

Interim Paper

Proposed Policy for the Retirement of ccTLDs

DRAFT v4.88

ccNSO PDP3 Retirement Working Group

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33 1 Background & Introduction

34 1.1 Background

35 At its meeting on 10 December 2015 the ccNSO Council discussed the launch of the
36 formal ccNSO Policy Development Process to address the lack of policy with respect
37 to the Retirement of ccTLDs as well as a review mechanism for decisions pertaining
38 to the Delegation, Transfer, Revocation and Retirement of ccTLDs.

39 To increase the predictability and legitimacy of decisions pertaining to the Retire-
40 ment of ccTLDs and in accordance with the recommendations of the ccNSO Delega-
41 tion and Redelegating Working Group (“DRDWG”) in 2011¹, the void or lack of policy
42 relating to the Retirement of ccTLDs needs to be filled by a Policy developed by the cc-
43 NSO. However, at the time the DRDWG also recommended that such a ccNSO Policy
44 Development Process (“PDP”) should be launched following the development of a
45 Framework of Interpretation (“FoI”) [5] of Request For Comment [18] (“RFC”) 1591
46 [17].

47 Following initial discussions by the ccNSO Council, input and feed-back was sought
48 from the ccTLD community at the Marrakesh (ICANN55) and Helsinki (ICANN56) meet-
49 ings. At its meeting in Helsinki the ccNSO Council launched the ccNSO Policy Devel-
50 opment Process 3.

¹See DRDWG Final Report [13], page 19, and Council Decision 16 March 2011 [2]

51 On 9 March 2017, the Issue Manager submitted the Final Issue Report [1] to Coun-
52 cil.

53 Following the discussions by the ccNSO Council, feed-back and input from the com-
54 munity and the drafting team, the Issue Manager recommended:

55 *1. The ccNSO Council initiates one (1) ccNSO Policy Development Process to de-*
56 *velop policy proposals for both a Review Mechanism and on the Retirement of*
57 *ccTLDs.*

58 *2. [...] [t]he initial focus needs to be on developing a Review Mechanism, which*
59 *is considered the highest priority, particularly in light of the IANA Stewardship*
60 *transition. Only then the focus should be on Retirement, and, if needed, revisit*
61 *the Review Mechanism to include decisions relating to the Retirement of ccTLDs.*
62 *To appoint two working groups each with its own charter, working method and*
63 *schedule.*

64 However, at the meeting in Copenhagen (ICANN58, March 2017) the ccTLD commu-
65 nity present suggested to change the order in which to address the topics. Analyses
66 showed that alternating the order would save at least 3 months and simplify the
67 process. Effectively this meant that by reversing the order, to first develop the Re-
68 tirement Policy proposals and then those for the Review Mechanism, the potential
69 Review Mechanism would be available sooner to the community.

70 The ccNSO Council initiated the 3rd ccNSO Policy Development Process (“ccPDP3”) in
71 March 2017 by adopting the Issue Report. Accordingly the ccPDP3 Working Group

72 (“WG”) to develop policy recommendations for the Retirement of ccTLDs was estab-
73 lished by June 2017. The Charter of this WG [3] is included in the Issue Report [1].

74 The ccPDP3 Retirement WG was tasked to develop policy proposals to address at a
75 minimum the following topics and issues identified in the Issue Report:

76 • Consistency of terminology.

77 • What triggers a Retirement?

78 • Who triggers the Retirement process?

79 • Additional conditions for Retirement of a ccTLD?

80 What are the conditions for the actual Retirement of a ccTLD? Is the occurrence
81 of a triggering event sufficient or should additional requirements be in place?

82 • Compliance with conditions?

83 Assuming the Retirement of a ccTLD is conditional, who will monitor, and who
84 will be held accountable, if at all, if requirements are not met?

85 As the activities of the WG are undertaken within the framework of the ccNSO Policy
86 Development Process, the limitations with respect to the scope of a ccPDP, specifi-
87 cally by Article 10 and Annexes B and C to the ICANN Bylaws [11] limit the scope of
88 the WG’s work and proposals.

