

At-Large Workspace: Draft PTI and IANA FY20 Operating Plan and Budgets

Public Comment Close	Statement Name	Status	Assignee (s)	Call for Comments Open	Call for Comments Close	Vote Open	Vote Close	Date of Submission	Staff Contact and Email	Statement Number
12 November 2018	Draft PTI and IANA FY20 Operating Plan and Budgets	<div>ADOPTED</div> 14Y, 1N, 0A	Jean-Jacques Subrenat Mohamed (Mo) Elbashir Maureen Hilyard	01 November 2018	09 November 2018	11 December 2018	14 December 2018	11 December 2018	Kirsten Wattson and Becky Nash planning@icann.org	AL-ALAC-ST-1118-01-02-EN

Hide the information below, please click [here](#) >>

Brief Overview

Purpose: To obtain public comments on the Draft Fiscal Year 2020 (FY20) Public Technical Identifiers (PTI) Operating Plan and Budget and the DRAFT Internet Assigned Numbers Authority (IANA) FY20 Operating Plan and Budget.

Current Status: These DRAFT Plans have been prepared and published for public review and comment.

Next Steps: ICANN community participants should submit questions for clarification about details in the draft plans to planning@icann.org by 05 October 2018. The organization will provide responses by 12 October 2018.

The organization will then:

1. Consult the community as-needed to ensure understanding of the comments submitted and prepare a report of public comments.
2. Incorporate changes to the draft plans based on suggestions and comments.
3. Propose the revised FY20 PTI Operating Plan and Budget for PTI Board Adoption in December 2018.
4. Propose the revised FY20 IANA Budget for IANA for ICANN Board Adoption in January 2019.

Section I: Description and Explanation

Two documents are presented for community discussion and public comment: 1) [the Draft PTI FY20 Operating Plan and Budget](#) [PDF, 390 KB] and 2) [the Draft FY20 IANA Operating Plan and Budget \("IANA Budget"\)](#) [PDF, 345 KB]. These budgets have been presented in separate documents as they are adopted by different organizations at separate times.

The PTI Board will be asked to adopt the PTI Draft FY20 Operating Plan and Budget in December 2018. The ICANN Board will be asked to adopt the IANA Budget in January 2019.

The Empowered Community has a right over the IANA Budget. An overview of the Empowered Community's powers is published on [the Empowered Community website](#).

Section II: Background

PTI was incorporated in August 2016, the second month of ICANN's FY17 budget. The PTI Board was seated during September 2016. It performs the work required to deliver the IANA Services through contracts with ICANN. Excellence in delivering the IANA Services is a key goal in ICANN's Strategic Plan and PTI is working to fulfill that goal.

Section III: Relevant Resources

Document	Purpose
Draft PTI FY20 Operating Plan and Budget [PDF, 390 KB]	This is a draft document being consulted on. It describes the IANA Services and PTI's planned work for FY20.
Draft FY20 IANA Operating Plan and Budget ("IANA Budget") [PDF, 345 KB]	This is a draft document being consulted on. The IANA Budget references the PTI Operating Plan and Budget and includes aspects of fulfilling the IANA functions contracted by ICANN, such as the contract with the Root Zone Maintainer.
ICANN Strategic Plan [PDF, 1.65 MB]	This is the document that describes the strategic goal of fostering and coordinating a healthy, secure, stable, and resilient identifier ecosystem. This is the goal encompassing the delivery of the IANA Services. It is found on page 12 .
ICANN Five-Year Operating Plan [PDF, 583 KB]	This is the document describing how ICANN's strategic plan is operationalized. It contains phased implementation goals and Key Performance Indicators for evaluating success. It provides a high-level summary of the PTI-related work starting on page 17 .

Section IV: Additional Information

The FY20 Operating Plan and Budget for PTI is scheduled to be adopted by the PTI Board before public comment begins on the ICANN Draft FY20 Operating Plan and Budget.

The revised FY20 Budget for IANA will be reviewed by the ICANN Board Finance Committee, then recommended to the ICANN Board for adoption, and followed by an Empowered Community review period of 28 days.

Section V: Reports

FINAL VERSION SUBMITTED (IF RATIFIED)

The final version to be submitted, if the draft is ratified, will be placed here by upon completion of the vote.



AL-ALAC-ST-1118-01-02-EN.pdf

FINAL DRAFT VERSION TO BE VOTED UPON BY THE ALAC

The final draft version to be voted upon by the ALAC will be placed here before the vote is to begin.

