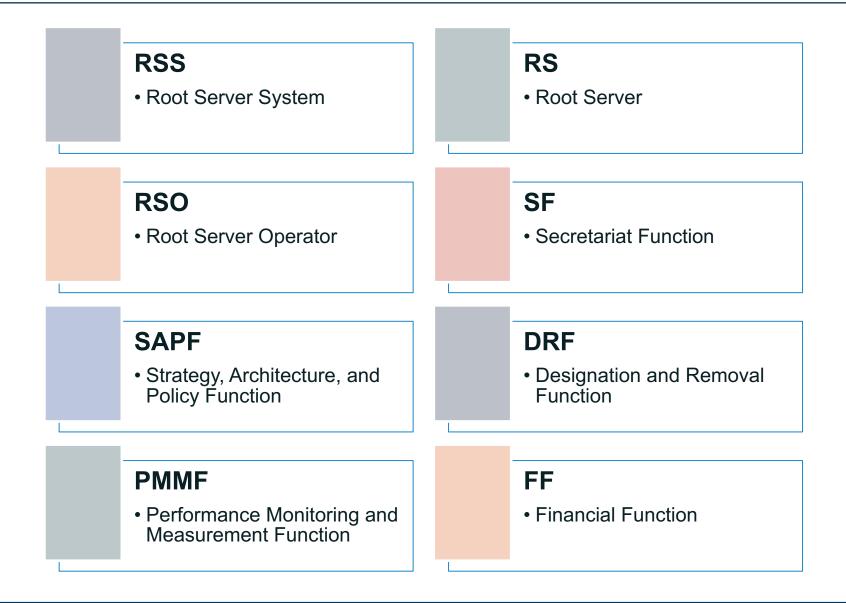
# A Proposed Governance Model for the DNS Root Server System



