

APRALO Policy Forum Agenda 2022

**Update & Discussion with APF Members
at Team Call on 13 May 2022**

Justine Chew & Satish Babu

10 May 2022



**APRALO
POLICY FORUM**
Celebrating Diversity, Fostering Inclusion

Agenda

APRALO Policy Forum – setting an agenda for 2022

- ⦿ Reminder on APF Focus for 2022 from APF Survey #2.2021 Guide
- ⦿ Turning APF Survey #2.2021 Guide into Proposed Action
 - Universal Acceptance (UA)
 - Internationalized Domain Names (IDNs)
 - Subsequent Procedures (SubPro)
 - Existing initiatives: Mentoring Program, Hot Topics Survey (HTS) 2022, Register of Skills & Interests (Project RoSI)
- ⦿ Group Discussion
- ⦿ Next Steps

PROPOSED NEW INITIATIVES

Reminder on APF Focus for 2022

1. Maintain Selective Focus

- ⊙ Concentrate on UA and IDNs, establish specific opportunities for participation and identify action items
- ⊙ Monitor developments on New gTLD Program – SubPro ODP
- ⊙ Monitor developments for end-user action against DNS abuse
- ⊙ Limit to issues within ICANN remit impacting end-users

2. Vary *Modus Operandi*

- ⊙ Find new ways to simplify engagement, allow for ease of understanding, increase discussion opportunities
- ⊙ Support and avoid duplicating CPWG efforts
- ⊙ Conduct periodic review on effectiveness of *modus operandi*

3. Continue with existing initiatives

- ⊙ APRALO Mentorship Program
- ⊙ APRALO Register of Skills & Interests (Project RoSI)
- ⊙ APRALO Hot Topics Survey (HTS) 2022

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- ⊙ APRALO Register of Skills & Interests (Project RoSI)
- ⊙ APRALO Hot Topics Survey (HTS) 2022

What
can
we do
about
these
?

New Initiative Proposals for Discussion (1)

Universal Acceptance – Partnership Events

- UA isn't a policy area in the normal sense
- Goal for UA better served through workshops, content readily available from UASG
- Offers outreach & partnership opportunities with ALSes, GSE etc
- Offers support for ALS to organize workshops, UA-related events in their geographic locations – content materials, possible funding through ABRs etc

As showcases, propose to run events in Armenia and India

| | What | When | Where | Partnerships / Resources Needed |
|----|----------------|----------|-----------------------------------|---|
| 1. | UA Workshop | Jul 2022 | Yerevan, Armenia | <ul style="list-style-type: none">• ISOC Armenia• GSE |
| 2. | Public Session | Sep 2022 | ICANN75 in Kuala Lumpur, Malaysia | <ul style="list-style-type: none">• ALAC• UASG |
| 3. | UA Training | Sep 2022 | Hyderabad, India | <ul style="list-style-type: none">• Indian ISOC Chapters• inSIG 2022• GSE |

New Initiative Proposals for Discussion (2)

APF Fireside Chats

- Informal, 1-to-1 conversation, simplifying a small number of issues on each topic to facilitate ease of understanding
- Questions can be developed or collated before hand to simplify engagement
- Encourage interaction and questions from the floor to increase discussion opportunities

| | When | What | Why / Goals | Moderator | Guest |
|----|--------------------------|---|--|------------------------------------|--------------------|
| 1. | May 2022 | Let's Talk about "GNSO PDP 3.0" | <ul style="list-style-type: none"> • APF focus is policy • GNSO-driven gTLD policy-dev is largest chunk of policy-dev that At-Large participates in • Understanding how GNSO PDP works highlights where /when At-Large participation/voice is most effective • Awareness of the steps preceding a PDP is needed • Aligning PDP with CPWG in terms of At-Large discussion of and input to policy issues within PDP charter questions | Justine Chew | Cheryl Langdon-Orr |
| 2. | Early Jun 2022 | Let's Talk about "Closed Generics" | <ul style="list-style-type: none"> • Closed Generics is one of the topics which At-Large is continuing to explore at ICANN74 • (Board is pushing GNSO and GAC to undertake a dialogue on how applications for Closed Generics should be handled in the next round for New gTLD applications | TBD | Holly Raiche |
| 3. | Jun 2022 (post ICANN 74) | Let's Talk about "Transfer Policy" | <ul style="list-style-type: none"> • Transfer Policy PDP WG Initial Report is expected to be out for public comment from around 15 June • Help to gather input to feedback to CPWG deliberation on recommendations in said Initial Report | Satish Babu | TBD |
| 4. | Aug 2022 | Let's Talk about "IDNs" | <ul style="list-style-type: none"> • IDNs is one of the topics of crucial importance to APRALO | APRALO Mentoring Program Mentee(s) | Edmon Chung |

New Initiative Proposals for Discussion (3)

APRALO/APF Outreach Event during ICANN75 in KL

- Suggestions ??