ALAC Statement on Draft PTI and IANA FY20 Operating Plan and Budgets

Penholders: Maureen Hilyard, ALAC Chair, Jean-Jacques Subrenat, ALAC Representative to the IFR and Mohamed El Bashir, Chair of AFRALO
09 December 2018

2 Executive Summary

- Page 5, ALAC supports the medium-term approach and planning, in conjunction with the ICANN Strategic Goals, "In FY20, work is expected to principally conclude on a multi-year effort to develop a comprehensive set of systems and tools to support protocol parameter assignment workflows. These systems will enhance the way service is delivered to the Internet standards community. Other areas of activity include continued evolution of the Root Zone Management System, enhancements to the IANA.org website and associated tools to modernize and make information more accessible, and updates to the root zone key management software and facilities."
- Page 5, last sentence makes no sense and needs to be reviewed, "It is anticipated work relating to ICANN initiatives such as variant TLDs and the subs."

4 PTI Services Financial Overview

- Page 7, item 4.1 Budget Overview: in the table, the line entitled "Depreciation" gives a constant value (0.3 million USD), and yet predicts a -11% variation. This needs to be clarified, especially as current forecasts for the USD seem to indicate its positive valuation in the near future, instead of its depreciation, see this table from The Economy Forecast Agency (look at 2019 and beyond), <https://longforecast.com/euro-to-dollar-eur-usd-forecast-2017-2018-2019-2020>.
- Page 7, under item 2.1 "Travels and Meetings" should read "I. Fewer PTI Operations Trips resulting in lower EXPENDITURES (Jean-Jacques' emphasis)" instead of "lower costs". Costs are determined by travel and service providers, whereas expenditures are borne by PTI.
- Page 7, under 4, last line should read "... shows a decrease of..." (instead of "...shows an decrease of...").
- Page 8, under item 7, a clearer definition of "Depreciation" is required, in order to differentiate PTI/ICANN bilateral arrangements from the broader issue of depreciation in the monetary sense (see above remark).

5 PTI Services Overview

- Page 8, item 5, PTI Services Overview ends with this: "PTI, an ICANN affiliate, performs these functions on behalf of the global Internet community."
- Page 8, item 5.2 IANA Numbering Function, fourth bullet point should spell "Unicast" with the first letter in upper case, as it is a specific and recognized addressing method, see <https://en.wikipedia.org/wiki/Unicast>.

6 PTI Services and Operation Plan

- There should be an annex or addendum which lists the detailed breakdown of direct and indirect PTI costs, which was carried out by ICANN through different departments (e.g., Communications, Governance support, etc). It is included in the table, total figures, under "Professional services". For the sake of transparency, the detailed breakdowns should be included in the budget.

DRAFT SUBMITTED FOR DISCUSSION

The first draft submitted will be placed here before the call for comments begins. The Draft should be preceded by the name of the person submitting the draft and the date/time. If, during the discussion, the draft is revised, the older version(S) should be left in place and the new version along with a header line identifying the drafter and date/time should be placed above the older version(s), separated by a Horizontal Rule (available + Insert More Content control).

First statement submitted to public comment on 12 Nov 2018:



AL-ALAC-ST-1112-01-00-EN.pdf

ALAC Statement on Draft PTI and IANA FY20 Operating Plan and Budgets

Penholders: **Maureen Hilyard**, ALAC Chair, **Jean-Jacques Subrenat**, ALAC Representative to the IFR and **Mohamed El Bashir**, Chair of AFRALO
12 November 2018

1 Personnel Costs

Maureen Hilyard, ALAC Chair noted several questions in relation to personnel costs:

- Please elaborate on the activity of PTI staff which merits an increase of \$300,000 for 22.8 FTE for merit awards, increases and benefits?
- Please explain which professional services were required for an increase of \$400,000 (31.1% over FY19 budget, due to shared services with ICANN)?
- Why are some decreases listed as totals (\$ USD), and some as percentages (%) making it more difficult to draw comparisons?

For example:

- A decrease in legal expenses of \$400,000;
- Decreased travel and meeting expenditure by 16.9%;
- Decreased administration of \$100,000 (7.4%) due to lower rent costs.

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- Page 8, item 5, PTI Services Overview ends with this: "PTI, an ICANN affiliate, performs these functions on behalf of the global Internet community." This important point could be usefully quoted in the message from the ALAC Chair when she/he sends our comments on the current draft. (Staff suggestion: "This important point has been emphasized by the ALAC Chair on the cover letter of this statement.")
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Draft PTI FY20 Operating Plan and Budget
Comments by Jean-Jacques Subrenat, ALAC Representative to the IFR
10 October 2018

SUGGESTED COMMENTS BY THE ALAC

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