ATRT3
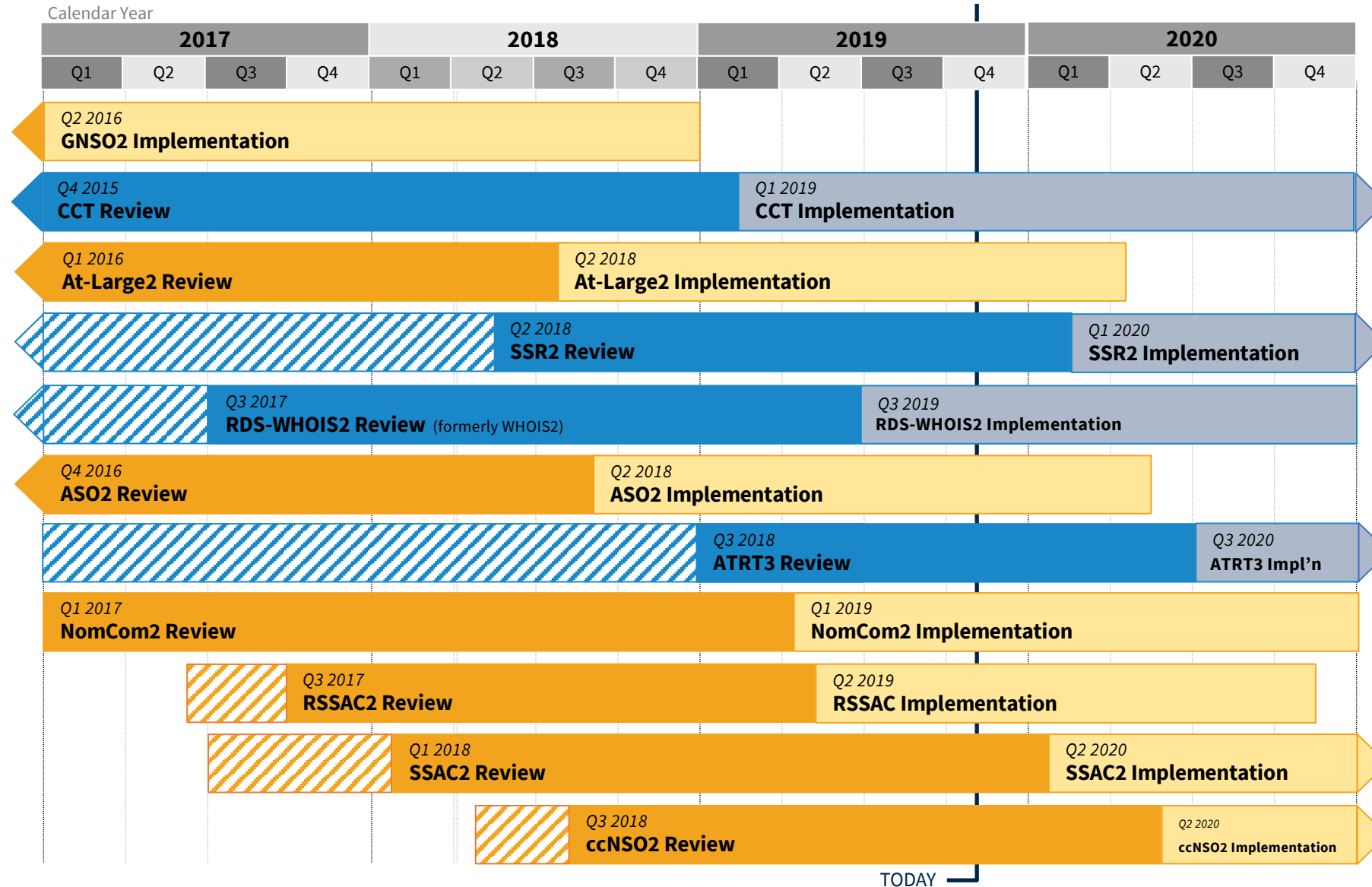
Reviews (and Prioritization)

