



2020 - New GNSO PDP Guidelines

PDP 3.0 - A brief review of some aspects for ALAC's Consolidated Policy Working Group (**CPWG**) April 1st 2020.

Cheryl Langdon-Orr (@CL03)

The Issue - How to Increase the Efficiency and Effectiveness of GNSO Policy Development Process...

WHY...

Jan 2018 a staff paper was discussed at Council's Strategic Planning Session, this was updated in May 2018 after PDP Leadership and Community interaction with Council and the following

The challenges and possible improvements covered:

- Working Group dynamics
- Working Group leadership
- Complexity of subject matter
- Consensus building
- Role of the Council as the manager of the PDP

WHO...

This was work of the GNSO Council as the Manager of all GNSO Policy Development Processes.

The Final Report provides an overview of the PDP 3.0 implementation process and outcomes. This was primarily the work of a small team of GNSO Councilors, between April 2019 to February 2020 in close collaboration with the GNSO support staff. Regular updates on progress and outcomes were made to the GNSO Council, which adopted PDP 3.0 on Feb 20th 2020.

HOW...

Future charter drafting teams will utilize PDP 3.0 using the revised GNSO WG Charter Template and other listed work products, reporting back to Council on the success of implementation in achieving the intended outcomes.

Once improvements are in effect Council will review, and consider any necessary updates to the GNSO Operating Procedures

Also after this effectiveness review the Council will consider the 1 "Parking Lot" item (Statement of Interest Review)

Process in a bit more detail...

24 October 2018

GNSO Council adopts PDP 3.0 Proposed Improvements Paper...

This identified seventeen (17) specific improvements, fourteen (14) of which got full support:

- *Terms of participation for WG Members
- *Alternative WG Models
- *Criteria for joining a WG after formation or re-chartering
- *Develop a Consensus Playbook'
- *GNSO Council Liaison to WG's active role and participation
- *WG Leadership, roles, responsibilities, min skills & expertise required
- *Standard Methodology for Decision Making (s3.6 WGG**
- * Review and improve s 3.7 WGG
- *Enforce deadlines and ensure bite size pieces of improvement
- *Notification to Council re changes in WG Work Plans
- Review WG Leadership improvement
- *Allow for data gathering, chartering and termination if no consensus can be reached
- *Independent conflict resolution improvement
- *Criteria for PDP WG updates improvement
- *Resource reporting for PDP WG's

** WGG refers to the GNSO WG Guidelines -

29 January 2019

GNSO Council formed a small team of Councilors to further the project.

It convenes in Kobe at ICANN64 and was responsible for developing an implementation plan for each area of identified improvement.

All proposed documents, processes and tools developed were presented to the full Council for input and approval This occurred in batches during 2019 (Aug, Sept, Oct, Nov) and in Jan 2020.

20 February 2020

GNSO Council adopts the Final Report on the Implementation of the Policy Development Process 3.0 (PDP 3.0)

Improvement #4, under which the Consensus Playbook is being finalized by an external vendor (Consensus Building Institute - CBI) is near finalised but not yet received and adopted. **NOTE** this Playbook is designed for wider ICANN Community use not only within the GNSO.

The GNSO PDP Charter Template was also revised to “guide for charter drafting and scoping of future PDP working groups

”

PDP 3.0 - Overall Outcomes...

And and specific effects on ALAC/At-Large

Primary Objective is to “enhance the effectiveness and efficiency of PDPs” Key

outcomes of these improvements focus on:-

- Timeliness,
- Detailed Work Plan, and extensive Project Management principles and practices
- Regular reporting and risk assessment on progress and resource use etc.,
- Clearly specified Skills and Experience criteria
- Roles and Responsibilities for Members/Participants Leadership Liaisons and Council (as Manager of the PDP Process)

***Alternative WG Models**

***Terms of participation for WG Members**

***Criteria for joining a WG after formation or re-chartering**

***Enforce deadlines and ensure bite size pieces of improvement**

***Allow for data gathering, chartering and termination if no consensus can be reached**

***Develop a ‘Consensus Playbook’**



Highlighting a few Improvements that have specific relevance to engagement in GNSO PDPs by ALAC/At-Large...

Three models could be used to carry out policy development...

1. Open Model

- 1.1. Parity with PDP 2.0 but Members (an upper limit may be set) are required to in addition to completing a GNSO SOI agree to a 'Statement of Participation' **

2. Representative Model

- 2.1. GNSO SG and Constituency appointed Members and alternates, as well as appointed members and alternates from the other Supporting Organizations and the Advisory Committees

3. Hybrid Open and Representative

- 3.1. In addition to the Representative Model Members, Participants are able to engage in the PDP Development Process (an upper limit may be set) but do *not* contribute to the consensus designation process.

