Planning Prioritization Framework Project Pilot

Pilot Output and Next Steps

Org Planning Team

5 May 2022
The Planning Prioritization Community Members and Alternate Members were nominated by the SO/AC Leaders

<table>
<thead>
<tr>
<th>Affiliation</th>
<th>Member</th>
<th>Alternate Member</th>
</tr>
</thead>
<tbody>
<tr>
<td>At-Large - ALAC</td>
<td>Cheryl Langdon-Orr</td>
<td>Jonathan Zuck</td>
</tr>
<tr>
<td>ccNSO</td>
<td>Chris Disspain</td>
<td>Irina Danelia</td>
</tr>
<tr>
<td>GAC</td>
<td>Susan Chalmers</td>
<td>Manal Ismail</td>
</tr>
<tr>
<td>GNSO - CPH</td>
<td>Donna Austin</td>
<td>Jothan Frakes</td>
</tr>
<tr>
<td>GNSO - CSG</td>
<td>Susan Payne</td>
<td>Wolf-Ulrich Knoben</td>
</tr>
<tr>
<td>GNSO - NCSG</td>
<td>Rafik Dammak</td>
<td>Dr A M Sudhakara</td>
</tr>
<tr>
<td>RSSAC</td>
<td>Ken Renard</td>
<td>N.A.</td>
</tr>
<tr>
<td>SSAC</td>
<td>Barry Leiba</td>
<td>Chris Roosenraad</td>
</tr>
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</table>
During the Pilot, the Community Planning Prioritization Group prioritized the Board-approved Specific Review recommendations that were subject to prioritization. Using an Urgent/Important prioritization technique matrix, the recommendations were prioritized as follows:

- **P1** = Highest Priority-Urgent/Important
- **P2** = Less Urgent/Important
- **P3** = Urgent/Less important
- **P4** = Lowest priority-Less Urgent/Less Important

### Prioritized List of Review Recommendations

<table>
<thead>
<tr>
<th>Review</th>
<th>P1 = Highest Priority-Urgent/Important</th>
<th>P2 = Less Urgent/Important</th>
<th>P3 = Urgent/Less important</th>
<th>P4 = Lowest priority-Less Urgent/Less Important</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATRT3</td>
<td>4</td>
<td>8</td>
<td>1</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td>CCT</td>
<td>4</td>
<td>10</td>
<td>1</td>
<td>15</td>
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<td>RDS-WHOIS2</td>
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<td>7</td>
<td>7</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>SSR2</td>
<td>3</td>
<td>2</td>
<td>4</td>
<td>9</td>
<td></td>
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<tr>
<td><strong>Grand Total</strong></td>
<td><strong>11</strong></td>
<td><strong>19</strong></td>
<td><strong>2</strong></td>
<td><strong>13</strong></td>
<td><strong>45</strong></td>
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</table>

See List of Prioritized Review Recommendations on the Planning and Finance Wiki page-Pilot Meeting #5 (Link).
Next Steps - Pilot Outcome

**STEP 1**
Planning Prioritization Community Group
- The Pilot consists of a series of sessions where the community nominated members are prioritizing the list of Board approved Specific review recommendations.
- The output is a list of prioritized Board-approved specific review recommendations agreed upon by the members.

**STEP 2**
Org Receives Input
- Within 1-2 months from the end of Step 1, and once the org receives the list of prioritized recommendations, org will start to assess the resources required for their implementation and develop a detailed implementation plan.
- During this step, org evaluates possible dependencies and the need for additional funding if needed.
- Based on these factors, org will evaluate if detailed implementation plans can be developed.

**STEP 3**
Implementation Phase
- For Board approved recommendations that are ready to be implemented, Org will begin the implementation of the prioritized recommendations.
- Status updates and progress measurement will be reported to the Community.

