

Tracking #	Topic	Meeting Date	Action Item	Status
9-11-3	GAC Early Warning / GAC Consensus Advice	9 November	Row 21 – ICANN Org re: Clarification on whether amendments permitted in response to "non-consensus advice"; need clear process and deadlines to change an application. ACTION ITEM: Amendment language should the ability for applicants to amend their applications in response to GAC Early Warnings, GAC Consensus Advice or any other GAC Comments.	See edits on pages 39, 45, 88, 91, 137, and 140
17-11-1	Contractual Compliance	17 November	Row 13 – ALAC re: Compliance should publish thresholds and guidelines to assess registry/registrars practices. ACTION ITEM: Rec 41.2 – Adding text on the information we are seeking. Staff will consult with leadership on the new wording.	See edits on page 188
17-11-2	Registrar Non-Discrimination / Registry/Registrar Standardization	17 November	Row 17 – RrSG re: Exempted registries must demonstrate unsuccessful outreach to registrars. ACTION ITEM: Rewrite the recommendation to provide clarity about this recommendation on the impact of .brands.	See edits on page 182
17-11-3	IDNs	17 November	Row 11 – RySG re: Warn applicant if risk of string never being delegated; consider related work in progress. ACTION ITEM: Revise the recommendation to include the warning for an applicant that there is a risk of a string never being delegated.	See edits on page 112
17-11-7	IDNs	17 November	Row 16 – ICANN Org re: various issues ACTION ITEM: Clarify definition of 1-character codes in accordance with ICANN Org's comments and what "single entity" means. Use ICANN org definition of pre-vetted.	See edits on pages 112-113, 184, 185
01-12-1	Applications Assessed in Rounds	01 December	Row 17 – NCSG ACTION ITEM: Reference with "subject to the Predictability Framework" and revise to add an "extraordinary circumstances" provision to 3.5, as with 3.6.	See edits on page 21
01-12-2	Applications Assessed in Rounds	01 December	Row 19 – ICANN org ACTION ITEM: Revise the recommendation to say that if there is a reason for withdrawal then all remaining applications for that string would be closed unless there is a reason not to do so.	See edits on page 20
01-12-4	Application Submission Period	01 December	Row 10 – ICANN Org re: Provide min and max timeframe. ACTION ITEM: Revise according to ICANN org comment on min and max timeframe, to perhaps 12-15 weeks.	See edits on pages 68

			Row 15 – ICANN Org re: Clarify affirmation of 2012 implementation.	
01-12-5	Application Queuing	01 December	ACTION ITEM: Modify the affirmation with that it is the prioritization draw but that the logistical details of the draw can be decided by the IRT. Tie the Implementation Guidance (19.4) to the affirmation.	See edits on page 83
Email-01-12-A	Predictability	01 December	Add implementation guidance to encourage the ICANN Board / ICANN Org to engage in dialogue with the SPIRT to determine the steps required to consider GAC Consensus Advice. (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003619.html)	See edits on page 16
Email-01-12-B	Predictability	01 December	Add language to add AC Liaisons (ALAC / GAC / SSAC) to the SPIRT If those groups want to have one (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003619.html)	See edits on page 235
Email-01-12-C	Predictability	01 December	Add language that every item referred to the SPIRT should contain an expected turnaround time in the referral request. This will also allow for certain items to be handled in an expedient fashion when required and others to have a longer time where speed may not be needed. (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003619.html)	See edits on page 237
Email-01-12-D	Predictability	01 December	Add language that acknowledges that there may be some emergency actions which will require ICANN Org to take an action that may impact the new gTLD program. In such a case, the action should be narrowly tailored to address the emergency situation and recommend that the ICANN Board notify all impacted applicants (if any) and the SPIRT within 24 hours after the emergency situation. The notification shall include the nature of the emergency, the action (or expected action) taken in response to the emergency, as well as expected impacts on the new gTLD Program. That notification shall be considered a referral to the SPIRT of an issue if the SPIRT elects to address that issue. (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003619.html)	See edits on page 16
Email-01-12-E	Systems	01 December	Add a recommendation stating: "With respect to its operation and administration of the systems, ICANN must retain the ability to act in emergency situations, including those where immediate action is necessary to remedy any service interruption, interference, service obstruction or other imminent threat to the systems; provided that ICANN provides notice to all impacted users of the affected system(s) as soon as reasonably practicable after such action has been taken along, and if such action involves any downtime to the system(s), it shall provide updates to impacted users as to when normal service can be restored." (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003619.html)	See edits on page 61
Email-01-12-F	Predictability	01 December	Add to recommendations / implementation guidance that the change log must contain a level of detail sufficient for the community to understand the scope and nature of the change without compromising security, the privacy of individuals, or confidentiality obligation owed to applicants or to other third parties. (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003619.html)	See edits on page 16

