Planning Prioritization Framework Consultation

ICANN org Planning Team
Presentation to the ccNSO SOPC
14 July 2021

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Agenda

- Introduction and Objective

- Prioritization Framework Consultation

- Appendix

Introduction and Objective

Project Background and Project Scope

◉ ICANN's planning department is leading the creation of a Planning Prioritization Framework to:

  ○ Help the ICANN ecosystem prioritize its work within the planning process in a manner that is transparent, inclusive, and efficient

◉ The planning prioritization framework and techniques will be implemented in future annual Operating and Financial Planning cycles to inform decision-making for the annual and five-year planning process

  ○ Timing is expected for FY24 Planning and beyond

◉ The purpose of this project is two-fold:

  ○ To design a conceptual framework with embedded techniques for making informed, collective decisions on the prioritization of ICANN's project-based work

  ○ To collaborate and engage with the community, Board and org to seek broad and diverse input on the approach to planning prioritization

Project Scope Clarification

◉ **Q: Will org propose a draft framework to start from during the engagement?**

A: No, org will propose a list of components or design elements that need to be decided upon together with the community.
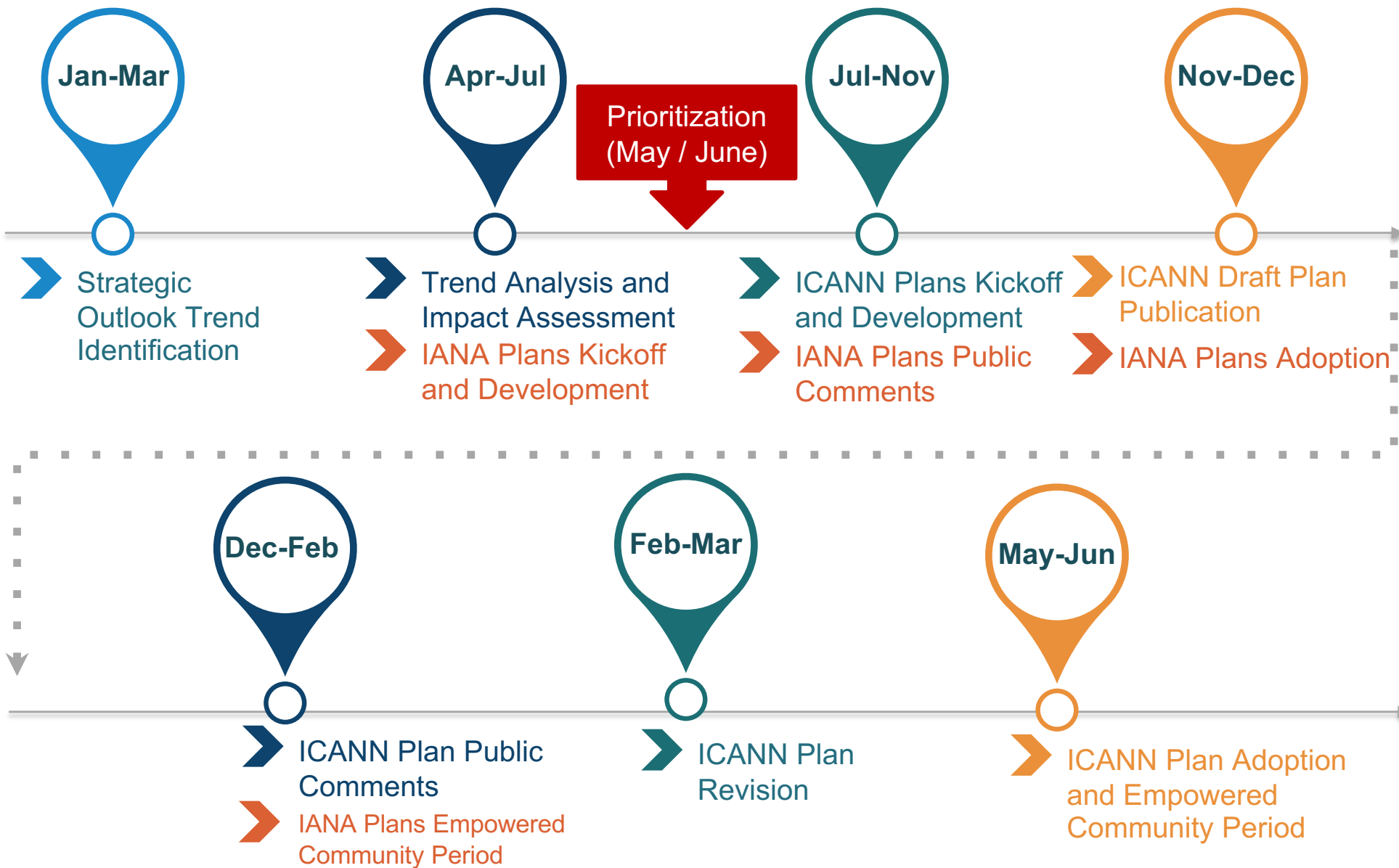
- Org will collect broad input through engagement sessions.
- Once broad input is collected and consolidated, a draft framework will then be built reflecting the input received
- The proposed framework will then be shared back with the groups consulted and the entire community for further engagement, leading to further input and edits

