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VIRTUAL COMMUNITY FORUM

ICANN AT-LARGE

An Update on the OFB-WG's Recommendation Prioritisation Sub-Group, Preparation of Priorities From the At-Large/End User Perspective.

Prioritization of Recommendations Framework

Members of the Operations, Finance and Budget Working Group's Prioritization Small Team and Key ICANN Staff

Tue March 8, 2022 at 1830 UTC

Session Plan…

Part 1: Work of the OFB-WG on Prioritization of CCW and RTs Recommendations.

Brief overview of the work requirements.
Processes and methodologies used.
Data captured and deliberations to date.
Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) work to date.

Part 2: ICANN Organization approaches.

Org specific (wider) considerations)
Prioritizations Framework
WS2
Others

Next Steps.

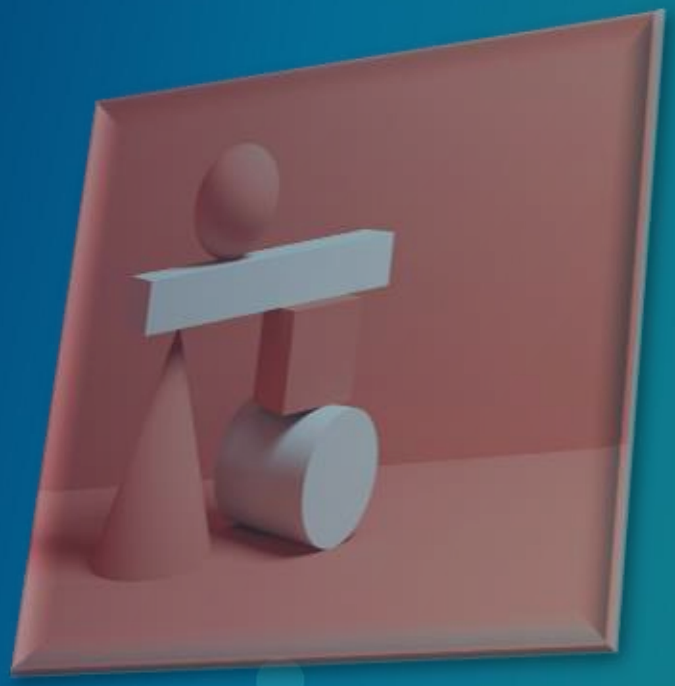
OFB-WG Recommendation Prioritization Sub Group. (OFB-WG-SG or OFB-SG)

Meeting **(usually weekly)**

08 Dec 2020

The wiki workspace lists all our Core, Contributing and Ad-Hoc members and also archives all our meetings and activities including recordings of all calls and is available for review.

Brief overview of the work requirements



Recommendations:

- ✓ initially reviewed
- ❖ Assessed regarding
  - ➢ **effort**,
  - ➢ **urgency** and
  - ➢ overall **priority**

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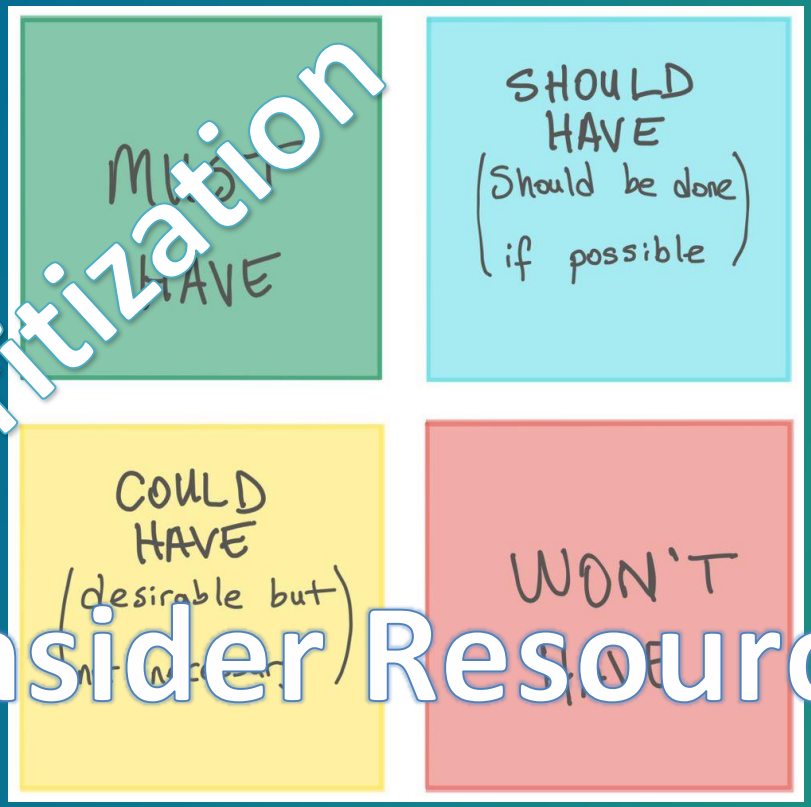


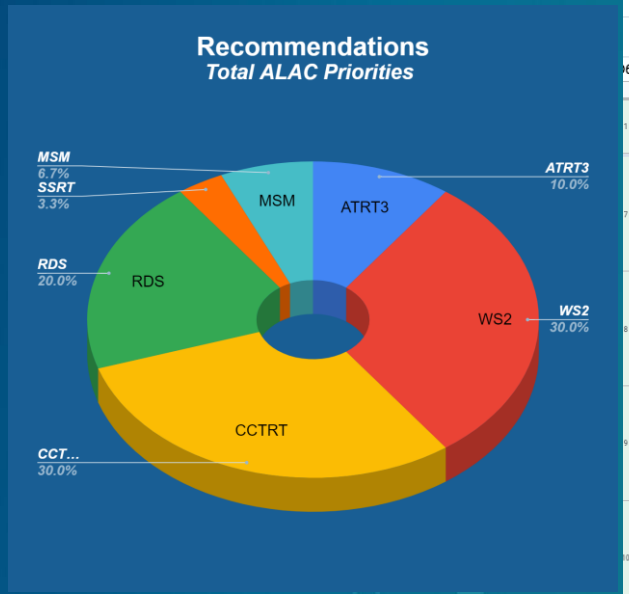
Prioritization

Consider Resources

Proposed ALAC Prioritization Assessment of Recommendations...

