

PTI and IANA Budget Webinar

August 13, 2019

Kim Davies
President, PTI

Becky Nash
Treasurer, PTI

PTI | An ICANN Affiliate

Agenda

- Introduction
 - Bylaws Requirement
- Process Overview
 - Planning Process
 - Budget structure and deliverables
 - Development timeline
- Discussion of Priorities
 - Budget Assumptions
 - Potential Work
- Feedback and Questions

Introduction

- We are seeking initial feedback to help formulate a draft FY21 budget for Public Technical Identifiers and the IANA functions.
- This feedback is being obtained through:
 - Direct engagement with individual constituencies (June and July);
 - Today's webinar (August 13); and
 - Any followup communication directed to our team in the coming weeks.
- This will be input to a draft budget for community public comment.
 - This is not your only opportunity to comment, but will help frame the priorities we put in the proposed budget.

Bylaws requirements

At least nine months prior to the commencement of each fiscal year, the Corporation shall submit to the PTI Board and the Board of Directors of ICANN a proposed annual operating plan and budget for the Corporation's next fiscal year ("Annual Budget").

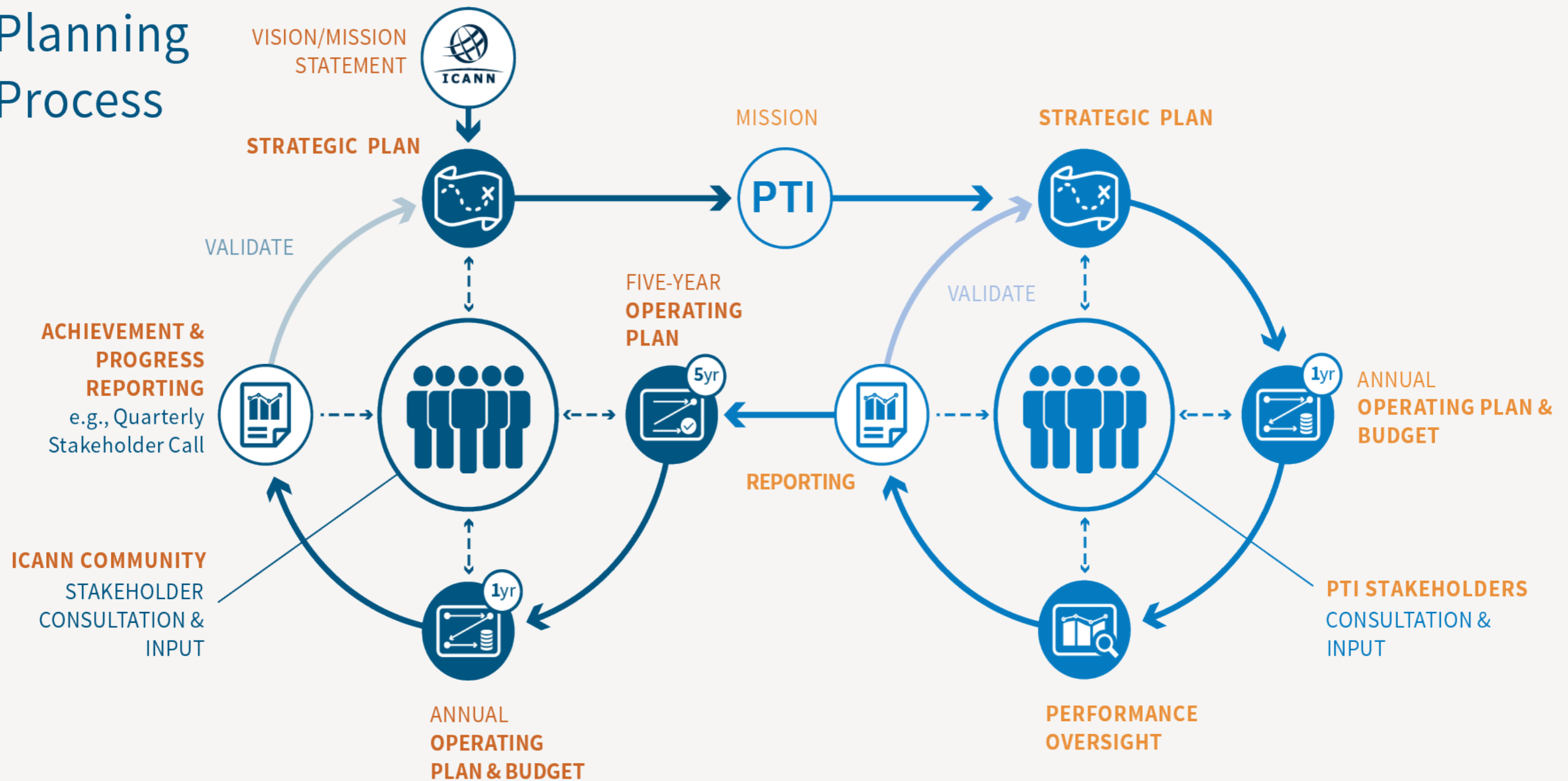
- Fiscal Year 2021 Budget covers 1 July 2020 — 30 June 2021.

