Joint Meeting of the ICANN Board with GAC

5 November 2019

Community, Board and ICANN org readiness to implement the following three critical plans that will shape ICANN's future. : (1) Strategic Plan FY 21-25, (2) Operating & Financial Plan FY 21-25, and (3) Work Plan to improve the effectiveness of ICANN's multistakeholder model.

Strategic Plan for Fiscal Years 2021 to 2025

New VISION:

To be a champion of the single, open, and globally interoperable Internet, by being the independent, trusted, multistakeholder steward of the Internet's unique identifiers, and by providing an open and collaborative environment where diverse stakeholders come together in the global public interest to:

- Secure operational excellence in the stewardship of the IANA functions.
- Continuously improve the unique identifier systems.
- Strengthen the security of the Domain Name System (DNS) and the DNS Root Server System.
- Evolve ICANN's governance model to be increasingly effective, transparent, and accountable.
- Improve the effectiveness and inclusiveness of ICANN's multistakeholder policy development processes.
- Anticipate and manage the impact of legislation and regulation.
- Ensure that ICANN is technically robust and financially sustainable.

Strategic Plan for Fiscal Years 2021 to 2025

New STRATEGIC OBJECTIVES:

- **On Security: Strengthen the security of the Domain Name System and the DNS Root Server System.**

- **On Governance: Improve the effectiveness of ICANN's multistakeholder model of governance.**

- **On Unique Identifier Systems: Evolve the unique identifier systems in coordination and collaboration with relevant parties to continue to serve the needs of the global Internet user base.**

- **On Geopolitics: Address geopolitical issues impacting ICANN's mission to ensure a single and globally interoperable Internet.**

- **On Financials: Ensure ICANN's long-term financial sustainability.**

Readiness for Implementing Plans

- Three plans that will shape ICANN's future:
  - 5-year **Strategic Plan** FY21- FY25
  - 5-year **Operating & Financial Plan** FY21 – FY25
  - Work Plan for Improving the **Effectiveness of ICANN's Multistakeholder Model.**
- Bylaws mandate that plans are effective **1 July 2020**.
- Community, Board and ICANN org must prepare thoroughly during **first six months of 2020**, for successful implementation of the the three plans.
- Board asked for community input at ICANN64 on how this **"readiness work"** gets done and what responsibilities each of the Community, Board, and ICANN org have for seeing this through.
- Community's synthesized input appears on the following three slides.

Board - suggested actions:

1. Demonstrably **championing** the new ICANN **Vision**.

2. **Aligning its work** with the Strategic Objectives.

3. Engaging everyone (Board/Org/Community) in **getting ready** for successful implementation.

4. Providing **ongoing oversight** of the implementation of the three plans.

5. Ensuring the new Strategic Plan is a living document and not forgotten in a drawer. The Board must engage the community in a **review** of the Strategic Plan at **regular intervals** and adjust it if necessary.

ICANN Org - suggested actions:

1. Serving as the **implementation manager** for all three plans by:
   a) Developing detailed plans plan and supporting transparent processes.
   b) Demonstrably aligning its work with specific plan objectives.
   c) Providing CEO level leadership.
   d) Engaging with the SOs, ACs, and other community mechanisms.

2. Tightly control **ICANN's operating expenses** to ensure ICANN's long-term financial sustainability.

3. Engaging with **the appropriate partners** (e.g. RIRs, root server operators, and the IETF) to achieve common goals within ICANN's remit, particularly in addressing security issues.

4. Providing resources needed to anticipate, understand, and respond to changes in the global **legislative and regulatory** environment.

5. Ensuring the **yearly Operating Plan & Budget** is consistent with 5-year strategy.

6. Provide regular **progress reports** on the implementation of the three plans.

Community - suggested actions:

1. Ensuring a community wide **buy-in** of the new Vision and Strategic Plan (i.e. walk the talk).

2. **Aligning SO/ACs work** to the strategic objectives of the plan and working with ICANN Org to achieve the plans' objectives.

3. Striving to commit and successfully execute on the workplan to improve the **effectiveness of the multistakeholder** model.

4. Reviewing community processes to stay current **with external trends** impacting ICANN's future and participating in the review of the Strategic Plan at regular intervals.

5. Becoming **more productive** in the implementation of the plans:

   o Increasing the pool of volunteers (who actually do the work) by engaging new and diverse volunteers.

   o Delivering timely & effective recommendations, policies and advice.

   o Fostering awareness about efficient use of ICANN's resources.

1. GDPR/WHOIS Matters -

Regarding this important community topic, GAC members will share with the Board:

· GAC expectations for concluding the EPDP Phase 2 effort in a timely manner;

· GAC support for ICANN seeking greater clarity and guidance from European Data Protection Authorities; and

· GAC support for ICANN to express the expectation that a process for developing and implementing an access/disclosure model be articulated and agreed including an anticipated deadline for completing.

2. DNS Abuse Mitigation -

As it sets out to implement new strategic objectives relating to DNS Abuse, can the Board elaborate on the operational steps it intends to take to:

1. promote "a coordinated approach to effectively identify and mitigate DNS security threats and combat DNS abuse"? and

2. maintain itself as a "source of unbiased, reliable, and factual information on DNS health"? In particularly does ICANN intend to take steps to:

   a.   increase transparency about actors responsible for systemic abuse (especially in   connection with DAAR and ICANN Compliance complaints   and dispositions)?; and

   b.   convene relevant stakeholders for discussions on new contractual provisions in ICANN's contracts, consistent with the relevant CCT Review Recommendations?

3. Addressing the recommendations of the CCT review, and other relevant reviews, before proceeding with a Subsequent Round of new gTLDs

In the ICANN63 Kobe Communiqué, the GAC recalled its previous advice regarding subsequent rounds of new gTLDS from the ICANN56 Helsinki Communiqué, which stated that the development of policy on further releases of new gTLDs needed to fully consider all the results of the relevant reviews and analyses to determine which aspects and elements need adjustment. The GAC advised the Board to address and consider the results and concerns of these reviews before proceeding with new rounds.

To what extent does the Board think the recommendations of the CCT Review, and other relevant reviews, have been addressed?

When does the Board anticipate that these recommendations will be implemented?

And how will this timing impact the timing for any subsequent new gTLD rounds?

"What can the Board do to help ensure that the CCT Review recommendations aimed at other parts of the community are implemented?"

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