There was a LOT of it and having started with more of a bare bones set of columns to assess/triage each Recommendation our APAT expanded to allow greater granularity and use as an ongoing tracking and updated tool...

Data captured and deliberations to date...



High/Medium/Low Priorities - ALAC/At-Large WS/RT Recommendations Prioritization DRAFT

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With community input, the ICANN Board should instruct the ICANN organization to identify groups outside of those that routinely engage with ICANN organization, and these should be targeted through R

RDS Topic	Board Action	Rec. No.	Recommendation(s)	RDS Priority	Progress	ALAC Evaluation of the PRIORITY	ALAC Evaluation of the EFFORT	ALAC Evaluation of the URGENCY	Status/Comments/Notes	Overall P Effort : U
RDS/WHOIS2	The Board places this recommendation in pending status until completion of Board action on the EPDP Phase 2, priority 2 topics.The Board will consider the recommendation after evaluating the outcomes of the EPDP Phase 2.	R4.1	The ICANN Board should initiate action to ensure ICANN Contractual Compliance is directed to proactively monitor and enforce registrar obligations with regard to RDS (WHOIS) data accuracy using data from incoming inaccuracy complaints and RDS accuracy studies or reviews to look for and address systemic issues. A risk-based approach should be executed to assess and understand inaccuracy issues and then take the appropriate actions to mitigate them. [RT: High]	High	Pending	Low	Other (Full details in Column J)	N/A	Pending EPDP Phase 2, 2a	
RDS/WHOIS2	The Board places this recommendation in pending status until completion of Board action on the EPDP Phase 2, priority 2 topics.The Board will consider the recommendation after evaluating the outcomes of the EPDP Phase 2.	R4.2	The ICANN Board should initiate action to ensure that ICANN Contractual Compliance is directed to cross-reference existing data from incoming complaints and studies such as the ARS to detect patterns of failure to validate and verify RDS (WHOIS) data as required by the RAA. When such a pattern is detected, compliance action or an audit should be initiated to review compliance of the Registrar with RDS (WHOIS) contractual obligations and consensus policies. [RT: High]	High	Pending	Low	Other (Full details in Column J)	N/A	Pending EPDP Phase 2, 2a	
RDS/WHOIS2	The Board places this recommendation in pending status until completion of Board action on the EPDP Phase 2, priority 2 topics.The Board will consider the recommendation after evaluating the outcomes of the EPDP Phase 2.	R5.1	The Accuracy Reporting System, which was instituted to address concerns regarding RDS (WHOIS) contact data accuracy, has demonstrated that there is still an accuracy concern and therefore such monitoring must continue. ICANN organization should continue to monitor accuracy and/or contactability through either the ARS or a comparable tool/methodology. [RT: High]	High	Pending	High++	Significant	High	Was pending results of EPDP. Now presumably pending results of Data Accuracy Scoping Team and then a PDP if it happens.	
RDS/WHOIS2	The Board places this recommendation in pending status until completion of Board action on the EPDP Phase 2, priority 2 topics.The Board will consider the recommendation after evaluating the outcomes of the EPDP Phase 2.	R10.1	The Board should monitor the implementation of the PPSAI. If the PPSAI policy does not become operational by 31 December 2019, the ICANN Board should ensure an amendment to the 2013 RAA (or successor documents) is proposed that ensures that the underlying registration data of domain name registrations using Privacy/Proxy providers affiliated with registrars shall be verified and validated in application of the verification and validation requirements under the RAA unless such verification or validation has already occurred at the registrar level for such domain name registrations. [RT: Low]	Low	Pending	High++	Minimal	High	Pending Board analysis of EPDP Phase 2. Why that is is unclear. EPDP made it clear that there is no relevance to PPSAI.	
RDS/WHOIS2	The Board approves this recommendation with the caveat that the subsequent review team (RDS-WHOIS3) might not consider itself bound by such a	R10.2	Reviewing the effectiveness of the implementation of WHOIS1 Recommendation #10 should be deferred. The ICANN Board should recommend that review be carried out by the next RDS (WHOIS) Review Team after PPSAI Policy is implemented. [RT: Low]	Low	Conditionally approved	N/A			Wording curious as Rec only called for Board to "recommend" not "require".	

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Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – ATRT3.

