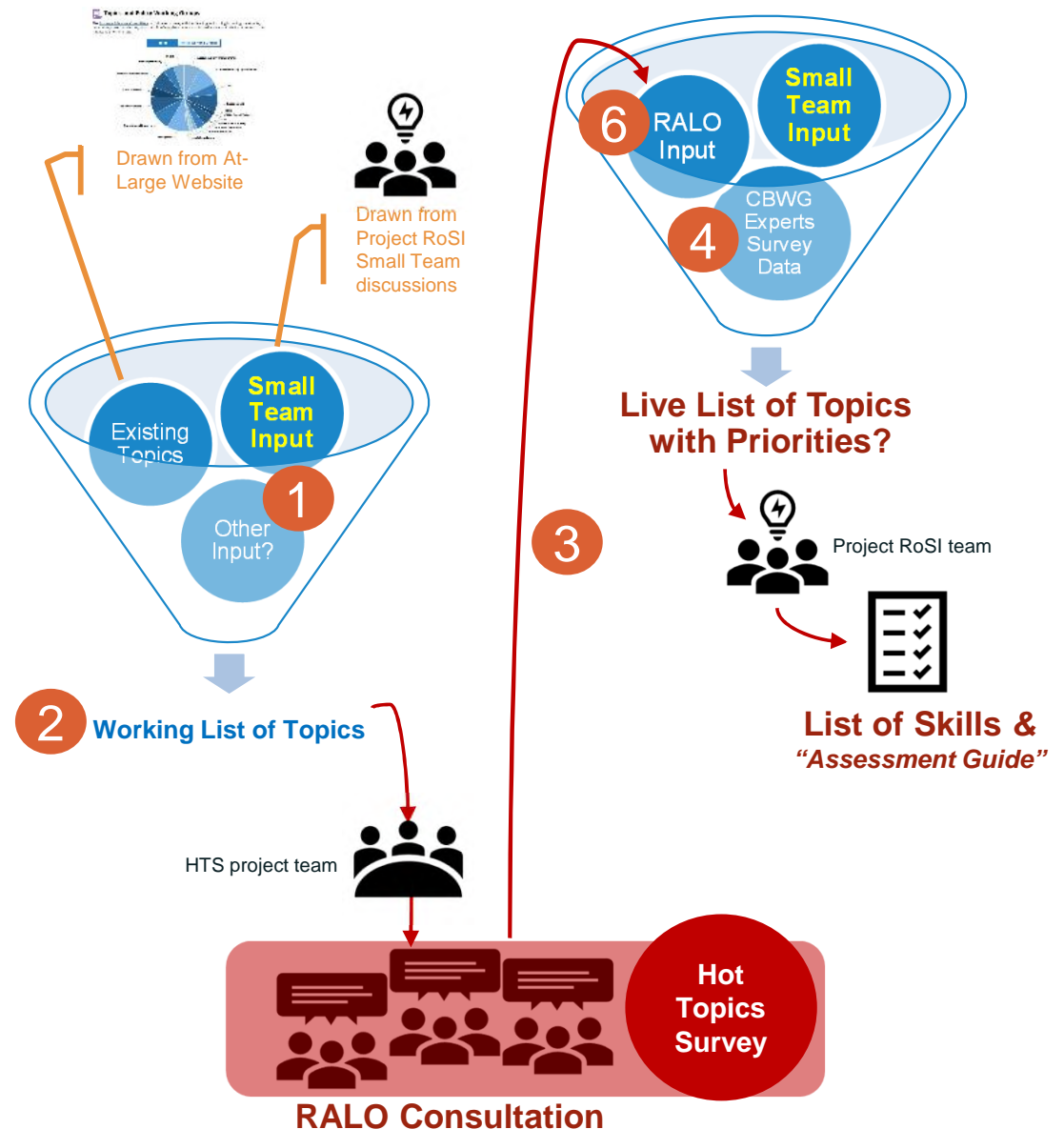
**To take advantage of a larger respondent population size, we want to seek input from the RALO about these 15 ICANN Operating Priorities through the APRALO Hot Topics Survey 2022 (instead of just with the Project RoSI Team members as originally contemplated)**

