

## Appendix C:

# ICANN At-Large Scorecard on Subsequent Procedures PDP

### At-Large Small Team on Subsequent Procedures

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## Introduction to Scorecard

This Appendix C: ICANN AT-LARGE SCORECARD ON SUBSEQUENT PROCEDURES POLICY DEVELOPMENT PROCESS is an appendix to the AT-LARGE WHITEPAPER ON SUBSEQUENT PROCEDURES dated 11 February 2020 (“the Whitepaper”).

## Purpose of Scorecard

This Scorecard contains the At-Large Community’s assessment of topics or areas of policy development undertaken by the GNSO-initiated New gTLD Subsequent Procedures Policy Development Process Work Group (“SubPro PDP WG”) since February 2016 and up to Q1, 2020.

In particular, it sets out At-Large’s positions on expected draft recommendations relating to policy areas which the SubPro PDP WG is working on and which we believe affect the interests of Internet end-users.

## Sources of Reference

This Scorecard has been developed with reference to SubPro PDP WG’s deliberations of inputs from sources available to it, including but not limited to:

1. Comments to preliminary recommendations and/or questions presented in:
  - a. [Initial Report on the New gTLD Subsequent Procedures PDP \(Overarching Issues & Work Tracks 1-4\) dated 3 July 2018](https://www.icann.org/public-comments/gtld-subsequent-procedures-initial-2018-07-03-en) [https://www.icann.org/public-comments/gtld-subsequent-procedures-initial-2018-07-03-en]
  - b. [Supplemental Initial Report on the New gTLD Subsequent Procedures PDP \(Overarching Issues & Work Tracks 1-4\) dated 30 October 2018](https://www.icann.org/public-comments/new-gtld-subsequent-procedures-supp-initial-2018-10-30-en) [https://www.icann.org/public-comments/new-gtld-subsequent-procedures-supp-initial-2018-10-30-en]
  - c. [Work Track 5 on Geographic Names at the Top Level - Supplemental Initial Report of the New gTLD Subsequent Procedures PDP dated 5 December 2018](https://www.icann.org/public-comments/geo-names-wt5-initial-2018-12-05-en) [https://www.icann.org/public-comments/geo-names-wt5-initial-2018-12-05-en]
2. [Prerequisite and High Priority Level Recommendations relevant to SubPro PDP WG’s work](https://www.icann.org/en/system/files/files/cct-final-08sep18-en.pdf) (i.e. Annexure A to the Whitepaper)<sup>1</sup> contained in the [Competition, Consumer Choice and Consumer Trust Review Final Report dated 8 September 2018](https://www.icann.org/en/system/files/files/cct-final-08sep18-en.pdf) [https://www.icann.org/en/system/files/files/cct-final-08sep18-en.pdf]
3. [ICANN Board Action on Final CCT Recommendations dated 1 March 2019](https://www.icann.org/en/system/files/files/resolutions-final-cct-recs-scorecard-01mar19-en.pdf) [https://www.icann.org/en/system/files/files/resolutions-final-cct-recs-scorecard-01mar19-en.pdf]
4. [Work Track 5 Final Report to the SubPro PDP WG dated 22 October 2019](https://community.icann.org/display/NGSPP/Summary+Working+Documents)<sup>2</sup>
5. [SubPro PDP WG Summary Working Documents 2019](https://community.icann.org/display/NGSPP/Summary+Working+Documents) [https://community.icann.org/display/NGSPP/Summary+Working+Documents]

<sup>1</sup> [https://community.icann.org/download/attachments/111390697/Annexure%20A%20-%20CCTRT%20Prerequisite%20and%20High%20Priority%20Level%20Recommendations.pdf?version=1&modificationDate=1565047487000&api=v2]

<sup>2</sup> [https://community.icann.org/download/attachments/111390697/Work%20Track%205%20Final%20Report%20to%20the%20New%20gTLD%20SubPro%20PDP%20WG%20-%2022%20October%202019%5B1%5D.pdf?version=1&modificationDate=1576497110000&api=v2]

## At-Large Areas of Concern

The full list of SubPro areas or topics, with corresponding concern levels to At-Large, is as follows:

PRIORITY	SUBSEQUENT PROCEDURES AREAS / TOPICS	Sub-Areas / Related Areas
	CROSS-CUTTING ISSUES	
High	1. <b>DNS Abuse Mitigation</b>	<ul style="list-style-type: none"> <li>Contractual Compliance</li> <li>Base Registry Agreement</li> </ul>
High	2. <b>CCT Recommendations</b> Prerequisite and High Priority Level Recommendations relevant to SubPro PDP WG's work contained in the Competition, Consumer Choice and Consumer Trust Review Final Report dated 8 September 2018	<ul style="list-style-type: none"> <li>Consumer Trust</li> <li>DNS Abuse</li> </ul>
TBD	3. Geographic Names at the Top Level [WT5]	<ul style="list-style-type: none"> <li>Definition of geographic names, geographic indicators etc</li> <li>Geographic Names Panel</li> <li>Preventative versus Curative protections</li> <li>Translations</li> <li>Non-AGB Terms</li> </ul>
	OVERARCHING ISSUES	
High	4. <b>Cost vs Benefit of New gTLD Program – Continuing Subsequent Procedures [2.2.1]</b>	<ul style="list-style-type: none"> <li>Metrics</li> <li>Types of TLDs [2.2.4]</li> <li>Application Assessed in Rounds [2.2.3] (including Neustar's proposal)</li> </ul>
Medium	5. Predictability [2.2.2] / Clarity of Application Process [2.2.2.2]	<ul style="list-style-type: none"> <li><b>Predictability Framework [NEW]</b> <ul style="list-style-type: none"> <li>➤ <b>Standing Predictability Implementation Review Team (SPIRT)</b></li> </ul> </li> </ul>
Medium	6. Application Assessed in Rounds [2.2.3]	<ul style="list-style-type: none"> <li>Community Applications [2.9.1]</li> </ul>
Medium	7. Types of TLDs [2.2.4]	<ul style="list-style-type: none"> <li></li> </ul>
Low to none	8. Applications Submission Limits [2.2.5]	<ul style="list-style-type: none"> <li></li> </ul>
Low	9. Accreditation Programs [2.2.6]	<ul style="list-style-type: none"> <li>Applicant Support Program [2.5.4]</li> </ul>
	FOUNDATIONAL ISSUES	
High	10. <b>Public Interest Commitments &amp; Other Safeguards</b> [Global Public Interest, 2.3.2]	<ul style="list-style-type: none"> <li>Mandatory PICs</li> <li>Voluntary PICs – Systems [2.4.3]</li> <li>Verified TLDs</li> </ul>
Low to none	11. Applicant Freedom of Expression [2.3.3]	<ul style="list-style-type: none"> <li></li> </ul>
High	12. <b>Universal Acceptance (UA)</b> [2.3.4]	<ul style="list-style-type: none"> <li>Systems [2.4.3]</li> </ul>
	PRE-LAUNCH ACTIVITIES	

<b>PRIORITY</b>	<b>SUBSEQUENT PROCEDURES AREAS / TOPICS</b>	<b>Sub-Areas / Related Areas</b>
Low	13. Applicant Guidebook [2.4.1]	<ul style="list-style-type: none"> <li>Translations, timing of release vs program communication/outreach</li> </ul>
Low	14. Communications [2.4.2]	<ul style="list-style-type: none"> <li>Outreach to Middle/Global South candidates – Applicant Support Program [2.5.4]</li> </ul>
Low	15. Systems [2.4.3]	<ul style="list-style-type: none"> <li>Implementation of PICs submission – Global Public Interest [2.3.2]</li> </ul>
	<b>APPLICATION SUBMISSION</b>	
High	16. Applicant Support Program (ASP) [2.5.4]	<ul style="list-style-type: none"> <li>Funding source</li> <li>Outreach – Communication [2.4.2]</li> <li>Criteria – Metrics</li> <li>Accreditation Programs [2.2.6]</li> <li>Application Fees [2.5.1]</li> <li>Appeals – Accountability Mechanism [2.8.2]</li> </ul>
Medium	17. Application Fees [2.5.1] 18. Variable Fees [2.5.2]	<ul style="list-style-type: none"> <li>Cost Recovery Principle</li> <li>Applicant Support Program [2.5.4]</li> </ul>
Low	19. Application Submission Period [2.5.3]	<ul style="list-style-type: none"> <li></li> </ul>
Low	20. Terms & Conditions [2.5.5]	<ul style="list-style-type: none"> <li>Accountability Mechanism [2.8.2]</li> <li>Names Collision [2.7.8]</li> </ul>
	<b>APPLICATION PROCESSING</b>	
Medium	21. Applicant Change Requests [2.4]	<ul style="list-style-type: none"> <li></li> </ul>
Low	22. Application Queueing [2.6.1]	<ul style="list-style-type: none"> <li></li> </ul>
	<b>APPLICATION EVALUATION/CRITERIA</b>	
High	23. Reserved Names [2.7.1]	<ul style="list-style-type: none"> <li></li> </ul>
High	24. Closed Generics [2.7.3]	<ul style="list-style-type: none"> <li>Single registrant / Brand TLDs</li> </ul>
High	25. String Similarity [2.7.4]	<ul style="list-style-type: none"> <li>String Similarity Review</li> <li>String Confusion Objection (under Objection [2.8.1])</li> <li>Accountability Mechanism [2.8.2]</li> </ul>
High	26. Internationalized Domain Names (IDN) [2.7.5]	<ul style="list-style-type: none"> <li>IDN Variant TLD Implementation</li> <li>RZ-LGRs</li> <li>Risk of DNS Abuse</li> </ul>
High	27. Security and Stability [2.7.6]	<ul style="list-style-type: none"> <li>Delegation Rates</li> <li>Banning of emojis as TLDs</li> <li>DNS Abuse mitigation</li> <li>Algorithmic checking - Systems [2.4.3]</li> </ul>
High	28. Name Collisions [2.7.8]	<ul style="list-style-type: none"> <li>NCAP Study 1 (Studies 2 and 3?)</li> </ul>
Medium	29. Registrant Protections [2.7.2]	<ul style="list-style-type: none"> <li>EBERO, COI</li> </ul>