Assessment Specific and Organizational Reviews

Approved subject to prioritization

Prioritization of Review & Cross-Community Working Group on Enhancing ICANN Accountability

Approved

Public Input

Approved subject to prioritization

Assessment of the Implementation of ATRT2 Recommendations

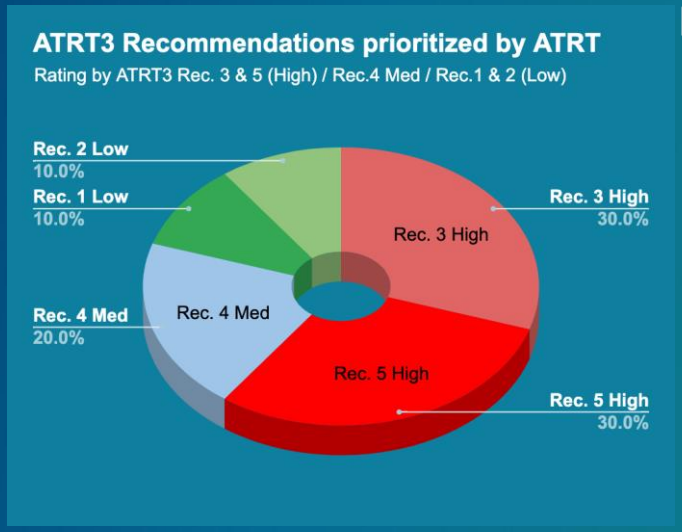
Approved subject to prioritization

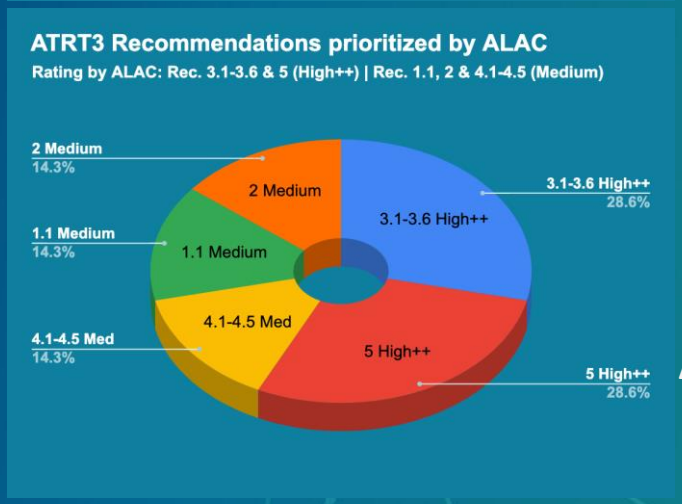
Accountability and Transparency
Relating to Strategic and Operational Plans
including Accountability Indicators

Approved subject to prioritization

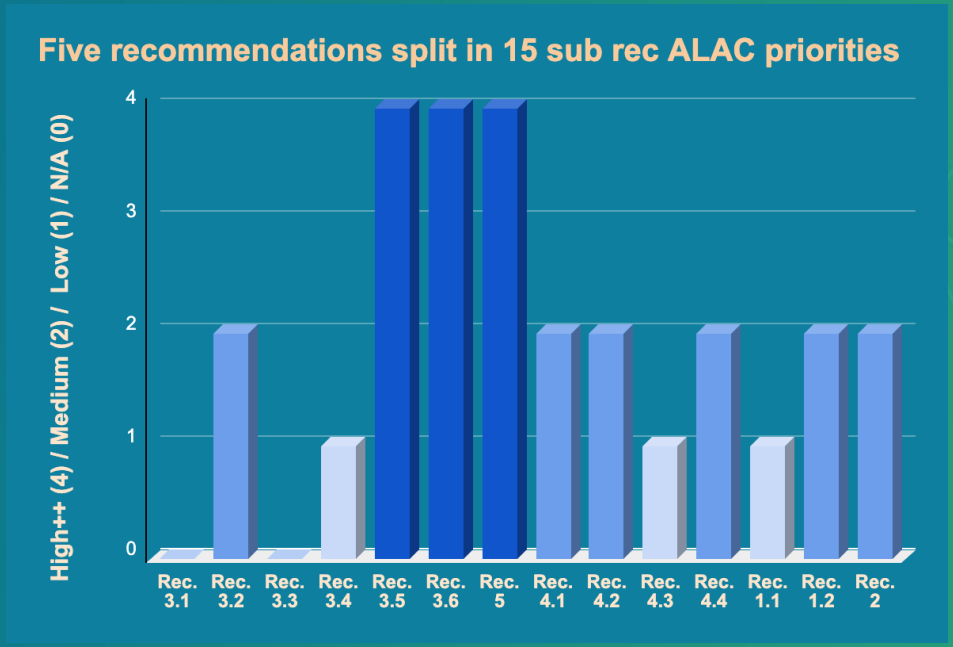
Five (5) Recommendations were made by the ATRT3

Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – ATRT3.





Five (5) Recommendations were made by the ATRT3



APAT priority Levels of the ATRT3 Recommendations

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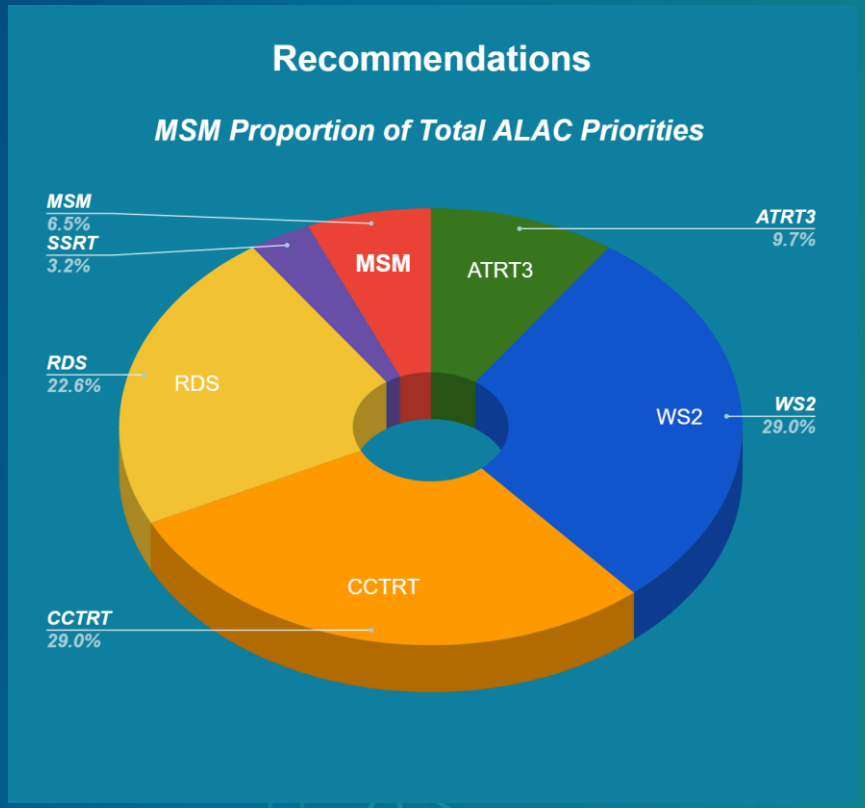
Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – MSM.