#### **A Few Acronyms**





#### **Setting the Context and Expectations**

3-year Effort

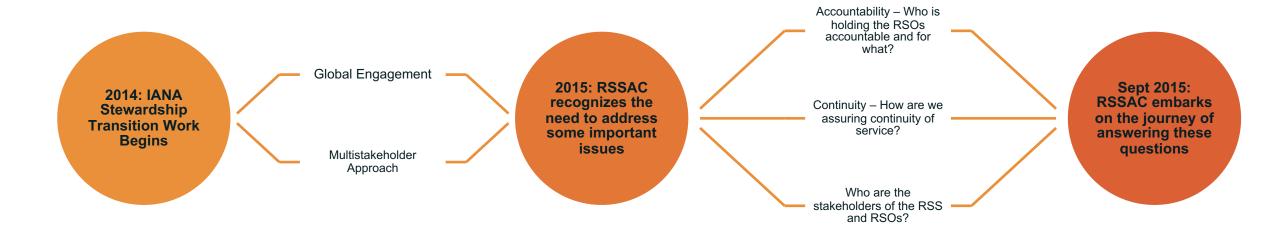
New work with RSSAC focus only

An initial draft model

RSSAC is providing a starting point

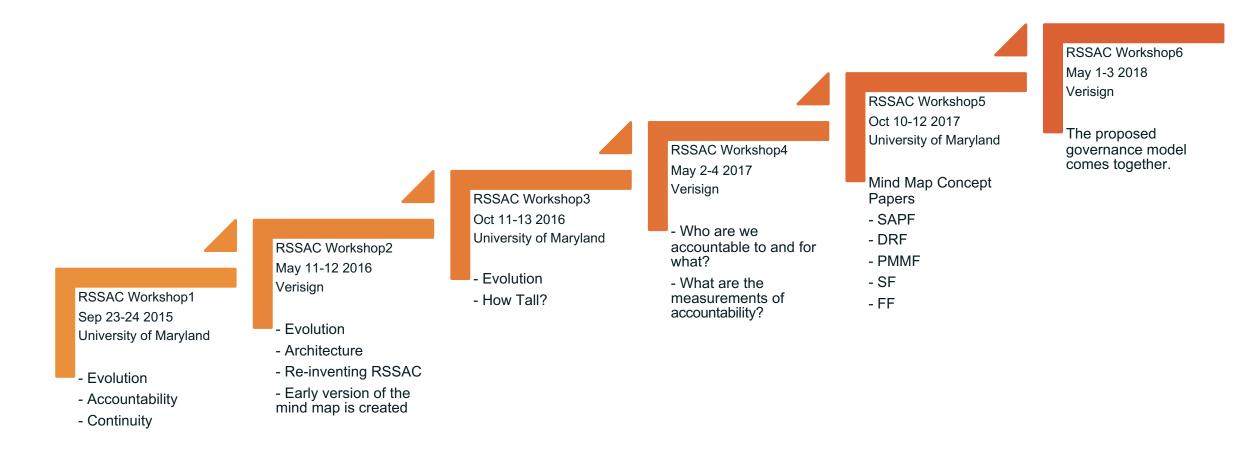


#### **Our Initial Impetus**



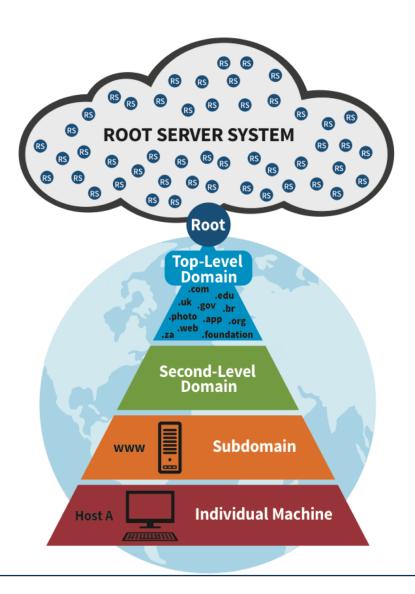


#### **Workshop Timeline and What Happened**





#### **Global DNS Root Service**



## 1000+ DNS root server instances in the global DNS root cloud

- 1. Cogent Communications
- 2. ICANN
- 3. Internet Systems Consortium
- 4. NASA Ames Research Center
- 5. Netnod
- 6. Réseaux IP Européens Network Coordination Centre
- 7. University of Maryland
- 8. University of Southern California, Information Sciences Institute
- 9. U.S. Department of Defense Network Information Center
- 10.U.S. Army Research Laboratory
- 11. Verisign, Inc.
- 12.WIDE Project and Japan Registry Services



#### **Root Server System Principles**

•To remain a global network, the Internet requires a globally unique public namespace.

Principle 1

 IANA is the source of DNS root data.

Principle 2

 The RSS must be a stable, reliable, and resilient platform for the DNS service to all users.

**Principle 3** 

•Diversity of the root server operations is a strength of the overall system.

Principle 4

 Architectural changes should result from technical evolution and demonstrated technical need.

Principle 5

•The IETF defines technical operation of the DNS protocol.

Principle 6

RSOs must operate with integrity and an ethos demonstrating a commitment to the common good of the Internet.

**Principle 7** 

•RSOs must be transparent.

**Principle 8** 

 RSOs must collaborate and engage with the stakeholder community.

Principle 9

•RSOs must be autonomous and independent.