During the Annual Budget development process, and prior to approval of the Annual Budget by the PTI Board, the Corporation shall consult with the Supporting Organizations and Advisory Committees, as well as the Registries Stakeholder Group, IAB and RIRs (all as defined in the ICANN Bylaws).

- We need your feedback on priorities that need to be reflected in our draft budget

Process Overview

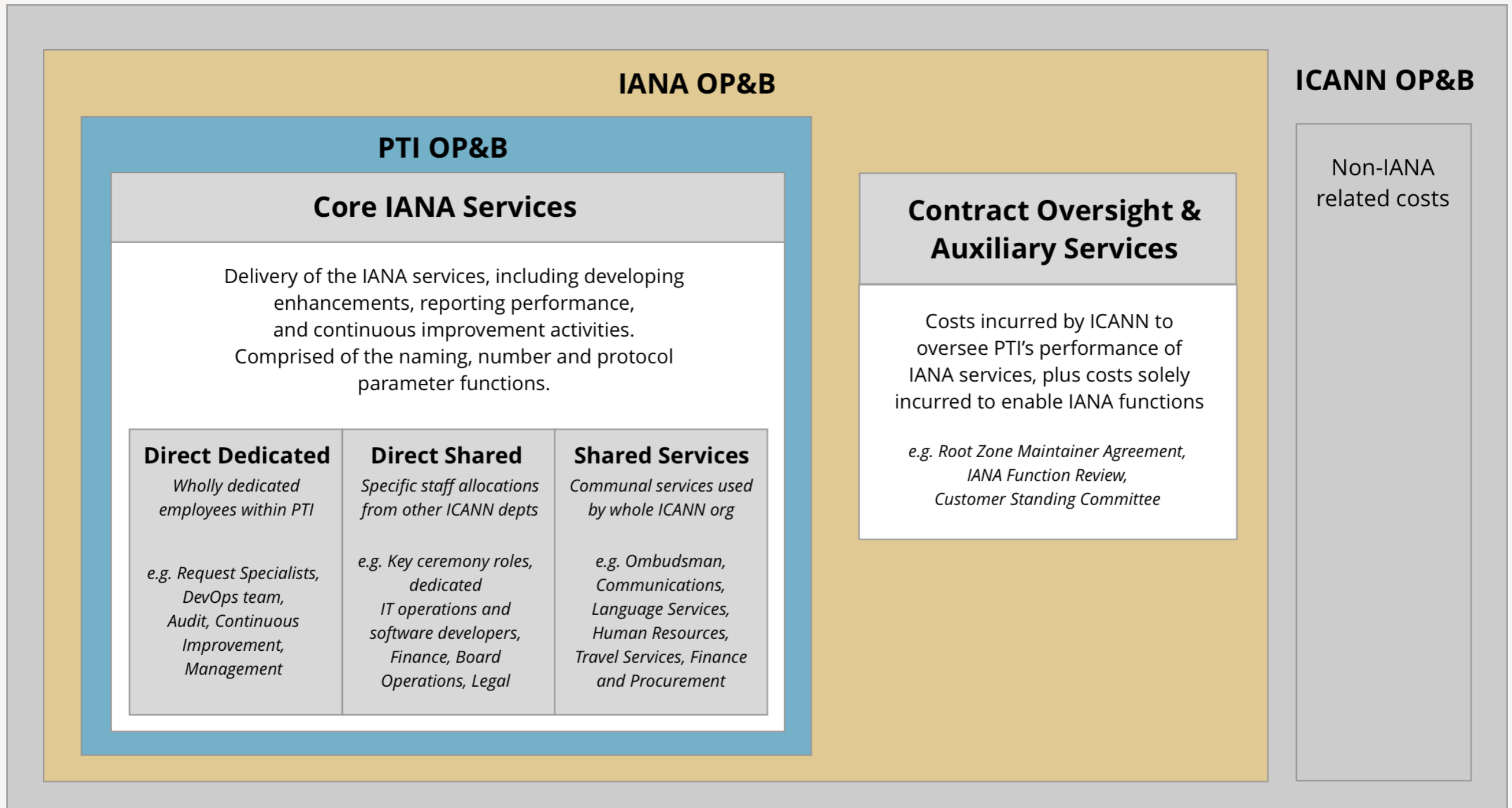
Planning Process