89 Further, the ccPDP3 Retirement WG was tasked to report to ccNSO Council on topics
90 or issues which they identified and considered out of scope for the WG. Accordingly,

91 the Chair of the WG informed the ccNSO Council and Issue Manager that the ccPDP3
92 Retirement WG identified two issues, which need to be addressed, but were consid-
93 ered out of scope of ccPDP3:

- 94 • The ccNSO membership definition (section 10.4 (a) of the ICANN Bylaws [11]).
95 The membership definition was changed as part of the IANA Stewardship Tran-
96 sition process;
- 97 • the events that would trigger the Retirement of IDN ccTLDs. The Retirement WG
98 advised Council that the events leading to a de-selection of IDN ccTLDs should
99 be identified under a ccPDP that also defines the selection of IDN ccTLD strings.

100 1.2 Introduction

101 RFC 1591 states:

102 *4. Rights to Names*

103 *[...]*

104 *2) Country Codes*

105 *The IANA is not in the business of deciding what is and what is not a coun-*
106 *try. The selection of the ISO 3166 list as a basis for country code top-level*

107 *domain names was made with the knowledge that ISO has a procedure for*
108 *determining which entities should be and should not be on that list.*

109 In 2014 the ccNSO through its Framework of Interpretation confirmed that RFC 1591
110 applies to ccTLDs.

111 The ISO 3166-1 list is dynamic and country codes are added and removed on a reg-
112 ular basis. When a new ISO 3166-1 Alpha-2 code element (“Alpha-2 code”) is added,
113 a ccTLD corresponding to that Alpha-2 code can be added to the Root by the IANA
114 Naming Functions Operator (“IFO”). However, as was identified by the ccNSO Dele-
115 gation and Redelegation Working Group in 2011, there is no formal Policy available
116 for the removal of a ccTLD from the Root Zone when a country code is removed from
117 the ISO 3166-1 list of country names.

118 It is important to note that ccTLDs are defined as those entries in the Root Zone
119 database identified as such, these include:

- 120 • 2 letter ccTLDs corresponding to an Alpha-2 code (the majority of ccTLDs);
- 121 • 2 letter Latin ccTLDs not corresponding to an Alpha-2 code²;
- 122 • IDN ccTLDs as approved by ICANN.

²The ccTLDs .UK and .AC which refer to exceptionally reserved codes UK and AC are grandfathered as ccTLDs and .EU, which corresponds to the exceptionally reserved code EU, was delegated under the relevant ICANN Board resolution from September 2000 [12].

123 **2 Policy Objective**

124 The objective of the Policy is to provide clear and predictable guidance and to docu-
125 ment a process that is orderly and reasonable up and to, but excluding the removal
126 of a ccTLD from the Root Zone³.

127 **3 Applicability of the Policy**

128 This Policy applies to all entries in the Root Zone database which are identified as
129 ccTLDs, and are subject to a Retirement Triggering Event (“Trigger”).

130 Retirement Triggering Events are defined as follows:

- 131 • For 2 letter ccTLDs which correspond to an Alpha-2 code
 - 132 – the Trigger is the removal of the corresponding Alpha-2 code from the ISO
 - 133 3166-1 Standard by the ISO 3166-1 Maintenance Agency (“ISO 3166/MA”) [16];
- 134 • for 2 letter Latin ccTLDs which do not correspond to an Alpha-2 code

³The removal of a (cc)TLD by the IFO is excluded from the Policy, as this is outside of the policy scope of the ccNSO.

135 - the Trigger is the ISO 3166-1/MA making a change (other than making that
136 code an Alpha-2 code) to any of these. For each such Triggering Event the
137 IFO will consider if the change requires retiring that ccTLD. If the ccTLD Man-
138 ager disagrees with the IFO's decision to initiate the Retirement process it can
139 appeal the decision using the ccTLD Review mechanism;

140 • for IDN ccTLDs

141 - the Triggering Event will be identified in the Policy which applies to IDN ccTLDs.

142 For the purposes of this Policy a "Functional Manager" is the entity listed as "ccTLD
143 Manager" in the IANA Root Zone database or any later variant, who is active with
144 respect to the management of the ccTLD or with whom the IFO can officially and
145 effectively communicate.

