# **Internationalized Domain Names Expedited Policy Development Process**

**Charter Briefing** 

**Dennis Tan Tanaka** 



GNSO Council Meeting | 20 May 2021

# **Agenda**





| 2

# Background



#### **Origin of Issue**



ICANN Board prohibited delegation of variant TLDs until definition & management framework are in place



Mar. 2019

ICANN Board approved variant TLD management recommendations



Aug. 2019

GNSO Council
established IDN Variants
Scoping Team



Jan. 2020

ICANN Board approved Technical Utilization of RZ-LGR recommendations

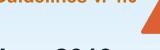


ICANN Board endorsed the procedure for Root Zone Label Generation Rules (RZ-LGR)



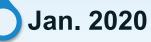
Apr. 2013

ICANN Board agreed to defer adoption of IDN Implementation
Guidelines v. 4.0



Jun. 2019

GNSO Council adopted Scoping Team suggestion for Operational & Policy Tracks



Scope

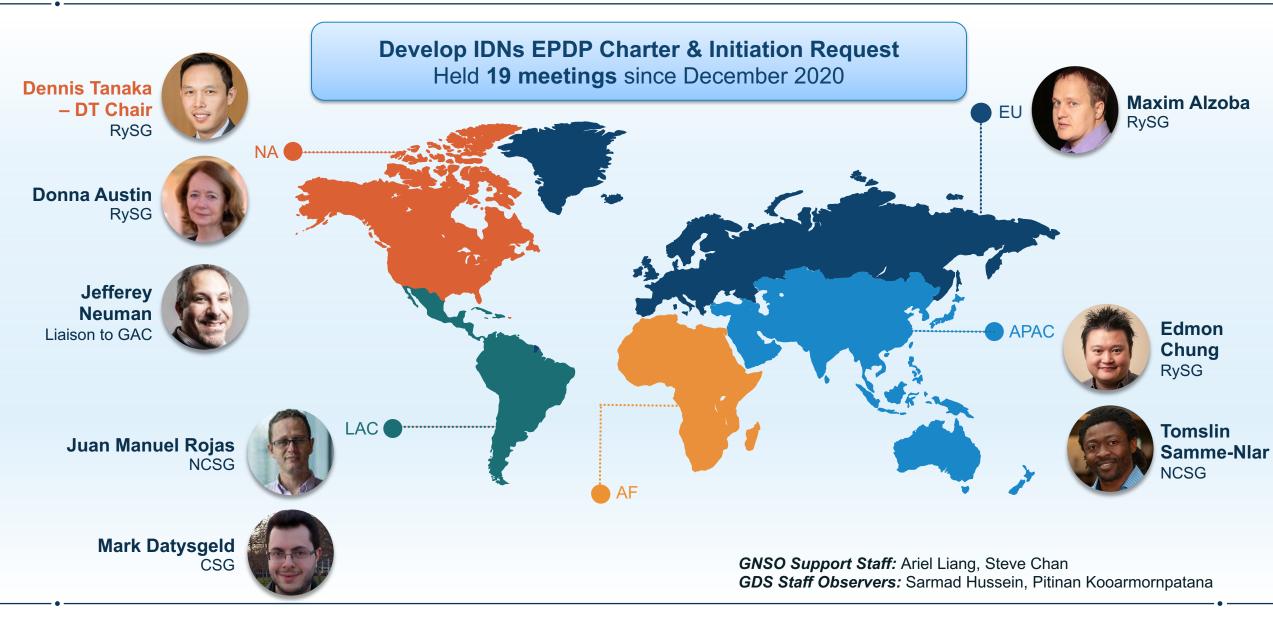
GNSO Council established a Drafting Team for the Policy Track (IDNs EPDP)



Oct. 2020



## **Drafting Team**



**Background** 



# Scope & Framework



## **Existing Body of Work**



**SubPro PDP**: Recommendations under Topic 25 on IDNs and other relevant topics



Variant TLD Management Staff Paper: Recommendations and questions for GNSO's consideration



RZ-LGR Technical Study Group (TSG): Recommendations specifically about the technical utilization of RZ-LGR



Other IDN Related Studies: Proposed IDN Implementation Guidelines v. 4.0, SSAC advice

Recommendations are designed to be **conservative** and to find a **balance** to **permit delegation** of TLD variant labels that meet end user needs but block TLD variant labels that pose a security risk



**Topics** 

#### **EPDP Scope**

IDNs EPDP is expected to provide policy recommendations on:

- Definition of all TLDs and the management of variant labels
- How the IDN Implementation Guidelines should be updated in the future