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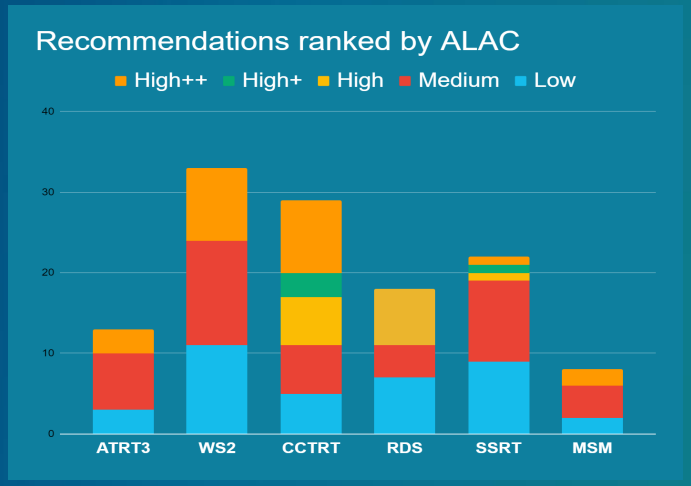
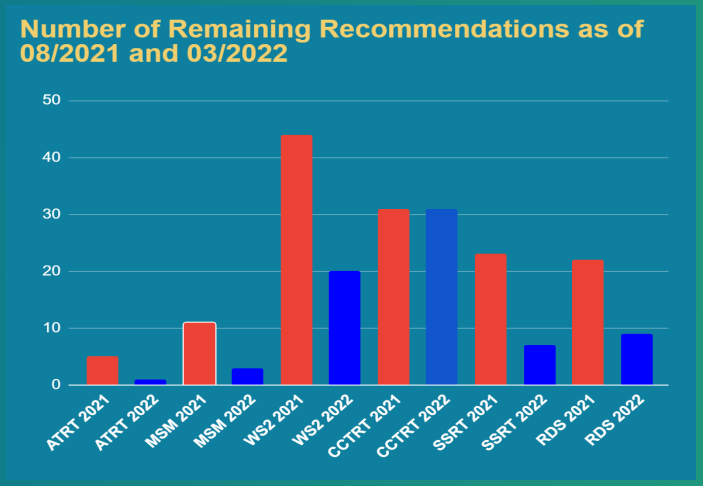
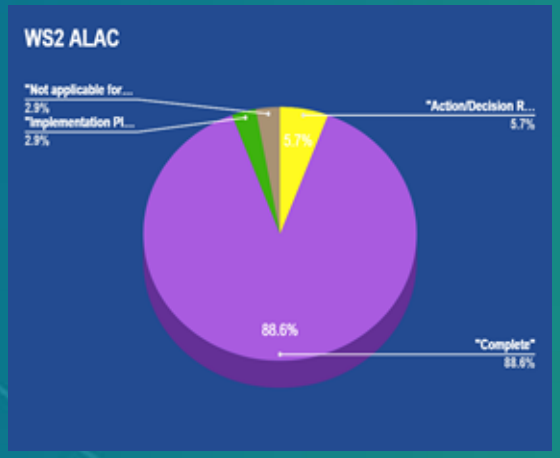
Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – WS2.