146 If a ccTLD is to be retired but does not have a Functional Manager the IFO cannot
147 transfer responsibility to a new Manager according to its standard process. This set
148 of circumstances would create a deadlock situation which would prevent the IFO
149 from ever retiring the ccTLD. To avoid such a deadlock, and only under these specific
150 conditions, this Policy allows the IFO to proceed with a transfer of responsibility for
151 the ccTLD to establish a Functional Manager and insure the ccTLD can be retired.
152 Such a transfer should follow the standard IFO Transfer process where possible.

153 **4 Retirement Process**

154 **4.1 Expectations**

155 There is a good faith obligation for both the IFO and the Manager of the retiring
156 ccTLD to ensure an orderly shutdown of the retiring ccTLD which takes into consid-
157 eration the interests of its registrants and the stability and security of the DNS.

158 **Note:** Given the importance and exceptional nature of the ccTLD Retirement pro-
159 cess, the IFO should, prior to sending a Notice of Removal (see Subsection 4.2), con-
160 tact the ccTLD Manager and confirm who the IFO should be dealing with regarding
161 the Retirement process. The person or role identified by the ccTLD Manager to deal
162 with the Retirement process is referred to as the Retirement Contact and in the re-
163 mainder of this document the use of the term ccTLD Manager should be understood
164 to mean ccTLD Manager or Retirement Contact if one has been formally identified
165 to the IFO by the ccTLD Manager.

166 **4.2 Notice of Removal**

167 Once the IFO confirms that a ccTLD should be retired and has a Functional Manager,
168 it shall promptly notify the Manager of the ccTLD that the ccTLD shall be removed
169 from the Root Zone 5 years (“Default Retirement Date”) from the date of this notice

170 (“Notice of Removal”) unless a Retirement Plan (see following sections for details)
171 which is agreed to by the Manager and the IFO and is in accordance with this Retire-
172 ment Policy stipulates otherwise.

173 The IFO shall include with the Notice of Removal a document describing the rea-
174 sonable requirements (“Reasonable Requirements Document”) it expects of a Retire-
175 ment Plan and note that the IFO will make itself available to the Manager to assist
176 in the development of such a plan should the Manager request it.

177 **4.3 Setting a Date for Retirement**

178 The IFO cannot require that a retiring ccTLD be removed from the Root Zone less
179 than 5 years from the date the IFO has sent the Notice of Removal (Subsection [4.2](#)) to
180 the retiring ccTLD Manager unless an alternate Retirement Date is mutually agreed
181 to by both the ccTLD Manager and the IFO. If the Manager wishes to request an
182 extension to the Default Retirement Date it must request this from the IFO as part
183 of a Retirement Plan.

184 The IFO must remove a retiring ccTLD from the Root Zone no later than 10 years
185 after having sent a Notice of Removal to the ccTLD Manager (“Maximum Retirement
186 Date”).

187 **4.4 Retirement Plan**

188 After receiving a Notice of Removal the Manager must decide if it wishes to request
189 an extension to the Default Retirement Date.

190 If the Manager of the retiring ccTLD does not wish an extension to the Default Re-
191 tirement Date stated in the Notice of Removal it is expected, but not mandatory,
192 that the Manager produce a Retirement Plan for the ccTLD which would typically
193 include:

- 194 • A copy of the Notice of Removal;
- 195 • the date when the ccTLD is expected to stop taking registrations, renewals and
196 transfers that exceed the date of removal from the Root Zone. It is important to
197 note that there is a reasonable expectation that the date provided is the earliest
198 practical date for implementing this; and
- 199 • details of a Communication Plan to advise the registrants of the Retirement of
200 the ccTLD.

201 If the manager of the retiring ccTLD wishes to request an extension beyond the De-
202 fault Retirement Date stated in the Notice of Removal it must produce a Retirement
203 Plan which is acceptable to the IFO and is in accordance with the conditions listed
204 below.

205 Granting an extension to the Default Retirement Date is at the discretion of the IFO
206 and shall not be unreasonably withheld. The Reasonable Requirements Document
207 that the IFO will have included with the Notice of Removal will describe the factors it
208 will consider when evaluating a request for an extension to the Default Retirement
209 Period.