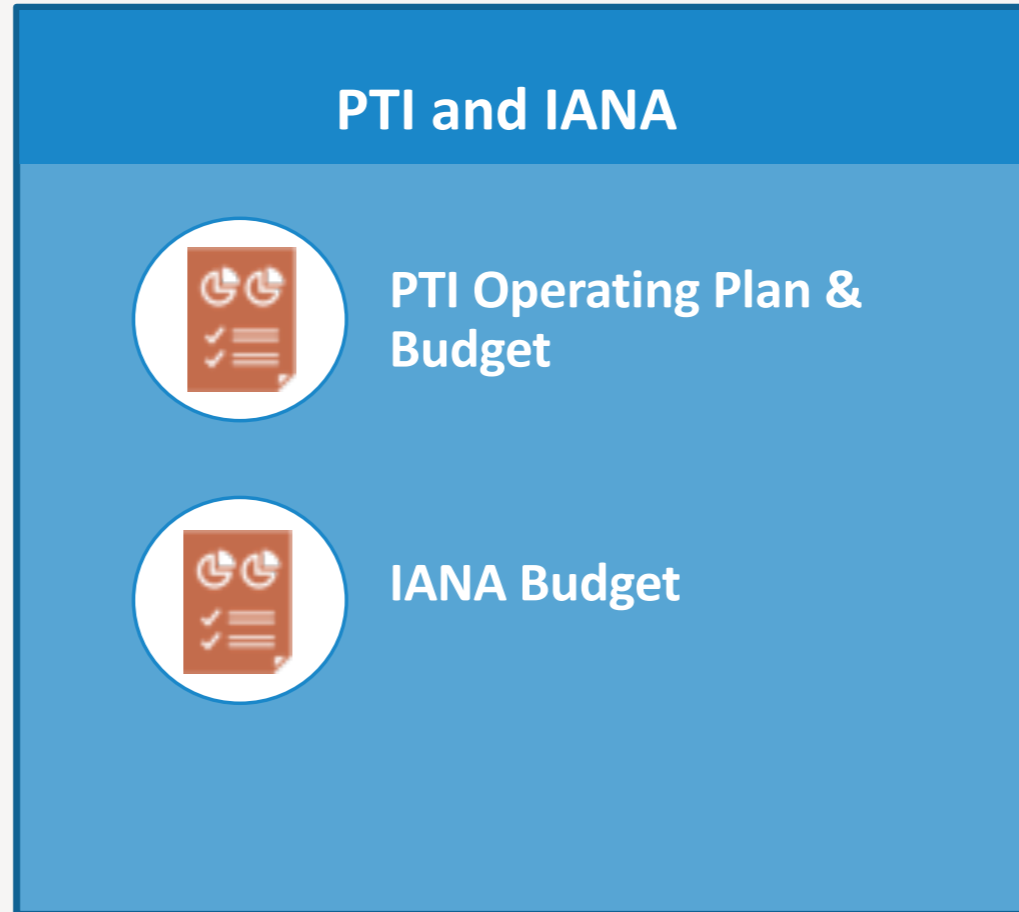
Planning Process

- The FY21 PTI Operating Plan is informed by and aligns with the ICANN Strategic Objectives developed by a community driven bottom up process.
 - PTI's strategic goals are a part of ICANN's strategic goals.
 - PTI's multiyear plans are a part of ICANN's Five-Year Operating Plan.
- The adopted version of this Plan will become a component of ICANN's FY21 Operating Plan and Budget