The mission and scope of this EPDP may be expanded as a result of the Operational Track

Resolve issues for policy considerations in IDN Implementation Guideline 4.0, IF and WHEN such issues are identified by Operational Track Team and agreed to by IDN Guidelines WG



#### **Framework**



**Should not revisit SubPro** recommendations in the context of future new gTLDs, but will consider questions asking whether such recommendations should be extended to existing gTLDs



Where **SubPro does not** have a recommendation that corresponds to the **Staff Paper or TSG** recommendation, include questions about impact of such recommendations on future and existing aTLDs

SubPro IRT and IDNs **EPDP** (including its future IRT) should coordinate\* on addressing implementation issues to achieve, to the extent possible, **consistent** solutions for new and existing gTLDs

\* whichever group is first to develop a solution or recommendation for such question, such group should inform the other group



Scope

19

# **Question Topics**



#### **Topic Summary**

#### 48 Charter **Questions**



Consistent definition and technical utilization of RZ-LGR

"Same entity" at the second-level



Adjustments to policies and procedures related to domain name lifecycle



Adjustments to objection process, string similarity review, string contention resolution, reserved strings, etc.



Adjustments to registration dispute resolution procedures and trademark protection mechanisms

Scope



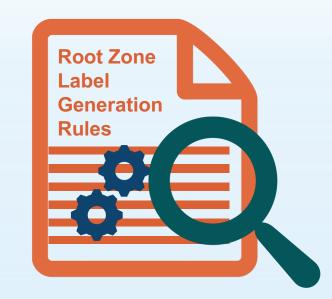
Process to update the IDN **Implementation** Guidelines

\* Slides include highly summarized charter questions as examples. Bolded questions require coordination with SubPro IRT



# Topic A. Consistent Definition and Technical Utilization of RZ-LGR

- RZ-LGR as the sole source to calculate variant and disposition for existing delegated gTLD
- Address self-identified "variant" TLD labels inconsistent with RZ-LGR
- Challenge processes for an applied-for TLD label supported by RZ-LGR but determined "invalid"
- Mechanism to ensure delegated variant TLDs remain small
- Grandfather an existing TLD and its variants not validated by LGR
- Mechanism to identify scripts appropriate for single-character TLDs
- Process for existing TLD applying for a variant not supported by RZ-LGR
- Develop a consistent definition of variant label status in the IDL set
- **Procedure to change label status**



l 12

# Topic B. "Same Entity" at the Top-Level

- Use "Registry Operator" to define "same entity" at the top-level for existing TLDs
- Same back-end registry service provider operates existing TLDs and their variant TLD labels
- Restrictions that apply to a TLD (e.g., community TLDs, dot brand TLDs) also apply to its variants
- Process for applying or activating allocatable variant TLD labels

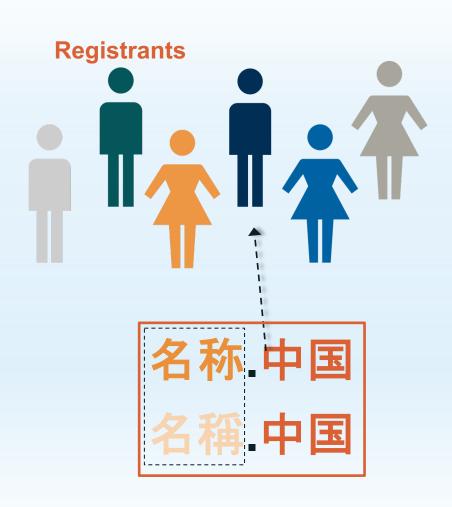


# Topic C. "Same Entity" at the Second-Level

- □ Extend SubPro Recommendation 25.6 to existing TLDs
- "Same entity" impact on the current rules for Registry Operators for activating variant labels
- Second-level IDN tables be mutually coherent
- Mechanism to identify the registrant as the "same entity" at the second-level
- Method for IDN table harmonization
- Use machine readable LGR format (RFC 7940) for secondlevel IDN tables



Highlighted questions overlap with the items of concern in IDN Implementation Guidelines v. 4.0, as identified by the RySG



**Formation** 

l 14

# Topic D. Policies and Procedures related to Domain Name Lifecycle

- "Same entity" impact on:
  - Registry Transition Process or Change of Control
  - **EBERO**
  - Reassignment of TLD as a result of TM-PDDRP
  - Data escrow policies
  - Transfer Policy
  - Domain name suspension
- Variant domain name's registration & lifecycle, independent or a unit
- Registry Agreement for TLD variant labels, separate for each or one for the set
- Process for existing registry operator applying for a variant of its existing gTLD
- Process for New IDN gTLD applicant seeking to obtain variants





