

## **PTI Draft Strategic Plan**

### **Draft ALAC Response:**

ALAC welcomes the opportunity to comment on the draft PTI Strategic Plan and supports its five strategic objectives and strategies to achieve those objectives.

ALAC would like to particularly support the following elements of the Draft Plan.

### **Knowledge and understanding of what PTI is and does:**

Under Objective One, one of the targeted incomes is '*improved alignment and awareness of ongoing unique identifiers activities within ICANN*'.

One of the suggested ways to achieve that objective, is to continue to "build on engagement efforts with oversight bodies such as the IETF Leadership, RIRs, CSC, and SO/ACs, as well as with the broader Internet community that uses the IANA services."

Further, one of the risks identified under Strategic Objective Five is: PTI operates in silo due to a lack of clarity about the organization's remit within the broader ICANN org and community.

Both suggest that there may be a lack of knowledge of what PTI is and does under its contract with ICANN for implementation of the naming function.

**ALAC supports the development and implementation of an awareness and education campaign both within ICANN and in the larger Internet community on the critical role PTI plays in carrying out the 'naming function'.**

### **Alignment of ICANN and PTI's Strategic and Financial Plans.**

The draft Strategic Plan notes that PTI is required to have a four year strategic plan and budget, while both ICANN's strategic Plan and budget are for five years.

The draft Strategic Plan makes it clear that the work of PTI and the resources to carry out that work and very closely tied to ICANN's Strategic Plan and Budget.

As the Draft Strategic Plan states in its introduction, one of the three key inputs into what PTI does is "the overall strategy of the ICANN organisation. Indeed, in ICANN's Strategic Plan, one of the first targeted outcomes is "Improved alignment and awareness of ongoing unique identifiers activities within ICANN org, the IANA customer community, and more broadly in standards development organizations".

Alignment of the budgets is also seen as critical. One of the risks identified in implementing a Strategic objective on security threats: 'Insufficient resources to continuously review and implement evolving security standards in the key systems used to deliver the IANA services.'

**The ALAC supports implementation of steps to more closely align ICANN's and PTI's Strategic and Financial planning. This particularly includes ensuring that ICANN's budget provides sufficient funding for PTI to meet its strategic objectives.**

### **Input into the development of ICANN policies**

One issue identified as a risk under Objective Three (on addressing the evolving needs of its customers) is as follows:

*'New community policies can trigger a significant increase in workload, and with insufficient lead time or commensurate resource adjustments, can impact overall IANA function.'*

Indeed, privacy issues were specifically mentioned as one of the risks in achieving Objective One:

*The evolving data privacy regulation landscape may have impacts on the level of transparency for the IANA registries, which may erode trust and accountability.*

While PTI may not participate in the actual Policy Development Process of ICANN, PTI should have input into the development of policies that could impact on its functions.

**ALAC supports the development of mechanisms to ensure that PTI is given opportunities to provide input on the development of Policies that could impact in PTI's carrying out its functions under its contract with ICANN.**