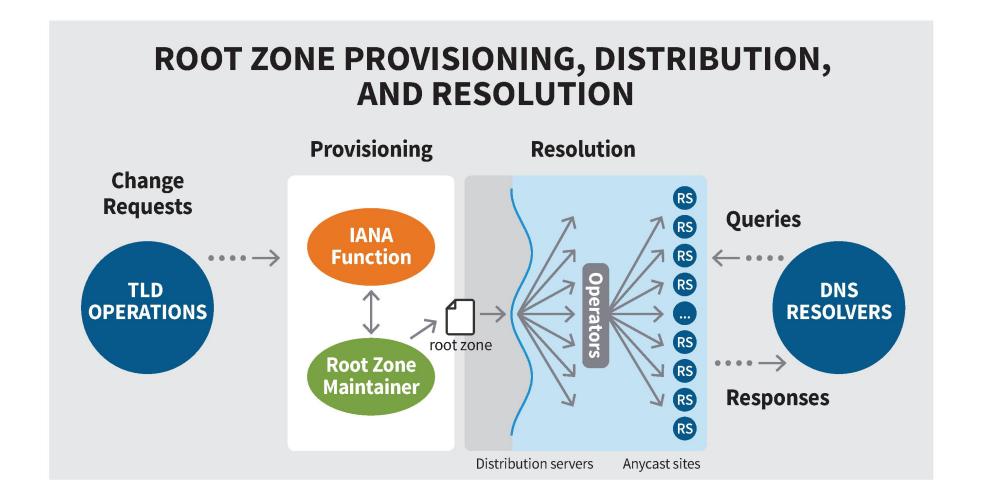
Principle 10

•RSOs must be neutral and impartial

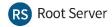
**Principle 11** 



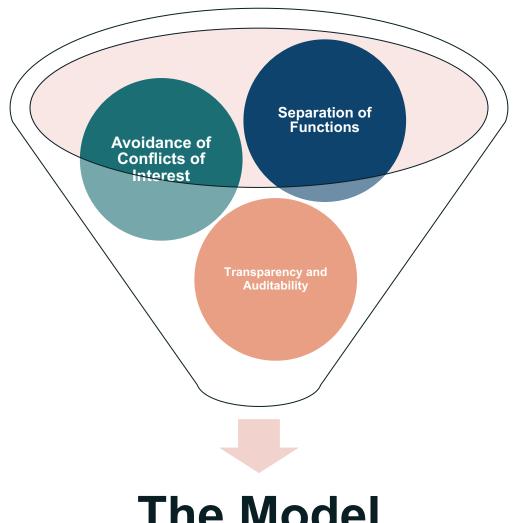
#### **Scope of Proposed Model**







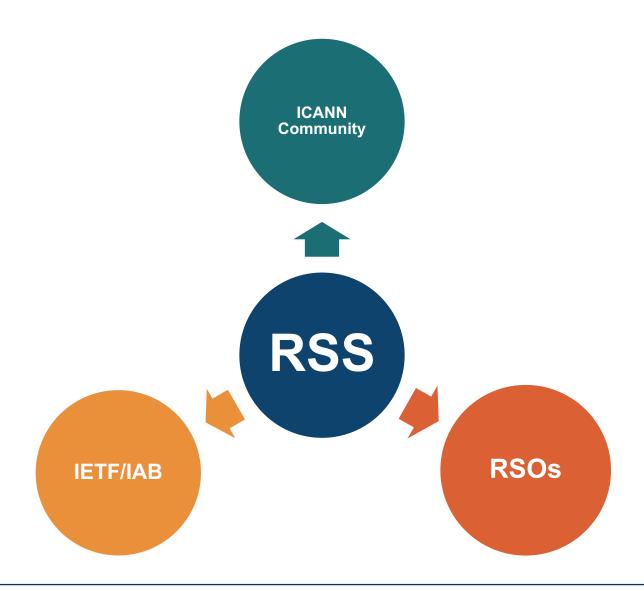
#### **Model Design Principles**







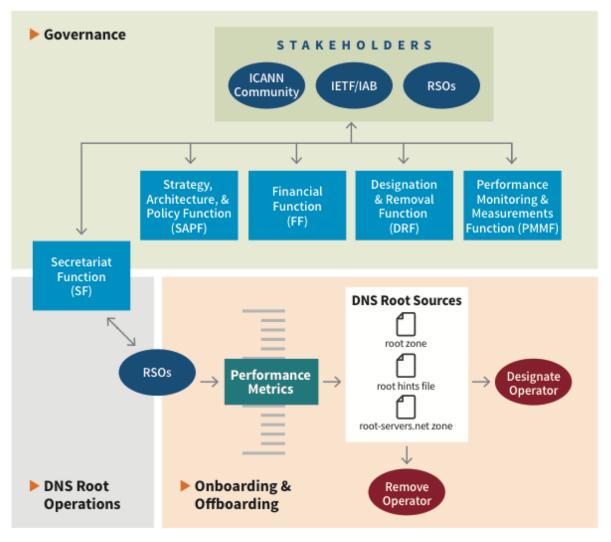
#### **Stakeholders**





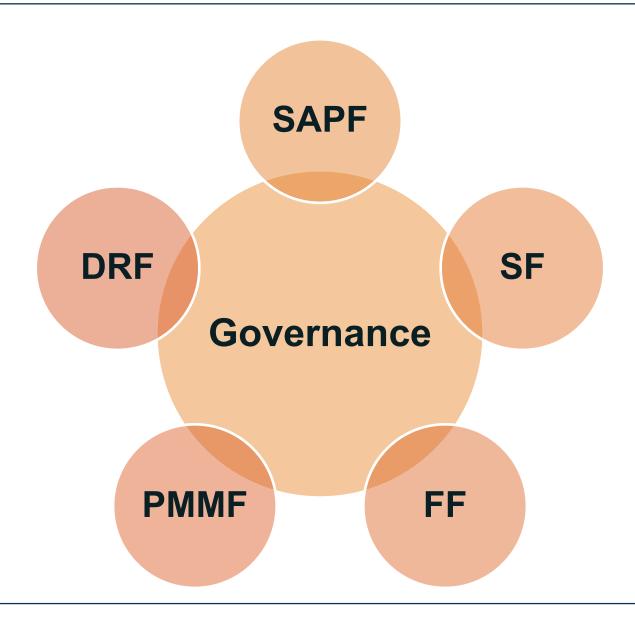
#### Governance: An Interplay of Three Constructs Operating in Parallel

