

The graphic features a dark blue background with a large, glowing wireframe globe on the right side. A white rectangular box is centered in the upper half, containing the text 'ICANN' and '68'. Below 'ICANN' is the text 'VIRTUAL POLICY FORUM'. On the left side of the box, there are four orange plus signs stacked vertically. On the right side, there are four cyan plus signs stacked vertically. The background is decorated with various network-like patterns, including a large wireframe globe, smaller network graphs, and scattered dots in orange and cyan.

**ICANN**

**VIRTUAL POLICY FORUM**

**68**

# Public Interest Commitments: The Role of ICANN Compliance

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At-Large Policy Session: PICS and PICDRP - How to get them right?

22 June 2020



# Agenda

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- ⦿ What is the role of ICANN Compliance in PICs?
- ⦿ Can the role be clearer/stronger in meeting consumer expectations?

# Role of ICANN Compliance

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Role of Compliance at ICANN: Ensure that registries and registrars implement the community's policies and other contractual commitments by:

1. Addressing complaints against registries and registrars
2. Conducting audits to verify that the contracted parties are adhering to their commitments
3. Publishing reports on these activities for community review.

# Role of ICANN Compliance in PICs

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## What are PICs?

1. Binding obligations
2. Subject to compliance oversight and enforcement by ICANN org
3. May be reviewed by a PICDRP panel
4. Any party harmed by a registry operator's failure to comply with its PICs can file a complaint

## Complaint history:

- 34 complaints total, 28 invalid
- Three based on Spec. 11, Sec. 1
- One based on Spec. 11, Sec. 3
- None alleging Spec. 11, Sec. 2 violations
- Two breaches under Spec. 11 3(c) following PICDRP:
  - Top Level Spectrum, Inc. (feedback), 16 March 2017  
[https://www.icann.org/uploads/compliance\\_notice/attachment/911/serad-to-westerdal-16mar17.pdf](https://www.icann.org/uploads/compliance_notice/attachment/911/serad-to-westerdal-16mar17.pdf)
  - National Association of Board of Pharmacy (pharmacy), 12 July 2018  
[https://www.icann.org/uploads/compliance\\_notice/attachment/1049/serad-to-allain-11jul18.pdf](https://www.icann.org/uploads/compliance_notice/attachment/1049/serad-to-allain-11jul18.pdf).

# Clearer/stronger role in meeting consumer expectations?

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Role is as strong as the obligations:

Unless obligations are clearly written and understood, they are unenforceable by Compliance or a PICDRP panel.

# Engage with ICANN



## Thank You and Questions

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