| | What | Why / Goals | Resources Needed |
|----|---|-------------|--|
| 1. | Public Session on UA | | <ul style="list-style-type: none">• ALAC• UASG |
| 2. | Public Session to discuss outcome of the At-Large End User Survey on IDNs | | <ul style="list-style-type: none">• ALAC or ALAC small team members on project |
| 3. | | | |
| 4. | | | |
| 5. | | | |

EXISTING INITIATIVES

1. Project RoSI

Project RoSI Description & Goals (+Pathway)

Description

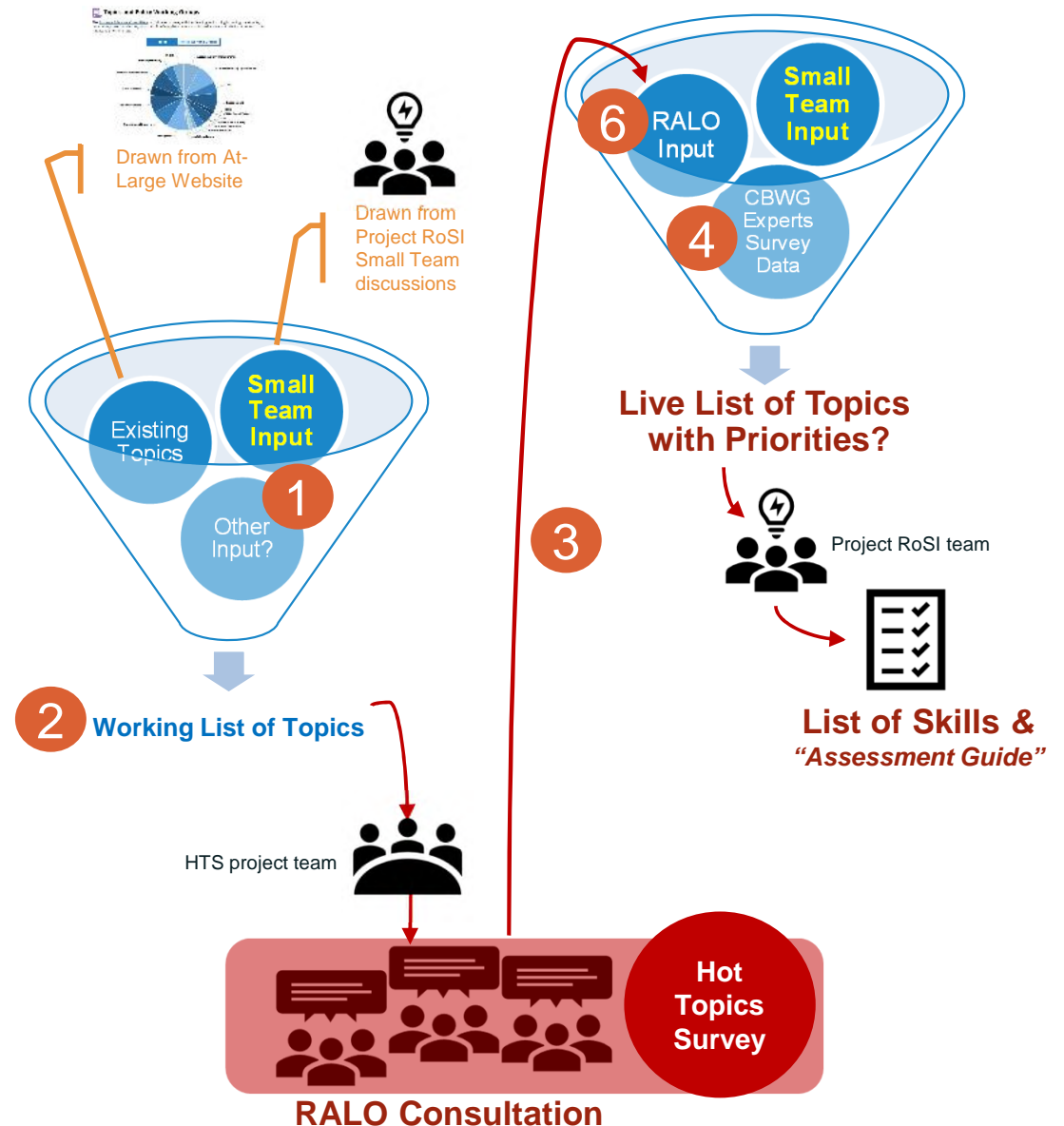
- ⦿ A project to deliver a dynamic Register of Skills & Interests for APRALO

Goals (Updated) +Pathway

- ⦿ In reviewing/evaluating available inputs, aim to:-
 - Firstly, formulate the list of *Identified Topics (& Sub-Topics)* of concern;
 - Secondly, ascertain the priority of *Identified Topics*;
 - Thirdly, ascertain *Identified Skills* needed for *Identified Topics*;
 - Then, identify RALO ALSes, ALS Members and/or Individual Members with *Identified Skills and/or Interests in Identified Topics (& Sub-Topics)*;
 - Thus, **building a talent/interest pool to help provide RALO/At-Large** input to:-
 - ICANN activities – **PDP, resource planning** (eg. strategic planning, operational priorities, budget development etc)
 - RALO/ALAC activities – **internal governance, data collection, policy positioning, capacity building** etc; and
 - As a corollary goal, identify experts to become mentors for *Identified Topics*.