\*For more explanation of these 15 priorities, refer to: <https://icann.org/en/system/files/op-financial-plan-fy22-26-opplan-fy22-2021-en.pdf>



# Project RoSI - Where We Are & Next Steps

- ✓ 1. Update Base Data lists (where needed)
- ✓ 2. Formulate Working List of Topics as data input for Hot Topic Survey project team
3. Await results of RALO consultation i.e. Hot Topics Survey results
4. Consider CBWG Experts Survey results for APAC
5. Tackle "how to assess interest/talent/expertise?"
6. Regroup and consider said RALO input from Hot Topics Survey



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# **EXISTING INITIATIVES**

## **2. Hot Topics Survey 2022 & Intersection with Project RoSI**

# Project HTS - Description & Goal, Considerations

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## Description & Goal

- A project to ascertain regional priorities from bottom-up consultative process for onward RALO agenda-setting

## Considerations

- Improving on similar past surveys, with more targeted consultation, by:-
  - Starting with:
    - Areas Within ICANN's Remit - What's Within Scope?
    - Topics & Sub-Topics, by Area, proposed by Project RoSI small team -- Operations / Policy / Outreach & Engagement
    - Prioritization against ICANN FY22-26 Operating Initiatives
  - Then asking, "Any other regional priorities/areas of interest?"

# Project HTS - Where Are We?



1. Input from Project RoSI

2. ICANN FY22-26 Operating Priorities (+ ranking)

3. Relevant input from past 2017, 2019 HTS



4. Evaluate, select tool for survey, with workarounds

5. Survey development prep

6. Actual survey development

7. Launch survey

8. Analyse survey results, produce report & recommendations

## Inputs

Topics / Areas Within ICANN Remit

Project RoSI Small Team Inputs Topics & Sub-Topics, by Area, -- Operations / Policy / O&E

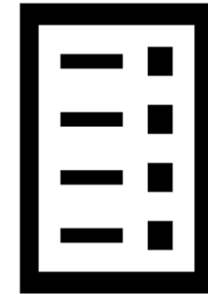
ICANN FY22-26 Operating Priorities (+ ranking)

### What else?

- Relevant input from past 2017, 2019 HTS?
- What tool do we use to run the survey? Workarounds?

## Output

The Hot Topic Survey 2022



# Project HTS - Where Are We?

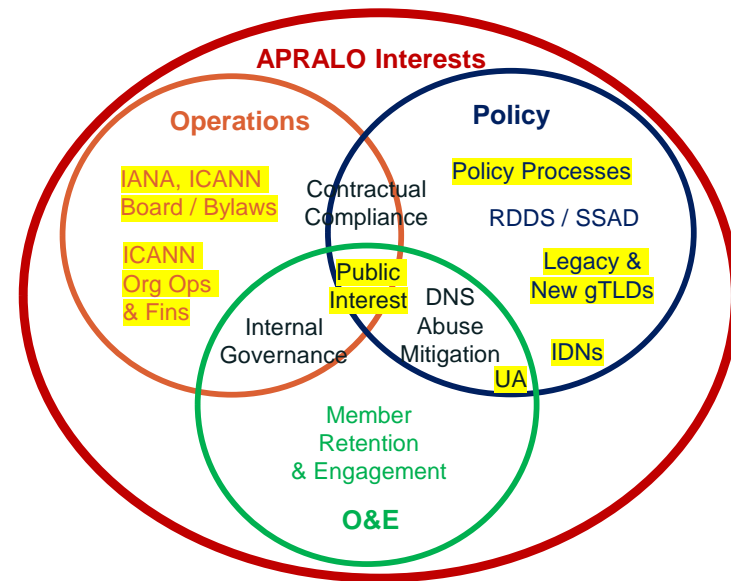
## Have we factored in ICANN's FY22-26 Priority Operating Initiatives adequately?