## Topic E. Objection, String Similarity, String Contention, Reserved Strings

- □ Roles of "withheld for possible allocation" and "withheld for the same entity" TLD labels
- Objection process, string similarity review procedure, and string contention resolution mechanism for variant label applications
- Include variant labels in reserved strings ineligible for delegation
- □ Registration of gTLDs consisting of decorated two-character Latin labels

```
(06A9 062A 0627 0628) كتاب
```



**Topics** 

Background

#### **Topic F. Dispute Resolution Procedures & Trademark Protection Mechanisms**

- Whether adjustment is needed for Section 2.4.2 of the Trademark Clearinghouse Rights Protection Mechanism Requirements
- "Same entity" impact (legal and operational) on TMCH, Sunrise and Trademark Claims services, URS, TM-PDDRP, and UDRP

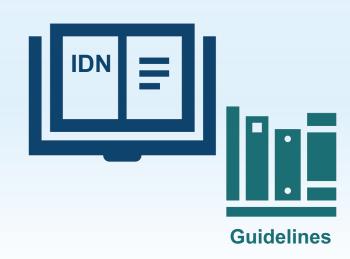


**Formation** 

| 17

# Topic G. Process to update IDN Implementation Guidelines

- Proper vehicle to update the IDN Implementation Guidelines
- Separate legal mechanism specifically for implementing IDNs among gTLDs & general guideline for any registry (including ccTLD registries)



## **Deliverables & Timeline**



## **Expected Deliverables**



Submit its Work Plan to GNSO Council as its first deliverable

\* Sequence of topics & map of dependencies



May choose to conduct its work in one, two, or multiple phase(s) based on the sequence of topics



At the minimum, complete an **Initial Report** & a **Final Report** 

\* Can produce additional outputs or deliverables for public comment



20

## **Desired Completion Date**



#### Deliver last Final Report no later than 12 months after the WG first convenes



#### Rationale:

- Develop recommendations by building on existing body of work
- Proposed charter questions are tightly scoped

| 21

# **Formation & Organization**



#### "Representative + Open" Model

#### Members

- Actively participate during the course of deliberations and in any WG consensus calls
- Represent the view of appointing organization
- Required to have a level of expertise

#### **GNSO** Liaison

- A Council member
- Serve during the life of WG

## **Participants**

- Invited to attend all meetings
- No participation in WG consensus calls
- WG leadership decide whether new Participants can be accepted after WG starts



#### ccNSO Liaison

- Fulfill ICANN Board's request
- May also serve as a Member

#### **Observers**

- Anyone may join as an observer
- · Read-only access to the mailing list
- Not invited to attend meetings



Questions

#### ICANN Org Liaison(s)

- GDS to appoint at least one
- Provide timely input



**Topics** 

## **Membership Composition & Criteria**

Group	Member (up to)
RySG	3
RrSG	3
IPC	3
ВС	3
ISPCP	3
NCSG	3
ccNSO*	3
ALAC	3
GAC	3
SSAC	3
RSSAC	3

<sup>\*</sup> ccNSO Liaison may also serve as one of its Member(s)

#### **Highlight of Membership Criteria:**

- ✓ Technical knowledge of IDNs; direct experiences in ICANN's IDN efforts strongly preferred
- ✓ Technical, legal, and/or operational knowledge of ICANN policies and procedures as they relate to IDNs
- ✓ Technical knowledge of registry/registrar services and domain name lifecycle as they relate to IDNs
- ✓ Familiarity with GNSO PDPs; direct experience strongly preferred



#### Other Key Points:

- Groups not fulfilling all member slots should not be disadvantaged
- Member and Participant must accept Statement of Participation

24

#### **Leadership Structure**

#### 1 Chair



- GNSO Council appoints a qualified, independent Chair
- Neutral, not counted as from Members or Participants
- Request for Expressions of Interest will be published

#### 1 Vice Chair



- WG may select a Vice Chair among its Members and Participants
- If a member is selected, change Member status to Participant
- Same expectations with regard to fulfilling the role of Chair

#### **Highlight of Leadership Criteria:**

- ✓ Direct experience in consensus building processes and preferably direct experience in GNSO PDPs
- ✓ Knowledge of and preferably direct experience in IDN related work at ICANN
- ✓ Knowledge of ICANN policies and procedures as they relate to IDNs.
- ✓ Understanding of registry/registrar services and domain name lifecycle as they relate to IDNs;
- ✓ Project management skills



