Work Plan – new gTLD Auction Proceeds Cross Community Working Group – updated 22 March 2018

The draft work plan below outlines a proposed sequence as well as approach with regards to addressing the CCWG charter questions, based on the initial discussions of the CCWG. Note that the outline below is for discussion purposes only and will be updated to reflect the input from the CCWG. The current outline is a best case scenario approach –additional time may need to be built in to address the different charter questions and/or consult with CCWG and/or external experts. The CCWG may want to discuss what date it would like to set as a target for the publication of its Initial Report to determine whether to extend the overall timeline or consider other ways to speed up the work such as an increase in meetings (e.g. change from meeting every two weeks to meeting every week or increasing the duration of the call from 90 to 120 minutes). Note, there is no specific deadline that is expected to be met, either as the result of external events or charter organizations directions, so it is up to the CCWG to determine the pace and duration of its deliberations.

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 23	Review CCWG expertise	CCWG	
February 2017	Review draft work plan		
	High level review of charter questions		
	& determine order to address		
	questions		
CCWG Conference Call – 2 March	Briefing on legal & fiduciary constraints	Samantha Eisner / CCWG	
2017	as well as ICANN's mission		
	Review updated work plan		
CCWG F2F Meeting at ICANN58 –	Continue briefing on legal & fiduciary	CCWG	
15 March 2017	constraints as well as ICANN's mission		
	Review responses to survey		
CCWG Conference Call – 30	Continue review of survey responses	CCWG	
March 2017	Discuss proposed approach for tackling		
	charter questions		
CCWG Conference Call – 13 April	Phase 1: Initial run through of charter	CCWG	
2017	questions 1 and 3		
CCWG Conference Call – 27 April	Phase 1: Initial run through of charter	CCWG	
2017	questions 5 and 7		

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 11 May 2017	Phase 1 : Initial run through of charter questions 11 and 2	CCWG	
CCWG Conference Call – 25 May 2017	Phase 1 : Initial run through of charter questions 4, 6 and 8	CCWG	
CCWG Conference Call – 8 June 2017	Phase 1 : Initial run through of charter questions 9 and 10	CCWG	
CCWG Conference Call – 15 June 2017	Briefing on audit requirements by Xavier Calvez	CCWG/Xavier Calvez	
ICANN59 / 26-29 June – CCWG meeting	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase	CCWG	Meeting scheduled for Tuesday 27 June from 13.30 – 15.00 local time.
CCWG Conference Call - 13 July 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase	CCWG	
CCWG Conference Call - 27 July 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase	CCWG	
CCWG Conference Call - 10 August 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase		
CCWG Conference Call - 24 August 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase		Preliminary agreement reached on charter question #4

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call - 7 September 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase		Preliminary Agreement reached on charter question #2
CCWG Conference Call - 21 September 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase		Consider input from ICANN Finance/Legal re. internal/external option to inform deliberations re. charter question #7 Consider input from ICANN Board re. potential use of auction proceeds to replenish reserve fund to help inform deliberations re. charter question #10 Finalize review of examples & definition of Open Internet
CCWG Conference Call – 5 October 2017	Phase 3: Compile list of possible mechanisms that could be considered by CCWG	CCWG	Work on this could potentially commence in parallel with phase 2? This stage would include: Detail main characteristics of each mechanism Conduct strength / weakness assessment for each mechanism Review from the perspective of legal, fiduciary and audit constraints Consultation with identified experts to obtain input / briefing on different mechanisms and confirm

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
			whether list compiled is
			complete and accurate
CCWG Conference Call - 19	Phase 3: Compile list of possible	CCWG	
October 2017	mechanisms that could be considered by CCWG		
ICANN60 – 28 October – 3	Phase 3: Compile list of possible	CCWG	Depending on time available and
November 2017	mechanisms that could be considered		members / participants able to
	by CCWG		participate, additional topics could be covered during the F2F meeting
			at ICANN60.
			Currently, F2F meeting time has
			been planned for Thursday from
			10.30 – 12.00 and 13.30 – 15.00.
CCWG Conference Call - 16	Complete phase 2	CCWG	Finalise review of examples and
November 2017			open and interoperable Internet
	Continue phase 3: Compile list of		description Review and refine questions for
	possible mechanisms that could be		experts
	considered by CCWG		
CCWG Conference Call – 30	Phase 3: Review list for experts	CCWG	
November 2017	Small group of volunteers formed to		
	develop proposed approach and		
	finalise questions for external experts		
CCWG Conference Call – 14	Phase 3: Meeting of small group of	CCWG	
December 2017	volunteers to develop proposed approach and finalise questions for		
	external experts.		
CCWG Conference Call – 11	Phase 3: Presentation of proposed	CCWG	
January 2018	approach and questions for external		
	experts		