There has been Progress



Current status of AC specific WS2 implementation End Feb22

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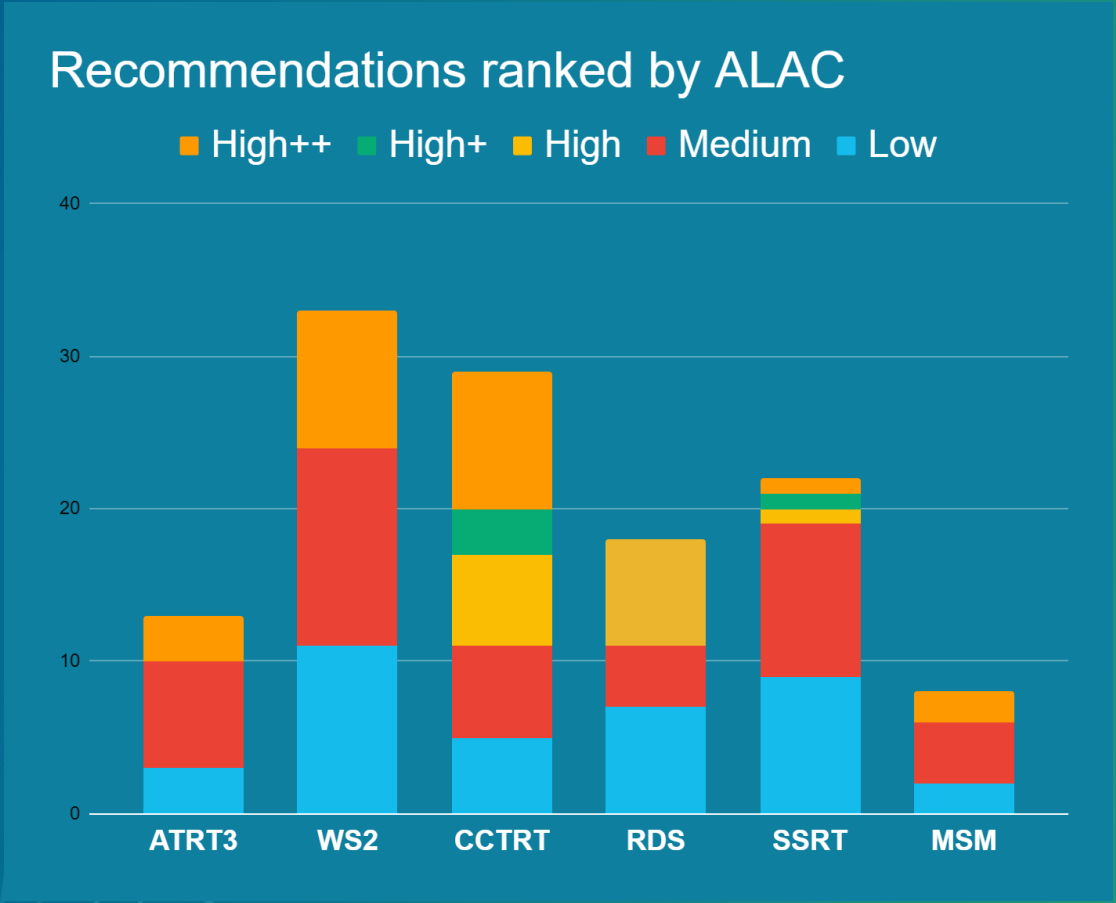
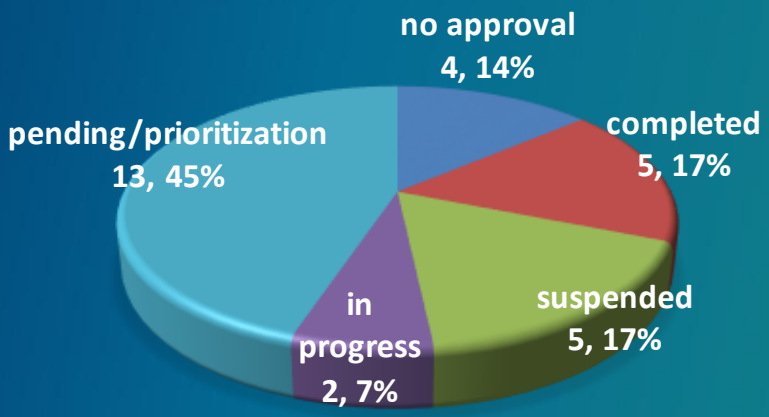
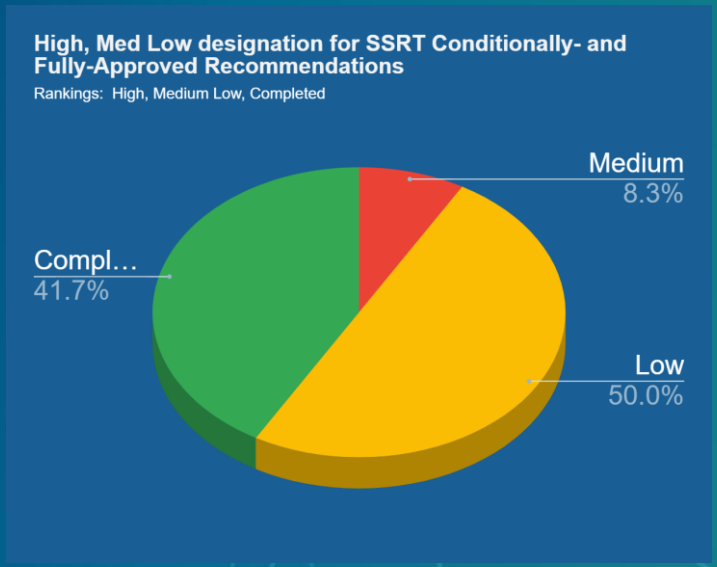
Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – CCTRT.



Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – SSRT.

All 2nd SSRT Recommendations





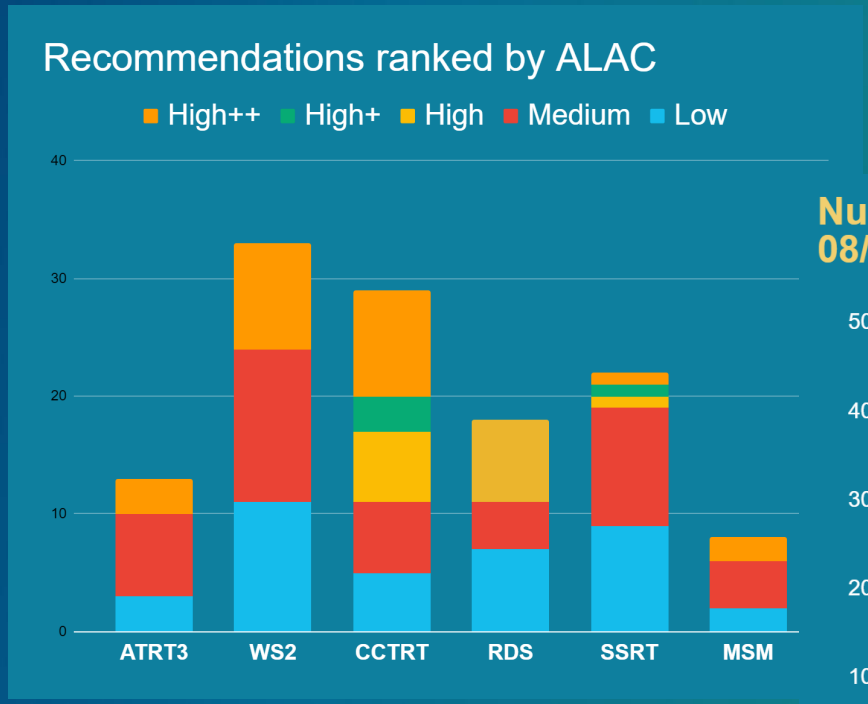
#9 Compliance to Monitor/Enforce SSR and report on tools