### ICANN's 15 Priorities\*

1. Support evolution of the Root Server System
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### ICANN – DNS Only

<p><u>Non-Technical</u> Public Interest Reviews / Improvements</p>	<p><u>Semi-Technical</u> Legal / Regulatory Intellectual Property Dispute Resolution</p>	<p><u>Highly Technical (Security &amp; Stability)</u> Internet Protocols Name Collision – String Similarity</p>
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### HTS Small Team Action Items

- Feedback on any mismatched / matches omitted?
- Include asking HTS respondents to rank the 15 priorities

\*For more explanation of these 15 priorities, refer to: <https://icann.org/en/system/files/op-financial-plan-fy22-26-opplan-fy22-2021-en.pdf>

# Project HTS - Where Are We?

## Review of 2017 & 2019 Hot Topics Survey

- Presentation by Cheryl Langdon-Orr & K. Mohan Raidu
- Recommendations – HTS 2022 should cover elements of:

Operations

- [1] Public Interest

Operations

- [2] ICANN Governance

- ICANN Board & ICANN Org accountability

Operations

- [3] Operations & Finance

- ICANN Org Operations – strategic planning
- ICANN Org Finances – budgeting & reporting

Policy O&E

- [9] DNS Abuse Mitigation

Policy O&E

- [10] Internationalized Domain Names (IDNs)

- RZ-LGR Framework
- IDN Variant Management at TL, SL

Policy O&E

- [11] Universal Acceptance

- UA Initiative

# Project HTS - Where Are We?

## Evaluation, Selection of Tool for Survey, with Workaround

Tool	No of Surveys	No of Questions	Response Limit	Data Exporting	Graphing	Price Line
Form.io	10	1000	1000	Yes*	Yes*	Free
Google Forms	Unlimited	Unlimited	Unlimited	Yes	Yes	Free
SurveyMonkey (Free)	Unlimited	10	100	No	Yes	Free
Survey Planet	Unlimited	Unlimited	Unlimited	Yes	Yes*	Free
Limesurvey	Unlimited		25	Yes	Yes	Free
Microsoft Forms	Unlimited	Unlimited	Unlimited	Yes	Yes	Free

Compilation courtesy of Mahee Kirindigoda

### Conclusion:

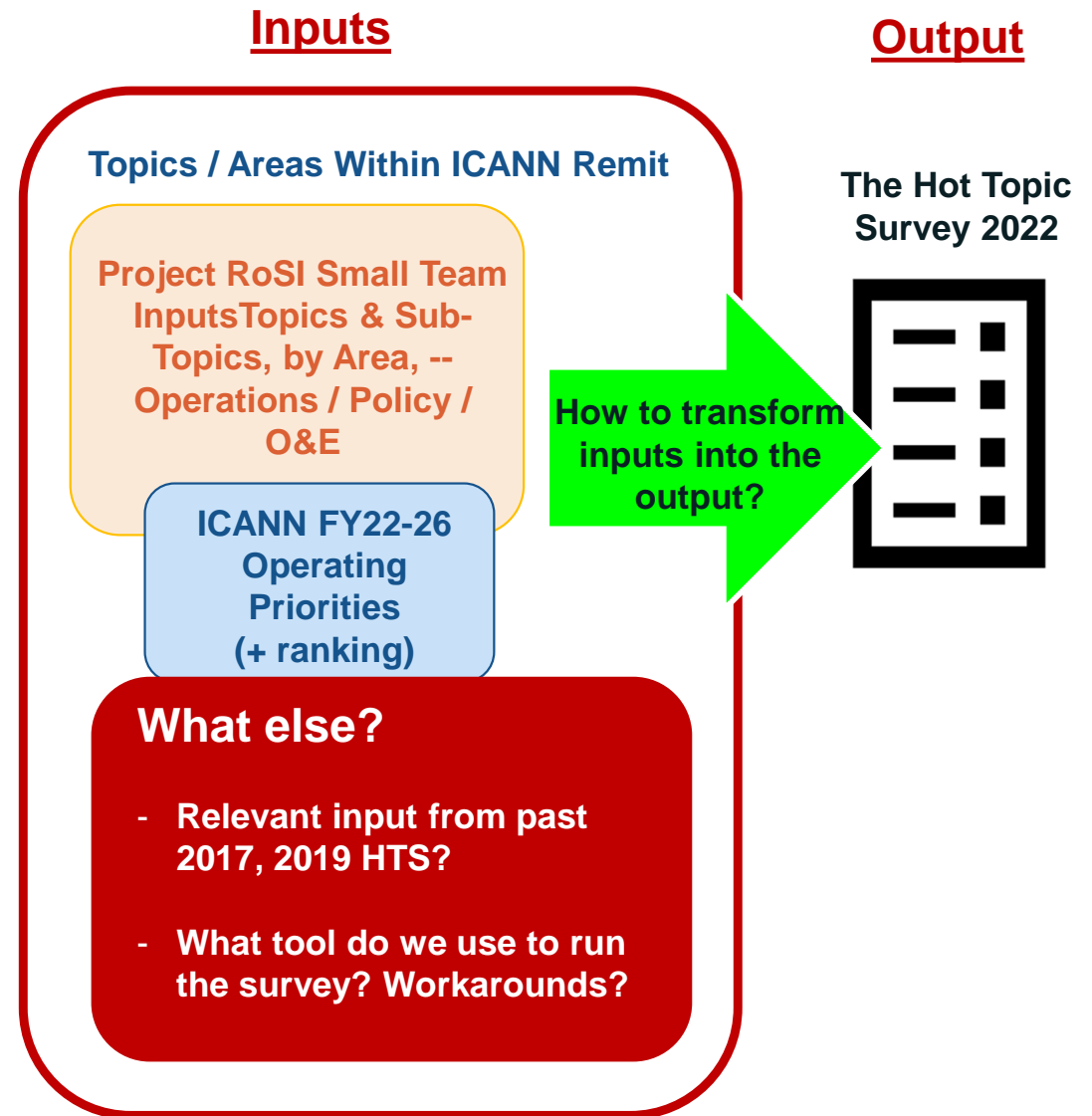
- Recommendation is to use Googleforms
- Googleforms unavailable only in China
- If cannot use Microsoft Forms as alternative, a reasonable workaround is to make survey available in the form of fill-able pdf or MS Word file to respondents in China, even if it means someone has to spend time and effort reentering data into the (default) Googleform.