Useful Links: https://www.icann.org/resources/reviews#Timing

Reviews Timeline

Calendar Year

2017				2018				2019				2020			
Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4

Q2 2016
GNSO2 Implementation

Q4 2015
CCT Review
Q1 2019
CCT Implementation

Q1 2016
At-Large2 Review
Q2 2018
At-Large2 Implementation

Q2 2018
SSR2 Review
Q1 2020
SSR2 Implementation

Q3 2017
RDS-WHOIS2 Review (formerly WHOIS2)
Q3 2019
RDS-WHOIS2 Implementation

Q4 2016
ASO2 Review
Q2 2018
ASO2 Implementation

Q3 2018
ATRT3 Review
Q3 2020
ATRT3 Impl'n

Q1 2017
NomCom2 Review
Q1 2019
NomCom2 Implementation

Q3 2017
RSSAC2 Review
Q2 2019
RSSAC Implementation

Q1 2018
SSAC2 Review
Q2 2020
SSAC2 Implementation

Q3 2018
ccNSO2 Review
Q2 2020
ccNSO2 Implementation

TODAY

LEGEND: **SPECIFIC REVIEWS**
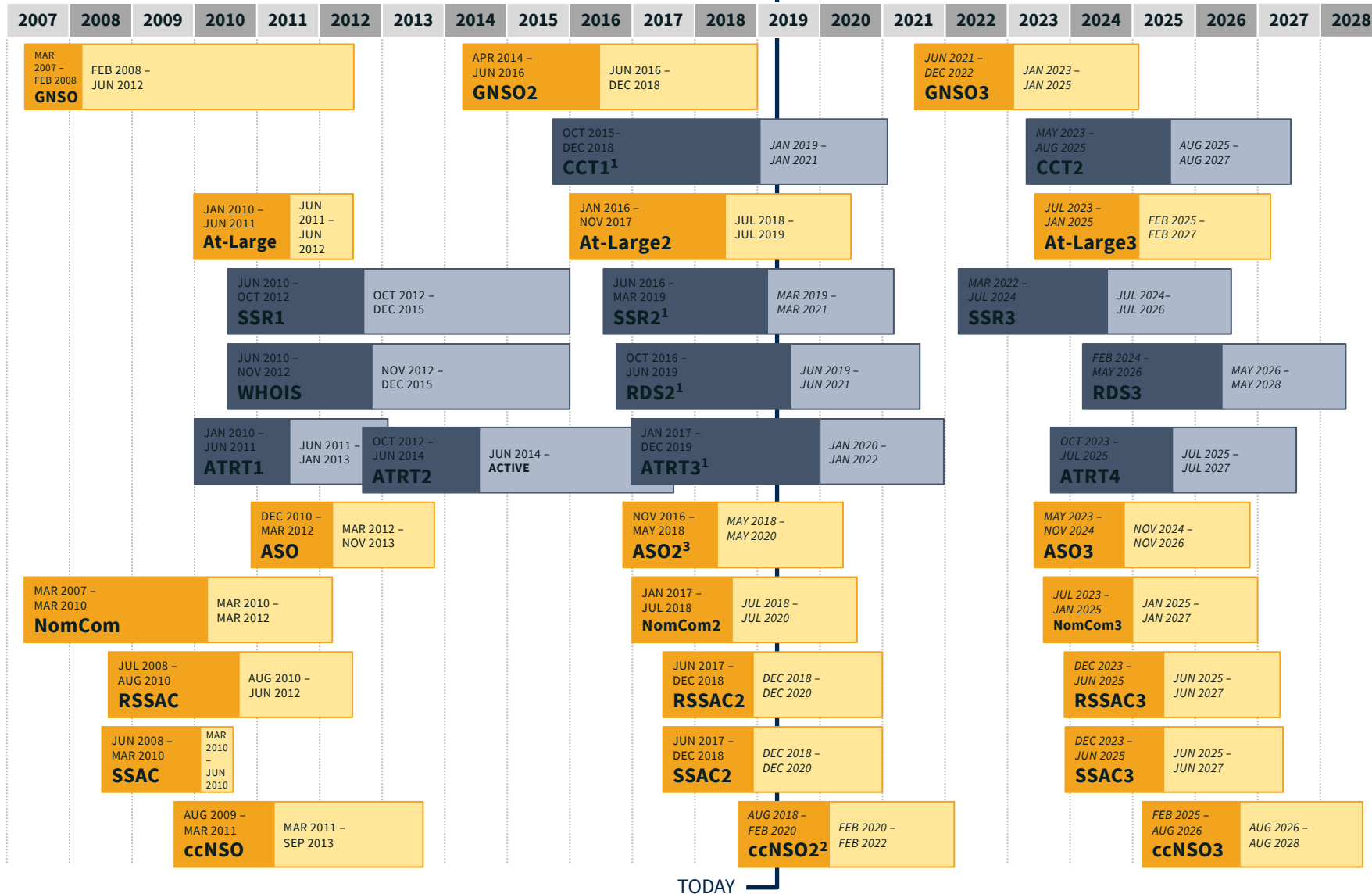Pre-Planning, Initiation & Selection
Review Execution
Implementation