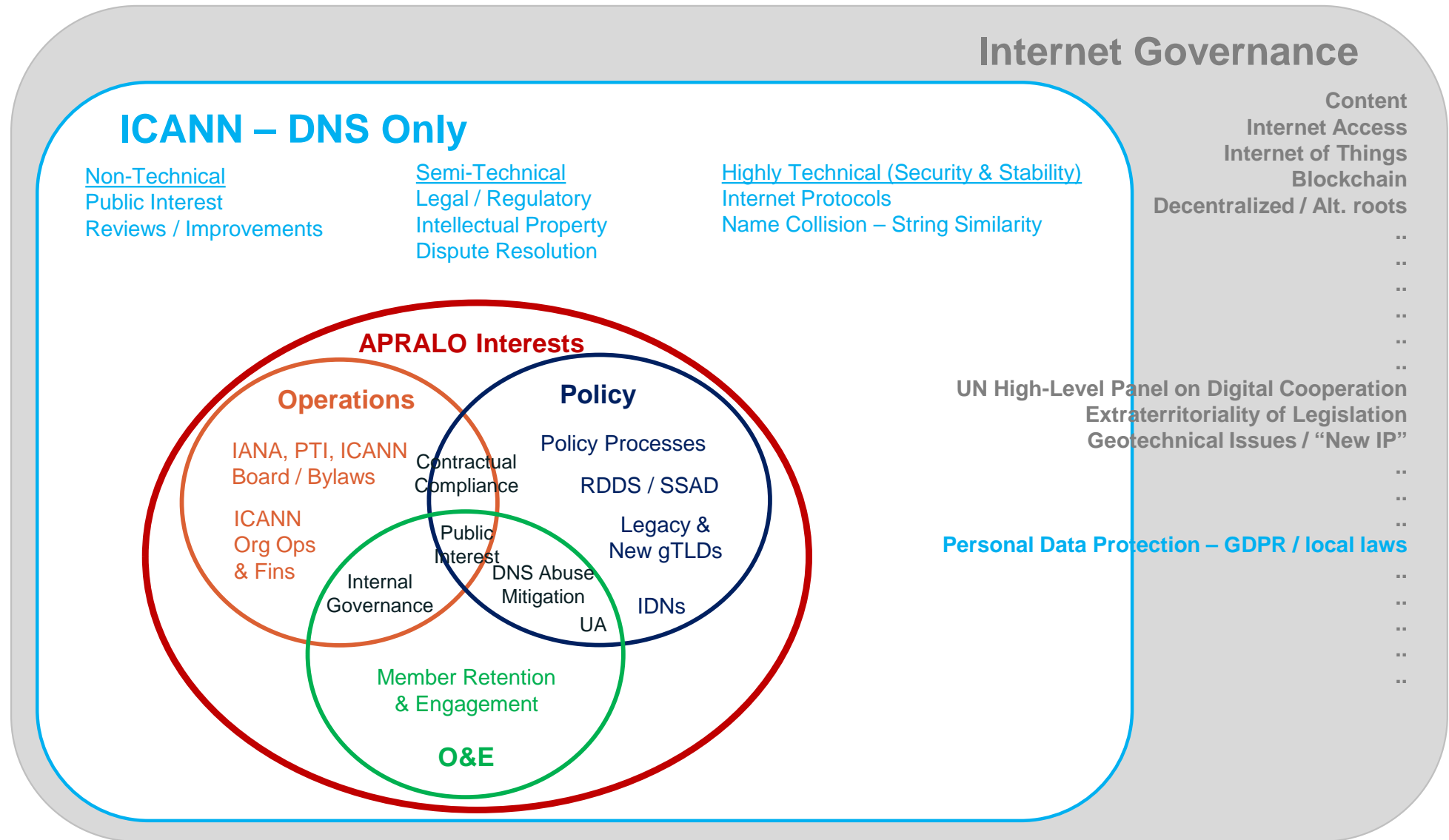
Project RoSI - Where Are We?

- ✓ 1. Update Base Data lists (where needed)
- ✓ 2. Formulate Working List of Topics as data input for Hot Topic Survey project team
3. Await results of RALO consultation i.e. Hot Topics Survey results
4. Consider CBWG Experts Survey results for APAC
5. Tackle “how to assess interest/talent/expertise?”
6. Regroup and consider said RALO input from Hot Topics Survey