No.	Country	Google Workspace Available?
1	Armenia	Yes
2	China	No
3	Australia	Yes
4	Malaysia	Yes
5	India	Yes
6	Cook Islands	Yes
7	UAE	Yes
8	Hong Kong	Yes
9	Azerbaijan	Yes
10	Georgia	Yes
11	Nepal	Yes
12	New Zealand	Yes
13	Papua New Guinea	Yes
14	Pakistan	Yes
15	Afghanistan	Yes
16	Bahrain	Yes
17	Bangladesh	Yes
18	Japan	Yes
19	Korea (South)	Yes
20	Lebanon	Yes
21	Palestine	Yes
22	Philippines	Yes
23	Niue	Yes
24	Indonesia	Yes
25	Turkey	Yes
26	Hong Kong	Yes
27	Fiji	Yes
28	Sri Lanka	Yes
29	Vanuatu	Yes
30	Taiwan	Yes
31	Yemen	Yes
32	Jordan	Yes

Compilation courtesy of Priyatosh Jana

# Project HTS - Where We Are & Next Steps

- ✓ 1. Input from Project RoSI
2. ICANN FY22-26 Operating Priorities (+ ranking)
3. Relevant input from past 2017, 2019 HTS
- ✓ 4. Evaluate, select tool for survey, with workarounds
5. Survey development prep – inputs to consider, structure, questions, simple language, types of answer
6. Actual survey development
7. Run survey
8. Analyse survey results, produce report & recommendations





# Project RoSI & HTS 2022 Project Intersection

Small Team	Identified Task	Status
✓ RoSI	1. Update Base Data lists (where needed)	Completed
✓ RoSI	2. Formulate Working List of Topics as data input for Hot Topic Survey project team	Ready
✓ HTS	3. Initiate project, convene small team to consider work scope	Completed
HTS	4. Revisit project timeline, survey scope, tool to be used to maximise quantity & quality of responses needed to set RALO direction re: (a) ALAC areas of interest within Operations, Policy, O&E; (b) ICANN Org's new approach at community consultation on ICANN's 5-year strategic planning and budgeting processes/ reporting; and (c) any other areas of importance or internal to APRALO governance	Ongoing
HTS	5. Develop and run HTS, analyse results, prepare report	Pending
RoSI	6. Regroup to consider results of HTS results <ul style="list-style-type: none"> <li>Factor in CBWG Experts Survey results for APAC</li> </ul>	Pending