PTI and IANA Plan and Budget — Structure

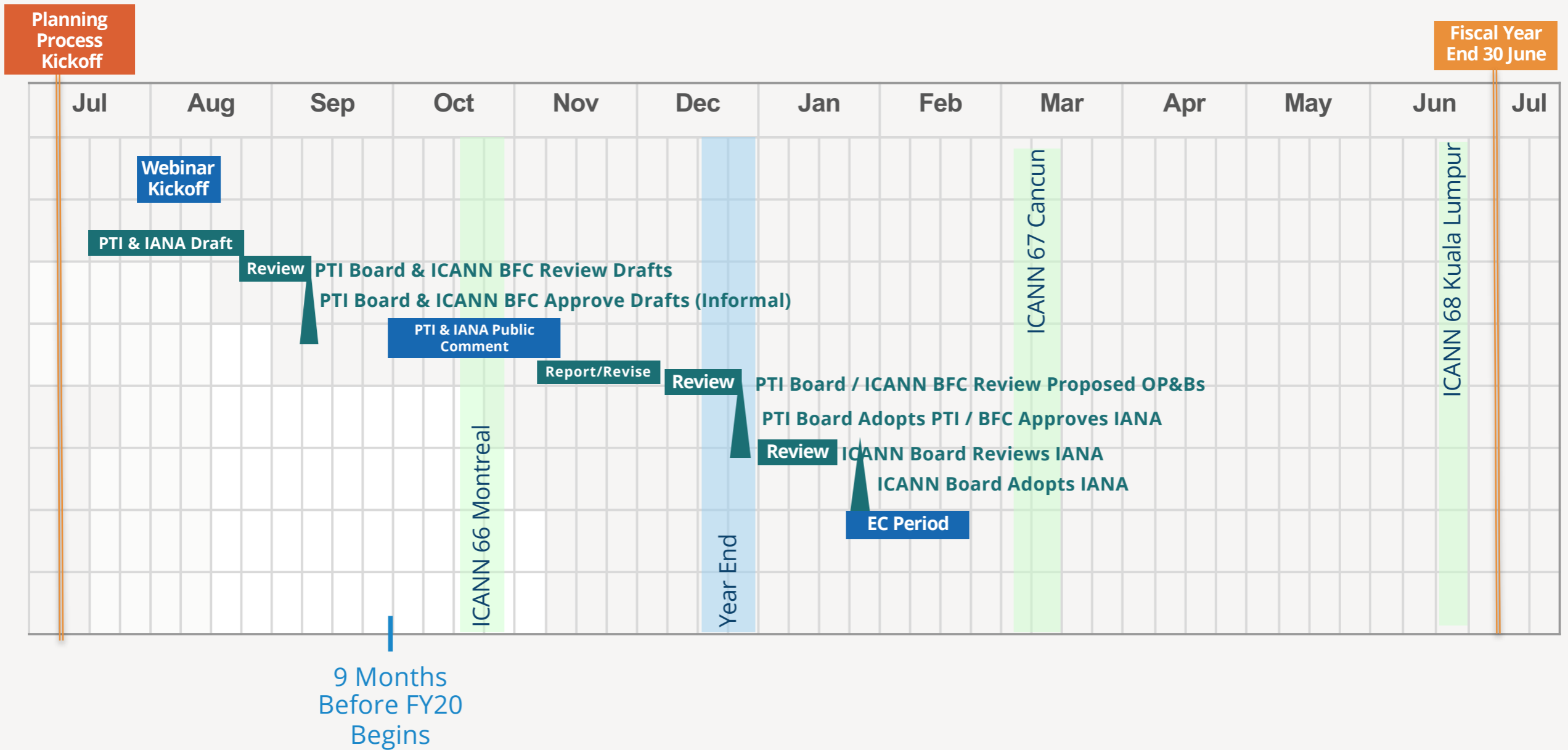


Deliverables



Document Name	Description of Contents
FY21 PTI Operating Plan	Summary of the work planned for the fiscal year 2021
FY21 PTI Budget	Financial Summary of activities planned for the fiscal year 2021
FY21 IANA Budget	Summary of the work planned for the fiscal year for IANA including ICANN funded expenses

Planning Timeline



- PTI/IANA
- ICANN
- Community

Planning Timeline

Step	Dates	Action By	Note
Community Consultation Webinar	13 Aug 2019	PTI Staff	
PTI OP&B DRAFT Submitted to PTI Board	23 Sept 2019	PTI Board	Per Bylaws, a draft budget is to be submitted to the PTI Board at Least 9 Months Before Next Fiscal Year
IANA OP&B DRAFT Submitted to ICANN BFC	26 Sept 2019	ICANN BFC	The ICANN BFC is informed of the PTI Draft OP& Budget and reviews the IANA Budget prior to public comment
PTI & IANA Budget Public Comment	30 Sept – 12 Nov 2019	Community	44 Days Public Comment period
PTI Staff Report on Public Comments Published	3 Dec 2019	PTI Staff	Report on Public Comment published within 30 days of public comment period closing
PTI Board Adopts PTI OP&B	9 Jan 2020	PTI Board	Before ICANN Public Comment Begins (Input to ICANN OP&B Per Bylaws)
ICANN BFC recommends IANA Budget Approval	10 Jan 2020	ICANN BFC	ICANN BFC recommends to the ICANN Board to approve
ICANN Board Adopts IANA Budget	Feb 2020	ICANN Board	EC Period 28 Days. Budget effective for FY21 in Mar 2020

FY21 Budget Assumptions

- **Customers are happy with service**
 - Customer Standing Committee found service delivery was satisfactory or higher for all 12 months of past year
 - Surpassed our IETF MOU SLA for all 12 months of past year
 - Met our Numbering SLA for all 12 months of past year, annual review identified no issues
 - Received our highest rating in our annual survey to date
 - Newly introduced post-ticket survey: early results are highly positive

FY21 Budget Assumptions