#### THE MODEL



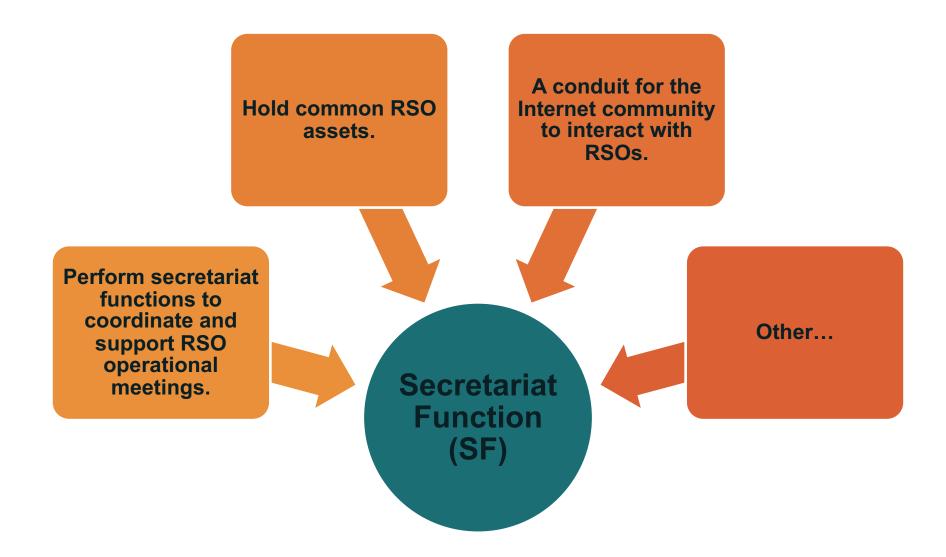


### **Governance: A Balance and Interplay of Separate Functions**





#### **Secretariat Function (SF)**





#### **Strategy Architecture and Policy Function (SAPF)**

#### Strategy

Coordinating with other stakeholders a strategic vision for the RSS. Examples of such groups include the ICANN Board, IETF/IAB, SSAC, and RZERC.

Strategizing about how to incorporate emerging technologies and how to sunset those technologies that are becoming obsolete.

...and many other responsibilities.

#### Architecture

Ensuring that the guiding principles of the RSS and RSOs remain embedded in technical and operational architectures.

Defining system-wide, externally verifiable metrics to demonstrate that the RSS as a whole is online.

...and many other responsibilities.

#### Policy

Operationalizing the minimum levels of performance developed in the SAPF architecture stream, and communicating this information to the PMMF.

Articulating policy on handling any grievances concerning an RSO or the RSS.

...and many other responsibilities.



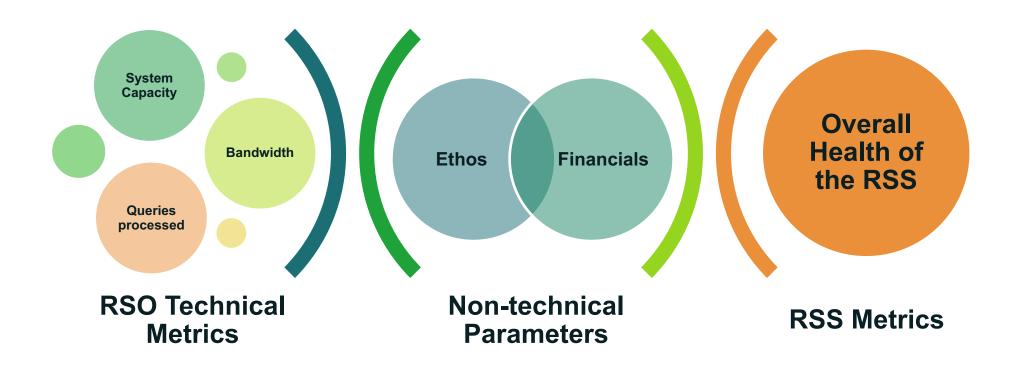
#### **Designation and Removal Function (DRF)**





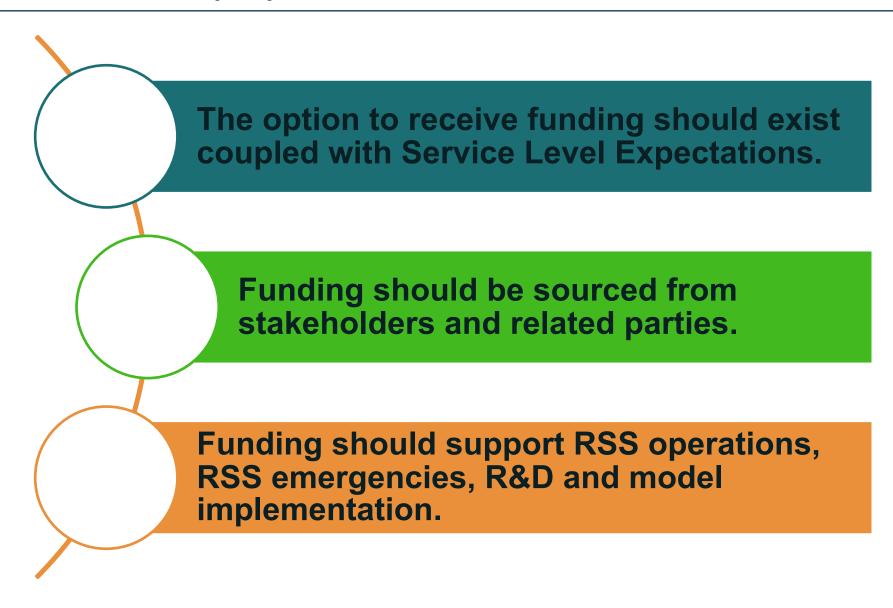
#### Performance Monitoring and Measurements Function (PMMF)