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 25	Phase 3: Review of proposed approach	CCWG	
January 2018	and questions for external experts		
CCWG Conference Call – 8	Phase 3: Review of proposed approach	CCWG	
February 2018	and questions for external experts		
By 15 February	Phase 3: Outreach messages to be sent	Staff	Completed
	to external experts identified		
CCWG Conference Call – 22	Phase 3: Status update on outreach to	CCWG	
February 2018	external experts, review of Board		
	liaison input		
ICANN61 – 10 March – 15 March	Phase 4: Review input provided by	CCWG	
2018 – F2F meeting (11 March)	external experts. Exchange of view with		
and community session (15	Samantha Eisner & Xavier Calvez re.		
March)	mechanism #1 and #2.		
CCWG Conference Call – 29	Phase 4: Review input provided by	CCWG	
March 2018	external experts. Exchange of views		
	with Nominet (TBC) re. mechanism #1		
	and #3		
CCWG Conference Call – 5 April	Phase 4: Review input provided by	CCWG	Meeting cancelled due to
	external experts. Exchange of views		unavailability of experts. To be
	with European Commission & EIB (TBC)		rescheduled.
	re. mechanism #4.		
CCWG Conference Call – 12 April	Phase 4: Review input provided by	CCWG	
	external experts. Exchange of views		
	with Sarah Berg (TBC) re. mechanism		
	#2.		

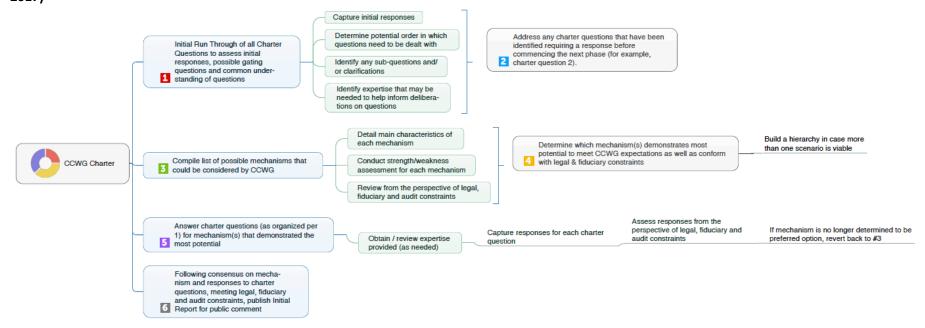
Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 19 April	Phase 4: Review input provided by external experts, determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints	CCWG	
Launch ranking poll on different mechanisms – 19 April (after CCWG meeting) (if needed)	If deliberations do not result in obvious frontrunner(s), conduct ranking poll to determine which mechanism(s) demonstrate most potential to meet CCWG expectations as well as confirm with legal and fiduciary constraints.	Staff	
CCWG Conference Call – 26 April 2018	Phase 4: Review results of ranking survey and agree on mechanism(s) to be considered for phase 5. Phase 5: Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	Duration of phase 5 will be dependent on # of mechanisms that have demonstrated the most potential. As this work is iterative, it is also possible that CCWG may need to go back to phase 4 if it is determined that the mechanism(s) initially identified for this phase are not suitable based on the charter question responses.
CCWG Conference Call – 3 May 2018	Phase 5: Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential		
CCWG Conference Call – 10 May 2018	Phase 5: Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
First draft of Initial Report	Phase 6: First draft of Initial Report	Staff	
circulated to CCWG for review –	circulated for review		
15 May			
CCWG Conference Call – 17 May	Phase 6: Following consensus on		
2018	mechanism and responses to charter		
	questions, meeting legal, fiduciary		
	and audit constraints, publish draft		
	Initial Report for CCWG Review. CCWG		
	to review and discuss draft Initial		
	Report.		
CCWG Conference Call – 24 May	Phase 6: Following consensus on	Staff	Exact timing dependent on
2018	mechanism and responses to charter		duration of phase 5.
	questions, meeting legal, fiduciary		
	and audit constraints, publish draft		
	Initial Report for CCWG Review. CCWG		
	to review and discuss draft Initial		
	Report.		
CCWG Conference Call – 7 June	Phase 6: Following consensus on	CCWG	
2018	mechanism and responses to charter		
	questions, meeting legal, fiduciary		
	and audit constraints, publish draft		
	Initial Report for CCWG Review. CCWG		
	to review and discuss draft Initial		
	Report.		