WG Model(s)

- Elements of the different models can be modified and/or mixed/matched as appropriate.
- Variations could be considered as long as, per the GNSO Operating Procedures “the GNSO Council first identifies the specific rules and procedures to guide the PDP Team’s deliberations”.
- GNSO Council's determination of the membership structure and other components for any GNSO Working Group model should not conflict with the requirements in ICANN Bylaws.

** 'Statement of Participation' see following slide...

In addition to completion of the GNSO Statement of Interest (SOI) **ALAC/At-Large Members and Participants** in future GNSO PDP WG's **will be required to agree to a 'Statement of Participation'**

NOTE regarding Membership/Participants:- **Representative model**

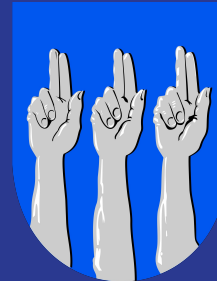
Members/participants are encouraged to be selected/join on the basis of having specific expertise or skills

Open / Representative model

Members/participants are required to have a certain level of expertise. Independent evaluation (e.g. by a Standing Selection Committee) is carried out to confirm that members/participants have required expertise.

'Statement of Participation'

"The Statement of Participation requires that a member shall make best efforts to regularly attend all scheduled meetings and take assignments during the course of the WG seriously.



The Statement of Participation is enforceable and the Working Group leadership and GNSO Council Leadership Team have the authority to restrict a member's participation in the event of non-compliance."

PDP 3.0 outlines a number of considerations that Working Group Leaders and Work Groups need to factor in as they consider whether or not to accept members after the start of the effort. New membership(s) may be suspended for several reasons. e.g.: (exceptions may apply)

- The Working Group has produced its Initial Report, analyzed public comments, and is in the midst of a consensus process for its Final Report;
- The Working Group is nearing the end of a complex and lengthy policy development process and although it has not produced a Final Report, the status of the work is that the Working Group is too close to finalize its work such that new members would not be able to meaningfully contribute;
- Someone wishes to join a Sub Team/Subset of the Working Group, but that Sub Team/Set has completed its work and passed its recommendations to the full Working Group;
- The Working Group Charter dictates levels of representation and the new member would alter that level of representation.

Existing criteria for joining a WG after formation or re-chartering (see GNSO WG Guidelines) are not limiting as long as new members get up to speed and do not (without the provision of new information) reopen closed topics...

***Criteria for joining a WG after formation or re-chartering...**

From now on this will be dependent on:-

- The model of Working Group chosen
- The Charter and the GNSO supporting additions
- The WG Leadership/Plenary

All members will also be expected to agree to the Statement of Participation

Also Worthy of Our Note is...

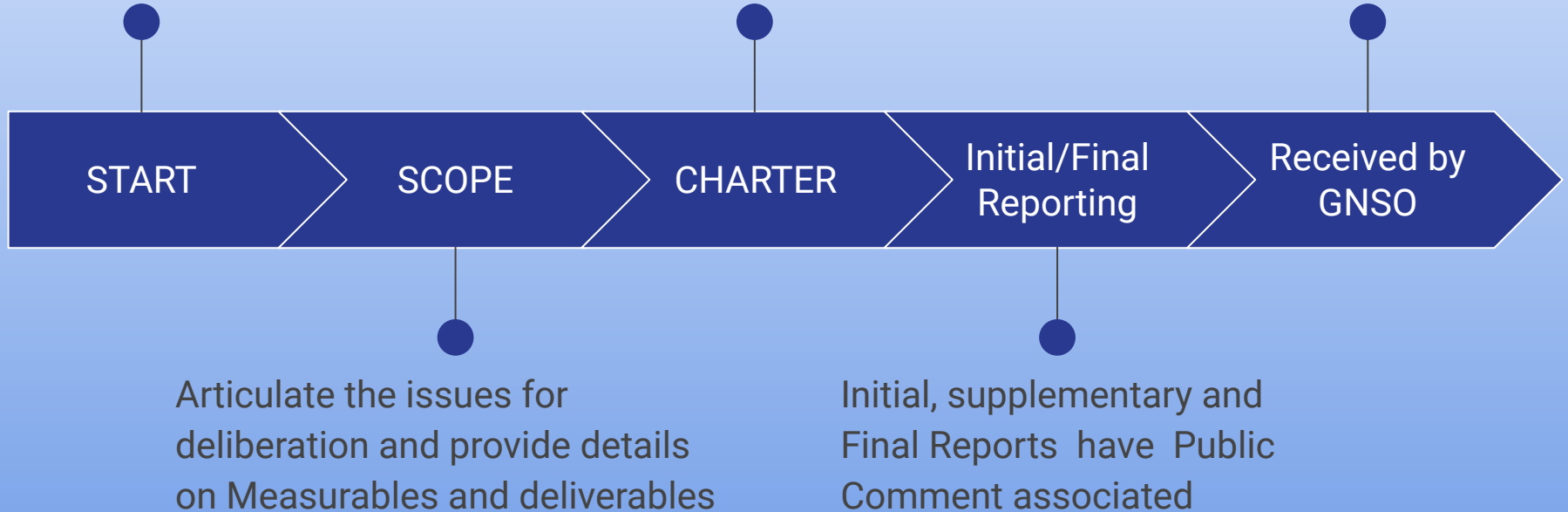
- ❑ Timeliness of PDPs will be assisted by S.M.A.R.T scoping as well as a strong enforcement of Work-Plan deadlines as well as regularised (monthly) reporting to GNSO Council and additional responsibilities upon Leadership and Council Liaisons that will “ensure bite size pieces of improvement” /progress.
- ❑ Consensus is Key and a number of facilitation tools, techniques and resources are now provided to aid this process noting that “a WG can be **terminated if no consensus can be reached**” including before Final Reporting.
- ❑ The development of the ‘Consensus Playbook’ is important here (noting this tool is for ICANN wide use) coupled with the revision of the GNSO PDP Guidelines, as well as new mediation and conflict resolution tools and mechanisms.

