

ATRT3

Report Framework & Recommendation Requirements

ATRT3 Plenary



August 2019

General Framework

1 - Executive Summary	8 – Issue 6 Assessment of the Independent Review Process (IRP)
2 - Review Background	9 – Issue 7 Assessment of Relevant ATRT2 recommendations
3 – Issue 1 Board	10 – Issue 8 Assessment of Periodic Reviews
4 – Issue 2 GAC	11 – Issue 9 Accountability Indicators
5 – Issue 3 Public Input	12 – Issue 10 Prioritization and Rationalization of Activities, Policies and Recommendations
6 – Issue 4 Acceptance of ICANN Decisions	13 - Prioritization and Interdependencies of Recommendations
7 – Issue 5 Policy Development Process (PDP)	

Section Detail

- ⊙ Issue # - (Issue)
 - Introduction
 - Information Gathering
 - Relevant ATRT2 Recommendations and Analysis
 - Results of Survey
 - Other Information
 - Analysis of Information and Identification of Issues
 - Recommendations to Address issues

Recommendations 1 (from the TOR)

In keeping with the guidelines for Review Teams to develop and follow a clear process when documenting constructive recommendations, the ATRT3 has adopted a system that is fact-based analysis, clear articulation of noted problem areas, supporting documentation, and resulting recommendations system that follows the S.M.A.R.T framework: S pecific, M easurable, A chievable, R ealistic, and T ime-Bound.

Recommendations 2

To help assess whether proposed recommendations are consistent with this guidance, we will be wherever possible and practical testing recommendations against these questions:

- What is the intent of the recommendation?
- What observed fact-based issue is the recommendation intending to solve?
What is the “problem statement”?
- What are the findings that support the recommendation?
- Is each recommendation accompanied by supporting rationale?
- How is the recommendation aligned with ICANN’s current and future strategic planning, the ICANN Bylaws and ICANN’s mission?
- Does the recommendation require new policies to be adopted? If yes, describe issues to be addressed by new policies.

Recommendations 3

- What outcome is the review team seeking? How will the effectiveness of implemented improvements be measured? What is the target for a successful implementation?
- How significant would the impact be if not addressed (i.e., very significant, moderately significant) and what areas would be impacted (e.g., security, transparency, legitimacy, efficiency, diversity, etc.)?
- Does the review team envision the implementation to be short-term (i.e., completed within six months), mid-term (i.e., within 12 months), or long-term (i.e., more than 12 months)?
- Is related work already underway? If so, what is it and who is carrying it out?
- Who are the (responsible) parties that need to be involved in the implementation work for this recommendation (i.e., community, the ICANN organization, the ICANN Board, or a combination thereof)?

Recommendations 4

- Are recommendations given in order of priority to ensure focus on highest impact areas?
- If only a limited number of recommendations can be implemented due to community bandwidth and other resource constraints, would this recommendation be included in the top listing of recommendations? Why or why not?

Recommendations 5

- ⦿ Recommendations are subject to Operating Standards for Specific Reviews approved June 2019
- ⦿ These were incorporated into the ATRT3 Terms of Reference.

Recommendations 6

- ⦿ Definition of desired outcomes, including metrics used to measure whether the recommendation's goals are achieved
- ⦿ Initial identification of potential problems in attaining the data or developing the metric
- ⦿ Suggested timeframe in which the measures should be performed
- ⦿ Definition of current baselines of the issue and initial benchmarks that define success or failure
- ⦿ Data retained by ICANN
- ⦿ Industry metric sources
- ⦿ Community Input
- ⦿ Surveys or studies
- ⦿ Consensus on Recommendation