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 14 June	Phase 6: Following consensus on mechanism and responses to charter questions, meeting legal, fiduciary and audit constraints, publish draft Initial Report for CCWG Review. CCWG to review and discuss draft Initial	CCWG	
Publish Initial Report for Public Comment 15 June 2018	Report. Phase 6: Publish Initial Report for public comment	Staff	
Webinar on Initial Report – 18 or 19 June 2018	Present Initial Report	CCWG	
ICANN62 – 25 – 29 June 2018 Policy Forum	Community session to provide input & discuss Initial Report and any open issues, if applicable.	CCWG	If time is available on the schedule as there will be limited time for non-policy topics.
Public comment until 31 August 2018			
By 15 September 2018	Staff to provide public comment review tool	Staff	
CCWG Conference Call - 20 September 2018	CCWG to review comments received and update report as deemed appropriate	CCWG	# of calls and timing (weekly or every two weeks will be dependent on # of comments received)
CCWG Conference Call - 27 September 2018	CCWG to review comments received and update report as deemed appropriate		
CCWG Conference Call – 4 October 2018	CCWG to review comments received and update report as deemed appropriate		
CCWG Conference Call – 11 October 2018	CCWG to review comments received and update report as deemed appropriate		

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
By 15 October 2018 – Final	Finalize report for submission to		
Report	Chartering Organizations		
20-26 October 2018 - ICANN	Consideration of Final Report by		
Meeting in Barcelona	Chartering Organizations		

Proposed Approach for Dealing with Charter Questions (dated 18 April 2017)



CHARTER QUESTION APPROACH

Stage 2 - Address any charter questions that have been identified requiring a response before commencing the next phase

2. As part of this framework, what will be the limitations of fund allocation, factoring in that the funds need to be used in line with ICANN's mission while at the same time recognising the diversity of communities that ICANN serves? This should include recommendations on how to assess whether the proposed use is aligned with ICANN's Mission. Furthermore consideration is expected to be given to what safeguards, if any, need to be in place.

#4. What aspects should be considered to define a timeframe, if any, for the funds allocation mechanism to operate as well as the disbursements of funds? E.g. The timeframe for the operation of this new mechanism may provide the opportunity for long term support, or for funding to be released in tranches linked to milestone achievements, single or multiple disbursements.

#7. Should ICANN oversee the solicitation and evaluation of proposals, or delegate to or coordinate with another entity, including, for example, a foundation created for this purpose?

Stage 3 - Compile list of possible mechanisms that could be considered by CCWG

Detail main characteristics of each mechanism

Conduct strength/weakness assessment for each mechanism

Review from the perspective of legal, fiduciary and audit constraints

Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate

#1. What framework (structure, process and/or partnership) should be designed and implemented to allow for the disbursement of new gTLD Auction Proceeds, taking into account the legal and fiduciary constraints outlined above as well as the existing memo on legal and fiduciary principles? As many details as possible should be provided, including any implementation guidance the CCWG may have in relation to the establishment of this framework as well as criteria for the selection / ranking of potential funding requests.

Stage 4 - Determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints

Stage 5 - Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential

#3. What safeguards are to be put in place to ensure that the creation of the framework, as well as its execution and operation, respect the legal and fiduciary constraints that have been outlined in this memo?

#5. What conflict of interest provisions and procedures need to be put in place as part of this framework for fund allocations?

#11. Should a review mechanism be put in place to address possible adjustments to the framework following the completion of the CCWGs work and implementation of the framework should changes occur that affect the original recommendations (for example, changes to legal and fiduciary requirements and/ or changes to ICANN's mission)?

#8. What aspects should be considered to determine an appropriate level of overhead that supports the principles outlined in this charter?

#9. What is the governance framework that should be followed to guide distribution of the proceeds? The issues addressed by a governance framework could include (but does not have to be limited to):

a. What are the specific measures of success that should be reported upon?

b. What are the criteria and mechanisms for measuring success and performance?

c. What level of evaluation and reporting should be implemented to keep the community informed about how the funds are ultimately used?

10. To what extent (and, if so, how) could ICANN, the Organization or a constituent part thereof, be the beneficiary of some of the auction funds?

Stage 6 - Following consensus on mechanism and responses to charter questions, meeting legal, fiduciary and audit constraints, publish initial Report for public comment