<b>PRIORITY</b>	<b>SUBSEQUENT PROCEDURES AREAS / TOPICS</b>	<b>Sub-Areas / Related Areas</b>
		<ul style="list-style-type: none"> <li>Applicant background screening</li> </ul>
Low to none	30. Applicant Reviews: Technical/ Operational, Financial and Registry Services [2.7.7]	<ul style="list-style-type: none"> <li></li> </ul>
Medium	31. Role of Application Comment [S2.3]	<ul style="list-style-type: none"> <li></li> </ul>
	DISPUTE PROCEEDINGS	
High	32. <b>Objections</b> [2.8.1]	<ul style="list-style-type: none"> <li>Community Objections</li> <li>Public Interest Objections</li> <li>Independent Objector</li> </ul>
High	33. <b>Accountability Mechanism</b> [2.8.2]	<ul style="list-style-type: none"> <li><b>Accountability Framework [NEW]</b> <ul style="list-style-type: none"> <li>➤ Appeals against objection/ evaluation determinations</li> </ul> </li> </ul>
	STRING CONTENTION RESOLUTION	
High	34. <b>Community Applications</b> [2.9.1]	<ul style="list-style-type: none"> <li>Community Priority Evaluation (CPE)</li> <li>Community Objections distinct from CPE – Objections [2.8.1]</li> <li>Appeals – Accountability Mechanism [2.8.2]</li> <li>Application Assessed in Rounds [2.2.3] (including Neustar’s proposal)</li> </ul>
High	35. <b>Auctions as Mechanism of Last Resort, Private Resolution of Contention Sets (incl. Private Auctions)</b> [S2.1, S2.2]	<ul style="list-style-type: none"> <li><b>String Contention Mechanism of Last Resort [NEW]</b> <ul style="list-style-type: none"> <li>➤ Private resolution</li> <li>➤ Sealed bid auction</li> </ul> </li> </ul>
	CONTRACTING	
High	36. <b>Base Registry Agreement</b> [2.10.1]	<ul style="list-style-type: none"> <li>DNS Abuse mitigation</li> </ul>
None	37. Registrar Non-Discrimination / Registry / Registrar Standardization [2.10.2]	<ul style="list-style-type: none"> <li></li> </ul>
None	38. Registrar Support for New gTLDs [2.5]	<ul style="list-style-type: none"> <li></li> </ul>
	PRE-DELEGATION	
None	39. Registry System Testing [2.11.1]	<ul style="list-style-type: none"> <li></li> </ul>
	POST-DELEGATION	
None	40. TLD Rollout [2.12.1]	<ul style="list-style-type: none"> <li></li> </ul>
TBD	41. Second Level Rights Protection Mechanisms [2.12.2]	<ul style="list-style-type: none"> <li></li> </ul>
High	42. <b>Contractual Compliance</b> [2.12.3]	<ul style="list-style-type: none"> <li>DNS Abuse mitigation</li> </ul>

## Status of Scorecard

This Scorecard is updated from time to time, as and when new information becomes available.