210 A Retirement Plan which requests an extension shall include, in addition to the pre-
211 viously listed items, the following:

- 212 • The length of the extension requested (a maximum 5 additional years) including
213 the proposed date of the removal of the ccTLD from the Root Zone;
- 214 • the reasons for requesting an extension; and
- 215 • an impact analysis which supports the reasons for making the extension request.

216 If the ccTLD Manager wishes to produce a Retirement Plan it must do so within 12
217 months of the IFO having sent the Notice of Removal to the Manager of the retiring
218 ccTLD. At its discretion the IFO can extend the 12 month limit to a maximum of 24
219 months in total upon receiving a request for such an extension from the Manager.
220 If the IFO grants such an extension it shall promptly notify the Manager of this.

221 If the ccTLD Manager submits a Retirement Plan to the IFO, the IFO shall provide a
222 definitive response to the Manager regarding the request for an extension within
223 90 days of such a request having been received by the IFO.

224 The response by the IFO, if positive, shall state the length of the extension which has
225 been granted. If the response is negative, the IFO shall include the specific reason-
226 ing for the refusal. The approval of an extension request shall not be unreasonably
227 withheld.

228 If the request for an extension is rejected and the ccTLD Manager believes that the
229 rejection is unreasonable or is inconsistent with the Reasonable Requirements Doc-
230 ument it may appeal the decision by the IFO (see Subsection 5.2).

231 If the Manager of the retiring ccTLD and the IFO cannot agree on a Retirement Plan
232 within 12 months, or up to a maximum of 24 months, if the IFO has granted such an
233 extension, of the IFO having sent the Notice of Removal to the Manager, then the
234 IFO shall promptly advise the Manager that the ccTLD shall be removed from the
235 Root Zone 5 years from the date the IFO having sent the Notice of Removal to the
236 Manager of the retiring ccTLD.

237 **4.5 Exception Conditions**

238 If the Manager becomes non-functional after a Retirement Plan is accepted, the IFO
239 can use the same procedure outlined in the Requirements section to transfer the
240 ccTLD to a new manager. In such cases the original timeline for retiring the ccTLD
241 shall not change.

242 If the Manager breaches the Retirement Plan the IFO should work with the Man-
243 ager to reinstate the Retirement Plan. If this is not possible the IFO can advise the
244 Manager that it will maintain the Default Retirement Date from the Notice of Retire-
245 ment.

246 **5 Oversight & Review Mechanism**

247 **5.1 Oversight**

248 This Policy is directed at ICANN and the IFO as the entity that performs the IANA
249 Naming Functions with respect to ccTLDs.

250 This Policy is not intended and shall not be interpreted to amend the way in which
251 ICANN interacts with the IFO and the delineation of their roles and responsibilities.

252 This Policy will not change or amend the role of the ICANN Board of Directors has
253 with respect to individual cases of ccTLD Delegation, Transfer and Revocation, which
254 is understood to be limited to a review to ensure that the IFO (staff) has followed its
255 procedures properly. It is important to note that the IFO's decisions to:

- 256 • notify the ccTLD manager of the Retirement; and/or
- 257 • remove a ccTLD from the Root Zone

258 are of out scope for this Policy (see Section 2).

259 **5.2 Review Mechanism**

260 In this Policy on Retirement decisions have been identified which shall be subject to
261 a review mechanism.

262 **6 Stress Testing**

263 **6.1 Definition of Stress Testing**

264 Stress testing is defined as:

- 265 • Test the process as developed by applying the process to “corner case” situations
266 and understand whether such a case results in an unwanted outcome or side
267 effects.
- 268 • If the outcome of that situation results in an unwanted outcome or side effects,
269 adjust the Policy/Process if needed.

270 After completion of the draft process the stress testing was conducted through an-
271 swering the following questions:

- 272 • What is outcome of this situation when the process is invoked?
- 273 • Is the outcome of that situation/the result unwanted or are side effects unwanted/un-
274 acceptable?
- 275 • Does the Policy/Process need to be adjusted/refined?

276 **6.2 Identified situations where adjustment/additional work may** 277 **be needed**

278 The Working Group identified the following 16 situations:

279 1. Significant name change of a country (resulting in a change of ccTLD).

280 Examples are:

- 281 • ZR (Zaire) to CD (Congo, Democratic Republic of) (1997);
- 282 • TP (East Timor) to TL (Timor-Leste) (2002)

283 2. Domain Names under management at removal date.