◉ **Q: Is the prioritization framework going to be implemented for FY23 Planning?**

A: No, the project to design the Planning prioritization will begin during the FY23 Planning process and a pilot is suggested on one type of activities for validation purposes.

- A narrative on the proposed prioritization process design will be included in the FY23 draft plans for public comment and further refinement of the process will take place in calendar year 2022
- Implementation of the agreed upon process is expected for FY24 planning process

Planning Process Overview

Jan-Mar
Strategic Outlook Trend Identification

Apr-Jul
Trend Analysis and Impact Assessment
IANA Plans Kickoff and Development

Prioritization (May / June)

Jul-Nov
ICANN Plans Kickoff and Development
IANA Plans Public Comments

Nov-Dec
ICANN Draft Plan Publication
IANA Plans Adoption

Dec-Feb
ICANN Plan Public Comments
IANA Plans Empowered Community Period

Feb-Mar
ICANN Plan Revision

May-Jun
ICANN Plan Adoption and Empowered Community Period

Process Design Elements for Consultations

Scope	• Identification of the scope of activities to be prioritized
Participants	• Identification of the participants and their roles & responsibilities in the process
Frequency	• Design of the frequency for prioritization planning process
Techniques	• Selection of agreed-upon prioritization techniques
Systems and Tools	• Identification of systems, reports or tools to manage the process
Pilot	• A pilot of the framework as a component of validation

Through consultations with the Community Board and org, other design elements may be considered as feedback is collected

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Objective of Today's Consultation

Today we will focus on 3 of the 6 Prioritization Framework elements - Scope, Participants and Frequency.

Scope	• Identification of the scope of activities to be prioritized
Participants	• Identification of the participants and their roles & responsibilities in the process
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Prioritization Framework Consultation

- **Scope**
- **Participants**
- **Frequency**

Scope	Identification of the scope of activities to be prioritized

1. **Org implementation work**
   - Implementation of Review Recommendations, PDPs and CCWG
   - Usually are multi-year projects Work
   - For examples: ATRT3 Recommendation Implementations, WS2 Recommendation Implementations.

2. **Org initiated work**
   - Works that triggers work for other community members, Org and Board
   - Community needs to review tech papers and provide feedback and participate
   - For examples: ITI, Names Services Portal (NSP) and Compliance systems projects

3. **Community initiated work**
   - Works that trigger work for other community members, Org and Board
   - Other SO/ACs need to review and provide feedback and participate
   - For examples: Policy Developments

Ongoing org operations and small org functional projects will not be prioritized during the annual planning process by this framework

Consultation Objective

Which scope(s) should be part of the prioritization framework?

Role and Responsibilities of Participants

1. ICANN Planning team to facilitate prioritization workshops with participants during May / June

2. Participants use the tools and techniques (to be determined as part of this framework) to provide recommendations of what the priorities should be

3. ICANN Planning team to share prioritization consultation output for further input opportunities

Structures of Participants

1. Consultations by SO/ACs and then community public webinars

2. Form a group / committee as a formalized structure (similar to ATRT3 rec 5, see appendix for reference)

3. A separate public comment process on prioritization of activities prior to development of the draft operating plans

All consultations received will be input and prior of ICANN org developing the draft plans

Consultation Objective

1. Do you agree with the Roles and Responsibilities suggested?
2. How should we structure the participants?

- At a minimum, this process could take place once per year as part of the annual planning process

  - Suggested timing is for a prioritization step in June and July.

  - This timing permits org to receive input on prioritized work as input into the development draft operating plans.

  - If beneficial the process could take place more than once per year.