ORGANIZATIONAL REVIEWS
Selection
Execution
Implementation

Future dates are forecasted and subject to change

Reviews Multiyear Timeline

2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028

GNSO — MAR 2007 – FEB 2008 (Execution); FEB 2008 – JUN 2012 (Implementation)

GNSO2 — APR 2014 – JUN 2016 (Execution); JUN 2016 – DEC 2018 (Implementation)

GNSO3 — JUN 2021 – DEC 2022 (Execution); JAN 2023 – JAN 2025 (Implementation)

CCT1[1] — OCT 2015 – DEC 2018 (Execution); JAN 2019 – JAN 2021 (Implementation)

CCT2 — MAY 2023 – AUG 2025 (Execution); AUG 2025 – AUG 2027 (Implementation)

At-Large — JAN 2010 – JUN 2011 (Execution); JUN 2011 – JUN 2012 (Implementation)

At-Large2 — JAN 2016 – NOV 2017 (Execution); JUL 2018 – JUL 2019 (Implementation)

At-Large3 — JUL 2023 – JAN 2025 (Execution); FEB 2025 – FEB 2027 (Implementation)

SSR1 — JUN 2010 – OCT 2012 (Execution); OCT 2012 – DEC 2015 (Implementation)

SSR2[1] — JUN 2016 – MAR 2019 (Execution); MAR 2019 – MAR 2021 (Implementation)

SSR3 — MAR 2022 – JUL 2024 (Execution); JUL 2024 – JUL 2026 (Implementation)

WHOIS — JUN 2010 – NOV 2012 (Execution); NOV 2012 – DEC 2015 (Implementation)

RDS2[1] — OCT 2016 – JUN 2019 (Execution); JUN 2019 – JUN 2021 (Implementation)

RDS3 — FEB 2024 – MAY 2026 (Execution); MAY 2026 – MAY 2028 (Implementation)

ATRT1 — JAN 2010 – JUN 2011 (Execution); JUN 2011 – JAN 2013 (Implementation)

ATRT2 — OCT 2012 – JUN 2014 (Execution); JUN 2014 – ACTIVE (Implementation)

ATRT3[1] — JAN 2017 – DEC 2019 (Execution); JAN 2020 – JAN 2022 (Implementation)

ATRT4 — OCT 2023 – JUL 2025 (Execution); JUL 2025 – JUL 2027 (Implementation)

ASO — DEC 2010 – MAR 2012 (Execution); MAR 2012 – NOV 2013 (Implementation)

ASO2[3] — NOV 2016 – MAY 2018 (Execution); MAY 2018 – MAY 2020 (Implementation)

ASO3 — MAY 2023 – NOV 2024 (Execution); NOV 2024 – NOV 2026 (Implementation)

NomCom — MAR 2007 – MAR 2010 (Execution); MAR 2010 – MAR 2012 (Implementation)

NomCom2 — JAN 2017 – JUL 2018 (Execution); JUL 2018 – JUL 2020 (Implementation)