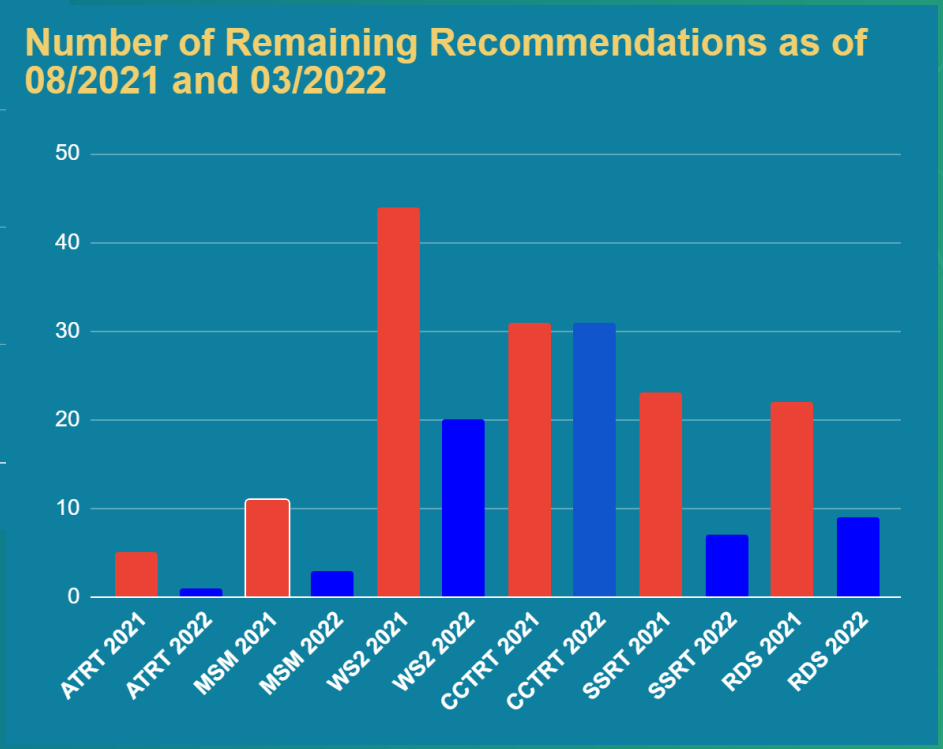
- Situation: completed
- ALAC Priority: High
- Effort : Moderate
- Urgency : High

#12 DNS Abuse Analysis Advisory Team

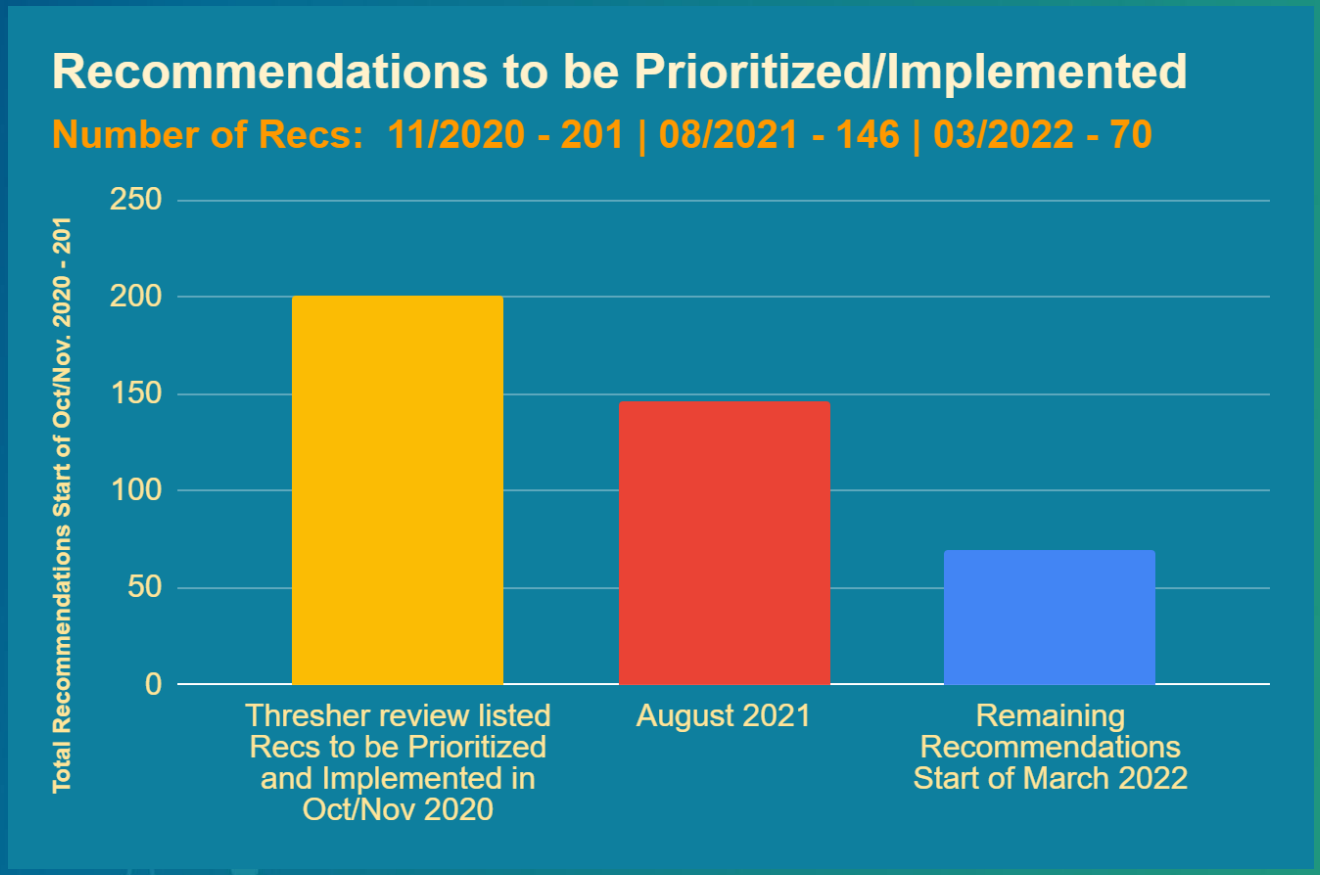
- Situation : in progress
- ALAC Priority High ++
- Effort Moderate
- Urgency : High