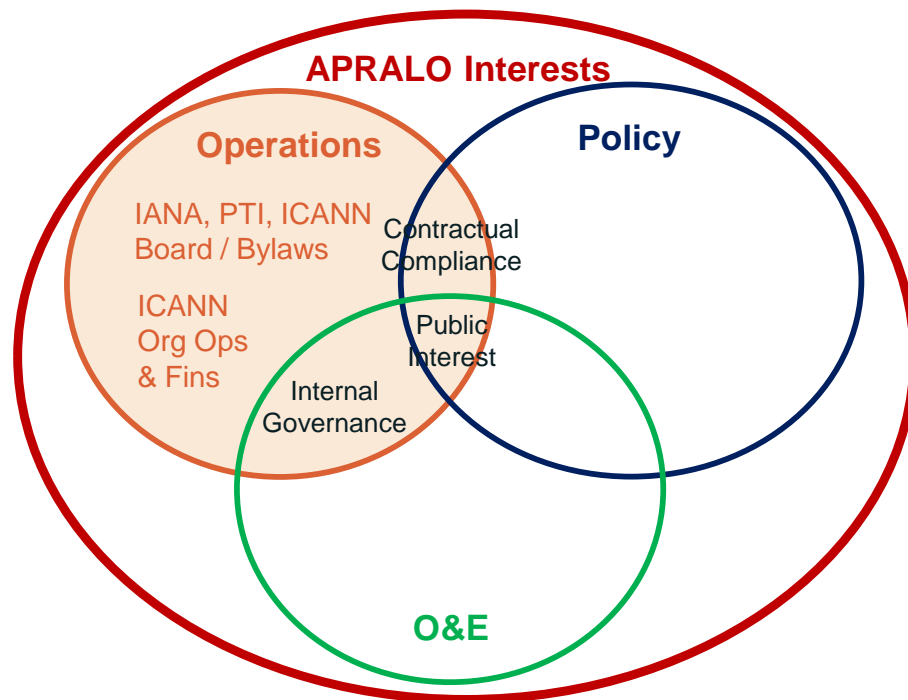


Areas within ICANN's Remit (ie within scope) (as at 13 Sep)

ICANN's role in Internet Governance is limited to management of DNS



A. Operations



[1] Public Interest

[2] IANA & ICANN Governance

- IANA & PTI Obligations
- ICANN Board & Bylaws – Non-profit governance
- ICANN Board & ICANN Org - Accountability
- Empowered Community (EC)

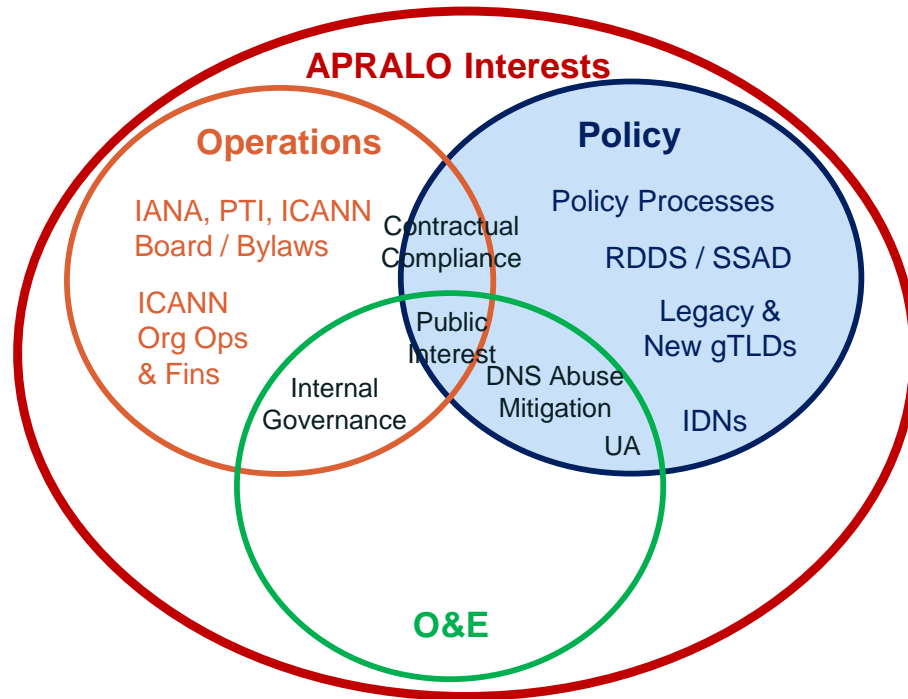
[3] Operations & Finances

- ICANN Org Operations – strategic planning
- ICANN Org Finances – budgeting & reporting