NomCom3 — JUL 2023 – JAN 2025 (Execution); JAN 2025 – JAN 2027 (Implementation)

RSSAC — JUL 2008 – AUG 2010 (Execution); AUG 2010 – JUN 2012 (Implementation)

RSSAC2 — JUN 2017 – DEC 2018 (Execution); DEC 2018 – DEC 2020 (Implementation)

RSSAC3 — DEC 2023 – JUN 2025 (Execution); JUN 2025 – JUN 2027 (Implementation)

SSAC — JUN 2008 – MAR 2010 (Execution); MAR 2010 – JUN 2010 (Implementation)

SSAC2 — JUN 2017 – DEC 2018 (Execution); DEC 2018 – DEC 2020 (Implementation)

SSAC3 — DEC 2023 – JUN 2025 (Execution); JUN 2025 – JUN 2027 (Implementation)

ccNSO — AUG 2009 – MAR 2011 (Execution); MAR 2011 – SEP 2013 (Implementation)

ccNSO2[2] — AUG 2018 – FEB 2020 (Execution); FEB 2020 – FEB 2022 (Implementation)

ccNSO3 — FEB 2025 – AUG 2026 (Execution); AUG 2026 – AUG 2028 (Implementation)

TODAY

LEGEND:

SPECIFIC REVIEWS
- Execution
- Implementation

ORGANIZATIONAL REVIEWS
- Execution
- Implementation

Note: Future dates are forecasted and subject to change. Implementation phases begins when it is expected that the Board will take action on the Final Report.

Some elements for thought

Big picture review of the entire organization that has not been conducted since 2002.
(Systemic – holistic)

This should be done every 7 (?) years

Organizational reviews

Replace reviews by continuous improvement

Publication of a Report Paper (Short) with the main changes & important events by each SO/AC = comprehensive report to the « systemic » review	RSSAC, SSAC, ALAC, ASO, ccNSO, gNSO, NomCom, GAC, Board	AC/SO/NC/B ==> 1,2 & 3 – Y1 – Y4 & Y8 – Y11
		AC/SO/NC/B ==> 4,5 & 6 – Y2 – Y5 & Y9 – Y12
		AC/SO/NC/B ==> 7,8 & 9 – Y3 – Y6 & Y10 – Y13
		ICANN Systemic review – Y7 & Y14

Organizational Reviews

Systemic

- Length: (12 to) 18 months
- Selection: like the current ATRT
- Budget: $0,8M
- Can it be merge with ATxRTy?

Organizational continuous improvement

- Possibility of a 3 days retreat every 3 years
- Selection: by the SO/AC/X

Organizational continuous improvement

- Publication of a Report Paper with the main changes & important events by each SO/AC/X
  - To be implemented
  - To be included as a comprehensive report to the « systemic » review
- Can be done by
  - SO/AC participants
  - With Staff support
  - With external support
- Budget: $0,4M for each structure on a 3 years basis

Some elements for thought

Specific Reviews

- **ATRT in itself as a review is unlike ATRT1 and ATRT2 in that the required participation as well as the focus has changed from an AoC world to an Empowered Community world.**

- **RDDS should be done away with given that the questions about access to data and privacy of registrants is being handled now via EPP. What if any components remain important could be rolled in to the ATRT review.**

- **CCTRT should be conducted one more time and should be scheduled 1-2 years post the next round of TLDs. What if any components remain important could be rolled in to the ATRT review.**

- **SSR should be reduced in scope. What remains – ATRT to include elements of RDDS and CCTRT and a slimmed down SSR.**

- **We can name this only remaining RT (A, T and Systemic/Holistic/Global/Coordination RT)**

Specific Reviews

Specific Reviews

- **CCTRT: 1-2 years post the next round of TLDs**
- **RDDS**
  - **No more need or**
  - **Each year a 3 days workshop (participants selected like current ATRT) to produce a short report document**
- **SSR**
  - **No more need or**
  - **Done by SSAC (it could include an additional 3 days workshop) or**
  - **Each year a 3 days workshop (participants selected like current ATRT) to produce a short report document**
- **Report Document (Short)**
  - **To be implemented**
  - **To be included as a inputs report to the ATSRT**
- **Can be done by**
  - **SO/AC participants**
  - **With Staff support**
  - **With external support**
- **Budget: $0,2M on a yearly basis**