- Following the prioritization step, org will report back out on what projects are intended to be included in the draft operating plan

Consultation Objective

Do you agree with the prioritization step to take place in May / June as consultation step of the annual planning process, prior of ICANN org develop the draft plans?

Recap of Discussion

SCOPE	PARTICIPANTS	FREQUENCY
Which scope(s) should be part of the prioritization framework?	1. Do you agree with the Roles and Responsibilities suggested? 2. How should we structure the participants?	Do you agree with the prioritization step to take place in May / June as consultation step of the annual planning process, prior of ICANN org develop the draft plans?

Next Steps

ccNSO SOPC Consultation - Part 2

Calendar ▶

July 26 at 1500 UTC (90 MIN)

Objective ▶

Remaining Prioritization Framework elements – Techniques, Systems and Tools.

Community, Board and Org Consultations

The objective of consultations is to collect diverse stakeholder input to inform the iterations of the draft prioritization framework

The consultation will include informal engagements among community, Board, and org. and public webinars to brief the entire community on the proposed prioritization framework and invite feedback on the approach

◉ **Suggested Community consultations**

 SO/AC Leadership or designated member from SO/ACs

 SO/AC established groups focused on planning and financials:

- ccNSO Strategic and Operational Planning Committee (SOPC)
- GNSO Standing Committee on ICANN's Budget & Operations Planning (SCBO)
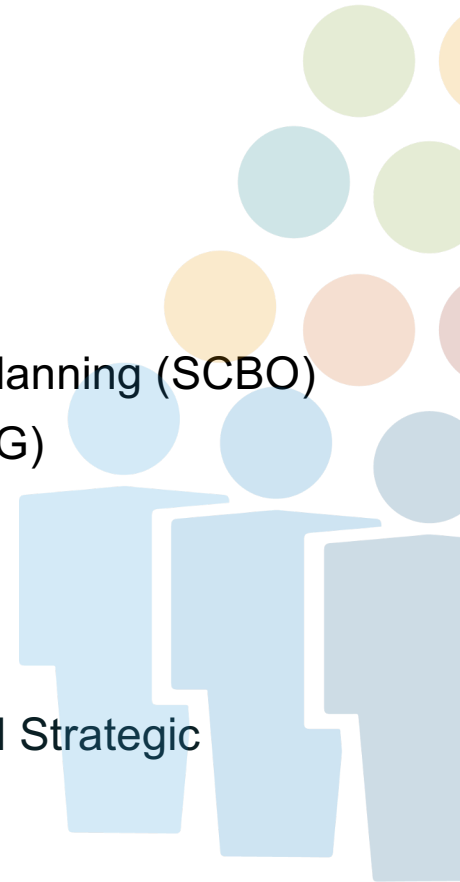- ALAC Operations, Finance and Budget Working Group (OFB-WG)

