1. Introduction decision points

During the 3 June 2020 meeting PTI provided a presentation of the main steps of the Delegation and Transfer Process overview.

These two processes contained the following sub-processes:

- Initial Evaluation
- Technical Check
- Consent /Regulatory Check
- Evaluation and Findings

Using the presentation each step in these subprocess can be rephrased as a question and hence potential decision point, which are listed as decisions in table 1- 4 below.

2. Identify decisions to be subject to a review mechanism.

During previous call the WG agreed upon the following method to identify decisions which should be subject to

Step 1. First compiled a list of decisions taken by the IANA Function Operator (IFO) and ICANN Board of Directors. The overview of the delegation and transfer processes, includes steps potential decision points. The presentation will be based on and reflects: https://www.iana.org/help/cctld-delegation .

Step 2. Determine if decisions are within scope of policy on review mechanism: which decisions are within scope and which are out of scope of the proposed review mechanism?

     i.   Due to limitations of the scope of the ccNSO Policy Development Process?

     ii.   Considered out of scope due to other criteria?

Step 3. The WG is advised to discuss the following questions:

1. Who takes the identified decision?
2. Who provides oversight, if any and how is provided?
   Working definitions of oversight:
       i.   In business, oversight of a system or process is the responsibility for making sure that it works efficiently and correctly.
       ii.   In LAW, GOVERNMENT, MANAGEMENT systems or actions to control an activity and make sure that it is done correctly and legally.

3. Related, which decisions should be subject to a review mechanism?

The question raised under step 3 are reflected in the headings of columns 3, 4 and 5 of Table 1-4 below.

33   **Table 1: Initial Evaluation**

Item #	Decision	Brief description	Who takes decision?	Oversight?	Review?	Comment
1	Check if request is about delegation or transfer	Request and supplied are clear about the requested transaction. Supplied data is consistent and well formed	PTI			
2	String Eligibility	Is string eligible for delegation?	PTI			
3	Completeness of provided documentation	Is all required documentation provided?	PTI			
4	Additional Documentation or Clarification needed?		PTI			

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36   **Table 2: Technical Check**

37   The full list of technical requirements can be found at www.iana.org/help/nameserver-requirements

Item #	Decision	Brief description	Who takes decision?	Oversight?	Review?	Comment
1	Does request meet root zone checks		PTI			
1 A		Do name servers respond?	PTI			
1 B		Do name servers return correct data	PTI			
1 C		Can DNS data be verified using supplied DSNSEC data records/	PTI			
1 D		Do supplied email addresses work?	PTI			
1 E		Are WHOIS and RDAP servers valid?	PTI			
1 F		Is Registry URL valid	PTI			

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Table 3: Consent /Regulatory Check

Item #	Decision	Brief description	Who takes decision?	Oversight?	Review?	Comment
1	Do existing contacts agree to change?		PTI			What is difference with 3?
2	Do new contacts agree to their new responsibilities?		PTI			
3	Did incumbent manager provide consent that meets criteria	Is All required documentation provided?	PTI			What is difference with 1
3 A		Is pro-forma used (non mandatory) or alternative?	PTI			

Item #	Decision	Brief description	Who takes decision?	Oversight?	Review?	Comment
4	Does request meet legal requirements?		PTI			

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Table 4: Evaluation and Findings

Item #	Decision	Brief description	Who takes decision?	Is Oversight relevant?	Review ?	Comment
1	What are findings? Warrant delegation or transfer?		PTI			
1 A		Is proposed manager competent both operationally and technical?	PTI			
1 B		What is status of legal organization and what is geographic location?	PTI			
1 C		What is Chronology of community events? What are SIP statements?	PTI			

Item #	Decision	Brief description	Who takes decision?	Is Oversight relevant?	Review ?	Comment
1 D		What is position of relevant government and consideration	PTI			
1 E		Is there a registration policy?	PTI			
1 F		Is there an implementation plan	PTI			
2	Have procedures been followed in evaluating the request?		ICANN Board of Directors			

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