A sample of what could be measured and monitored



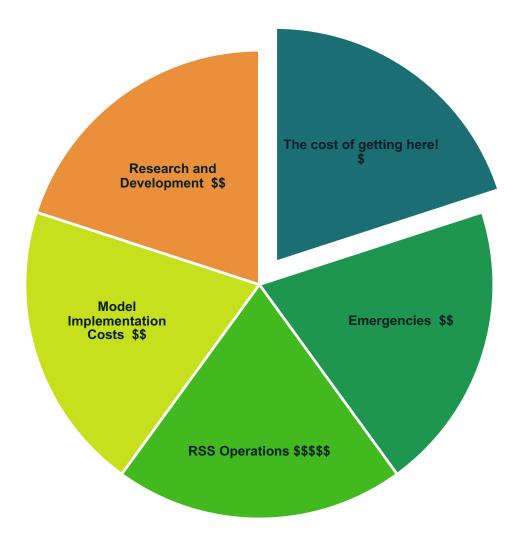


#### **Financial Function (FF)**



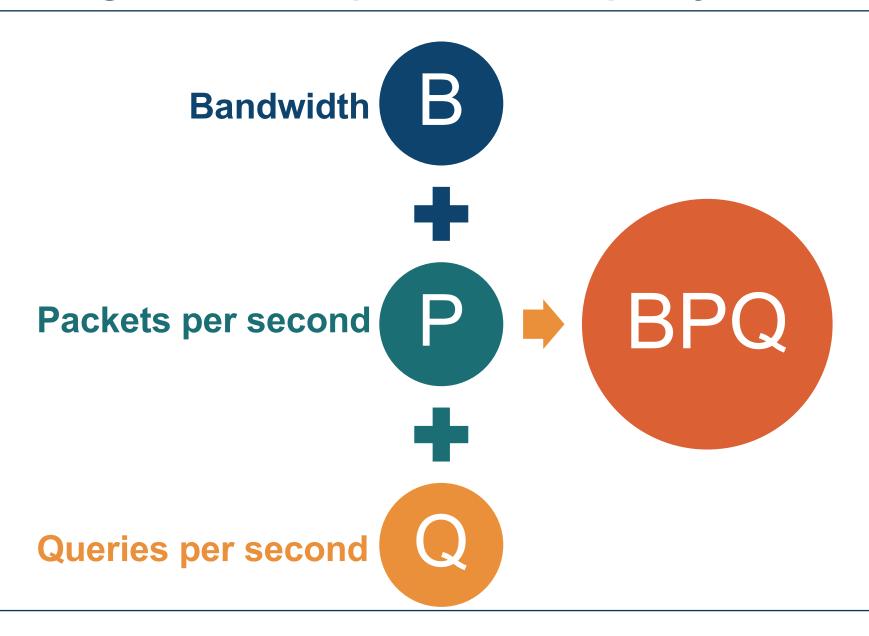


### **Financial Function (FF)**





#### Introducing BPQ – A Proposed RSS Capacity Indicator





#### **Determining the Cost for the Value of BPQ**





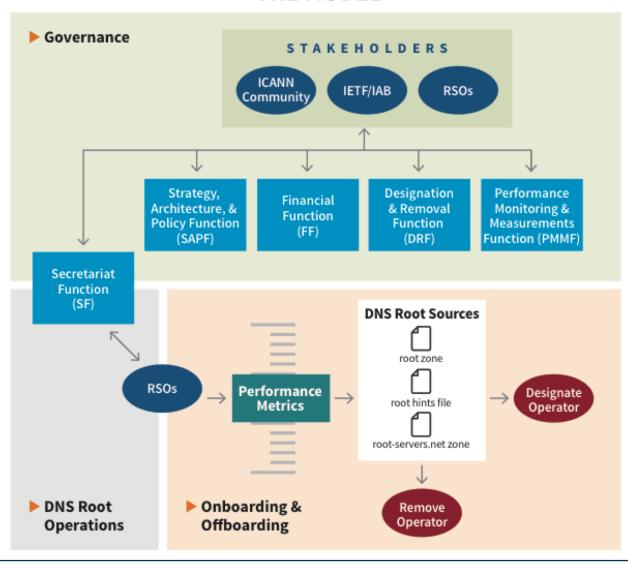
#### **Estimated Cost of the Model**





#### Manifesting the Model: A Set of Three Recommendations

#### THE MODEL





#### **RSSAC038 Recommendations**

# Recommendation 1

 The RSSAC recommends that the ICANN Board initiate a community process to produce a final version of the Model for implementation.

# Recommendation 2

 Use the provided methodology (or a similar one) to cost out the implementation and operations of the Model.

# Recommendation 3

 Implement the Model based upon the principles of accountability, transparency, sustainability, and service integrity.