 ATRT3 Implementation Shepherds

 Public webinars and Regional webinars
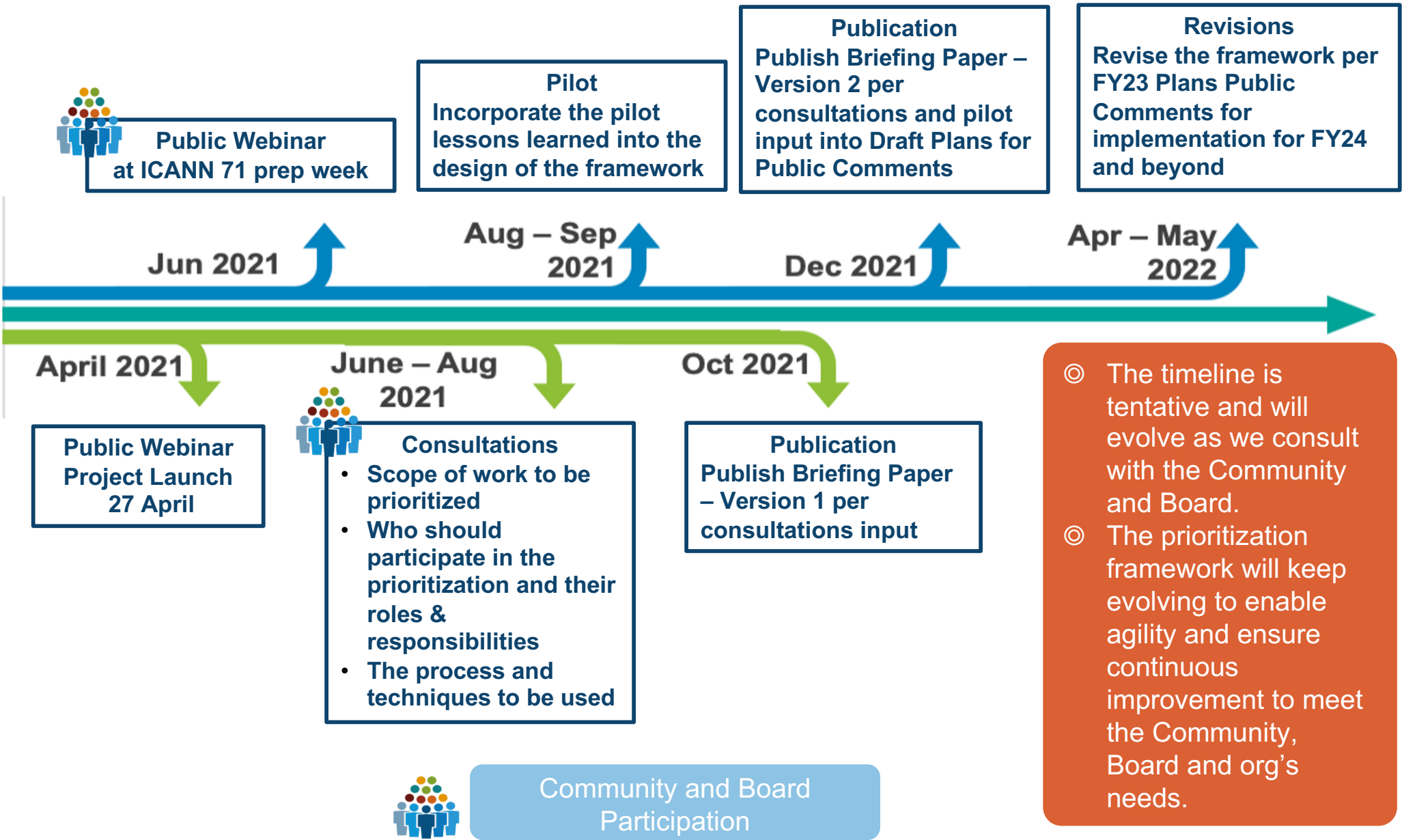
◉ **Suggested org and Board consultations**

 Board caucus group on Budgeting and Prioritization (BPCR), Board Strategic Planning Committee (BSPC) and Board Finance Committee (BFC)

 Org Executive Team and org Planning Liaisons

Project Steps and Tentative Timeline

Public Webinar
at ICANN 71 prep week

Pilot
Incorporate the pilot lessons learned into the design of the framework

Publication
Publish Briefing Paper – Version 2 per consultations and pilot input into Draft Plans for Public Comments

Revisions
Revise the framework per FY23 Plans Public Comments for implementation for FY24 and beyond

Jun 2021

Aug – Sep 2021

Dec 2021

Apr – May 2022

April 2021

June – Aug 2021

Oct 2021

Public Webinar
Project Launch
27 April

Consultations
- **Scope of work to be prioritized**
- **Who should participate in the prioritization and their roles & responsibilities**
- **The process and techniques to be used**

Publication
Publish Briefing Paper – Version 1 per consultations input

◎ The timeline is tentative and will evolve as we consult with the Community and Board.

◎ The prioritization framework will keep evolving to enable agility and ensure continuous improvement to meet the Community, Board and org's needs.

Community and Board Participation

Appendix

ATRT3 Recommendation 5 Summary Reference

Discussion about structure like ATRT3 Recommendation:

◉ ATRT3 recommended a community led entity with one representative by SO/AC, org and Board (final report link:

- This approach was specifically only focused on prioritization of community recommendations, and it does not consider operating initiatives which is work to support the ICANN Strategic Plan nor org functional activities

◉ The Board and ICANN org shall use the following guidance for the creation of a community-led entity tasked with operating a prioritization process.

- All SO/ACs shall have the option of participating or not in this process. Those SO/ACs wishing to participate in the prioritization process shall have one member per SO/AC.
- Additionally, the Board and the org shall also each have a member.

◉ The Board and ICANN org shall also take into account the following high-level guidance for the prioritization process:

- Shall operate by consensus of the individual SO/ACs, Board, and org members that are participating in the prioritization process
- Must be conducted in an open, accountable, and transparent fashion and decisions justified and documented.