**STEP 4**
Next Planning Cycle
- This process will then repeat until the specific review recommendations that are Board approved and pending prioritization are planned for and implemented.
Appendix
Additional Resources

- **Planning Prioritization Framework**
  - Publication - [Planning Prioritization Framework Version 1](#)
  - Draft Planning Prioritization Framework Overview [Webinar](#)
  - [ICANN Draft FY23-27 Operating and Financial Plan](#) - Operating Initiative Planning at ICANN.
  - [ICANN Planning Page](#)

- **Implementation of recommendations**
  - Specific reviews: [https://community.icann.org/category/accountability](#)
  - ICANN73 Webinar on [ICANN Reviews and Implementation Status Update](#)
  - ICANN Reviews webpage, with updated status [https://www.icann.org/resources/reviews-specific-reviews/](#)
Prioritization Technique Proposed

- Propose to use an Urgent / Important matrix
  - Evaluating Urgency:
    - A deadline to meet?
    - A precedent to another recommendation?
  - Evaluating Importance:
    - Determined as “high priority” by Review Team?
    - Mission critical?
    - Strategic?
    - Operational excellence?
- The matrix results in 4 level of priority
  - P1 = Highest Priority-Urgent/Important
  - P2 = Less Urgent/Important
  - P3 = Urgent/Less important
  - P4 = Lowest priority-Less Urgent/Less Important
Specific Review Summary

Specific Reviews

- **Accountability and Transparency Review (ATRT):** Review of ICANN’s execution of its commitment to maintain and improve robust mechanisms for public input, accountability, and transparency so as to ensure that the outcomes of its decision-making reflect the public interest and are accountable to the Internet community.

- **Competition, Consumer Trust and Consumer Choice Review (CCT):** Review to adequately address issues of competition, consumer protection, security, stability and resiliency, malicious abuse issues, sovereignty concerns and rights protections prior to increasing the number of new gTLD domains under an application process initiated after 1 October 2016.

- **Registration Directory Service Review (RDS-WHOIS):** Review to assess the effectiveness of the then current gTLD registry directory service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data.

- **Security, Stability and Resiliency Review (SSR):** Review of ICANN’s execution of its commitment to enhance the operational stability, reliability, resiliency, security, and global interoperability of the systems and processes, both internal and external, that directly affect and/or are affected by the Internet’s system of unique identifiers that ICANN coordinates.
Prior to the development of the draft plans by org, the community is consulted about the planning process, timeline, assumptions and priorities.

- The **planning prioritization process is a new step** during the “consultation” phase of the draft plans development process.
- This newly added step will provide the community with an opportunity to establish a community-defined order of priority that the org should take into consideration while developing detailed work plans and resource allocations in the Operating Plan and Budget process.
# Annual Planning Process Overview

<table>
<thead>
<tr>
<th>NO CHANGE - Strategic Planning Process</th>
<th>NEW / ENHANCED - Operating Plan and Budget Process</th>
</tr>
</thead>
<tbody>
<tr>
<td>CURRENT - Strategic Planning Process</td>
<td>NEW - Planning Prioritization Process</td>
</tr>
</tbody>
</table>

## ICANN ORG

- **Strategic Outlook Trends Identification**
  - Trends Analysis
  - Kick Off the Prioritization Process
  - Facilitate Prioritization Sessions
  - Develop draft Operating Plan and Budget
  - Review Public Comments
  - Develop Revised Draft Plans

## COMMUNITY

- **Strategic Outlook Trends Identification**
  - Publish Strategic Outlook Report
  - Nominate Participants
  - List of prioritized activities
  - Draft Plans Public Comments
  - Publish Revised Draft Plans
  - Empowered Community Period

## BOARD

- **Strategic Outlook Trends Identification**
  - Trend Assessment
  - Board Resolution
  - Review and consider plan adoption
  - Plan Adoption

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*ICANN*
Breakdown Steps of Planning Prioritization

1. **Planning Team**
   - Start
   - Request for Participants from SO/AC
   - List of Participants
   - Facilitate Prioritization Sessions
   - Coordinate implementation plan and resources assessment

2. **Prioritization Group**
   - Confirm Participation
     - No
     - Yes
     - Develop the list of prioritized activities

3. **COMMUNITY**
   - Nominate Participants
   - Update the community

4. **ICANN ORG**
   - Input to the Draft OP&B Process (See figure 3)

5. **BOARD**