Accountability, Transparency and *Systemic* RT

- **Length: 12 to 18 months**
- **Selection: like the current ATRT**
- **Budget: $1M**
- **Duties**
  - **Current ATRT**
  - **Systemic (including review of the Report Papers)**
  - **Specific reviews report paper**

Option X (based on Sebastien proposal)

- Holistic review (organizations)
  - A single holistic review of the entire ~~community~~ ICANN with a focus on the interactions between structures (SOs/Acs/Xs).
  - This should be mandated to be conducted every 7 years or some time frame that allows for the implementation and maturing of the previous recommendations.
    - As the last one was done in 2002 – this holistic review could be the next one to be handle by the community for 18 months starting 1st July 2021

Option X (based on Sebastien proposal)

- Organization of the reviews (organizations)
  - Maintain the current concept of organizational (and structure) review, but conduct them as three to five day workshops with a focus on individual SO/AC/X self-inspection and review.
  - These results will feed in to the single holistic review.
  - These reviews can be conducted on a frequency as determined by each SO/AC but must have at least one every three years with an eye towards continuous improvement (A variation on this could be a planned and staggered cadence so as to ensure no more than three SO/AC/Xs are done in the same year). [SBT prefer the variation – do we keep both for comments ?]
    - SO/AC/Xs are RSSAC, SSAC, ALAC, ASO, ccNSO, gNSO, NomCom, GAC, Board

Option X (based on Sebastien proposal)

- Single Specific Review at regular intervals – This includes RDS, CCT, SSR and ATRT reviews. More specifically
    - RDS - It is generally agreed that the RDS review is probably no longer needed in light of the new privacy regulations and ATRT3 is considering if this Specific review should continue.
    - CCT – will only be needed if and when there is a new round for new gTLDs and could/will be commissioned separately if needed.
    - SSR – those reviews should be reevaluated based on the final results of SSR2 vs the current needs
        - Possible options (open list)
            - Have a more focused review performed by paid professionals overseen by a group of qualified volunteer community members (possibly similar to the NCAP project in some sense)
            - Commission SSAC (with additional resources) to be in charge of all the topics currently delegated to SSR
            - Undertake the reviews in the 3 to 5 days workshop fashion.
    - ATRT – could remain fairly similar to what it currently is.
    - Should the SSR be reviewed to have contractors perform the actual assessment, as is currently the case for Organization Reviews, integrating this into a single review with ATRT should not be a significant undertaking. One should also consider that the continuous improvement program for SO/ACs would certainly impact an ATRT's review of the GAC, the Board and GNSO PDP's.
- Take the single specific review recommendation, recognizing that what eventually remains is an AT review with pertinent elements of CCT (after conducting in due time CCT2) and RDDS reviews rolled in. SSR could be or not included.
- AT (and systemic) would be conducted over a 12-18-month time frame once every 7 years or some time frame that allows for implementation and maturing of the previous recommendations.

KC fours issues

1) Implementation of recommendations
  1) ICANN is not actually implementing most recommendations coming out of the reviews
  2) ICANN believes it is implementing all recommendations but the independent review teams disagree or lack information to judge implementation

2) Effectiveness of recommendations
  1) Review teams seem largely unable to assess the effectiveness of recommendations due to lack of information