- **No fundamental changes are required beyond ongoing refinement and renewal of service delivery.**
 - Two primary multi-year development activities
 - Root Zone Management System: total rewrite, with new authorization model, modular business model, and affordances for portfolio operators part of the expanded functionality
 - Protocol Parameter Workflow System: introduce a system for managing remainder of registries for IETF and RIRs, that models the discrete business processes and manages the authoritative records in our registries. Comparable to RZMS and IETF Datatracker
 - Some tools and the IANA website in general is showing its age and needs refinement
 - Other tools and systems developed in response to evolving policies and Internet standardizations activity. Team proactively participates in groups to identify need early and plan for implementation.

FY21 Budget Assumptions

- **Stable headcount and funding**

- Increasing volume of change requests year-on-year expected to be offset with increased efficiencies through automation, process refinement and optimization, and seeking clarification on policies.
- System and tool development activity to be undertaken through core team of technical staff within IANA team, a resource allocation from ICANN through shared services agreement, with augmentation through narrow contracts with external vendors
- PTI expected to maintain direct head-count of 18 FTE.

FY21 Budget Assumptions

- **New areas of activity elsewhere in ICANN foreseen that involve adapting/expanding existing processes and systems:**
 - TLD variants
 - Future round of gTLDs (expected costs to be funded by that program)

Potential work with fiscal impact

- **Root Zone Management System** development and maintenance
- **Protocol parameter management system** development
- **IANA website** significant refresh
- **Variant TLDs** implementation
- **KSK rollover** increased cadence

Feedback Welcome

kim.davies@iana.org
becky.nash@icann.org