Recommendations and Outputs of the ALAC Prioritization Assessment Tool (APAT) – RDS.





APAT Use – Next Steps.



OFB-WG and APAT, next steps etc.,

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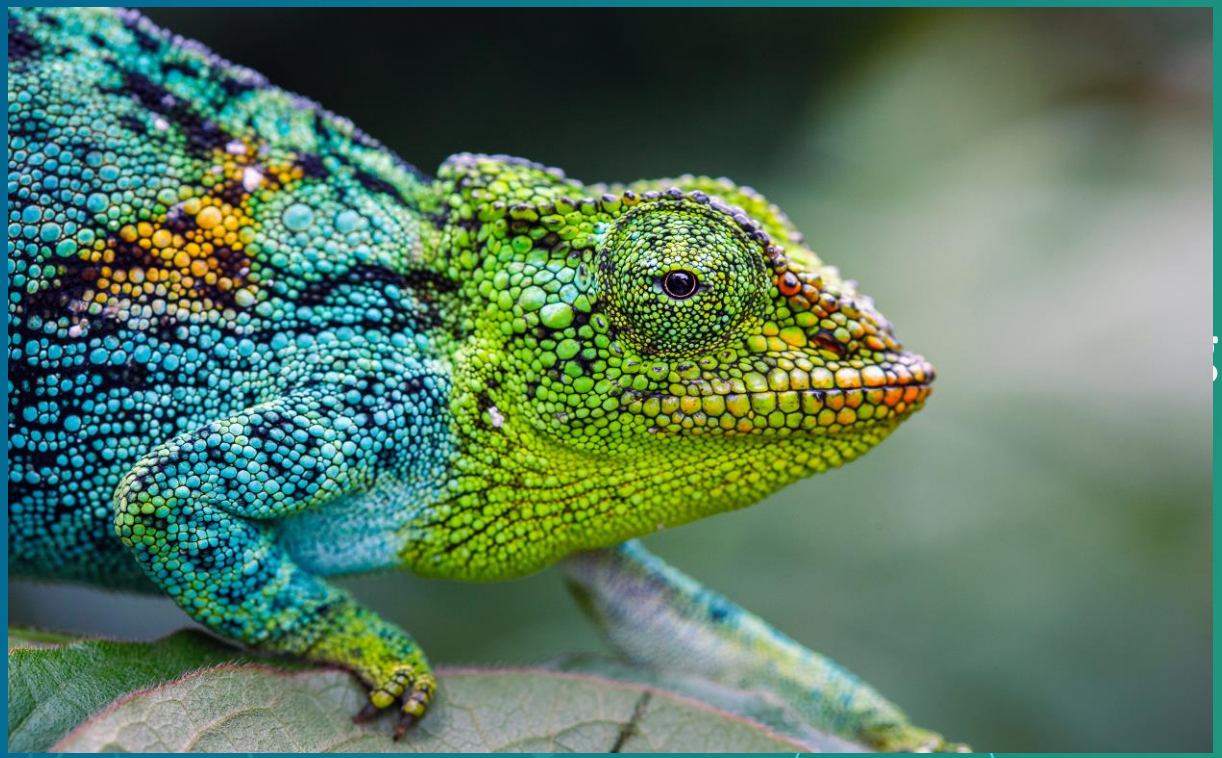
References and links of use.