[4] Contractual Compliance

[5] APRALO Internal Governance

B. Policy



[1] Public Interest

[4] Contractual Compliance

[6] Policy Processes
– CPWG Charter

- ALAC/At-Large policy position development
- GSNO and ccNSO PDPs

[7] Personal Data Privacy

- RDDS (WHOIS)
- SSAD

[8] Legacy & New gTLDs

- SubPro & AGB
- PICDRP
- Applicant Support

[10] Internationalized Domain Names

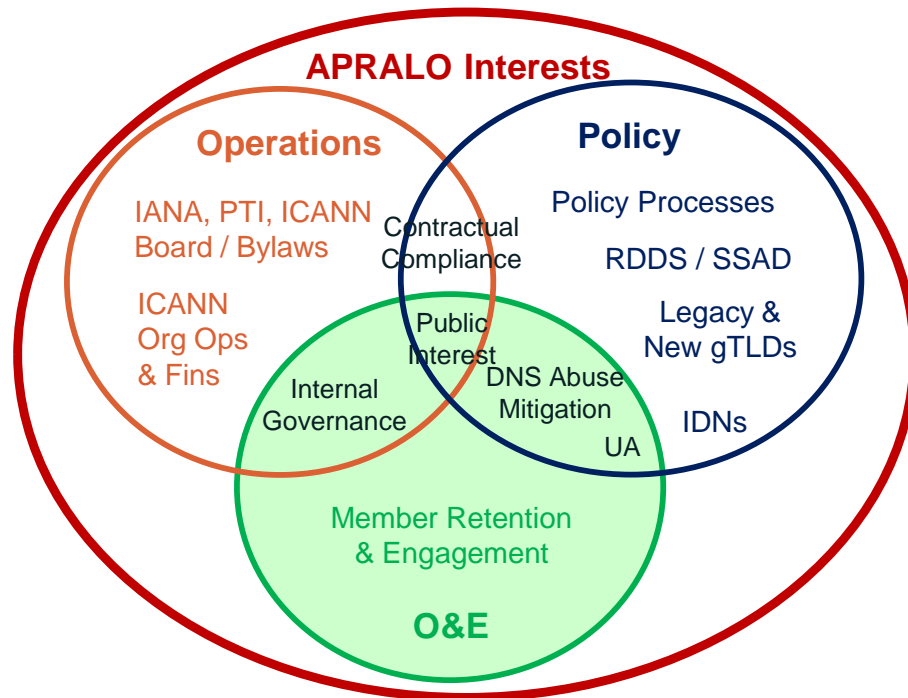
- RZ-LGRs framework
- IDN Variant Management at TL, SL

[11] Universal Acceptance

- UA Initiative

[9] DNS Abuse Mitigation

C. Outreach & Engagement



[1] Public Interest

[5] APRALO Internal Governance

- ALS & UIM Matters
- Inter-RALO collaboration

[9] DNS Abuse Mitigation

[11] Universal Acceptance

- UA Initiative

[12] Membership Retention & Engagement

- Social Media Best Practices
- Intercultural Communication
- Participation & Metrics
- Leadership Development - Mentorship

Prioritization against FY22-26 Operating Initiatives

ICANN's 15 Priorities*

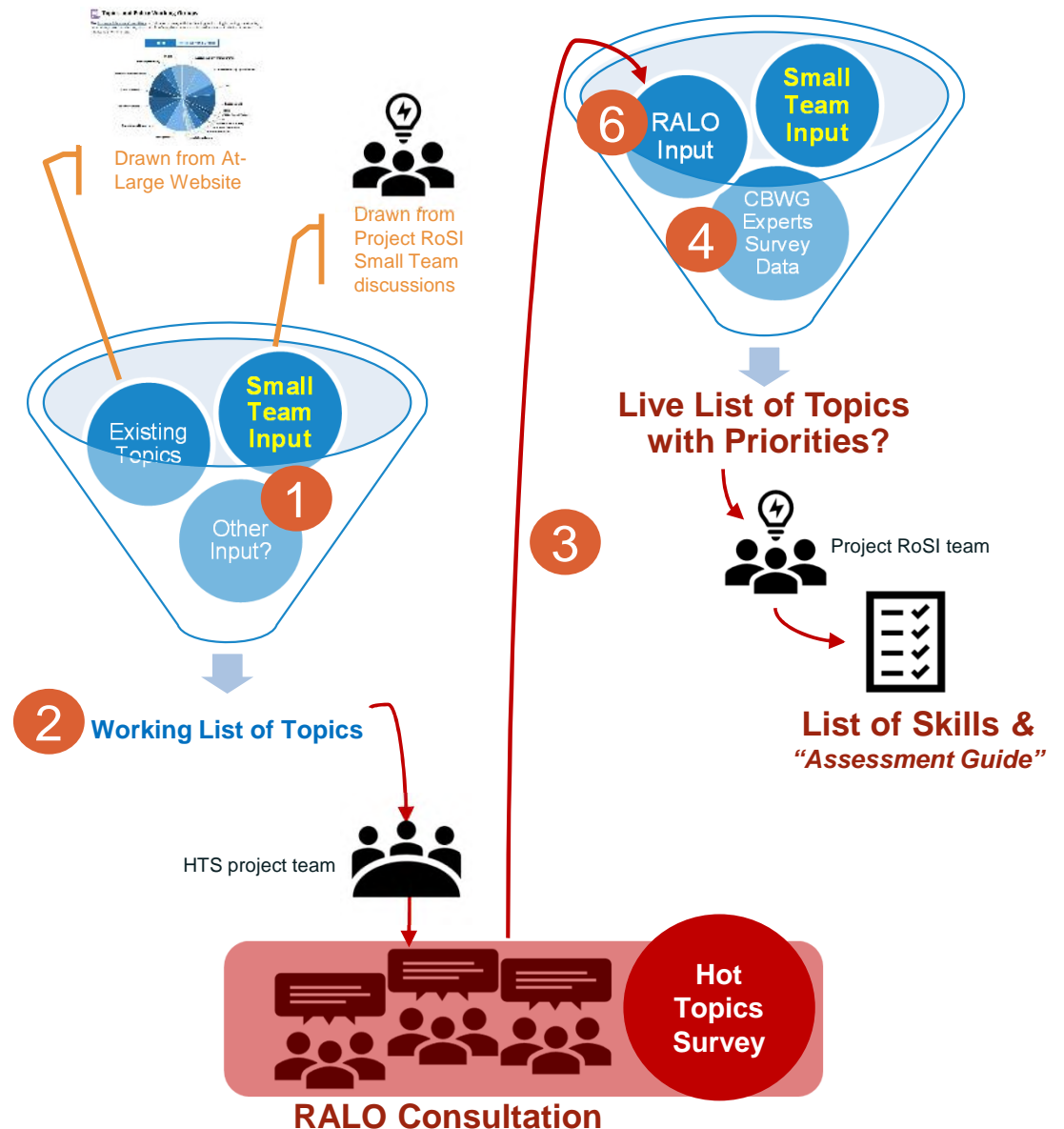
1. Support evolution of the Root Server System
2. Facilitate DNS Ecosystem Improvements
3. Evolve & strengthen the MSM to facilitate diverse, inclusive participation in policymaking
4. Evolve & strengthen ICANN Community's decision-making processes to ensure efficient & effective policymaking
5. Develop internal and external Ethics Policies
6. Promote & sustain a competitive environment in the DNS
7. Promote the UA of DNs and email addresses
8. Root Zone Management evolution
9. Evaluate, align & facilitate improved engagement in the Internet Ecosystem
10. Through targeted engagement, improve Govt and IGO engagement & participation in ICANN
11. Monitor legislation, regulations, norms, principles & initiatives in collaboration with others that may impact ICANN's Mission
12. Formalize ICANN Org funding model & improve understanding of the long term DN market drivers
13. Implementing New gTLD Auction Proceeds recommendations as approved by the Board
14. Planning at ICANN
15. ICANN Reserves