284 At the agreed end-date (date of removal from the Root Zone), Second Level Do-
285 main Names ("SLD") are still under management of the ccTLD Manager, despite
286 reasonable efforts from the ccTLD Manager to end registrations.

287 3. Breach of Retirement Agreement.

288 Various situations:

289 • The ccTLD Managers continues to promote the ccTLD and accepts registra-
290 tions during the Retirement process.

291 Does it make a difference if at removal date there are no SLDs under manage-
292 ment or the number of registrations under management has not declined or
293 has even increased compared to the number at the date of Retirement Noti-
294 fication?

295 • The ccTLD Manager stops all activities i.e. goes off-line.

296 • The ccTLD Managers takes no action resulting in serious deterioration of the
297 zone.

298 4. The ccTLD Manager goes bankrupt after Notification of Retirement.

299 5. Request for Transfer after the Retirement Notice has been sent.

300 • Retirement is the result of significant name change;

301 • Retirement is the result of dissolution of a country, significantly interested
302 parties cannot be identified.

303 6. The ccTLD Manager ends membership of the ccNSO and claims policies (for ex-
304 ample Retirement and/or RFC1591/FoI) are therefore not applicable.

305 **Note:** The ccNSO Council recently established that membership of ccNSO ends
306 by definition when the entity listed as ccTLD Manager is no longer listed as such
307 in the IANA Root Database, implying that for the duration of the Retirement pro-
308 cess the membership of the ccNSO does not end, unless it is actively terminated
309 by the Manager.

310 7. The Country Code was removed from the list of Assigned Codes because the
311 country dissolved and the Code was re-assigned shortly afterwards (within 10
312 years) to another country added to the list.

313 8. There is uncertainty about authoritativeness of lines of communication between
314 the ccTLD Manager and IFO.

315 The identity of authoritative entities are not clear during the process.

316 9. Breach of Agreement due to conflicts of laws.

317 • Due to Court Injunction;

318 • due to applicable National Law/Court Order.

319 10. Breach of Agreement during extension period.

- 320 11. Island state disappears, but interests intend to keep ccTLD “alive”.
- 321 12. Unforeseen technical consequences, significant consequences or other affecting
322 other TLDs or the DNS in general.
- 323 13. Country disappears, however there is a clear successor state
- 324 14. A decision by the ISO 3166 Maintenance Agency to remove a Country Code is
325 completely out of line, in breach of ISO 3166 or ISO rules.
- 326 15. Assets of the ccTLD go to another party during the Retirement process.
- 327 16. Does the Retirement Policy apply to pending Retirement cases?
- 328 Each of these situations (1-16) was extensively discussed, and the discussion resulted
329 in the need to include a specific mechanism of Transfer of a ccTLD post Retirement
330 notice, for an expedient and “administrative” Transfer in order to ensure an orderly
331 Retirement process. The results of the discussion and reference to the relevant sec-
332 tion in the proposed Policy or other relevant policy document is included in the table
333 *Result of Stress Test per Identified Situation* (see Annex [A](#)).

334 **7 Process to Date**

335 After the call for volunteers and appointment by the ccNSO Council of the mem-
336 bers (see Annex [C](#) for the list of members, observers, experts and staff support) the

337 ccPDP3 Retirement Working Group held its first conference call and commenced its
338 work in June 2017. Since then the WG has met 61 times, of which 8 times were in
339 person during ICANN meetings starting at the Johannesburg meeting in June 2017
340 (ICANN59) and 53 times through conference calls.

341 In the course of its work the original timeline and schedule as included in the Issue
342 Report, was updated twice (March & December 2019).

343 The first work item the WG completed were the Rules of Engagement i.e the internal
344 procedures for interaction and decision-making, which guided the activities of the
345 WG members [4].

346 As of ICANN60 (in Abu Dhabi, United Arab Emirates) and at every following meeting
347 the ccPDP3 Retirement WG informed the ccTLD community and members of the
348 Governmental Advisory Committee present at the respective ICANN meetings about
349 its progress.