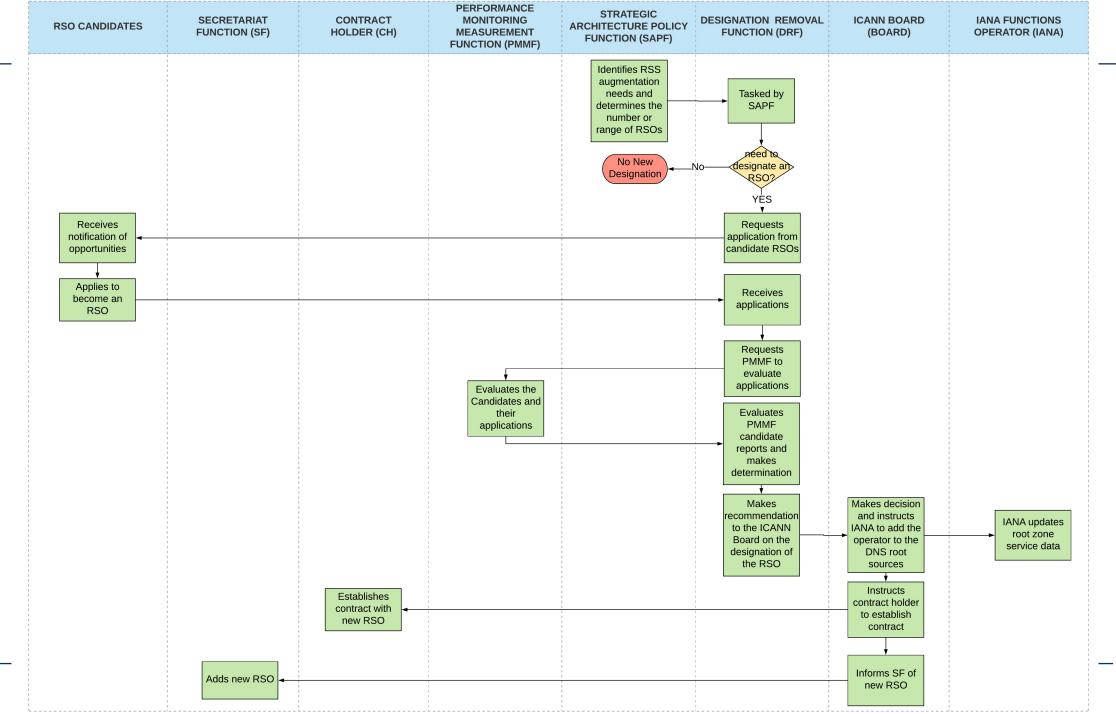
#### **Scenarios – Testing the Model**

- 1. Designation
- 2. Voluntary Resignation
- 3. Poor Performance
- 4. Catastrophic Shutdown
- 5. Rogue Operator