To take advantage of a larger respondent population size, we want to seek input from the RALO about these 15 ICANN Operating Priorities through the APRALO Hot Topics Survey 2022 (instead of just with the Project RoSI Team members as originally contemplated)

*For more explanation of these 15 priorities, refer to: <https://icann.org/en/system/files/op-financial-plan-fy22-26-opplan-fy22-2021-en.pdf>

Project RoSI - Where We Are & Next Steps

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- 3. Await results of RALO consultation i.e. Hot Topics Survey results
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- 5. Tackle “how to assess interest/talent/expertise?”
- 6. Regroup and consider said RALO input from Hot Topics Survey



EXISTING INITIATIVES

2. Hot Topics Survey 2022 & Intersection with Project RoSI

Project HTS - Description & Goal, Considerations

Description & Goal

- A project to ascertain regional priorities from bottom-up consultative process for onward RALO agenda-setting

Considerations

- Improving on similar past surveys, with more targeted consultation, by:-
 - Starting with:
 - Areas Within ICANN's Remit - What's Within Scope?
 - Topics & Sub-Topics, by Area, proposed by Project RoSI small team -- Operations / Policy / Outreach & Engagement
 - Prioritization against ICANN FY22-26 Operating Initiatives
 - Then asking, "Any other regional priorities/areas of interest?"

Project HTS - Where Are We?



1. Input from Project RoSI

2. ICANN FY22-26 Operating Priorities (+ ranking)



3. Relevant input from past 2017, 2019 HTS



4. Evaluate, select tool for survey, with workarounds

5. Survey development prep

6. Actual survey development

7. Launch survey

8. Analyse survey results, produce report & recommendations

Inputs

Topics / Areas Within ICANN Remit

Project RoSI Small Team
Inputs Topics & Sub-
Topics, by Area, --
Operations / Policy /
O&E

ICANN FY22-26
Operating
Priorities
(+ ranking)

What else?

- Relevant input from past 2017, 2019 HTS?
- What tool do we use to run the survey? Workarounds?

Output

The Hot Topic
Survey 2022



Project HTS - Where Are We?

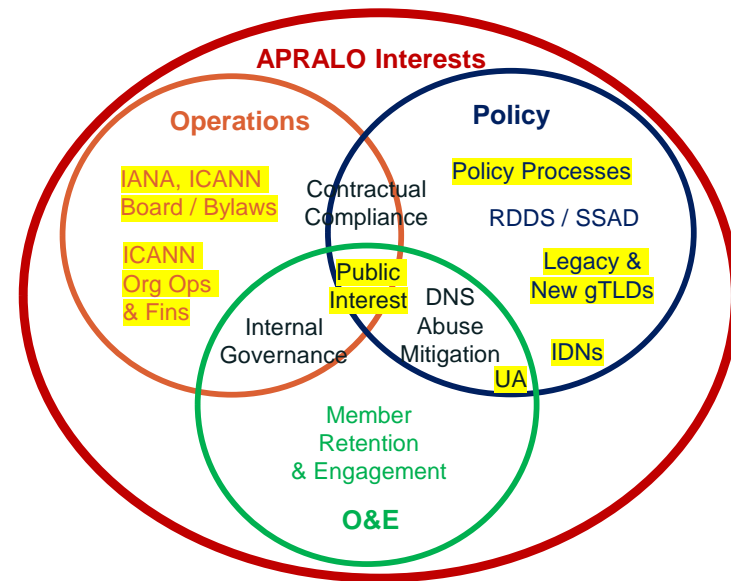
Have we factored in ICANN's FY22-26 Priority Operating Initiatives adequately?