350 At the Kobe meeting (ICANN64), the ccTLD Managers present expressed their initial
351 support for the proposed method and process, including its proposed duration. At
352 the Montreal meeting (ICANN66) the ccTLDs present expressed their support for the
353 proposals with respect to the decisions that should be subject to oversight and the
354 Review Mechanism.

355 At the Montreal meeting, the chair and vice-chair of ccPDP3 Retirement WG also
356 conducted an extensive on-boarding session for members of the Governmental Ad-
357 visory Committee.

358 A Result of Stress Test Per Identified Situation

#	Situation	Result	Policy / Source	Adjustment
1	Significant name change of country	No need to adjust the Policy. Significant name change as defined through ISO 3166 Standard is one of the causes to remove country code.	ISO 3166 Standard [16]	None
2	Domain Names under management at removal date	Whether there is a significant number under management or only a limited set is not relevant. There is a need to avoid gaming the system. Rationale for Retirement process is to accommodate new ccTLDs per RFC 1591.	Subsection 4.3 RFC 1591 [17]	None
3	Breach of Retirement Agreement (ccTLD Manager promotes SLD post Retirement notice, ccTLD stops all activities, ccTLD Manager does not take any action).	Process continues as if agreed. Compliance is not enforceable. However, IFO may invoke Revocation.	Subsection 4.3 Section 4 FoI Report [5]	None
4	The ccTLD Manager goes bankrupt after Notification of Retirement.	May become a Security and stability issue: IFO assess on case-by case basis. substantively it is responsibility of operator. Revocation may be warranted if threshold for revocation is met.	Section 4 FoI Report [5]	None

#	Situation	Result	Policy / Source	Adjustment
5	Request for Transfer after the Retirement Notice is sent.	There is a gap in current policy (RFC 1591 and section 3 FoI). No specific mechanisms for expedient and “administrative” Transfer specifically targeted at orderly Retirement process.	RFC 1591 [17] Section 3 FoI Report [5]	Need to include specific mechanism targeting Retirement
6	ccTLD Manager ends membership of the ccNSO.	Policy is by definition only targeted at ICANN see Annex C of the ICANN Bylaws).It is up to ICANN to decide whether membership of the ccNSO is relevant in individual cases.	ICANN Bylaws Section 3, Annex C (on scope of ccNSO Policy Development Process) [11]	None
7	Country Code is reassigned shortly after removal (within 10 years) to another country added to the list.	Currently considered impossible.	ISO 3166 Standard [16]	None
8	Uncertainty about authoritativeness of lines of communication between ccTLD Manager and IFO. Is ccTLD manager or its administrative contact authoritative and authorized to take the decision.	The IFO deals with a Functional Manager, and if required may transfer to a new entity The IFO deals with a Functional Manager, and if required may transfer to a new entity which is Functional. In addition, section 3.1 of the FoI provides a sensible basis to expect that the IFO seeks contact with the ccTLD Manager and relevant decision-making entity of the ccTLD Manager.	Section 3 Section 3.1 FoI Report [5]	None

#	Situation	Result	Policy / Source	Adjustment
9	Breach of Agreement/Plan, resulting from conflict of laws: Court Injunction to applicable Law/ Court order	The Retirement plan must be subject to legally binding court order in the jurisdiction.		None
10	Breach of agreement during extension period			None
11	Island state disappears, but interests (was: commercial Interests" intend to keep ccTLD "alive"	If the Code Element is removed, the ccTLD is eligible for Retirement. Reason for removal is not of relevance.	RFC 1591: <i>"IANA is not in business of deciding what is and what is not a country"</i> [17]	None
12	Unforeseen technical consequences/significant consequences or other affecting other TLDs/DNS in general. Name Servers for Domain Names not under ccTLD, are still under ccTLD to be removed.	Communication to customers is part of the Retirement Plan. In addition the removal of a ccTLD is a predictable and foreseeable process. There should be no surprises. Customers should know where their essential services are hosted.	Section 4.4	None
13	Country disappears/ however there is a clear successor state.	Countries do not disappear overnight. Takes some time before ISO-code is removed. In addition decision to remove country code is not part of the Policy.	ISO 3166 Standard [16]	None