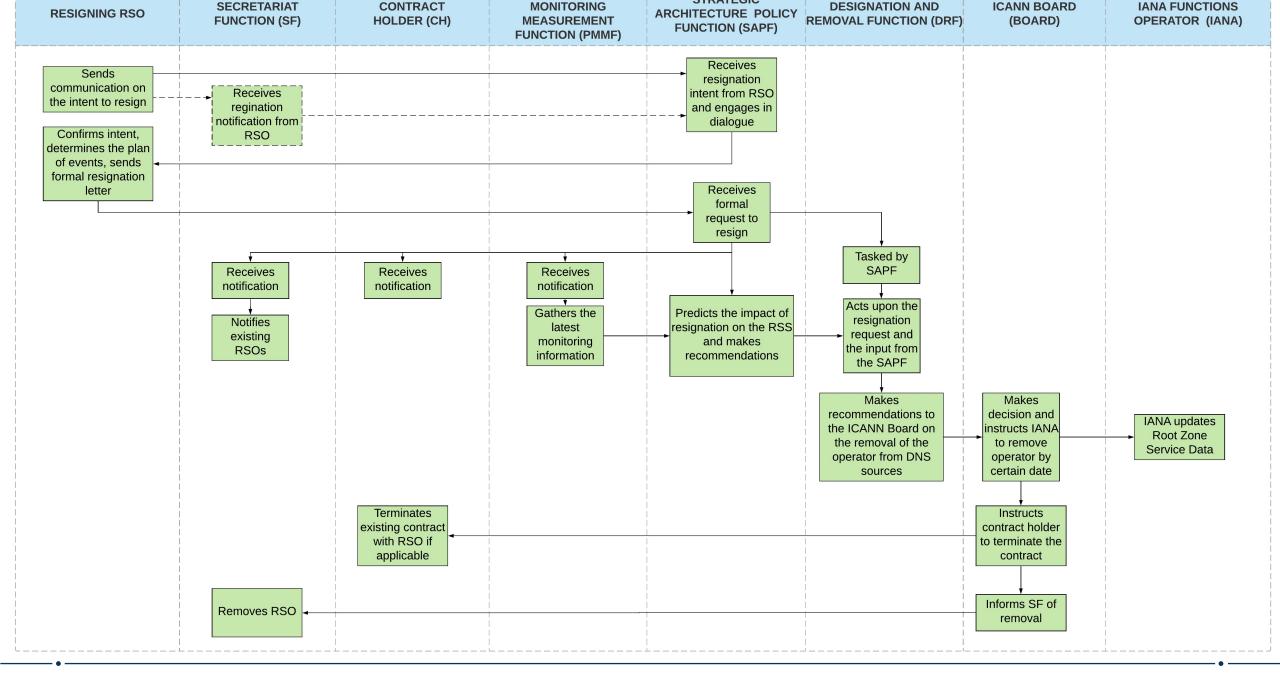
## 1. Designation





### 2. Voluntary Resignation

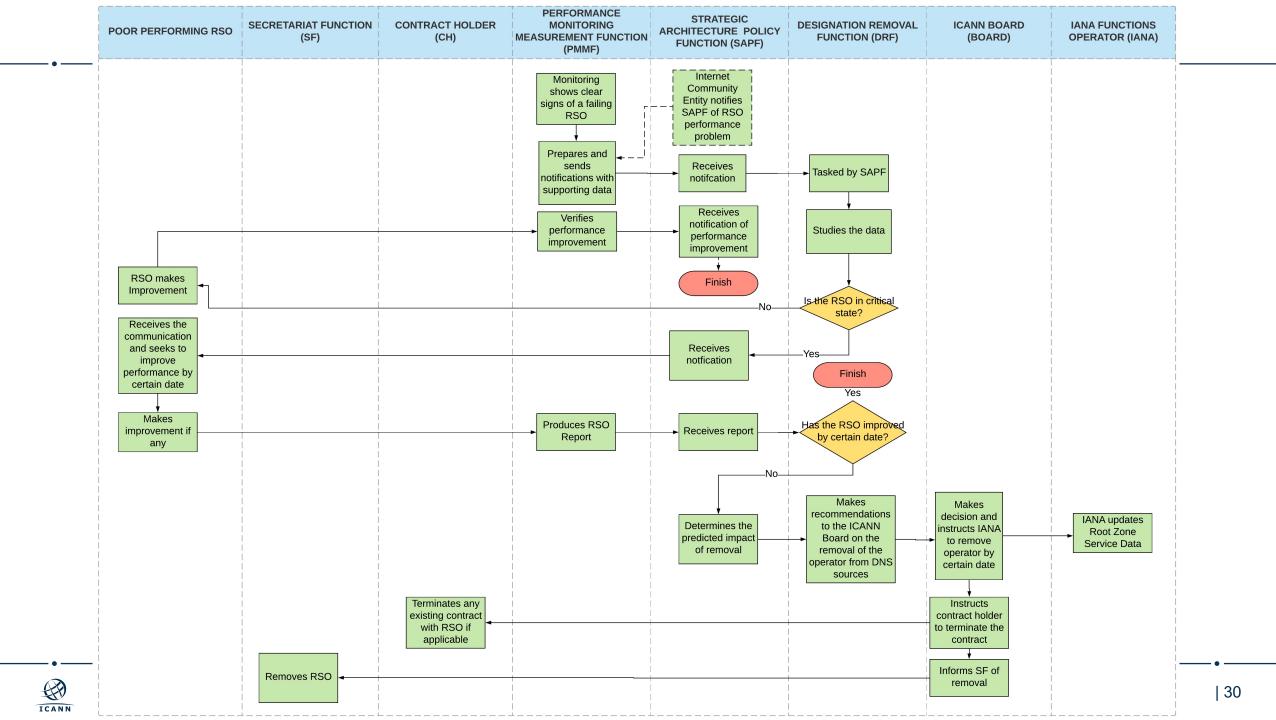






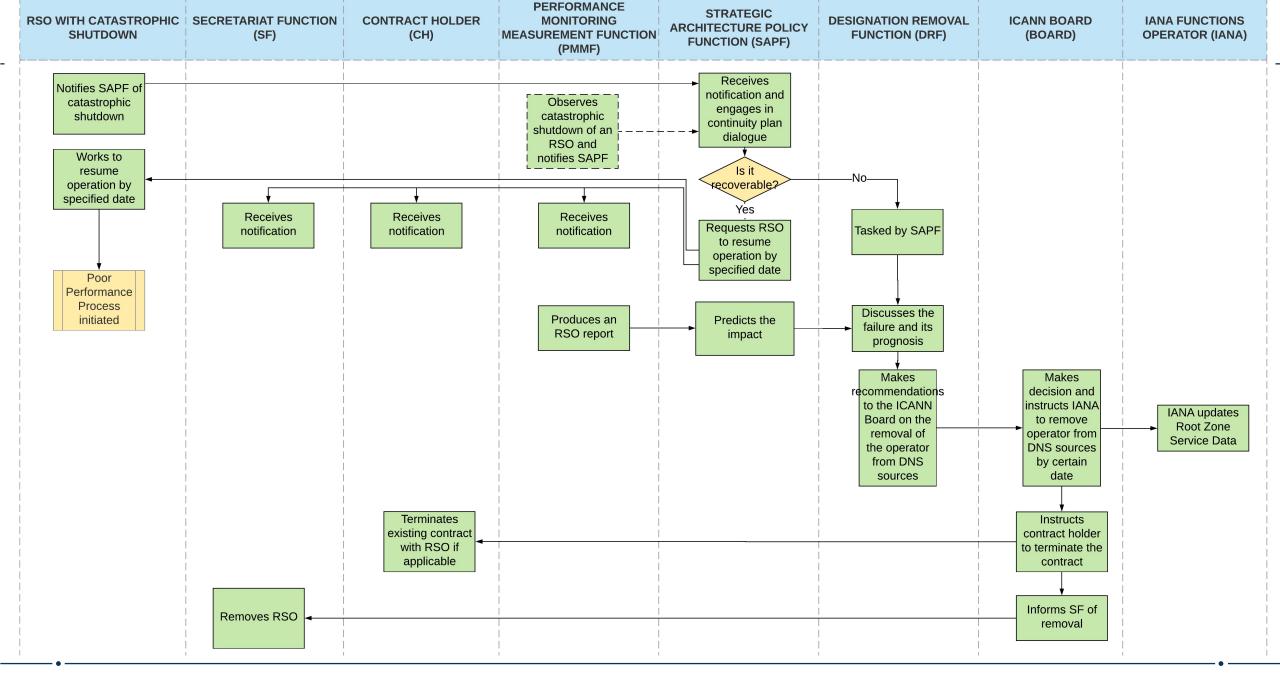