Email-01-12-G	Applicant Support	01 December	<p>Our recommendation states: Outreach efforts should not only target the Global South, but also "middle applicants," which are located in struggling regions that are further along in their development compared to underserved or underdeveloped regions. In addition, the evaluation criteria for Applicant Support must treat "middle applicants" similar to those currently set forth in Criteria #1, Section 4 (Operation in a developing economy) of the Financial Assistance Handbook.</p> <p>Rather than providing a definition of "middle applicants", remove the term from the recommendation to state: "Outreach efforts should not only target the Global South, but also those located in struggling regions that are further along in their development compared to underserved or underdeveloped regions. In addition, the evaluation criteria for Applicant Support must treat those applicants similar to those currently set forth in Criteria #1, Section 4 (Operation in a developing economy) of the Financial Assistance Handbook. (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003623.html)</p>	See edits on pgs 70 and 76
Email-01-12-H	Applicant Guidebook	01 December	<p>Edit Recommendation 12.9: All other translated versions of the Applicant Guidebook, including in the 6 UN languages, must be available as close as possible in time to the English version of the Applicant Guidebook, but in no event later than two (2) months prior to the commencement of the application submission period. (https://mm.icann.org/pipermail/gnso-newgtld-wg/2020-December/003629.html)</p>	See edits on page 53
03-12-1	Security & Stability	03 December	<p>Row 14 – ICANN Org Rationale 26.4: It means that ICANN should strive for 5% and if for whatever reason it believes a different percentage should apply, it must either run that by the IRT (if before the AG) or through the Predictability Framework if after.</p> <p>ACTION ITEM: Add language to clarify re: Predictability Framework and IRT.</p>	See edits on page 116
03-12-2	Security & Stability	03 December	<p>Row 14 – ICANN Org ACTION ITEM: Re 26.7 – Rephrase this Implementation Guidance as a general suggestion to ICANN org rather than a specific department. Also, need to clarify if we should change Root Zone Manager to Root Zone maintainer.</p>	See edits on pages 115-117
03-12-3	Security & Stability	03 December	<p>Row 14 – ICANN Org ACTION ITEM: Need to update IG 26.8 based on new OCTO document on Root Zone early warning system. "ICANN should continue the work on the early warning monitoring system." Also point to the OCTO paper and comment period in the rationale. Review the OCTO paper in case we need to further adjust the language. Circulate the revised language to the WG to review.</p>	See edits on 115 and 117
03-12-4	Security & Stability	03 December	<p>Row 14 – ICANN Org ACTION ITEM: 26.9: Recommend keeping language the same and including ICANN's comment in the Rationale section. Since this WG did not do the work cited by ICANN org, it does not seem appropriate to change the wording in the Recommendation itself.</p>	See edits on page 117
03-12-5	Name Collisions	03 December	<p>Row 11 – ALAC; Row 14 – IPC ACTION ITEM: Update the rationale of this section as to the current status of Study 2. Reflect the fact that the Board will not be acting on Study 2 until after the issuance of the Final Report and we should say our recommendations could be affected by further Board actions.</p>	See edits on page 133 and 135

03-12-7	Universal Acceptance	03 December	Row 13 -- ALAC ACTION ITEM: New text that it would be useful for data to be collected by the UASG prior to the next round for the IRT.	See edits on page 52
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