#	Situation	Result	Policy / Source	Adjustment
14	Decision by ISO 3166 MA to remove country code is completely out of line, in breach of ISO 3166 or ISO rules.	Decision to remove country code is not part of the Policy and ICANN should not be involved in the process of removal of country code, independent of merits of decision. Reasonably predictable decisions over the past years. This is not an issue for the Policy, but an issue for the ISO3166 MA and ISO itself. No need to adjust the Policy.	RFC 1591: <i>"IANA is not in the business to decide what is and what is not a country. [...] ISO has a procedure for determining which entities should be and should not be on that list."</i> [17]	None
15	Assets of the ccTLD go to other party during removal process.	Receiving end will be aware of the issues: Retirement of the ccTLD. No surprises for them. Even if ccTLD manager would go bankrupt. People in the country will know about the removal and Retirement process.	Section 4.4	None

#	Situation	Result	Policy / Source	Adjustment
16	Does the Retirement Policy apply to pending Retirement case?	The WG believes the applicability of the Policy to existing situations or those emerging before the proposed Policy becomes effective is out of scope of its mandate. For situations prior to this Policy coming into force, responsibility lies with the IFO to create a suitable procedure. The WG suggests that such a procedure could be based on and anticipates the proposed Policy.	Item 2 (page 19) Section 3	

359

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360 B Overview of Terminology Used in the Context of 361 Retirement of ccTLDs

362 B.1 IANA Naming Function terminology

363 Notes with respect to terminology in context of IANA Naming Function:

364 The column “Used in” refers to the ICANN Board and IANA reports relating to the
365 ccTLD mentioned

Term/Practice	Definition/Description	Used in	Comment
Not Assigned		.UM (2007) [7]	Needs to be defined.
Retired	Term <i>retired</i> is listed as such in IANA Reports	.AN (2010) [6]	Process concluded in 2015.
Retired	Not included in IANA Root Zone Database, no record in [10]	.YU (2007) [8] .TP (2002) [9]	.YU Process concluded in 2009, .TP process concluded in 2015.
Unallocated (ccTLDs)		.UM (2007) [7]	Needs to be defined.
Disposition of Top Level Domain		.AN (2010) [6]	
Removal of ccTLDs		.UM (2007) [7]	
Retirement of (cc)TLD			Not defined in FoI nor by DRD WG in its final report.

Term/Practice	Definition/Description	Used in	Comment
Revocation	The process by which the IANA Operator rescinds responsibility for management of a ccTLD from an incumbent manager.	Section 3.5 of RFC1591 [17]	FoI note: Section 3.5 of RFC1591 explicitly contemplates Revocation "in cases of persistent problems with the proper operation of a domain".

366

367 B.2 Specific terminology derived from the ISO 3166 Standard

368 Notes with respect to the terminology in context of ISO 3166 Standard:

- 369 • In this overview a distinction is made between terminology defined in the Stan-
370 dard and the ISO Online Browsing Platform. The terminology defined in the Stan-
371 dard is included in the table in normal font. The terminology used in the Online
372 Browsing Platform is *emphasized*.
- 373 • The PDP WG considers the Standard to be paramount. Terminology from the On-
374 line Browsing Platform is only included for informational purposes. It is strongly
375 advised not to use or refer to the informational terms in Policy and policy related
376 documents.

Term/Practice	Definition/Description	Defined in	Comment
Assigned (or allocated) code elements	The result of applying the principle of visual association between the country names (in English or French, or sometimes in another language) and their corresponding code elements.	ISO Standard Section 5.1 [16]	
Unassigned	NOT DEFINED IN THE STANDARD		
Unassigned	<i>Code Elements that have not been assigned to country names.</i>	ISO Online Browsing Platform [15]	Defined in [14]. As this is not defined in the Standard it is only included for informational purposes and use in Policy rules should be avoided.
Deletions from the list of country names	Deletions from the list of country names shall be made on the basis of information from the United Nations Headquarters, or upon the request of a member of ISO 3166/MA. The ISO 3166/MA shall decide upon deletion, on the basis of the information given. ISO3166-3 provides the list of country names deleted in this part of ISO 3166 since its first edition in 1974.	ISO Standard Section 7.3 [16]	