1. Implementation
   1. With this proposal ICANN (as a whole) will have to implement less recommendations at the same time, as the output from specific reviews will be more focus and staggered
   2. Implementation will be assessed more frequently (every 3 years) and on the broader scale every 7/8 years with the holistic review
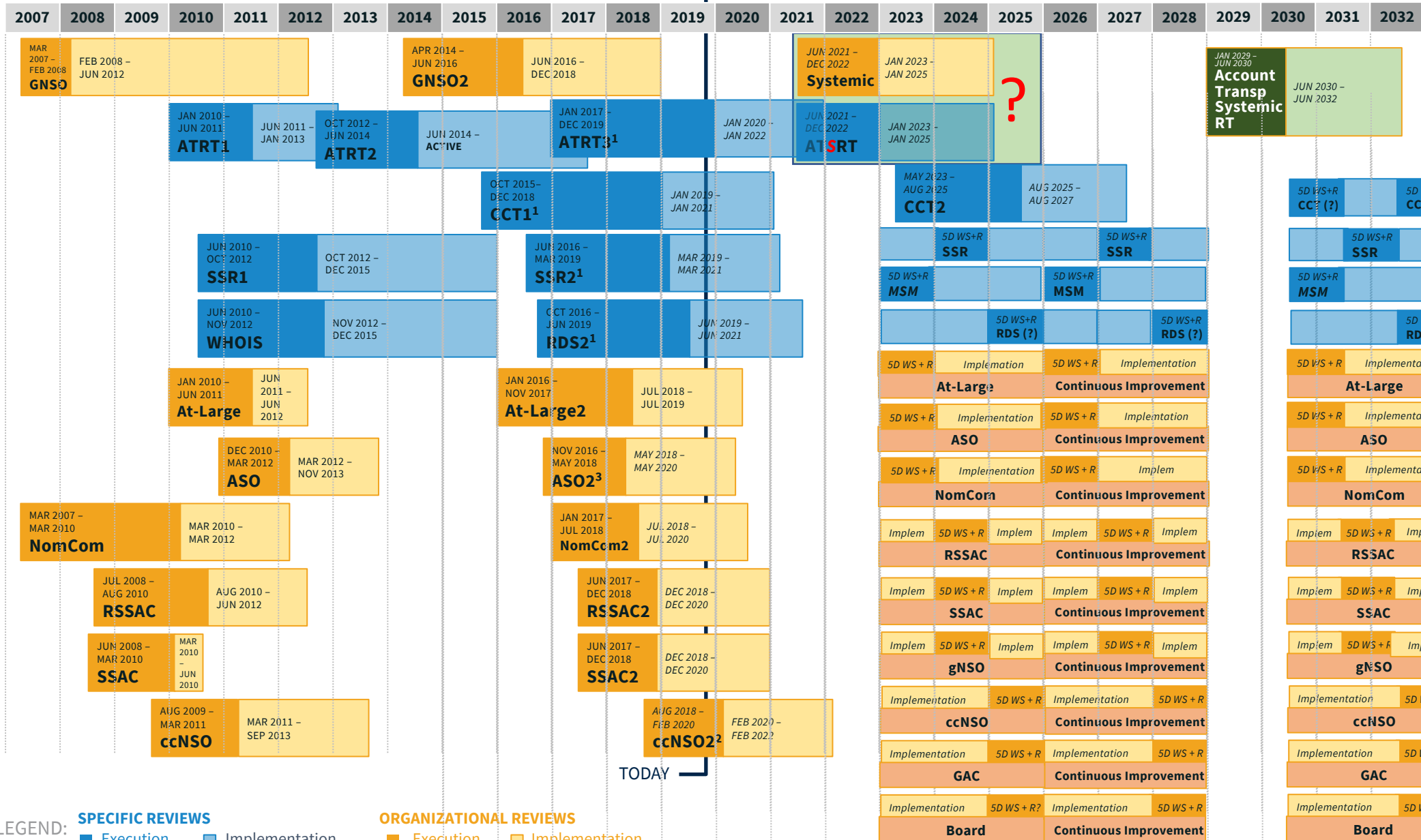
2. Effectiveness
   1. Shepperd for each recommendation will help to assess effectiveness

3. Next steps
   1. When ATRT3 will have exploited the comments and take a decision on the "best" solution, the team will have get some details on how all this will work (we can start before to be ready on time).

Reviews Multiyear Timeline (From ATRT3)

Based on version Revised October 2018 / Draft proposal 27Nov2019