GNSO PDP Basic Steps Overview/Flow

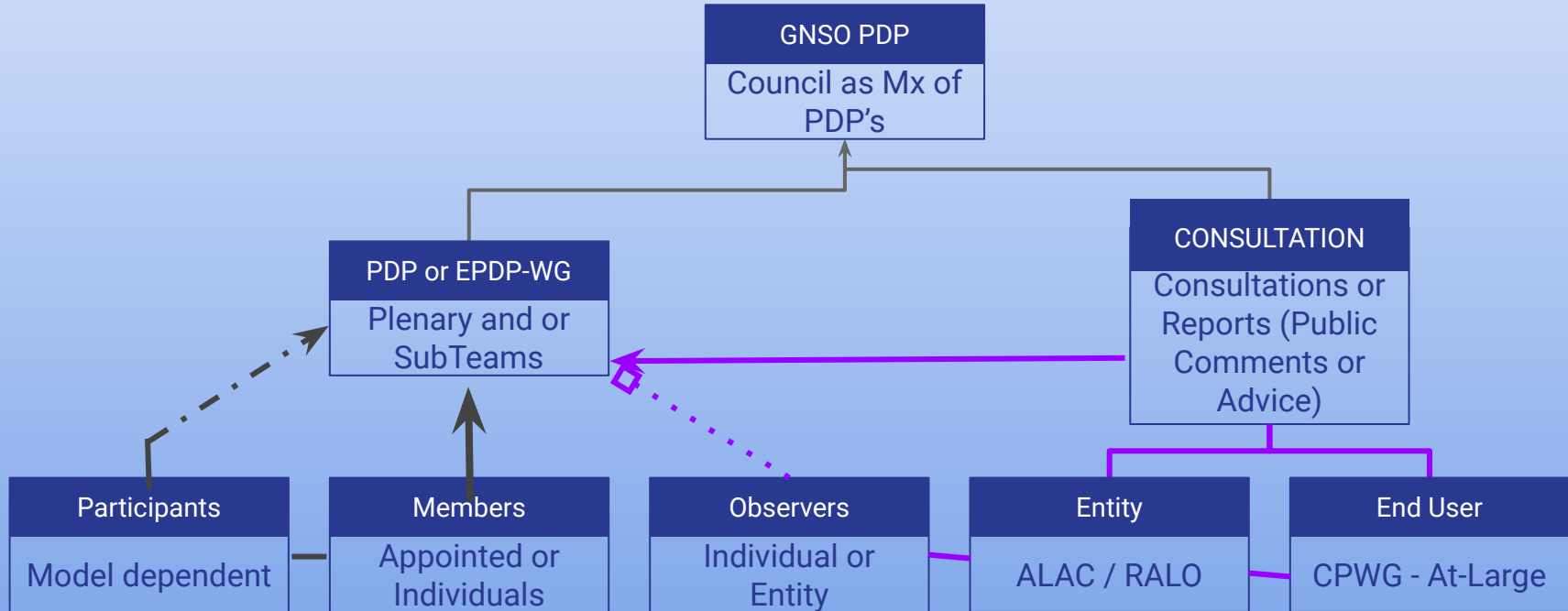
START PDP -> Issue Report
or Initiate EPDP

WG's mission, scope and
expected deliverables
clearly explained.

Council may accept all
recommendations or return
some/all to the (or some other)
WG for further deliberations



Charting ALAC/At-Large GNSO PDP Interaction Opportunities



Questions?

The [Full Report on this GNSO PDP 3.0](#) (181 pages plus a presentation set) is well worth everyone reviewing and *essential* if one is engaging as a Member or Participant in future PDPs

Follow up with me via:-
Email: langdonorr [at] gmail.com
Skype: cheryl.langdon.orr
Twitter: @clo3