Term/Practice	Definition/Description	Defined in	Comment
Reservation of Code Elements	Some code elements are reserved. For a limited period when their reservation is the result of the deletion or alteration of a country name. For an indeterminate period when the reservation is the result of the application of international law or of exceptional requests.	ISO Standard Section 7.5 & 7.5.1 [16]	
Reallocation Period	Code elements that the ISO3166/MA has altered or deleted should not be reallocated during a period of at least fifty years after the change. The exact period is determined in each case on the basis of the extent to which the former code element was used.	ISO Standard Section 7.5.2 [16]	
Transitionally Reserved	NOT DEFINED IN THE STANDARD		
	<i>Codes that are reserved during a transitional period while new code elements that may replace them are taken into use. This results from changes in the standard.</i>	ISO 3166 Online Browsing Platform Glossary. Defined in [14]	This description is not included in the Standard. It is only included in this document for informational purposes and use in Policy rules should be avoided.

Term/Practice	Definition/Description	Defined in	Comment
Period of Non-Use	<p>Certain code elements existing at the time of the first publication of the ISO 3166 country codes and differing from those in this part (ISO 3166-1) should not be used for an indeterminate period to represent other country names.</p> <p>These code elements should be included in the list of reserved code elements and should not be reallocated during a period of at least fifty years after the date the countries or organizations concerned have discontinued their use.</p>	ISO Standard [16] 7.5.3	
Exceptionally Reserved	<p>Code elements may be reserved, in exceptional cases, for country names which the ISO 3166/MA has decided not to include in this part of ISO3166, but for which an interchange requirement exists. Before such code elements are reserved, advice from the relevant authority must be sought.</p>		

Term/Practice	Definition/Description	Defined in	Comment
Exceptionally Reserved	<i>Codes that have been reserved for a particular use at special request of a national ISO member body, governments or international organizations.</i>	ISO 3166 Online Browsing Platform Glossary. Defined in [14].	This description is not included in the Standard. It is only included in this document for informational purposes and use in Policy rules should be avoided. For example, the code UK has been reserved at the request of the United Kingdom so that it cannot be used for any other country.
Reallocation	Before reallocating a former code element or a formerly reserved code element, the ISO3166/MA shall consult, as appropriate, the authority or agency on whose behalf the code element was reserved, and consideration shall be given to difficulties which might arise for the reallocation.	ISO Standard [16] Section 7.5.5	
Indeterminately Reserved	NOT DEFINED IN THE STANDARD		

Term/Practice	Definition/Description	Defined in	Comment
Indeterminately Reserved	A code element that has been indeterminately reserved for use in a certain way. Usually this is justified by their presence in other coding systems.	ISO 3166 Online Browsing Platform glossary. Defined in [14]	This description is not included in the Standard. It is only included in this document for informational purposes and use in policies should be avoided. For example, several codes have been reserved by the World Intellectual Property Organization (WIPO) because they have been used in its Standard ST.3.
Country Name	Name of country, dependency, or other area of particular interest	ISO Standard [16] Part 1 Section 3.4	
Country Code	Listing of country names with their representations by code elements	ISO 3166 [16] Part 1 Section 3.3	
Code Element	The result of applying a code to an element of a coded set	ISO 3166 [16] Part 1 Section 3.2	
Code	Set of data	ISO 3166 [16] Part 1 Section 3.1	
List of Country Names	Part of the Clause 9 list	ISO 3166 [16] Part 1 Section 6, 6.1. In clause 6 of part 1 the content of the list is enumerated in Clause 9.	
Formerly Used Codes	NOT DEFINED IN THE STANDARD		

Term/Practice	Definition/Description	Defined in	Comment
Formerly Used Codes	<i>Codes that used to be part of the standard but that are no longer in use. See alpha-4 codes.</i>	ISO 3166 Online Browsing Platform [15]	As this is not described in the Standard it is only included for informational purposes and use in Policy rules should be avoided.

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382 2. Dr Eberhard W Lisse, .na
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384 3. Patricio Poblete, .cl

385 4. Peter Van Roste, CENTR

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- 415 2. Kim Davies

416 **C.3.2 GAC**

- 417 1. Olivier Girard

418 **C.3.3 ISO3166 Expert**

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421 **C.3.4 Staff Support:**

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- 425 4. Bernard Turcotte

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