ICANN's 15 Priorities*

1. Support evolution of the Root Server System
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ICANN – DNS Only

| | | |
|--|--|---|
| <p><u>Non-Technical</u> Public Interest Reviews / Improvements</p> | <p><u>Semi-Technical</u> Legal / Regulatory Intellectual Property Dispute Resolution</p> | <p><u>Highly Technical (Security & Stability)</u> Internet Protocols Name Collision – String Similarity</p> |
|--|--|---|



HTS Small Team Action Items

- Feedback on any mismatched / matches omitted?
- Include asking HTS respondents to rank the 15 priorities

*For more explanation of these 15 priorities, refer to: <https://icann.org/en/system/files/op-financial-plan-fy22-26-opplan-fy22-2021-en.pdf>

Project HTS - Where Are We?

Review of 2017 & 2019 Hot Topics Survey

- Presentation by Cheryl Langdon-Orr & K. Mohan Raidu
- Recommendations – HTS 2022 should cover elements of:

Operations

- [1] **Public Interest**

Operations

- [2] **ICANN Governance**

- ICANN Board & ICANN Org accountability

Operations

- [3] **Operations & Finance**

- ICANN Org Operations – strategic planning
- ICANN Org Finances – budgeting & reporting

Policy O&E

- [9] **DNS Abuse Mitigation**

Policy O&E

- [10] **Internationalized Domain Names (IDNs)**

- RZ-LGR Framework
- IDN Variant Management at TL, SL

Policy O&E

- [11] **Universal Acceptance**

- UA Initiative

Project HTS - Where Are We?

Evaluation, Selection of Tool for Survey, with Workaround

| Tool | No of Surveys | No of Questions | Response Limit | Data Exporting | Graphing | Price Line |
|---------------------|---------------|-----------------|----------------|----------------|----------|------------|
| Form.io | 10 | 1000 | 1000 | Yes* | Yes* | Free |
| Google Forms | Unlimited | Unlimited | Unlimited | Yes | Yes | Free |
| SurveyMonkey (Free) | Unlimited | 10 | 100 | No | Yes | Free |
| Survey Planet | Unlimited | Unlimited | Unlimited | Yes | Yes* | Free |
| Limesurvey | Unlimited | | 25 | Yes | Yes | Free |
| Microsoft Forms | Unlimited | Unlimited | Unlimited | Yes | Yes | Free |

Compilation courtesy of Mahee Kirindigoda

Conclusion:

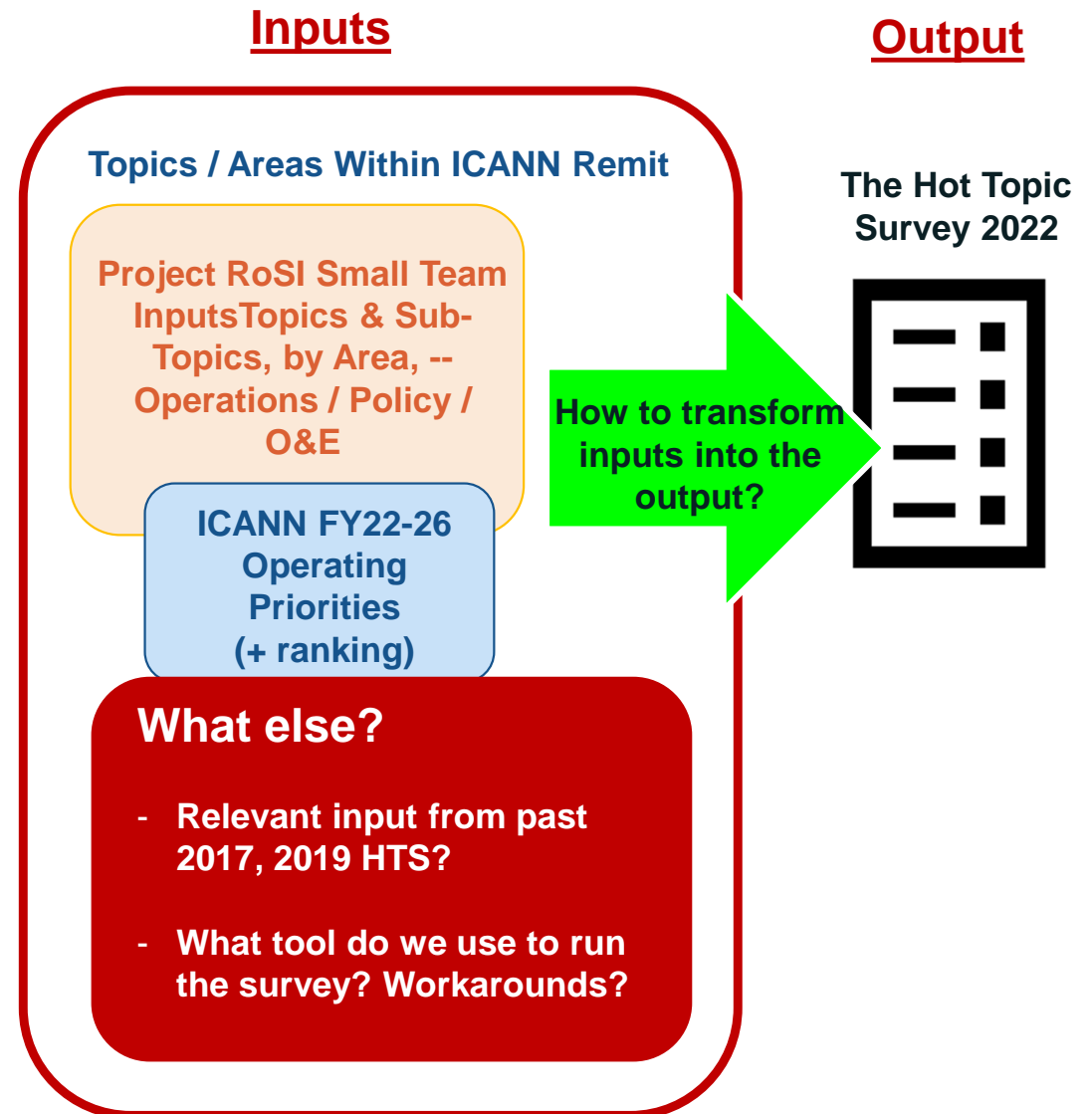
- Recommendation is to use Googleforms
- Googleforms unavailable only in China
- If cannot use Microsoft Forms as alternative, a reasonable workaround is to make survey available in the form of fill-able pdf or MS Word file to respondents in China, even if it means someone has to spend time and effort reentering data into the (default) Googleform.

| No. | Country | Google Workspace Available? |
|-----|------------------|-----------------------------|
| 1 | Armenia | Yes |
| 2 | China | No |
| 3 | Australia | Yes |
| 4 | Malaysia | Yes |
| 5 | India | Yes |
| 6 | Cook Islands | Yes |
| 7 | UAE | Yes |
| 8 | Hong Kong | Yes |
| 9 | Azerbaijan | Yes |
| 10 | Georgia | Yes |
| 11 | Nepal | Yes |
| 12 | New Zealand | Yes |
| 13 | Papua New Guinea | Yes |
| 14 | Pakistan | Yes |
| 15 | Afghanistan | Yes |
| 16 | Bahrain | Yes |
| 17 | Bangladesh | Yes |
| 18 | Japan | Yes |
| 19 | Korea (South) | Yes |
| 20 | Lebanon | Yes |
| 21 | Palestine | Yes |
| 22 | Philippines | Yes |
| 23 | Niue | Yes |
| 24 | Indonesia | Yes |
| 25 | Turkey | Yes |
| 26 | Hong Kong | Yes |
| 27 | Fiji | Yes |
| 28 | Sri Lanka | Yes |
| 29 | Vanuatu | Yes |
| 30 | Taiwan | Yes |
| 31 | Yemen | Yes |
| 32 | Jordan | Yes |

Compilation courtesy of Priyatosh Jana

Project HTS - Where We Are & Next Steps

- ✓ 1. Input from Project RoSI
2. ICANN FY22-26 Operating Priorities (+ ranking)
- ✓ 3. Relevant input from past 2017, 2019 HTS
- ✓ 4. Evaluate, select tool for survey, with workarounds
5. Survey development prep – inputs to consider, structure, questions, simple language, types of answer
6. Actual survey development
7. Run survey
8. Analyse survey results, produce report & recommendations



Project RoSI & HTS 2022 Project Intersection

| Small Team | Identified Task | Status |
|------------|--|-----------|
| ✓ RoSI | 1. Update Base Data lists (where needed) | Completed |
| ✓ RoSI | 2. Formulate Working List of Topics as data input for Hot Topic Survey project team | Ready |
| ✓ HTS | 3. Initiate project, convene small team to consider work scope | Completed |
| HTS | 4. Revisit project timeline, survey scope, tool to be used to maximise quantity & quality of responses needed to set RALO direction re: (a) ALAC areas of interest within Operations, Policy, O&E; (b) ICANN Org's new approach at community consultation on ICANN's 5-year strategic planning and budgeting processes/ reporting; and (c) any other areas of importance or internal to APRALO governance | Ongoing |
| HTS | 5. Develop and run HTS, analyse results, prepare report | Pending |
| RoSI | 6. Regroup to consider results of HTS results <ul style="list-style-type: none"> Factor in CBWG Experts Survey results for APAC | Pending |