Wiki Workspace

Presentation from OFB-WG Planning Prioritization Consultations July 2021



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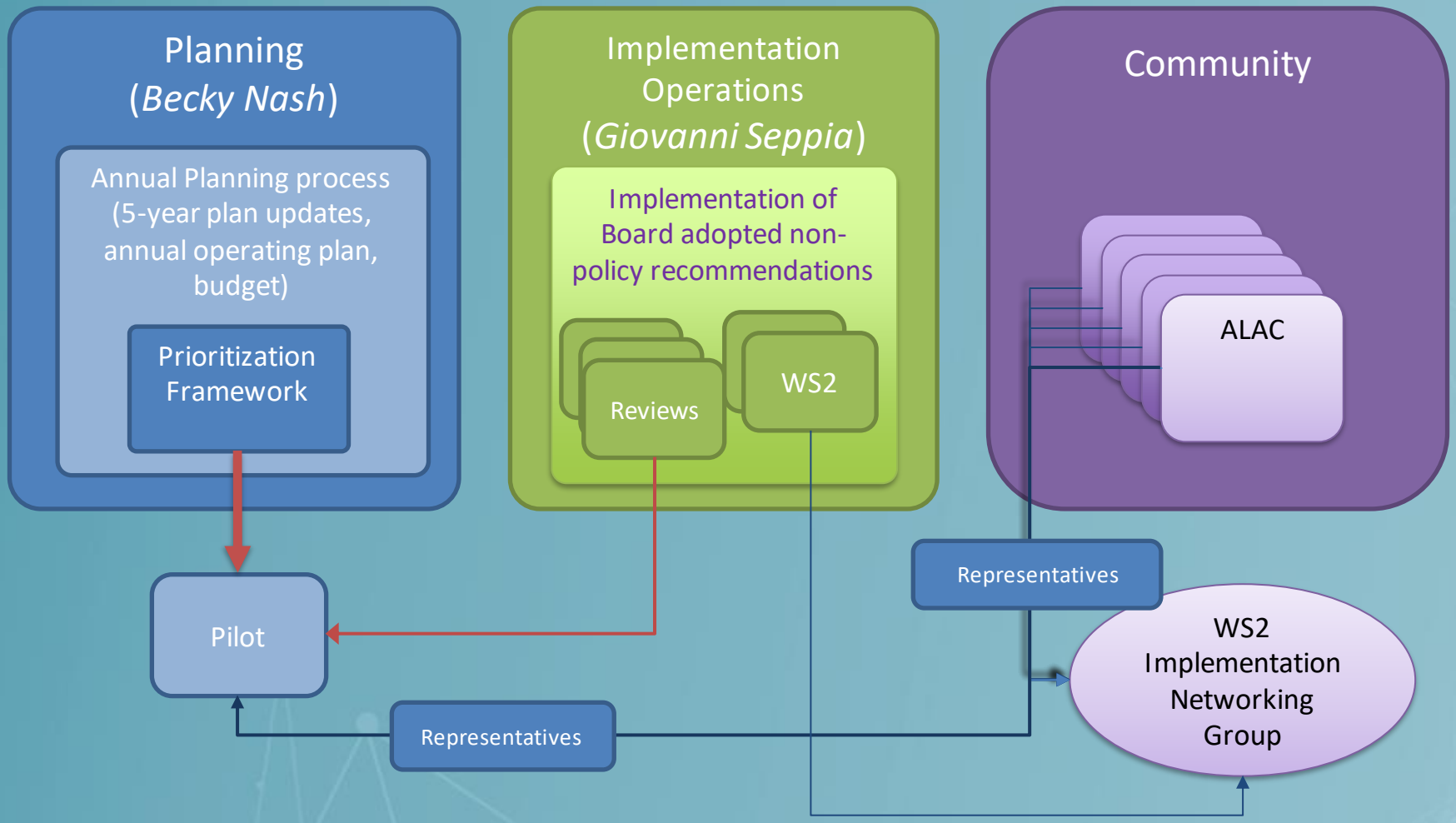
Org Planning and Implementation Operations

Agenda

- Introduction to Planning and Implementation Operations
- Overview of the Planning Prioritization Framework and Pilot
- Overview of Implementation Operations and key priorities including the WS2 Community Coordination Group
- Resources pages

Introduction to Planning and Implementation Operations



Planning Prioritization Framework and Pilot

- **Project**: "Planning at ICANN" is one of the 15 operating initiatives in ICANN's Operating Plan for FY21 and FY22.
  - A component of this operating initiative is to deliver a draft prioritization framework as an improvement of ICANN's overall planning process.
- **Accountability**: ICANN's planning department is leading this operating initiative and the delivery of the draft prioritization framework.
- **Deliverable:** A draft prioritization framework to be used during the annual planning process.
- **Pilot:** Org proposes conducting a pilot on review recommendations to test the draft framework.

Implementation Operations focus

- **Implementation of recommendations** coming from:
  - Specific reviews:
    - Accountability and Transparency review (ATRT3), 5 rec
    - Security, Stability and Resiliency review (SSR2), 63 rec
    - Competition, Consumer Trust and Consumer Choice review (CCT), 35 rec
    - Registration Directory Service – WHOIS2 review (RDS-WHOIS2), 22 rec
  - WS2, 116 rec
    - WS2 Community Coordination Group
- **Enhancing the Effectiveness of the ICANN's Multistakeholder Model**

Resources

- **Planning Prioritization Framework**
  - ICANN72 Webinar on Prioritization Framework Design
  - Publication - Planning Prioritization Framework Version 1
  - ICANN Draft FY23-27 Operating and Financial Plan - Operating Initiative Planning at ICANN. Link to Public Comment Page
  - ICANN Planning Page

- **Implementation of recommendations**
  - Specific reviews and WS2
    - https://community.icann.org/category/accountability
  - WS2 implementation work
    - "Implementing WS2" report (28 Oct 2021)
  - ICANN73 Webinar on ICANN Reviews and Implementation Status Update
  - ICANN Reviews webpage, with updated status https://www.icann.org/resources/reviews/specific-reviews/

Prioritization is a sorting of things with regards to the available resources as well as the criticality or urgency of each at a given point in time.

TRIAGE…

Thank you

Please send any further enquiries or clarifying questions to At-Large Staff