



UPDATE & CONSULTATION ON New gTLD Subsequent Procedures

APPLICATION CHANGE REQUESTS

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SNAPSHOT OF KEY ELEMENTS

Application Change Requests



ALAC STATEMENTS have touched on:

- Implementation Guidance for Change Requests intended to resolve string contention sets only
 - What should be allowed
 - How to handle such requests



RELATED SubPro Areas/Topics include:

- String Contention resolution
- Name Collision risk assessment
- Role of public comment
- Objection procedures



COMPETITION, CONSUMER CHOICE & TRUST (CCT) RECOMMENDATIONS

- None

- SubPro WG deliberations on public comments to Initial Report on topic of Application Change Requests targeted for 8 Aug 2019

SNAPSHOT OF SUBPRO WG DELIBERATIONS

Application Change Requests as at 6 Aug 2019

Operational Improvements

ALAC STATEMENT supports:

- Proposed operational improvements:
 - ICANN Org to provide guidance on changes likely to be approved and likely to not be approved
 - ICANN Org to state types of changes required to be posted for public comments or otherwise
 - AGB to state types of changes requiring re-evaluation of some/all parts of the application or otherwise
- Allowing changes to resolve string contention by (1) creating JV or (2) limited ability to select different string that must be closely related to original string, subject to:
 - Re-evaluation to ensure new JV entity meets program requirements with applicant carrying burden of any re-evaluation cost and accepting reasonable delay if need be
 - New string put through (a) name collision risk assessment, (b) public comment, (c) open to established Objection procedures

SUBPRO WG Deliberations:

High Level Agreement

- Maintain high-level, criteria-based change request process employed in 2012 with operational improvements.
 - ICANN Org to provide guidance on changes likely to be approved and likely to not be approved
 - ICANN Org to state types of changes required to be posted for public comments or otherwise
 - AGB to state types of changes requiring re-evaluation of some/all parts of the application or otherwise
- Allow application changes to support formation of JVs; ICANN Org may determine if re-evaluation needed in order to ensure new entity still meets program requirements; applicant to be responsible for any additional costs and accept reasonable delays

SNAPSHOT OF SUBPRO WG DELIBERATIONS

Application Change Requests as at 6 Aug 2019

Limitations, Use of Criteria, Use of Public Comment

ALAC STATEMENT supports:

- NOT allowing any changes:
 - which causes name collision risk; or
 - if new string is not closely related to original string as determined through expert/community input; or
 - If new string is an exact match to or is an IDN variant of an already-applied-for string; or
 - If new string is an IDN variant of a delegated string
- Consideration on case-by-case basis and on the merits of each case, using existing 7 criteria with 2 minor tweaks:
 - #1: Reasonable explanation – can be supplemented by letter of support from non-applicant interested stakeholder
 - #7: Timing – interference with evaluation process should carry least weight
- Importance in role of public comment in change requests

SUBPRO WG Deliberations:

High Level Agreement

- If change in string applied-for is because original string was in contention set, then the **new string should not create a new contention set or enter into another existing contention set.**

Outstanding Items

- Comments on criteria used to evaluate change requests
- Role of public comment, when public comment needed
- Additional guidance on management of potential risk due to changes