#### 3. Poor Performance





### 4. Catastrophic Shutdown

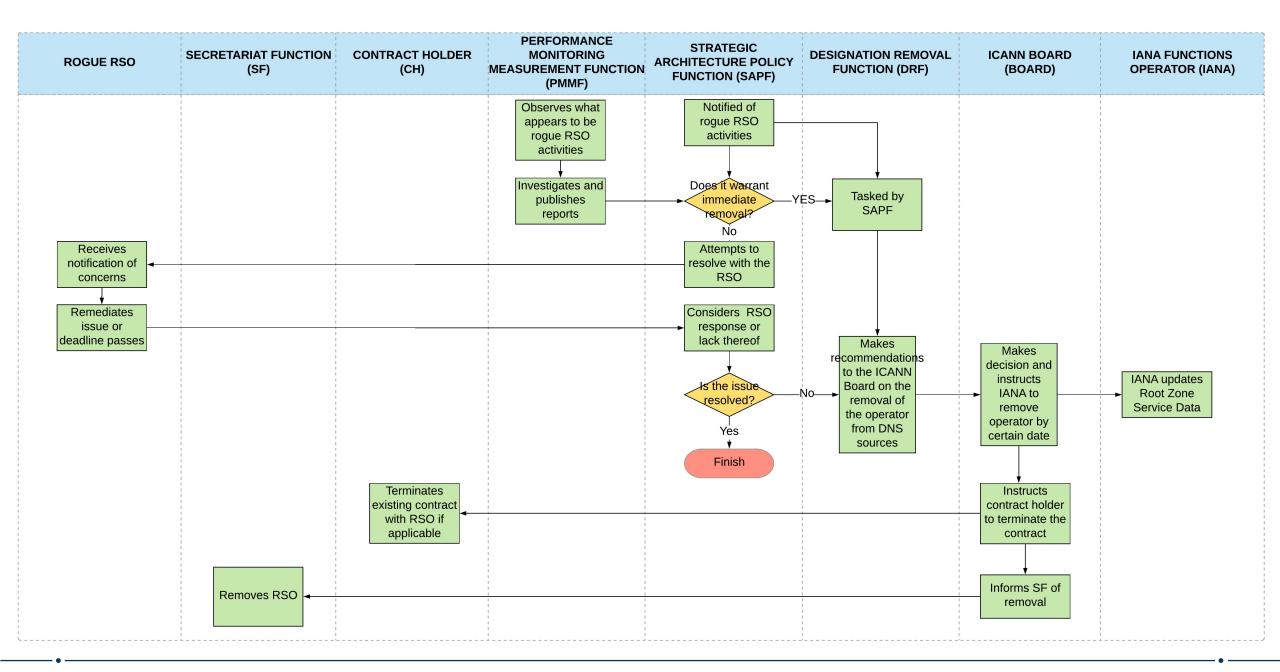






### **5. Rogue Operator**







# Thank you.

Questions?





